### 1 Periaqueductal gray passes over disappointment and signals continuity of remaining 2 reward expectancy 3 Hyunchan Lee & Okihide Hikosaka 4 5 6 Laboratory of Sensorimotor Research, National Eye Institute, National Institutes of Health, Bethesda, MD 20892-4435, USA 7 8 9 10 **Contact info** 11 \*Correspondence: Hyunchan Lee dr.hyunchan@gmail.com 12 13 **Keywords** 14 Lateral habenula, Periaqueductal gray, Reward expectation, Disappointment, Resilience, 15

16 Social bonding

#### 17 Abstract

Disappointment is a vital factor in the learning and adjustment of strategies in reward-seeking 18 behaviors. It helps them conserve energy in environments where rewards are scarce, while 19 20 also increasing their chances of maximizing rewards by prompting them to escape to environments where richer rewards are anticipated (e.g., migration). However, another key 21 22 factor in obtaining the reward is the ability to monitor the remaining possibilities of obtaining the outcome and to tolerate the disappointment in order to continue with subsequent actions. 23 24 The periaqueductal gray (PAG) has been reported as one of the key brain regions in regulating 25 negative emotions and escape behaviors in animals. The present study suggests that the PAG 26 could also play a critical role in inhibiting escape behaviors and facilitating ongoing motivated behaviors to overcome disappointing events. We found that PAG activity is tonically 27 suppressed by reward expectancy as animals engage in a task to acquire a reward outcome. 28 29 This tonic suppression of PAG activity was sustained during a series of sequential task procedures as long as the expectancy of reward outcomes persisted. Notably, the tonic 30 suppression of PAG activity showed a significant correlation with the persistence of animals' 31 32 reward-seeking behavior while overcoming intermittent disappointing events. This finding highlights that the balance between distinct tonic signaling in the PAG, which signals 33 34 remaining reward expectancy, and phasic signaling in the LHb, which signals disappointment, 35 could play a crucial role in determining whether animals continue or discontinue rewardseeking behaviors when they encounter an unexpected negative event. This mechanism 36 would be essential for animals to efficiently navigate complex environments with various 37 38 reward volatilities and ultimately contributes to maximizing their reward acquisition.

#### 39 Introduction

40 During reward-seeking behaviors, humans and animals occasionally encounter disappointing events that diminish their engagement and even prompt them to leave the 41 42 environment. Disappointment could be a crucial factor, for example, in environments where resources become scarce, helping animals adjust their behavioral patterns to minimize 43 unnecessary energy expenditure (e.g., by hibernating) or migrate to environments with richer 44 resources.<sup>1-6</sup> However, in real life, we often need to pass through a series of unexpected 45 events, some of which may be disappointing or irrelevant to immediate gratification, to 46 achieve our desired outcomes ultimately.<sup>7</sup> Thus, we hypothesized that there would be 47 another important neuronal mechanism that signals the remaining reward expectancy, 48 helping us overcome disappointing events and continue motivated behaviors until we reach 49 our desired goals.<sup>8-10</sup> Then, how does the animal brain signal disappointment and the 50 51 remaining reward expectancy when encountering a disappointing event?

52 To solve this question, we examined the lateral habenula (LHb) and periaqueductal gray (PAG), key brain regions involved in the regulation of negative mood in animals.<sup>11-13</sup> The 53 LHb is a well-known primary input to dopamine neurons, especially signaling 54 disappointment<sup>14</sup> and aversiveness<sup>15</sup> from stimuli. Thus, dysfunction of the LHb has been 55 extensively studied as a promising therapeutic target for treating major depressive 56 disorder.<sup>16-19</sup> In the present study, we raised a question regarding a feature of the LHb 57 response, which primarily involves phasic firing lasting 100-500 ms.<sup>20</sup> This rapid signaling is 58 crucial for animals to quickly learn and identify significant objects in a complex environment, 59 60 where various valued objects are presented sequentially, and to promptly guide their actions step-by-step accordingly.<sup>21-24</sup> However, if an animal's reward-seeking behavior, which 61 involves a series of sequential actions, is solely regulated by the phasic signaling of the LHb, 62 the animal would easily abandon or leave the ongoing motivated behavior every time it 63 encounters a disappointing event. 64

Therefore, we investigated another key brain region involved in the regulation of 65 negative moods, the PAG.<sup>25-27</sup> Notably, PAG activity has primarily been reported to play a 66 pivotal role in controlling coping strategies in response to aversive stimuli, such as defensive 67 and escape behaviors.<sup>28</sup> As a result, the PAG has been suggested to be a critical brain area 68 that can provide therapeutic interventions not only for mental disorders<sup>29-31</sup> but also for 69 movement disorders related to the control of involuntary behaviors.<sup>32-37</sup> This has been further 70 supported by previous studies showing that PAG manipulation affects pain, analgesia,<sup>38</sup> 71 uncontrollable freezing behaviors,<sup>39</sup> and involuntary vocalizations.<sup>40</sup> Moreover, the PAG has 72 crucial efferents that project to the motor control system, such as the raphe interpositus, 73 which regulates gaze fixation in primates.<sup>41</sup> Hence, we could expect that the PAG would not 74 75 only trigger escape behaviors but also play a critical role in controlling escape and sustaining reward-seeking behaviors when an animal encounters a disappointing event. 76

In summary, we investigated how the LHb and PAG neurons signal disappointment and the remaining reward expectancy to understand how an animal decides whether to overcome or leave a disappointing event. As a result, we found a significant correlation between PAG activity and the continuity of reward-seeking behavior in animals, which could help them overcome disappointing events and ultimately obtain their desired rewards.

