# 1 <u>Title</u>: Modeling Insights into Potential Mechanisms of Opioid-Induced Respiratory Depression

- 2 within Medullary and Pontine Networks
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#### 35 ABSTRACT (246 of 250 words)

36 The opioid epidemic is a pervasive health issue and continues to have a drastic impact on the United States. This is primarily because opioids cause respiratory suppression and the leading cause of death 37 in opioid overdose is respiratory failure (*i.e.*, opioid-induced respiratory depression, OIRD). Opioid 38 administration can affect the frequency and magnitude of inspiratory motor drive by activating µ-opioid 39 40 receptors that are located throughout the respiratory control network in the brainstem. This can 41 significantly affect ventilation and blunt CO<sub>2</sub> responsiveness, but the precise neural mechanisms that 42 suppress breathing are not fully understood. Previous research has suggested that opioids affect 43 medullary and pontine inspiratory neuron activity by disrupting upstream elements within this circuit. Inspiratory neurons within this network exhibit synchrony consistent with shared excitation from other 44 neuron populations and recurrent mechanisms. One possible target for opioid suppression of 45 46 inspiratory drive are excitatory synapses. Reduced excitability of these synaptic elements may result in 47 disfacilitation and reduced synchrony among inspiratory neurons. Downstream effects of disfacilitation may result in abnormal output from phrenic motoneurons resulting in distressed breathing. We tested 48 the plausibility of this hypothesis with a computational model of the respiratory network by targeting the 49 synaptic excitability in fictive medullary and pontine populations. The synaptic conductances were 50 systematically decreased while monitoring the overall respiratory motor pattern and aggregate firing 51 rates of subsets of cell populations. Simulations suggest that highly selective, rather than generalized, 52 actions of opioids on synapses within the inspiratory network may account for different observed 53 54 breathing mechanics.

#### 55 INTRODUCTION

56 Opioids are considered the gold standard for the treatment of chronic and acute pain, when used appropriately. This is especially true in a perioperative and postoperative environment (Hill and Canals 57 2022; Palkovic et al. 2020). The risks associated with opioid use follow a dose-dependent response 58 and has ensured that their availability remain restricted (Bateman et al. 2021; Hill and Canals 2022; 59 60 Mattson et al. 2021). However, the opioid epidemic remains a clear and increasingly pervasive health 61 crisis in the United States (Ramirez et al. 2021). In 2019, overdose deaths increased by more than 62 50.6% since 2013 (Mattson et al. 2021). This increase is largely attributed to the illicit distribution and 63 use of fentanyl. The primary cause of death in opioid overdose is respiratory depression (Hill and Canals 2022; Ramirez et al. 2021). Thus, research has been dedicated to uncovering the mechanisms 64 65 associated with opioid-induced respiratory-depression (OIRD) and uncovering neural pathways to 66 reverse OIRD (Bateman et al. 2021; Ramirez et al. 2021).

67

#### 68 Breathing

Multiple regions within the brain and brainstem can contribute to OIRD (Bateman et al. 2021; Lalley 69 70 2003; Ramirez et al. 2021). The brainstem is the primary hub that holds afferent and efferent neurons 71 that drive breathing and breathing-related behaviors. These neurons work together to form connections that regulate respiration in mammals (Lindsey et al. 2012). These circuits control the drive to breathe, 72 cardiorespiratory functioning, and maintain ventilation (Segers et al. 2015). Rhythmic respiratory activity 73 is facilitated and modified by interactions between the bilaterally distributed respiratory control network 74 75 located within the ventrolateral medulla (Baekey et al. 2004) and certain regions within the pons (Levitt 76 et al. 2015; Varga et al. 2019). This interconnectedness of medullary and pontine neurons is essential 77 for breathing (Lindsey et al. 2012).

78 The preBötzinger complex (preBötC), located within the medulla, has been reported to generate 79 a coupled respiratory rhythm with the parafacial/retrotrapezoid regions (Bochorishvili et al. 2012; Mellen 80 et al. 2003; Pattinson 2008). The parafacial/retrotrapezoid nuclei are involved in active expiration and 81 central chemoreception. The Bötzinger complex maintains neurons that are active during expiration and is a major source of inhibition (Bateman et al. 2021; Varga et al. 2019), and these processes contribute 82 to pacing respiration (Bateman et al. 2021). Inspiratory bursts generated by the preBötC network 83 determine breathing frequency (Burgraff et al. 2021). Neurons experience a gradual increase in 84 excitation that synchronizes to produce a coordinated population burst (Baertsch et al. 2019). Synaptic 85 connections within the medulla coordinate these population bursts via excitatory and inhibitory networks 86 87 to facilitate rhythmogenesis (Baertsch et al. 2019; Burgraff et al. 2021; Ramirez et al. 2021). Studies 88 perturbing the preBötC with opioids, in particular, have reported apnea (Wenninger et al. 2004),

respiratory failure (Wenninger et al. 2004), and deficits in airway protection (Shen et al. 2022). For
example, in a series of studies conducted by Lalley and colleagues oscillation and burst patterns of
respiratory rhythm are slowed and decreased by doses of fentanyl (Lalley 2003; Lalley and Mifflin
2017).

The ventrolateral medulla is not the only region participating in respiratory rhythm generation. 93 Areas of the rostral pontine circuitry, that include the Kölliker-Fuse nucleus, the parabrachial complex, 94 95 and the locus coeruleus, also contribute to rhythmogenesis by delivering dense excitatory feedback to the respiratory control network in the ventrolateral medulla (Pattinson 2008), stabilizing the respiratory 96 97 rate, and generating eupneic breathing patterns (Levitt et al. 2015; Varga et al. 2019). Researchers 98 found that these specific sites (*i.e.*, the Kölliker-Fuse nucleus, the parabrachial complex, and the locus 99 coeruleus) contribute to the generation of the three-phase normal, eupneic respiratory pattern: 100 inspiration, post-inspiration, and active expiration (Abdala et al. 2009). Additionally, the medulla receives sensory information from the pontine network that affects respiration. Specifically, the nucleus 101 102 tractus solitarius receives afferent feedback from peripheral sites (*i.e.*, pulmonary stretch receptors, bronchopulmonary C-fibers, and chemosensory information from carotid and aortic bodies) (Bateman et 103 al. 2021). Studies perturbing the pontine nuclei resulted in respiratory rate alterations (Bonis et al. 104 105 2010), ventilatory changes (Abdala et al. 2009), and tidal volume changes (Levitt et al. 2015). 106 Contributions from the pons and medullary areas form a spatially dynamic network that produces and 107 controls respiratory rhythm (Abdala et al. 2009; Baertsch et al. 2019; Segers et al. 2015).