### 82 Results

To record the neuronal and behavioral responses of rhesus macaque monkeys while passing 83 through a series of disappointing events before ultimately obtaining a reward outcome, we 84 devised a scene-based foraging/Pavlovian task. In this task, two different foraging and 85 Pavlovian tasks were conducted on a shared background scene image (Fig. 1A). As a result, 86 the monkeys were able to predict different reward outcomes for each group of scene images 87 88 based on the average reward outcomes experienced in the foraging and Pavlovian tasks. 89 Therefore, the monkeys experienced multiple changes in reward predictions throughout the 90 task, depending on the appearance of each group of scene images and tasks.

### 91 Reward expectation facilitates visual attention to contexts

We firstly found that reward experiences facilitate the visual attention of primates. 92 93 Each trial of the task started with the appearance of a scene image (Fig. 1A, scene onset), which allowed the monkey's free-viewing for 1 s and then remained as a background scene 94 until the end of the trial, during which either the Pavlovian or foraging task was performed. 95 The monkeys experienced large amount of juice rewards in both foraging and Pavlovian tasks 96 97 in the high-valued scenes (Fig. 2A and C), while they received small amount of juice rewards 98 in the foraging task and airpuff punishments in the Pavlovian task in the low-valued scenes 99 (Fig. 2B and D). As a result, at the start of a trial, monkeys could predict greater reward 100 outcomes from the appearance of the high-valued scenes compared to low-valued scenes 101 (Fig. 1B).

As the monkeys experienced larger rewards, their free viewing became more focused on high-valued scenes (Fig. 1F, outside). For the background scene images, we used face and landscape images, as they are representative examples of social and spatial contexts that animals encounter during reward-seeking behaviors in real life.<sup>42</sup> When we used face images as the background scene images, they showed more pronounced gazes toward the eye regions in the high-valued face scene images than in the low-valued scene images, accompanied by stronger scene viewing of the high-valued face images (Fig. 1E and F, inside).

109 Through an additional experiment where the influence of reward and punishment on 110 scene gaze was examined respectively, we confirmed that the effect of value on monkeys' 111 gaze for scene images was particularly regulated by the predicted values of reward rather 112 than airpuff punishment in this task design (Supplementary Fig. S1). The monkeys' gaze 113 toward the scene images was more focused on those with expected high-value rewards, even 114 if they included an airpuff punishment, and it decreased for images with expected low-value 115 rewards, even if there was no airpuff punishment.

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117 Continuity of reward expectancy facilitates subsequent actions even after disappointment

We then found that the reward expectancy from the scene images continuously facilitated subsequent actions of animals in the next step. As described above, each trial began with the appearance of a scene image and the monkeys' free viewing for 1 s (Fig. 1A). In the meantime, although the monkeys' gaze was more focused on the high-valued scenes than on the low-valued scenes, we found that their gaze toward the scene images commonly increased during the 50-150 ms period for both scenes, reaching over 90% and being sustained until the next stimuli appeared (Fig. 1F, outside). At the onset of the low-valued scenes, the monkeys sometimes closed their eyes or shifted their gaze away from the scene image during the free viewing period. However, they soon returned their gaze to the scene and prepared to perform the subsequent task. This indicates that the monkeys had a strong motivational engagement for the subsequent task procedures in both scene groups. Notably, these highly motivated states in both scenes consistently facilitated subsequent behaviors.

After a free-viewing of the scene for 1 s, a fixation point (FP) appeared at the center 130 of the screen, and either the foraging task or the Pavlovian task was initiated (Fig. 2). The 131 132 foraging task and Pavlovian task were distinguished by different shapes of the FP (Fig. 2A-B, foraging task, square; Fig. 2C-D, Pavlovian task, circle). Once the FP appeared at the center of 133 the scenes, the monkeys quickly fixated their gaze on the FP within 20 ms, whether it 134 135 indicated the foraging task or the Pavlovian task (Fig. 3D and H). In both foraging and 136 Pavlovian tasks, the start time at which monkeys' gaze reached the FP was significantly quicker in high-valued scenes than in low-valued scenes. In addition to the speed of the 137 fixation start time, the monkeys exhibited higher fixation rates in high-valued scenes 138 compared to low-valued scenes (Fig. 3C and G). However, even in low-valued scenes, 139 140 monkeys initiated fixation on the FP within 20 ms (Fig. 3D and H, blue), and the fixation rates 141 were over 95% (Fig. 3C and G, blue). This implies that the monkeys were in a strong motivational state in both high-valued and low-valued scenes. Indeed, even before the FP 142 onset, about 90% of the monkeys' gaze had already stayed on the location where the FP 143 144 would appear (Fig. 3 A-B and E-F).

This result implies that as long as reward expectancy is sustained, animals can maintain a strong motivational state even after disappointment. How, then, do the neural mechanisms in the brain operate to sustain such a strong motivational state in animals, even after the disappointment?

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#### 150 **PAG neurons signal reward expectancy using tonic activity**

We found that the neuronal activity of the PAG was tonically modulated by the 151 152 expectancy of reward outcomes. Along with the monkeys' gaze behaviors, we recorded neuronal activities in the LHb and PAG (Fig. 2). When a scene image appeared at the beginning 153 of a task trial, neuronal activity in both brain regions was inhibited by high-valued scenes and 154 excited by low-valued scenes (Fig. 1C and D). However, their responses exhibited distinct 155 characteristics, with the PAG primarily showing tonic firing, while the LHb responded with 156 phasic firing. We notably found that the reward expectancy induced by the scene onset had 157 a significant and continuous effect not only on the continuity of the monkeys' subsequent 158 scene-viewing behaviors (Fig. 1F, outside) but also on the tonic activity of PAG neurons, which 159 persisted from scene onset to FP onset (Fig. 1D). 160

The phasic LHb response was advantageous for rapidly encoding value information from the scene images, with a short latency of around 150 ms (Fig. 1C). The neuronal activity of LHb was suppressed by high-valued scenes and excited by low-valued scenes. The difference between these two scenes persisted for about 450 ms, after which their firing rates quickly recovered to baseline levels of neuronal activity, similar to those before the onset of the scene. This enabled the LHb to cease its response to the scene images before the next

stimuli appeared and to prepare for subsequent responses in the following steps (Fig. 4J and5J, before 0 ms).

In contrast, the tonic activities of PAG slowly encoded the value information provided 169 by the scene onset, starting around 300 ms (Fig. 1D). The neuronal activity of the PAG was 170 also inhibited in response to high-valued scenes and slightly excited in response to low-valued 171 scenes. However, after the value responses, the PAG activity did not quickly recover to 172 baseline levels as the LHb responses did. Meanwhile, the PAG responses exhibited a more 173 pronounced tendency to steadily sustain the tonic suppression in both high- and low-valued 174 175 scenes until the next visual information was presented (Fig. 4N and 5N, before 0 ms). This result implies that PAG activity could tonically regulate the persistence of the task-engaged 176 state in animals from a reward-informative stimulus to a subsequent reward-informative 177 stimulus. 178

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# 180 Tonic PAG activity passes over disappointment and signals the continuity of reward 181 expectancy until the goal is ultimately obtained

We found that the PAG response is specifically tuned to signal the continuity of reward expectancy, even when disappointment occurs during the sequential procedures of rewardseeking behaviors. As described above, the PAG neurons exhibited tonic suppression despite the disappointment induced by the low-valued scene (Fig. 1D). Especially through the foraging task, we observed additional evidence showing that PAG activity was able to maintain tonic suppression despite further disappointments occurring, until the reward was ultimately obtained (Fig. 2A-B; Fig. 4M-P).

The foraging task was designed to observe behavioral and neural responses when 189 temporary disappointment occurs during sequential task procedures, but the possibility of 190 obtaining a reward outcome is 100% guaranteed. The foraging task began with the 191 appearance of the square-shaped FP on the scene images. Once the monkeys completed gaze 192 193 fixation on the FP, the FP disappeared, and good or bad objects appeared randomly on the 194 left or right side (Fig. 2A-B, Object onset). The appearance of the good object allowed the monkeys to receive a reward immediately upon completing gaze fixation on it 195 (Supplementary Movie S1). In contrast, the bad object required avoiding gaze toward it 196 197 (Supplementary Movie S2). The monkeys could avoid the bad object by either not making a saccade toward it for 1 s or making a saccade but breaking the fixation within 500 ms. After 198 the monkeys successfully avoided gazing at the bad object, the FP reappeared. Subsequently, 199 the monkeys were finally able to find the good object and received a reward by fixating on it. 200 The monkeys performed these instrumental behaviors with a success rate of over 95%. Even 201 202 if they failed, the same trial was repeated until they succeeded, ultimately receiving a reward outcome with a 100% probability. 203

On the one hand, we found that the phasic response of the LHb was proficient in encoding the value difference between good and bad objects (Fig. 4K-L). The appearance of the bad object was another disappointing event for the monkeys, as it incurred additional temporal and effort costs compared to the good object. As a result, LHb activity was inhibited by the presence of good objects and excited by the presence of bad objects.

209 On the other hand, we found that the tonic activity of the PAG maintained its tonic 210 suppression state throughout the sequential procedures of the foraging task, even when good or bad objects appeared, ultimately leading to a 100% probability of obtaining a reward 211 outcome (Fig. 4O-P). We observed that the tonic suppression of the PAG, which began with 212 the initial scene onset (Fig. 4M), was sustained until the FP onset (Fig. 4N) and continued even 213 after either the good or bad objects appeared (Fig. 4O-P). Although PAG activities were 214 slightly excited by the appearance of either good or bad objects, their activities quickly 215 declined and returned to a level lower than baseline (Fig. 4O-P, before 0 ms). As a result, the 216 217 firing rates of the PAG neurons remained lower than baseline levels when FP reappeared following the avoidance of the bad object. 218

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# Distinct LHb and PAG responses signal disappointment and continuity of remaining reward expectancy

222 On the contrary, we also confirmed that PAG activity becomes tonically excited when 223 the reward expectancy is completely extinguished during an ongoing motivated behavior, and 224 remains in a tonically excited state until the end of the trial. This was evident in the Pavlovian 225 task, which dramatically altered the remaining reward expectancy, sustaining it in high-valued 226 scenes and completely extinguishing it in low-valued scenes.

In the high-valued scenes, the Pavlovian task resulted in different probabilities of obtaining rewards (100%, 50%, 0%) depending on the object presented (Fig. 2C; Supplementary Movie 3). Ultimately, the appearance of the FP-indicating the Pavlovian task in the high-valued scenes resulted in an average probability of obtaining rewards of 50% (the average of 100%, 50%, and 0%). This implies that it caused disappointment compared to the 100% probability of obtaining rewards in the foraging task (Fig. 2A), but there is still a possibility of obtaining rewards.