108

## 109 Opioids and the Respiratory Control Network

110 Opioids alter breathing by activating  $\mu$ -opioid receptors ( $\mu$ -ORs) throughout the brainstem (Dahan et al. 2010). Pharmacological effects and adverse events are mediated by the presence of µ-ORs that are 111 found at multiple sites within the central and peripheral nervous system (Varga et al. 2019). In the 112 113 ventrolateral medulla, the preBötzinger complex is active during inspiration and has been found to be 114 extremely sensitive to opioid agonists (Pattinson 2008). Previous research has studied these effects 115 within intact animals and medullary slices. Results indicated that opioids have presynaptic and 116 postsynaptic effects that alter the excitability of brainstem respiratory neurons (Grav et al. 1999, 2001). Lalley further investigated the effects of systemic administration of fentanyl by measuring intracellular 117 118 membrane potentials of respiratory bulbospinal, vagal, and propriobulbar neurons in anesthetized and 119 unanesthetized decerebrate cats (Lalley 2003). Lalley concluded that fentanyl had effects presynaptic 120 to respiratory motoneurons to depress neuronal activity. Additional rodent studies observed discrete, 121 rather than continual, stepwise depression in phrenic output and inspiratory neuron discharges by 122 opioids. These results are attributed to the effects on circuits upstream to inspiratory neurons within the preBötzinger complex (Janczewski and Feldman 2006; Mellen et al. 2003). For example, applying the opioid agonist DAMGO to the Kölliker-Fuse nucleus causes robust apneusis in a working heart– brainstem preparation of rat (Levitt et al. 2015). However, how opioid agonists fully affect inspiratory neurons within the respiratory control network remains unclear. What has been implicated across several studies is that ventrolateral medullary and pontine circuitry, together, are affected by opioid administration and this in turn affects respiration (Burgraff et al. 2021; Dahan et al. 2010; Janczewski and Feldman 2006; Lalley 2003; Mellen et al. 2003).

- The most common adverse effect of opioid use reported is respiratory depression, which in the 130 131 extreme can directly lead to death (Pattinson 2008). Previous researchers have used intracellular 132 recordings to measure membrane potentials of inspiratory neurons to better understand the inhibitory effects within the respiratory control network (Gray et al. 1999, 2001; Lalley 2003; Lalley and Mifflin 133 134 2017; Mellen et al. 2003). Local application of opioid agonists affects the somatodendritic µ-ORs on 135 spatially confined presynaptic terminals while receptors in the broader region are left unaffected. This 136 phenomenon can be difficult to interpret when the pontine and medullary circuitry, specifically the 137 preBötzinger complex and the Kölliker-Fuse nucleus, reciprocally share sensory-motor information to generate inspiratory bursts and respiratory patterns (Varga et al. 2019). Recently, Chou and coworkers 138 139 (Chou et al. 2024) disseminated findings from a computational model that described plausible 140 explanations for the observed variations in experimental responses to opioids. The group explains that 141 their model accounts for the fixed and dynamic excitatory/inhibitory µ-OR+ neurons, cellular 142 parameters, and network connections. They attribute discrete assigned randomness to these 143 parameters within the model that influence individual nodes. This small level of difference is sufficient to 144 introduce enough variance to explain the variances in experimental preparations. However, 145 understanding the neural network connections and respiratory mechanisms that are affected by opioids 146 is of widespread interest to researchers.
- 147

## 148 <u>A joint neural-biomechanical computational model</u>

Lalley and coworkers (Lalley 2003; Lalley and Mifflin 2017) have interpreted their results of suppressed 149 breathing and disfacilitation of discharge patterns as an attenuation of presynaptic excitability within the 150 pontomedullary circuitry. We have tested the plausibility of this hypothesis with a joint-neuromechanical 151 152 model of the respiratory network (Lindsey et al. 2012; O'Connor et al. 2012). This model uses an 153 integrate-and-fire neural network that drives deterministic equations that simulate human respiratory mechanics (O'Connor et al. 2012). The first aim of the current study was to systematically and 154 155 individually decrease the strength of medullary inspiratory neuron connections within this joint neural-156 biomechanical model to examine the overall respiratory output. The second aim was to systematically,

- and individually, decrease the strength of pontine neuron connections within the same model. Modeland trial specifications are described in the Materials and Methods section.
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## 160 MATERIALS AND METHODS

#### 161 <u>Network simulations</u>

162 A joint neural biomechanical model (Lindsey et al. 2012; O'Connor et al. 2012), was applied to test the 163 hypothesis that decreasing the synaptic conductance of medullary and pontine inspiratory neurons 164 induces opioid-mediated respiratory breathing patterns. The neural components of the model were 165 derived from previously described respiratory network models of discrete integrate-and-fire neurons after MacGregor (MacGregor 1987) and a hybridized bursting integrate-and-fire population based on 166 Hodgkin-Huxley equations (Breen et al. 2003). The latter was previously developed from a continuously 167 168 integrated model (Butera et al. 1999). The current model was derived from these equations paired with 169 in vivo data that enhanced the development. Ultimately, motor output from phrenic populations at each 170 time step (0.5 ms) within the model are calculated by counting the total spikes from all the cells in each 171 of two phrenic populations (P0 and P1) and dividing by the duration of a time step. Each population accounts for different inspiratory burst activity within the medullary component of the model. The output 172 of the two can best be described by the equation: 173

174  $\frac{0.3 \cdot P0 + 0.7 \cdot P1}{200}$ 

Notably, there should be a maximum value of 1 for phrenic population recruitment. This equation
describes the model's representation of inspiratory output. The lumbar motor output is handled in a
similar way as the phrenic output with two lumbar motoneuronal populations (*L*0 and *L*1):

178  $\frac{0.3 \cdot L0 + 0.7 \cdot L1}{80}$ 

179 The biomechanical components of the model (Lindsey et al. 2012; O'Connor et al. 2012) were

180 developed from transdiaphragmatic pressure and diaphragm activation while controlling the

thoracoabdominal configuration (Cluzel et al. 2000; Grassino et al. 1978; Konno and Mead 1967; Song
et al. 2006).