On the other hand, in the low-valued scene, when the Pavlovian task began with the appearance of the FP, the probability of obtaining rewards was completely extinguished to 0%, leaving only the prediction of punishment (Fig. 2D; Supplementary Movie 4). This not only caused disappointment compared to the 100% probability of obtaining rewards in the foraging task, but also implies that the possibility of obtaining rewards within the trial was completely extinguished (Fig. 2B and D).

As a result of the common disappointment in both high- and low-valued scenes, LHb activities were excited by the FP-indicating the Pavlovian task (Fig. 5J). However, PAG exhibited different patterns of excitation and inhibition in response to the FP, depending on whether the Pavlovian task was initiated in high-valued or low-valued scenes (Fig. 5N).

In the low-valued scenes, the PAG became excited when the expectancy of reward outcomes was completely extinguished by the appearance of the FP-indicating the Pavlovian task (Fig. 5N). Then, this tonically excited state of PAG activity was sustained until the end of the trial, remaining slightly above baseline levels when an object appeared after the FP (Fig. 5P, before 0 ms). The PAG activity was not responsive to variations in punishment probability (100%, 50%, or 0% airpuff), differentiated by the appearance of objects (Fig. 5P), but had no significant impact on the previously extinguished remaining reward expectancy.

251 In contrast, in the high-valued scenes, the Pavlovian task induced disappointment but 252 still allowed the monkeys to maintain the expectancy of obtaining rewards, even though the probability was reduced. As a result, when the FP-indicating the Pavlovian task appeared in 253 the high-valued scenes, the PAG remained in a suppressed state until an object appeared (Fig. 254 50, before 0 ms). Subsequently, the neuronal activities of the PAG were significantly 255 differentiated by the 100%, 50%, and 0% reward objects. When the objects associated with 256 100% or 50% reward probabilities appeared, the PAG activity remained in a tonically 257 suppressed state, but it became excited in response to the 0% reward object, which also 258 259 signifies the complete extinction of reward expectancy within that trial (Fig. 50).

260 Consequently, we suggest that the distinct phasic LHb and tonic PAG responses could 261 play separate roles in signaling disappointment and remaining reward expectancy. How, then, 262 do changes in the tonic activity of the PAG contribute to behavioral responses when animals 263 encounter an event that switches the remaining reward expectancy on or off?

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### 265 Tonic PAG activity facilitates subsequent actions based on inhibitory motor control

Finally, we propose that the tonic activity of PAG neurons could signal the continuity 266 267 of remaining reward expectancy and facilitate subsequent actions based on inhibitory motor control. Our findings have so far revealed that PAG neurons exhibited tonic inhibition during 268 the foraging task, which guaranteed a 100% probability of obtaining a reward (Fig. 4M-P). This 269 tonic inhibition of the PAG corresponded to the continuity of reward expectancy, facilitating 270 monkeys' persistent visual attention throughout the task trials (Fig. 4A-D). Additionally, 271 during this period, we observed that their overall eye movements were suppressed (Fig. 4E-272 H) while the PAG exhibited tonic inhibition. This inhibitory control of eye movements could 273 reflect an increase in gaze fixation on the scene images, indicating the persistence of visual 274 275 attention. Along with this, our further evidence suggests that the inhibitory control of eye 276 movements could play additional crucial roles in facilitating the execution of subsequent 277 actions.

This was evident in the Pavlovian task, which did not require any instrumental actions 278 and allowed us to observe the natural behaviors of the monkeys. When the FP-indicating 279 Pavlovian task appeared in low-valued scenes, the monkeys' remaining reward expectancy 280 281 was completely extinguished. As a result, PAG activity was tonically excited (Fig. 5N and P), and the monkeys exhibited an increase in eye movements (Fig. 5F and H), which in turn 282 resulted in a decrease in scene gaze compared to high-valued scenes (Fig. 5B and D). 283 Consequently, PAG activity (Fig. 5O-P, before 0 ms) and eye movements (Fig. 5G-H, before 0 284 285 ms) at the time of object onset were greater in low-valued scenes than in high-valued scenes (Fig. 6A). Ultimately, we found that PAG activity observed at the time of object onset was 286 significantly correlated with the distance of the monkeys' eye movements before the object 287 onset (Fig. 6B). 288

Even after the object appeared, the differences in eye movements between the highvalued and low-valued scenes persisted and were sustained until the end of the trial (Fig. 6C). Thus, when the object subsequently appeared in the Pavlovian task, the monkeys in the lowvalued scenes experienced slowness in locating their gaze on the object (Fig. 6D), and even

- after making a saccade, their gaze did not remain fixated as long as it did in the high-valued
- scenes (Fig. 6E).

#### Discussion 295

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# Tonic PAG activity and remaining reward expectancy in overcoming disappointment

This study proposes that when animals encounter disappointing events, they could 297 298 overcome disappointment and continue reward-seeking behaviors through a balance between distinct phasic and tonic signals from the LHb and PAG. 299

300 The LHb is well-known as one of the primary inputs to the substantia nigra pars compacta (SNc) and ventral tegmental area (VTA) dopamine neurons for signaling 301 disappointment.<sup>14,43-46</sup> This neuronal pathway is essential for adaptability, flexibility, and 302 303 learning of animal behaviors as it can modulate neuronal plasticity in the basal ganglia via dopamine transmission.<sup>47-50</sup> The LHb responds to disappointing events with phasic firings 304 based on the concept of reward prediction error, which calculates the discrepancy between 305 actual outcomes and predicted values.<sup>21,51-53</sup> Consequently, when an animal obtains a reward 306 307 larger than expected, it suppresses LHb activity, enhances dopamine release to the striatum, and activates the direct pathway within the basal ganglia system. This pathway projects to 308 309 the superior colliculus (SC), thalamus, and pedunculopontine nucleus (PPN), thereby facilitating the associated actions via the substantia nigra pars reticulata (SNr) and the internal 310 segment of the globus pallidus (GPi).54-56 Conversely, when the reward is smaller than 311 312 expected, LHb activity is stimulated, leading to reduced dopamine release and activation of the indirect pathway, which includes the external segment of the globus pallidus (GPe) and 313 the subthalamic nucleus (STN), thereby suppressing the associated actions.<sup>57-61</sup> 314