The *uflsim* software package, version 1.0.36 (Lindsey et al. 2012; O'Connor et al. 2012) utilizes a *Qt C*++ cross-platform development framework written for Windows and Linux (source code may be found here: <u>https://github.com/jahayes-ns/uflsim</u> with Windows binaries here:

186 <u>https://github.com/jahayes-ns/uflsim/releases/download/neuroscience/uflsim\_win\_1.0.36.zip</u>).

187 The program includes the functionality of the program *SYSTM11* (MacGregor 1987) used in 188 previous simulations of the respiratory network. The program allows neuron excitability to be modulated 189 by injected current, and elements designated as "fiber populations" external to the network can also be 190 used to represent transiently active afferent inputs to the network. A graphical user interface (simbuild) 191 was used to modify cell parameters and network structure while the resulting model files were simulated using simrun. Simulations were run on 64-bit Intel-based computers under the Windows or 192 193 Linux operating systems. Python scripts were developed to produce large sets of uflsim networks (.snd files) with varied parameters such as synaptic strengths between populations of neurons, so 194 195 simulations could be executed in batches and analyzed offline with figures produced using Matplotlib (Hunter 2007). Network summary figures (Figs. 3D-E, 5, 6B-C, 8B-C, and 9B-C) were produced by 196 197 taking the mean±SEM of relevant features from 9 distinct runs of freshly produced networks derived from a "trunk" network (Fig. 2). These 9 distinct networks were randomly generated with different 198 199 random seed values.

200

## 201 <u>Neuron simulations</u>

Single-cell neuron simulations of the Butera model (Butera et al. 1999) were performed using *XPPAUT*(Ermentrout 2002). Parameter values were taken from the original study except for the changes
described in Results. Panels for the figures were produced using *Matplotlib* (Hunter 2007) and *pandas*(team 2024).

206

## 207 **RESULTS**

## 208 Organization of a fictive bulbar and spinal respiratory network

209 We started with the network structure from a previously published respiratory model (O'Connor et al. 210 2012). This is comprised of 47 populations of neurons with up to 70-300 members each (9159 total 211 neurons) as well as 10 fiber sources that project to these neuronal populations (1800 total fibers). Each population member had 50-200 axon terminals randomly distributed to members of its target 212 213 populations (average source->target were 100.1 terminals for each pair) with 175.394,850 total terminals in the network. The identity of the neurons in each population are defined by three 214 characteristics: 1) their typical firing phenotype under eupneic conditions, 2) their general anatomical 215 location, and 3) their hypothesized, or experimentally identified, connectivity to other populations in the 216 217 model (Fig. 1). Typically, the prefix of the eupneic inspiratory-phasing neurons are "I-" and eupneic 218 expiratory-phasing neurons with "E-". After this "Aug", "Dec", suggests the predominant discharge 219 pattern during the respective phase as augmenting or decrementing spike rate consistent with 220 experimental phenotypes. "NRM" indicates that a population is not eupneic respiratory modulated. 221 Anatomical locations for the populations are sometimes specified parenthetically with "pons" or "raphe" 222 and the remainder are by default in the medulla. The exception to the latter is the "PHRENIC" and

"LUMBAR" populations of motoneurons and are meant to represent roughly the C4 and L1 levels of thespinal cord and output to muscles.

- Figure 1A highlights 17 of the key populations from this model network in the context of the present study with gray boxes generally delineating the approximate anatomical location (pons, medulla, and spinal cord) for the firing phenotypes. Figure 1B shows hypothesized lateral connections within these structures, while Figure 1C and 1D show ascending and descending connections between these structures, respectively.
- 230 Figure 2 shows the population activity of each of these populations where the core respiratory 231 rhythm-generating circuit is shown in Fig. 2A. Medullary interneurons (INs) periodically oscillate 232 bursting between the inspiratory (I) and expiratory phases (E) with the I-Driver neurons initiating the 233 cycles, I-Dec neurons following a similar firing pattern, and I-Aug neurons reciprocally inhibiting the 234 others. These medullary neurons project to bulbospinal premotoneurons that further project to cervical motoneurons (Phrenic MNs) and lower spinal cord (Lumbar MNs) (Fig. 2B). Our biomechanical model 235 236 accounts for the activity from these MNs, as well as laryngeal MNs (not shown), to model airway 237 mechanics that drive lung inflation/deflation (Fig. 2C). Pulmonary stretch receptors (PSRs) then both 238 excite I-Aug and inhibit I-Dec neurons during inflation closing a feedback loop (Fig. 2D).
- 239

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## 240 <u>The relationship of I-Driver cellular properties to fictive breathing</u>

The I-Driver neurons form the core kernel of the rhythm generator that produce the initial burst activity that percolates through the inspiratory phase (Fig. 2A). A sub-spike threshold, slowly-inactivating "persistent" sodium current ( $I_{NaP}$ ) produces this augmenting activity during the late-expiratory phase and is described by the following equation (modified from (Breen et al. 2003)):

 $I_{NaP}(V_m) = \bar{g}_{NaP} \cdot m_{\infty}(V_m) \cdot h_{NaP} \cdot (V_m - E_{Na})$ 

Figure 3 illustrates the subthreshold activity of this  $I_{NaP}$  current. Fig. 3A shows the membrane voltage trajectory ( $V_m$ ) of an intrinsically bursting I-Driver-like neuron with the inactivation variable ( $h_{NaP}$ ) in the middle row and the  $I_{NaP}$  truncated to -50 pA in the bottom row to highlight the slowly de-inactivating current between bursts of activity.  $E_{Na}$  is the Nernst reversal potential for sodium (+50 mV) while  $m_{\infty}(V_m)$  is the instantaneous voltage-dependent activation function for  $I_{NaP}$ .

Figure 3B shows the same simulated neuron with the maximum synaptic conductance  $(\bar{g}_{NaP})$ slightly decreased to a scaling factor of 95% (0.95x) which slows the bursting frequency. Further decreasing the scaling factor to 90% (0.9x) resulted in a silent neuron that relaxes to a subthreshold baseline  $V_m$  (not shown). In the same simulated neuron, returning to the original  $\bar{g}_{NaP}$  but changing the maximum time constant of NaP inactivation ( $\tau_{h-NaP}$ ) to 50% (0.5x) results in a dramatic increase in the bursting frequency in Fig. 3C.

257 For comparison to the more expansive network model, similar graded adjustments on 300 I-258 Driver neurons from scaling factors 1.0x to 0.0x to  $\bar{g}_{NaP}$  and  $\tau_{h-NaP}$  led to changes in T<sub>i</sub> (inspiratory phase duration),  $T_e$  (expiratory phase duration), and  $T_{tot}$  (the sum of  $T_i$  and  $T_e$ , or full cycle period) and 259 260 is shown in Fig. 3D. Similar to the neuron model, changing  $\bar{g}_{NaP}$  led to cessation of rhythm at relatively high levels of scaling factor for  $\bar{g}_{NaP}$  suggesting the importance of subthreshold  $I_{NaP}$  in this model to 261 262 initiate the population burst activity. Remarkably, in contrast to the single neuron model, decreasing  $\tau_{h}$ .  $_{NaP}$  increased both the expiratory phase (inter-burst interval) and consequently the full cycle period ( $T_{tot}$ ) 263 264 while having only a modest effect on T<sub>i</sub>. This qualitatively shows the dramatic impact network 265 connectivity and synaptic properties can have on the overall production of rhythmic behavior in this 266 model brainstem, and we explore this in more detail below.

267

#### 268 Generalized mechanisms for µ-OR-agonist influence on the neural control of respiration

269 In this study, we analyzed several distinct schemes by which µ-OR agonists may influence respiratory 270 activity (Fig. 4). The first are comprised of cellular effects that are conceptualized as affecting baseline 271 membrane properties through K<sup>+</sup>-dominated leak channels and will be examined more closely 272 associated with Fig. 5. The key take-away from this mechanism is that, in the absence of active 273 membrane properties more dramatic than spike-generating currents, it would simply affect the 274 presynaptic spike rates of neurons and can be simulated by an adjustment in applied stimulus current  $(I_{app})$  (Fig. 4A). In contrast, mechanisms that influence connectivity strength could act through pre- or 275 276 postsynaptic mechanisms (Fig. 4B) and will be considered in the subsequent Results sections (Fig. 6-277 10). For the purposes of this study, they are effectively the same mechanism and result in decreased  $I_{syn}$  (synaptic current) given a uniform spike-rate between the two. 278

μ-OR agonists have been shown to directly cause Fig. 4A.iii and Fig. 4B.ii in some contexts
(Gray et al. 1999; Heinke et al. 2011; Ikoma et al. 2007; Jørgensen et al. 2022; Kim et al. 2024) and
Fig. 4B.i may be one mechanism of opioid tolerance (Gillis et al. 2020; Koch and Höllt 2008).

282

#### 283 <u>Alteration of excitability in populations of the upstream core network</u>

We first started by examining the effects of biasing cellular excitability by altering  $I_{app}$  over the range -10 to +10 pA, where the latter depolarizes neurons. There were 4 conditions, changes in  $\Delta I_{app}$  on the populations of: I-Drivers, I-Drivers + I-Augs, NRM-pons, and all neurons in the simulation for comparison. The results are analogous to the situations demonstrated in Fig. 4Ai-iii.

There were 9 distinct runs of independently generated starting networks ( $\Delta I_{app} = 0$ ) for the 4 conditions. Changing  $\Delta I_{app} > 0$  for all neurons slows the respiratory rhythm ( $T_{tot}$ ) as  $\Delta I_{app} >> 0$  but also slows the rhythm slightly as inspiratory phase bursts ( $T_i$ ) increase when  $\Delta I_{app} < 0$  (Fig. 5A). If  $\Delta I_{app} < 0$  falls too low the system loses respiratory activity. As this ceases at  $\Delta I_{app}$  < ~-2 pA across all networks it shows that the current system is very "stiff" and just on the precipice of cessation if the whole network is seriously perturbed in the hyperpolarizing direction.

For I-Driver + I-Aug perturbations, there is a transient period as  $\Delta I_{app}$  < 0 where the CV of T<sub>tot</sub>, T<sub>i</sub>, and T<sub>e</sub>, increase dramatically compared to similar I-Driver perturbations (Fig. 5A). Curiously, when I-Driver population alone is manipulated the means of both T<sub>i</sub> and T<sub>e</sub> roughly track along the same trajectories as I-Driver + I-Aug perturbations. This shows that the I-Aug population is contributing to cycle-to-cycle stability of the respiratory rhythm.

We also modulated NRM-pons neurons to see how they influence overall respiratory activity. While they are non-phasic, the stochasticity of this population's firing still influences activity in nonintuitive, non-monotonic ways as a function of uniform  $\Delta I_{app}$  (Fig. 5A). At  $\Delta I_{app} > 0$ , breathing became deeper, and lungs are inflated while at  $\Delta I_{app} < 0$  breaths are shallower (Fig. 5B). Similar trends were found in the more targeted perturbations of I-Drivers and I-Drivers + I-Augs suggesting the NRM-pons neurons are vicariously acting largely through these populations as the connectivity from NRM-pons implies (Fig. 1D).