However, to optimize reward acquisition, animals often need to overcome 315 316 disappointment and sustain reward-seeking behaviors by considering additional factors (e.g., good or bad) beyond these prediction errors (i.g., better or worse). For instance, during 317 reward-seeking behaviors, animals may encounter a disappointing event that is relatively 318 worse than expected but could still hold an absolute value worth pursuing.<sup>62</sup> Our findings 319 revealed distinct phasic and tonic neuronal responses in the LHb and PAG, representing 320 321 disappointment and remaining reward expectancy, respectively. Notably, the PAG activity 322 was proficient at signaling reward expectancy throughout task trials, persisting until the desired reward was achieved (Fig. 4M-P and 5M-P). Meanwhile, despite occasional 323 disappointments, the monkeys consistently engaged in a series of task procedures with 324 325 heightened visual attention (Fig. 4A-D and 5A-D).

On the one hand, the PAG has reciprocal connections with the LHb, which should not 326 be overlooked as a potential node for exchanging tonic signaling between them and guiding 327 animals to overcome disappointing events.<sup>63-67</sup> On the other hand, the PAG also establishes 328 neuronal networks with other critical brain regions in the reward system, such as the ventral 329 pallidum<sup>68,69</sup> and amygdala (AMY).<sup>70-73</sup> In previous studies, we particularly reported similar 330 tonic activity patterns in amygdala neurons, which facilitated extraordinary goal-directed eye 331 movements within the range of express saccades.<sup>74,75</sup> Therefore, we suggest that this tonic 332 signaling from the amygdala could be a strong candidate for modulating dopamine activity 333 via the amygdalo-nigral pathway,<sup>76,77</sup> and dopamine neurons could, in turn, integrate tonic 334 signals from the PAG and phasic signals from the LHb (Fig. 7). Finally, further studies on the 335 reciprocal connections of the PAG with the LHb and amygdala could deepen our 336 337 understanding of how animals overcome disappointment and sustain reward-seeking

behaviors, ultimately achieving desired outcomes. Indeed, the PAG has recently been highlighted as a potential therapeutic target for major depressive disorder,<sup>78-80</sup> which could expand treatment approaches and complement LHb studies aimed at improving sustained antidepressant effects.<sup>81-83</sup>

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#### Tonic suppression of PAG activity in bridging sequential reward-seeking behaviors

Next, our findings suggest that the tonic activity of the PAG could play a crucial role in bridging sequential motor actions involved in reinforcement learning. This is evident in the correlation between the tonic signaling of the PAG and the anticipatory gaze of monkeys, which consistently maintained their gaze on the background scene images where their tasks took place (Fig. 1E-F). Thereby, the anticipatory gaze enabled monkeys to prepare for subsequent actions (Fig. 3D-E and H-I), allowing them to detect the FP with greater speed and gaze rates (Fig. 3).

This is an essential component in the motor skill behaviors of animals, as it allows a 351 sequence of actions to be interconnected and executed as cohesive behavioral units (i.g., 352 motor chunk).<sup>84-86</sup> As a result, animals could improve the speed and accuracy of a series of 353 sequential procedures in reward-seeking behaviors.<sup>87,88</sup> This is exemplified by our previous 354 study, which examined motor skill acquisition in monkeys using a 2x5 task.<sup>89</sup> The 2x5 task 355 involved training the monkeys to learn and remember the sequence of pressing five pairs of 356 buttons, which illuminated from a total of 16 buttons, in a predetermined order. Following a 357 series of training sessions, the monkeys exhibited skilled behavior in which, upon pressing 358 one button, they were able to locate their gaze on the next button they would press before 359 360 it illuminated. As a result, they could perform subsequent actions with exceptional speed and accuracy, leading to faster reward acquisition. 361

Furthermore, this reinforcement between behavioral units could also play a pivotal 362 role in gating subsequent behaviors sequentially. For example, monkeys initiate eye contact 363 before engaging in social behaviors like lip-smacking.<sup>90</sup> Sequentially, lip-smacking induces 364 synchronization of the animal's behavior, facilitating emotional exchange and cooperative 365 actions, which, in turn, promotes a series of various subsequent behaviors.<sup>91-93</sup> Thus, the tonic 366 signaling of the PAG, which supported the monkeys' anticipatory gaze toward face scene 367 images (Fig. 1), could play a crucial role in enhancing the likelihood of animals engaging in 368 subsequent social behaviors. These motor skills, including social behaviors, are important 369 sources of natural rewards and pleasure in the lives of humans and animals.<sup>94-96</sup> In this way, 370 social interactions between animals have been shown to improve stress coping,<sup>97-99</sup> mitigate 371 aversive responses to unpleasant stimuli, and enhance the persistence of ongoing motivated 372 behaviors.<sup>100-104</sup> Therefore, from the perspective of reinforcement learning, we suggest that 373 the tonic activity of the PAG can provide additional reward resources for overcoming 374 375 disappointing events and continuing ongoing motivated behaviors by facilitating the connection between sequential motor actions. 376

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#### 378 Tonic PAG activity in inhibitory control of unnecessary movements