306

### 307 Intraplanar medullary synaptic sources affect rhythm generation

The essential elements of the respiratory network are found in the medullary region, so we examined how modulating the maximal excitatory strength ( $\bar{g}_{Excit}$ ) between planar connections in this structure could influence activity (Fig. 6).

Fig. 6A illustrates the subset of anatomically (hypothesized) planar medullary connections from Fig. 1A and 1B and we were focused on the role of excitatory connections. We scaled down the synaptic strength (analogous to Fig. 4B) between the following populations of neurons: I-Drivers  $\rightarrow$  I-Drivers, I-Drivers  $\rightarrow$  I-Augs, I-Drivers  $\rightarrow$  I-Decs, I-Drivers  $\rightarrow$  I-Drivers/I-Augs/I-Decs, and I-Augs  $\rightarrow$  I-Augs (Fig. 6A).

316 Scaling the strength of recurrent synapses in the I-Driver population (I-Drivers  $\rightarrow$  I-Drivers) 317 resulted in relatively little change in T<sub>tot</sub>, T<sub>i</sub>, or T<sub>e</sub> (Fig. 6B). Further, perturbation of the strength of these 318 recurrent synapses had little effect on phrenic amplitude, lung volume or peak inspiratory flow (Fig. 6C). 319 Reducing synaptic strength between the I-Driver and I-Aug populations increased T<sub>tot</sub> by over 320 15% and that effect was primarily due to an increase in T<sub>e</sub> of over 30% (Fig. 6B). There was little effect 321 on T<sub>i</sub> by this perturbation. Further, there were linear reductions in both phrenic amplitude, lung volume,

and peak inspiratory flow (Fig. 6C). Figure 7A shows the spike-time histogram patterns of setting the

323 synaptic strength from I-Driver to I-Aug neurons to 0%. The Phrenic MNs lose robust temporal

324 coherence which explains the reduction in Flow and Lung Volume (compare to Fig. 2).

325 When synaptic strength between the I-Driver and I-Dec populations was reduced,

- 326 rhythmogenesis and inspiratory motor drive failed after a change between 60-80% (Fig. 6B, C). Figs.
- 327 7B and C show examples of firing rate records for medullary and bulbospinal neurons as well as
- phrenic and abdominal motoneurons during reduction of synaptic strength to 80% (Fig. 7B), and 60%
- 329 (Fig. 7C) of control for I-Driver to I-Dec synapses.
- 330 Simultaneous reductions in the synaptic strength from I-Drivers to other I-Driver neurons, I-Aug 331 neurons and I-Dec neurons resulted in what appeared to be a synthesis of all changes induced by 332 perturbation of excitability for each of the individual populations alone (Fig. 6B, C). As such,
- simultaneous reductions in synaptic strength by up to 55% increased  $T_{tot}$  and  $T_e$  and decreased phrenic amplitude, lung volume and peak inspiratory flow (Fig. 6B, C). Large reductions in synaptic strength resulted in simulated apnea.
- We additionally decreased synaptic strength among recurrent synapses in the I-Aug population alone. Unlike perturbation of synaptic strength among recurrent synapses within the I-Driver population; this action lengthened both  $T_{tot}$  and  $T_i$  by 15-25% with no change in  $T_e$  (Fig. 6B). Further, phrenic amplitude, lung volume, and peak inspiratory flow were also reduced in a linear manner (Fig. 6C).
- 340

### 341 *Inhibitory influence of I-Dec hub neurons*

- Since the I-Dec population of neurons seems to have a dramatic effect on respiratory activity, we
   decided to also examine the effects of the I-Dec synaptic connections (Fig. 8). This is novel in
   comparison to the previous figures in that we were probing the influence of inhibitory synapses.
- 345 Perturbing all the inhibitory connections from the I-Dec population (Fig. 8A) causes the 346 respiratory behavior to drop (Fig. 8B/C) because these neurons are the hub of our system with 347 connections to 19 of the other 46 populations of neurons (10 inhibitory connections shown). In general, 348 as the maximal inhibitory strength ( $\bar{g}_{Inhib}$ ) decrease the T<sub>i</sub>, T<sub>e</sub>, and T<sub>tot</sub> get shorter and these quantities get more regular (Fig. 8B), and the phrenic activity monotonically increases (Fig. 8C). When  $\bar{g}_{Inhih}$  is 349 350 60% of control, Fig.8D demonstrates hyperpnea-like activity with intense inspiratory/expiratory activity and large lung inflations/deflations before the rhythm goes out in Fig. 8E when  $\bar{g}_{lnhib}$  drops to 55% and 351 352 lower.
- 353

## 354 Descending pontine synaptic sources affect burst patterning

Finally, we also looked at how perturbing  $\bar{g}_{Excit}$  between NRM and I-Aug or I-Driver neurons affected the overall breathing pattern. Decreasing the excitatory connections from the pontine synaptic sources (Fig. 9A) led to disordered and inconsistent T<sub>i</sub>, T<sub>e</sub>, and T<sub>tot</sub> production (Fig 9B). When  $\bar{g}_{Excit}$  is blocked, phrenic activity does increase for the I-Aug + I-Driver and I-Driver connections. However, it decreases

for the I-Aug connections and remains unchanged for the I-pons connections (Fig 9C). Blocking this
 descending pontine transmission led to clustered breathing (Fig. 10).

361

#### 362 DISCUSSION

The effects of opioids on respiratory function in experimental conditions is variable. In fact, little is known about the variance of in-between subjects' effects of opioid use (Dunn et al. 2019, 2020). The current study investigated the simulated responses of an opioid within a computational model of the pontomedullary respiratory network to better understand the neural mechanisms contributing to OIRD.