Lastly, we suggest that tonic PAG activity can contribute to the inhibitory control of unnecessary movements, which could potentially implicate its significant role in movement disorders. In the present study, we observed that monkeys exhibited strong inhibition of unnecessary eye movements during highly motivated anticipatory scene viewing (Fig. 4E-H and 5E-G). The inhibitory motor control can play a crucial role in regulating impulsivity, guiding action selection, and prioritizing the sequence of actions in human and animal behavior.<sup>105-107</sup> Thereby, this process ultimately improves the speed and accuracy of desired behaviors and smooths out the motion of humans and animals in response to the overwhelming influence of information processed through various sensory inputs that flow into the brain.<sup>108-111</sup>

These findings could further provide valuable insights into the implications of PAG 389 390 activity in movement disorders, such as Parkinson's disease. Parkinson's disease is characterized by abnormalities in the initiation and speed of actions (e.g., akinesia and 391 bradykinesia).<sup>48</sup> On the other hand, another significant symptom observed in this disease is 392 the impairment in suppressing unnecessary movements, such as tremors and dyskinesia. 393 These symptoms manifest abnormalities not only in limb movements but also in eve 394 395 movements.<sup>112-114</sup> For example, a Parkinson's disease patient in a previous study exhibited frequent abnormal repetitive saccades and fixation patterns during word reading, leading to 396 more frequent unnecessary eye movements compared to a healthy individual.<sup>115</sup> As a result, 397 398 this impaired overall reading speed and comprehension.

399 A key characteristic of Parkinson's disease is the degeneration of dopaminergic neurons in the SNc. Additionally, the PAG, along with surrounding brainstem regions, has also 400 been reported as an area showing significant changes in patients and animal models with 401 Parkinsonian symptoms.<sup>32,37,116-120</sup> However, there is still a lack of research on the role of the 402 PAG in Parkinsonian symptoms. The dopamine deficits in Parkinson's patients have primarily 403 404 been reported in the caudal dorsal lateral part of the SNc, which projects to the tail of the striatum (i.e., the caudal part of the striatum).<sup>121-124</sup> This neuronal pathway from the caudal 405 dorsal lateral part of SNc to the tail of the striatum plays a crucial role in regulating automatic 406 movements.<sup>125-129</sup> Consequently, neurodegeneration in this pathway can impair the function 407 of the indirect pathway, which is responsible for the inhibitory control of unnecessary 408 automatic movements,<sup>130-132</sup> and the decrease in PAG activity may affect its interaction with 409 410 these basal ganglia circuits.

Importantly, the PAG is also recognized as a critical integrator of emotional responses 411 and outputs in the emotional motor system, projecting to the spinal cord, forebrain, 412 cerebellum, and other brainstem regions to control movement (Fig. 7).<sup>133-136</sup> For instance, 413 dysfunction of the PAG might impair eye movement control in Parkinsonism through its 414 connections, distinct from basal ganglia output, to the brainstem areas associated with the 415 oculomotor system.<sup>137-139</sup> Future studies investigating the specific mechanisms of PAG activity 416 and its interplay with basal ganglia circuits could significantly enhance our understanding of 417 the pathophysiology of movement disorders and identify new therapeutic targets. 418

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#### 420 Materials and Methods

#### 421 General Procedures

Two male Macaca mulatta monkeys were used for this study. (CH, 10-year-old, 15 kg and KI, 422 10-year-old, 9.5 kg). All animal care and experimental procedures were approved by the 423 424 Animal Care and Use Committee of the National Eye Institute and complied with the Public Health Service Policy on the Humane Care and Use of Laboratory Animals. Apple juice (high-425 426 value, 600  $\mu$ l; low-value, 200  $\mu$ l) and airpuff (10-20 psi, 100 ms) were used as reward and punishment outcomes in the task. Partial data from the LHb and behavioral recordings were 427 used in related publications that tested the same task protocol.<sup>21,92</sup> The monkeys' eye 428 positions (n = 72)were recorded using an EyeLink 1000 Plus eye tracker (SR Research) and 429 430 simultaneously recorded with neuronal signals Blip software were via (www.robilis.com/blip/). 431

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#### 433 Scene-based foraging/Pavlovian task

434 A trial of the scene-based foraging/Pavlovian task began with the appearance of a scene 435 image (size: 40°; (2 faces + 2 landscapes) × 4 scene groups = 16 scene images per block), which 436 was maintained as the background scene throughout the trial (Fig. 1B). After 1 s of free viewing, a FP indicating either the foraging or Pavlovian task appeared at the center of the 437 438 scene, and the respective task began. Each block of the task consisted of 384 trials, with tasks 439 presented in a pseudo-random order (foraging task, 192 trials; Pavlovian task, 192 trials). Additionally, 32 non-cued free outcomes (8 high-value rewards, 8 low-value rewards, 16 440 441 punishments) were randomly delivered between task trials without any visual stimuli.

#### 443 Background scene images

444 We created four groups of background scene images, each contextually associated with 445 different reward and punishment outcome experiences (Supplementary Fig. S1): high-value 446 reward without punishment (Rwd++ Pun-), high-value reward with punishment (Rwd++ Pun+), low-value reward without punishment (Rwd+ Pun-), and low-value reward with punishment 447 (Rwd+ Pun+). This study focused on data from high-valued scenes (Rwd++ Pun-) and low-448 valued scenes (Rwd+ Pun+) to address the primary research question (Supplementary Fig. S1). 449 450 As a result, monkeys received a large amount of juice reward for completing foraging tasks in the high-valued scenes and a small amount of juice reward in the low-valued scenes (Fig. 1A). 451 During Pavlovian tasks, in the high-valued scenes, monkeys received rewards of the same size 452 as those in the foraging tasks. In contrast, in the low-valued scenes, monkeys received airpuff 453 punishment outcomes instead of juice rewards. The background scene images were collected 454 from Google Earth (https://www.google.com/earth), 455 OpenAerialMap 456 (https://openaerialmap.org), and Face Database (https://fei.edu.br/~cet/facedatabase.html).