367 The opioid epidemic remains a critical research priority. There are many effects that opioids pose on respiratory function in experimental and clinical conditions (e.g., decreases or abnormal 368 function in chest wall compliance, tidal volume, respiratory rate, etc.). This has led to much 369 370 investigation that has focused on the impact of different opioid agonists on the upper airway in 371 respiratory depression (Skulsky et al. 2007). However, respiratory depression is a common deleterious 372 feature of opioid use. The current study investigated the simulated responses of activating µ-ORs within 373 a computational model of the pontomedullary respiratory network to better understand the neural mechanisms contributing to OIRD. Since morphine, codeine, and similar drugs, have multiple side 374 effects beyond just activating u-ORs (Dahan 2007; Simera et al. 2010; Tomazini Martins et al. 2018), 375 376 our model is best interpreted to most closely reproduce the highly specific µ-OR ligand fentanyl (Lalley 377 2003; Shen et al. 2022).

378

#### 379 <u>Simulated opioid effects on respiratory activity</u>

To model these opioid effects, we decreased the synaptic strength across medullary neurons associated with rhythmogenesis. Previous computational models have perturbed the connection probabilities (Chou et al. 2024), time constants of central and peripheral chemoreceptors (Magosso et al. 2004), and the polarization of sodium channels (Shevtsova et al. 2011) to assess the effects of opioids on respiration specifically within fictive medullary neurons. The advantage of the current study, with the employed joint neural network-biomechanical model, is that we examined these factors at a biomechanical level and in the context of a broader brainstem neuronal network.

Overall, the strength of the network's connectivity is an important parameter that affects the neural breathing patterns, and the model is generally inhibited when perturbed by opioids. While decreasing the connection strength or explicitly hyperpolarizing member populations, breathing patterns were significantly affected. OIRD is characterized by a decrease in respiratory rate and irregular breathing frequencies and at high doses apnea. This has been attributed to opioids activating G- coupled proteins through µ-ORs which hyperpolarize cells through G-protein-gated inward rectifying K<sup>+</sup>
 (GIRK) channels (Montandon et al. 2016a, 2016b).

394 Previous *in vivo* studies have reported decreased respiratory rates when opioids were directly applied to the ventrolateral medulla or systemically injected (Montandon et al. 2016b, 2016a). For 395 396 example, when DAMGO (d-Ala2, N-MePhe4, Gly-ol]-enkephalin), was applied to the ventrolateral medulla and presumably activating local µ-ORs, it reduced the respiratory rate of mice, but did not 397 affect the diaphragm amplitude in GIRK2<sup>-/-</sup> mice (Montandon 2022). In the same study, a moderate 398 intramuscular injection of fentanyl was provided to the GIRK2<sup>-/-</sup> mice, and only a slight depression in 399 400 diaphragm amplitude was observed. In a complementary study, systemic administration of fentanyl 401 reportedly decreased respiratory rate, yet had no effect on diaphragm amplitude (Montandon et al. 402 2016a, 2016b).

The administration of opioids has been shown to affect the burst duration of respiratory motor units up to the point of respiratory arrest (Lalley 2006). As discussed above, one mechanism opioids likely perturb respiratory patterns is through cell hyperpolarization, which in turn, affects the spiking activity of respiratory neurons (Fig. 4A). In our simulations, modulating an injected bias current ( $I_{app}$ ) within the core medullary populations (Fig. 5) affected spike burst durations (T<sub>i</sub>) until the respiratory activity was extinguished at larger hyperpolarizing  $I_{app}$ . Therefore, within our modeling efforts, modifying the injected current qualitatively reproduces *in vivo* effects of OIRD.

410 Lalley (2003) investigated the intravenous effects of fentanyl in adult cats while recording 411 individual neurons. He reported prolonged discharges that induced tonic firing of bulbospinal expiratory 412 neurons (like our E-Aug-BS population) that were correlated with a reduced hyperpolarization of 413 synaptic drive potentials. Lalley suggested that this result may have been explained by the decrease in 414 the duration of the inspiratory phase observed at certain dose-responses of fentanyl (Lalley 2003). He 415 further interpreted lower doses of fentanyl to have a similar effect on vagal post-inspiratory 416 motoneurons which led to "sparse, low-frequency" discharges which suggests that fentanyl regulates 417 bulbospinal and motoneurons presynaptically at different dose-dependent responses (Lalley 2003; 418 Lalley and Mifflin 2017) similar to a combination of Fig. 4Aiii and Fig. 4Bii.

419

# 420 Fictive respiratory rhythm generation and patterning

An important consideration for this model is that the I-Driver population are fundamentally essential for any kind of respiratory patterning under our simulated conditions. All members of that population burst based on a slowly-inactivating persistent sodium current ( $I_{NaP}$ ) (Breen et al. 2003; Butera et al. 1999) which has been recently shown to be inessential for I-Driver-like activity (da Silva et al. 2023). For this study, there is no salient difference in what bursting mechanism we choose for the I-Driver populationas we are interested in network effects as emphasized by the depiction in Fig. 3.

However, considering our model when  $\bar{g}_{NaP}$  was altered, this led to the cessation of the network rhythm (Fig. 3D). Essentially by decreasing  $I_{NaP}$  the cells broadly hyperpolarize, and the network aborted the respiratory rhythm. However, as we showed in Figure 3, modulating the parameters determining the qualities of  $\bar{g}_{NaP}$  on individual I-Driver neurons has a dichotomous effect versus how the more expansive multi-population network behaves.

432 This is consistent with previous findings: Phillips and Baertsch reported when lowering [K<sup>+</sup>] within their model (which hyperpolarizes neurons) it mimicked in vitro experiments by increasing the 433 434 presence of weaker "burstlet" rhythms (Phillips and Baertsch 2024). This is hypothesized to be driven by preinspiratory spiking as opposed to intrinsic bursting within the network. Further, Baertsch and 435 436 coworkers (Baertsch et al. 2021) earlier reported that ventrolateral medullary excitatory postsynaptic 437 potential amplitudes were reduced in the presence of opioids, specifically by DAMGO, and that µ-OR 438 activation suppresses excitatory synapses from the preBötC neurons to their post-synaptic targets. 439 Thus, reducing excitability within the respiratory network (Del Negro et al. 2005).

440 Respiratory efforts and breathing are finely tuned and well-timed behaviors. They must be executed with precision to prevent maladaptive consequences. These behaviors are primarily regulated 441 442 by the nucleus tractus solitarius and the ventral respiratory column. The presence of opioids has been 443 reported to adversely affect the coordination and execution of these crucial behaviors. The speed of the 444 network was altered when we manipulated  $\tau_{h-NaP}$  in the I-Driver population which also affected burst duration. Similar results have been reported by Baertsch and colleagues (Baertsch et al. 2021). These 445 446 motor unit spiking behaviors can be divided into three phases: refractory, percolation, and burst 447 phases. Researchers have reported that when fentanyl is administered, a neuron's discharge identity is 448 altered, causing changes in timing and frequency (Baertsch et al. 2021; Lalley and Mifflin 2017). Changes in frequency and neuron identities are modulated by opioid membrane activity. Opioid activity, 449 450 within the ventral respiratory column, causes asynchronous activity, and, depending on the opioid agonist, can cause varying effects on motoneurons. For example, Baertsch and Ramirez (Baertsch et 451 al. 2019) reported that substance P affected the percolation phase, also referred to as the recurrent 452 453 excitation phase, of the inspiratory rhythm by increasing the pre-inspiratory neurons' rate of firing by 454 activating a depolarizing current (Hayes and Del Negro 2007). Researchers have reported that when 455 fentanyl is administered, a neuron's discharge identity is altered, causing changes in timing and frequency. Lalley and Mifflin (Lalley and Mifflin 2017) postulated that µ-opioid agonists directly affect 456 457 the controlling and timing of burst and oscillation patterns of bulbospinal and vagal motoneurons, which 458 also have a direct effect on respiratory muscle force. Specifically, they reported that a threshold dose (3) μg/kg) of intravenous fentanyl had an increase in burst frequencies, oscillation intensities, and a more
 negative action potential threshold that had a direct effect on overall breathing pattern.

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461

#### 462 *Physiological implications*

One of the motivations for this kind of modeling study is that experimental studies of this kind are currently unfeasible. Here, we are delineating neuronal populations of interest both anatomically and, more importantly, functionally, because the respiratory network is distributed across much of the brainstem (Segers et al. 2008). With advancing genetic technologies these avenues may be more closely explored but challenges remain and may require higher-order intersectional approaches than what is currently common.

In the case of anatomical specificity, approaches such as viral injections into specific locations 469 can partially address these issues (Liu et al. 2021; Varga et al. 2020). Especially, when expression from 470 these injections may be conditioned on specific gene promoters (Nectow and Nestler 2020). However, 471 472 specifying genetic tools to firing pattern is especially nebulous for the most part. A combination of ion channel expression, endogenous Ca<sup>2+</sup> buffers such as parvalbumin (Alheid et al. 2002), synaptic 473 partners, or constitutively expressed transcription factors (Bachmutsky et al. 2020; Sun et al. 2019), 474 475 may provide a means of intersectionally subdividing certain populations given a certain neuronal 476 population's "fingerprint" of multiple distinguishing criteria but that remains beyond the scope of the 477 present study.

478 It is important to note that several µ-opioid agonists have been investigated and reported 479 varying effects (Burgraff et al. 2023; Danaf et al. 2023; Hiranita et al. 2024; Neumueller et al. 2023). For 480 example, Burgraff, Baertsch, and Ramirez (2023) reported the effects of morphine and fentanyl on 481 breathing and airway stability. Specifically, the administration of fentanyl resulted in the disruption of 482 airflow that were resolved with a tracheostomy and/or the administration of salbutamol and adrenaline. 483 These airflow obstructions were absent during the administration of morphine (Burgraff et al. 2023). Similar effects have been reported in emergency departments and within other hospital settings (e.g., 484 perioperative and postoperative conditions) (Sutter et al. 2015; Wolf et al. 2020). 485

Opioids are clinically used for their analgesic effects perioperatively and postoperatively.
However, their use can lead to respiratory depression and the disfacilitation of airway protective
mechanisms. The early detection of respiratory suppression allows clinicians to make life-saving
decisions and avert the catastrophic consequences of OIRD. The overall results of our modeling efforts
indicate that the joint neural-biomechanical model employed in the current study demonstrated overall
inhibition, frequency alterations, spike burst changes, and timing changes, which are broadly supported
by the different perturbations observed in *in vivo* data that employs the µ-OR agonist fentanyl. The

493 proposed model is an excellent tool that lends itself to answering questions that persist within the opioid494 crisis, specifically revolving around OIRD.

495

### 496 **FIGURE LEGENDS**

Figure 1. Connectivity among key elements of the model network. A, The core neuronal
populations interrogated in this study. Orange inverted arrow connections represent excitatory
connections while blue solid circle connections represent inhibitory connections. The graph is arranged
roughly corresponding to hypothesized anatomical location with top-tier rostral pontine populations,
middle-tier medullary populations, and spinal cord populations representing the motoneuronal output of
the neuronal network at the bottom tier. B-D, the planar (B), ascending (C), and descending (D)
subsets of these connections to improve clarity.

504

505 Figure 2. Fictive eupnea with active expiration. A, The core respiratory time course of activity by 506 classes of overlayed neuronal populations. The top are pontine interneurons (Pons INs), middle 507 medullary interneurons (Medulla INs), and bottom medullary bulbospinal premotor neurons 508 (Bulbospinal INs). B. Inspiratory motor output of the simulation as expressed as phrenic motoneuronal activity (Phrenic MNs) while lumbar spinal motoneurons (Lumbar MNs) convey expiratory activity. C. 509 510 Simulated lung volume and flow at the mouth produced by the respiratory activity. D, Moving average of lung pulmonary stretch receptors (Lung PSRs) activated by lung expansion. This vagal sensory 511 information feeds back into the core respiratory circuit continuously. Arrows indicate the feedforward 512 513 flow of information in the system.