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#### 458 Foraging task

The foraging task began with the appearance of a square-shaped FP (size, 2°), requiring the 459 monkeys to fixate on it for more than 700 ms within 1 s (Fig. 4). After the fixation, one of the 460 good or bad objects (size, 10°) appeared on either the left or right side (15°) of the background 461 scene image. Monkeys were then required to fixate on the good object (500 ms) within 1 s to 462 receive a reward. If the bad object appeared after fixation, monkeys had to avoid it by either 463 not making a saccade to the object for 1 s or by fixating on the bad object for no more than 464 465 500 ms. If the monkey successfully avoided the bad object, it disappeared from the scene, 466 and the FP reappeared at the center of the scene. The monkey was then required to fixate on

the FP again. Afterward, the good object was presented again on either side of the scene, and 467 the trial could be completed by fixating on the good object to acquire the reward. If the 468 monkey failed to avoid the bad object or failed to fixate on the FP or the good object, the 469 470 visual stimuli disappeared, accompanied by a beep sound. The trial was then repeated from the scene onset until the monkey correctly completed the task and acquired the reward. 471 Therefore, monkeys could earn fixed amounts of reward outcomes for each scene image in 472 the block. The good object appeared first in 1/3 of the trials and after the bad object in 2/3 of 473 the trials. Each scene image had different fractal images<sup>129</sup> for the respective good and bad 474 475 objects.

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# 477 Pavlovian task

The Pavlovian task began with the appearance of a circular FP, which required no action from the monkeys for 1 s (Fig. 5). After 1 s, one of three objects (100%, 50%, or 0% probability) appeared on the left or right side of the background scene image for 1.5 s. Monkeys then received a reward or punishment outcome based on the probabilities associated with each object. One second after the outcome delivery began, the background scene disappeared, and the next trial started after approximately 7 s. Each scene image had different fractal objects representing the respective objects.

485

# 486 Electrophysiology

We recorded 34 neurons from the LHb and 44 neurons from the PAG in two monkeys: CH 487 488 (LHb, 15; PAG, 30) and KI (LHb, 19; PAG, 14). Single-unit neuronal activity was recorded using glass-coated electrodes (diameter 0.38 mm, 1 MQ, Alpha-Omega) connected to a 489 490 microelectrode AC amplifier (model 1800; A-M Systems; gain, 10k; filters, 0.1 to 10 kHz) and 491 a band-pass filter (model 3384; Krohn-Hite). The electrode was advanced using an oil-driven 492 micro-manipulator (MO-97A, Narishige) through a guide tube and an 8° tilted posterior 493 chamber. Recording sites were confirmed via vertical MRI scanning (4.7 T, Bruker) with a 494 gadolinium-filled grid (1 mm-spacing) and Elgioy deposits marking the PAG.<sup>140</sup> Neuronal firing was monitored in real-time and isolated using custom voltage- and time-based windows in 495 496 Blip software.

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# 498 Statistical Analysis

We presented data as mean ± standard error of the mean. The statistical significances were analyzed using the Wilcoxon matched-pairs signed rank test, one-way repeated measures analysis of variance (ANOVA) with Bonferroni post hoc test, and Pearson correlation analysis using Prism9 (GraphPad Software). The average firing rates of neurons and gaze probabilities

- were smoothed by Gaussian kernel ( $\sigma = 10 \text{ ms}$ ) using MATLAB (MathWorks).
- 504

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#### 506 AUTHOR CONTRIBUTIONS

Conceptualization, H.L. and O.H.; Methodology, H.L. and O.H.; Software, H.L. and O.H.; Formal
Analysis, H.L. and O.H.; Investigation, H.L. and O.H.; Writing –Original Draft, H.L.; Writing –
Review & Editing, H.L. and O.H.; Visualization, H.L. and O.H.; Supervision, O.H.; Project
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511

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#### 521 **DECLARATION OF INTERESTS**

522 The authors declare no competing interests.

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902

# 903 Figure 1 Neuronal responses and anticipatory gazes in scene viewing

- 904 (A) In the scene-based foraging/Pavlovian task, each trial began with the free viewing of a
  905 scene image. Each group of scene images provided respective reward outcomes from the
  906 foraging and Pavlovian tasks. Consequently, the monkeys freely viewed each group of scene
  907 images based on the average rewards they had experienced during both tasks.
- 908 (B) Midlines indicate the average amount of juice reward and airpuff punishment provided to 909 each group of scenes from the foraging and Pavlovian tasks. Bars indicate the maximum and 910 minimum amounts of the outcomes.
- 911 (C, D) Left, recording sites are marked with orange dotted lines, indicating the locations of the
- 912 LHb and PAG. Right, the average firing rates of LHb and PAG in response to scene onset. The
- 913 purple shaded areas represent the differences in neuronal responses between high-valued914 and low-valued scenes.
- 915 (E) An example heatmap illustrating monkeys' gaze on face scene images.
- 916 (F) Outside, the probabilities of gaze on face scene images during the free-viewing period 917 (Scene zone,  $40^{\circ} \times 40^{\circ}$ ). Inside, the probabilities of gaze on the eye regions of face scene 918 images during the free-viewing period (Eye zone,  $4^{\circ} \times 3^{\circ}$ ). Please see Supplementary Fig. 1 for 919 additional information and *P* values.
- 920