514

**Figure 3. Comparison of**  $I_{NaP}$  **at the neuronal and network level. A**, top, In a simple model of a single respiratory neuron,  $I_{NaP}$  can lead to periodic bursting in membrane potential (V<sub>m</sub>). (middle), The magnitude and kinetics of  $I_{NaP}$  are controlled by the inactivation gating variable  $h_{NaP}$  (bottom). **B**, Decreasing  $\bar{g}_{NaP}$  slows the burst frequency. **C**, Decreasing the rate  $h_{NaP}$  inactivates ( $\tau_{h-NaP}$ ) increases the burst frequency. **D**, Effects on the full network period (T<sub>tot</sub>), burst duration (T<sub>i</sub>), and expiratory phase (T<sub>e</sub>), when decreasing  $\bar{g}_{NaP}$  and  $\tau_{h-NaP}$  in the I-Driver population of neurons. CV is the coefficient of variation. **E**, The same effects on motoneuronal output and biomechanical respiratory flow.

522

523 Figure 4. High-level comparison of possible cellular and synaptic effects of µOR-agonists.

524 Presynaptic neuronal (left spheres) spiking with neurotransmitter release (small circles) onto

525 postsynaptic neurons (right spheres). Clear boxes on the postsynaptic neurons represent transmitter

526 receptors. **A**, i.), Normal presynaptic spiking activity is equivalent to normal synaptic strength (1.0x  $\bar{q}_{syn}$ ) 527 and  $\Delta I_{app} = 0$ . ii.), Heightened presynaptic spiking activity is equivalent to normal synaptic strength and  $\Delta I_{app} > 0$ . iii.), Lower presynaptic spiking activity is equivalent to normal synaptic strength and  $\Delta I_{app} < 0$ . 528 529 B, i.), Normal presynaptic spiking activity with normal neurotransmitter release but fewer postsynaptic receptor targets is equivalent to  $\Delta I_{app} = 0$  and <1.0x  $\bar{g}_{syn}$  but weaker synaptic strength from a 530 531 "postsynaptic effect". ii.). Normal presynaptic spiking activity with decrease in neurotransmitter release 532 but normal receptor targets is also equivalent to  $\Delta I_{app} = 0$  and <1.0x  $\bar{g}_{syn}$  but weaker synaptic strength 533 from a "presynaptic effect".

534

**Figure 5. Influence of**  $\Delta I_{app}$  **on network and biomechanical behaviors. A**, T<sub>tot</sub> is total respiratory period, T<sub>i</sub>, inspiratory phase duration, and T<sub>e</sub>, the expiratory phase duration. CV is the coefficient of variation for the respective quantities. **B**, (top) Maximum phrenic motoneuron amplitude during the inspiratory phase. (middle) Maximum lung volume during the inspiratory phase. (bottom) Inspiratory flow.  $\Delta I_{app}$  is in units of pA.

540

Figure 6. Influence of excitatory synaptic strength on network and biomechanical behaviors
through medullary planar connections. A, Illustration of the excitatory planar connections from Fig.
1B that are analyzed here. B, T<sub>tot</sub> is respiratory period, T<sub>i</sub>, inspiratory phase duration, and T<sub>e</sub>, the
expiratory phase duration. CV is the coefficient of variation for the respective quantities. C, (top)
Maximum phrenic motoneuron amplitude during the inspiratory phase (arbitrary units) (middle)
Maximum lung volume during the inspiratory phase. (bottom) Inspiratory flow.

547

Figure 7. Example activity patterns of key planar excitatory connection perturbations. A, Spiketime histogram patterns of the network with I-Driver output to I-Aug neurons at 0% of control. B, Spiketime histogram patterns of the network with I-Driver output to I-Dec neurons at 80% of control
compared to 60% of control (C).

552

Figure 8. Influence of inhibitory synaptic strength on network and biomechanical behaviors
through I-Dec hub connections. A, Illustration of the inhibitory connections from I-Dec neurons in Fig.
1A that are collectively altered here. B, T<sub>tot</sub> is respiratory period, T<sub>i</sub>, inspiratory phase duration, and T<sub>e</sub>,
the expiratory phase duration. CV is the coefficient of variation for the respective quantities. C, (top)
Maximum phrenic motoneuron amplitude during the inspiratory phase (arbitrary units). (middle)
Maximum lung volume during the inspiratory phase. (bottom) Inspiratory flow. D, The spike-time

histogram patterns of the network with I-Dec inhibitory output at 60% of control compared to 40% ofcontrol (E).

561

## 562 Figure 9. Influence of excitatory synaptic strength on network and biomechanical behaviors

- through descending pontine-medullary connections. **A**, Illustration of the descending excitatory
- 564 connections from pontine non-respiratory modulated neurons (NRM-pons), phase spanning neurons
- 565 (rIE-pons, cIE-pons, EI-pons), and inspiratory neurons (I-pons). **B**, T<sub>tot</sub> is respiratory period, T<sub>i</sub>,
- 566 inspiratory phase duration, and T<sub>e</sub>, the expiratory phase duration. CV is the coefficient of variation for
- the respective quantities. **C**, (top) Maximum phrenic motoneuron amplitude during the inspiratory phase
- 568 (arbitrary units). (middle) Maximum lung volume during the inspiratory phase. (bottom) Inspiratory flow.
- 569

## 570 Figure 10. Example activity patterns of descending excitatory connection perturbations from

- 571 NRM-pons neurons. The network pattern with NRM-pons excitatory output at 20% of control projecting
- to I-Aug and I-Driver populations resulting in clustered-like bursts in inspiratory activity.
- 573

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В

Planar connections



С Ascending connections Pons NRM-pons E-pons cIE-pons I-pons rIE-pons EI-pons NRM BotC E-Dec-P I-Driver E-Aug E-Dec-T I-Aug I-Dec Medulla

D

**Descending connections** 



















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# Figure 9

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