# 921 Figure 2 Neuronal and behavioral responses in foraging and Pavlovian tasks

- 922 (A) The histograms (10 ms bins) showing neuronal activity in the LHb (top) and PAG (bottom)
  923 during the foraging task with high-valued scenes. The histograms are aligned to the onset of
  924 and balance and balanc
- 924 each stimulus during the task procedures (scene onset, FP onset, and object onset). The green
- dotted line indicates baseline neuronal activity measured for 200 ms before scene onset. The
   green shaded areas represent changes in neuronal activity compared to baseline levels during
- 927 the task procedures.
- 928 (B) The histograms show the same data as in A, but for low-valued scenes.
- 929 (C-D) The histograms show the same data as in A and B, respectively, for the Pavlovian task.
- 930

# 931 Figure 3 Reward expectancy facilitates subsequent actions

- (A) The probabilities of anticipatory gaze before the FP onset, where the gaze was located at the position where the FP would appear (FP zone, 10°× 10°). The purple shaded areas represent differences in responses between high-valued and low-valued scenes or between good objects and bad objects. The green shaded areas indicate the monkeys' gaze on the location where the FP appeared, starting from scene onset and maintained throughout the subsequent task procedures. The purple shaded areas highlight the differences in gaze responses between high-valued and low-valued scenes.
- 939 (B) The probabilities of gaze on the FP after its appearance.
- 940 (C) The fixation start time, representing the gaze-reaching time for monkeys to initiate 941 fixation on the FP (Wilcoxon matched-pairs signed rank test; \*\*P < 0.01, n = 72).
- 942 (D) The fixation rate, indicating the percentage of time monkeys maintained their gaze on the
- 943 FP to engage in the next task procedure (Wilcoxon matched-pairs signed rank test; \*\*\*\*P <
- 944 0.0001, *n* = 72).
- 945 (E-F) Same as A-B, respectively, for the Pavlovian task.
- 946 (G-H) Same as C-D, respectively, for the Pavlovian task.

### 947

# 948 Figure 4 Tonic inhibition of PAG signals continuity of reward expectancy in foraging task

- 949 (A-D) The probabilities of gaze fixation on scene images during the foraging task. The purple
- 950 shaded areas represent differences in responses between high-valued and low-valued scenes,
- 951 or between 100% objects and 0% objects. The green dotted line indicates baseline levels
- 952 measured for 200 ms before scene onset, while the green shaded areas represent changes in
- 953 gaze responses relative to baseline during the task procedures.
- 954 (E-H) Same as A-D, but for the velocity of eye movement.
- 955 (I-L) The LHb activity shown in figures 2A-B aligned with the gaze response presented in A-H.
- 956 (M-P) The PAG activity corresponds to the data presented in I-L.
- 957

# Figure 5 Tonic excitation of PAG signals complete extinction of reward expectancy in Pavlovian task

- 960 (A-D) The probabilities of gaze fixation on scene images during the Pavlovian task. The purple
- 961 shaded areas represent differences in gaze responses between high-valued and low-valued
- scenes. The green dotted line indicates baseline levels measured for 200 ms before scene
  onset, while the green shaded areas represent changes in gaze responses relative to baseline
  during the task procedures.
- 965 (E-H) Same as A-D, but for the velocity of eye movement.
- 966 (I-L) The LHb activity shown in figures 2C-D aligned with the gaze response presented in A-H.
- 967 (M-P) The PAG activity corresponds to the data presented in I-L.
- 968

# Figure 6 Implications of tonic PAG activity on subsequent behaviors through the inhibitory control of eye movements

- 971 (A) Left, the average firing rates of PAG neurons before object onset during the Pavlovian task.
- 972 The firing rates were quantified for PAG neurons, as shown in figures 50-P, during the 200–
- 973 0 ms period preceding object onset. Right, the average eye movement distance before object
- 974 onset in the Pavlovian task. The distances were quantified during the 200–0 ms period 975 preceding object onset (Wilcoxon matched-pairs signed rank test; \*\*\*P < 0.001, \*\*\*\*P < 976 0.0001, n = 44).
- 977 (B) The correlation between the average firing rates of PAG neurons (from A, left) and the
- average eye movement distances (from B, right) (Pearson correlation analysis; r < 0.05, \*P < 0.05, n = 44).
- 980 (C) The average eye movement distance after object onset in the Pavlovian task. The distances981 were quantified for 1 s after object onset, as shown in figures A-D.
- 982 (D) The time to initiate gaze on the object after object onset in the Pavlovian task. The start983 time for the monkeys' gaze reaching the object was analyzed.
- 984 (E) The duration of gaze fixation on the objects after object onset in the Pavlovian task. The985 gaze-holding duration was measured for 1.5 s.
- 986

# 987 Figure 7 Hypothetical neuronal mechanisms underlying inhibitory control of movements

- The interconnection between the PAG and AMY could play a key role in the inhibitory controlof movements via dopamine neurons in the SNc and VTA. This pathway could enable
- 990 dopamine neurons to integrate tonic signals from the PAG with phasic signals from the LHb.

- 991 Furthermore, the PAG could be essential for integrating emotional information from the AMY
- and LHb, thereby orchestrating movement control through additional interactions with brain
- 993 regions such as the forebrain, cerebellum, and other brainstem areas.



301 Punishment (ms) Histralied Convolued Lowwalled

С







Ε

F



D















ms

Α

В



ms









Fig6

