

1 **Inflammatory Monocytes Increase Prior to Detectable HIV-1 Rebound Viremia**

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36 **Abstract**

37 The persistence of HIV-1 proviruses in latently infected cells allows viremia to resume upon
38 treatment cessation. To characterize the resulting immune response, we compare plasma
39 proteomics and single-cell transcriptomics of peripheral blood mononuclear cells (PBMCs)
40 before, during, and after detectable plasma viremia. We observe unique transcriptional
41 signatures prior to viral rebound including a significant increase in CD16⁺⁺ monocytes with
42 increased anti-viral gene expression. Inflammatory proteins were identified in plasma after

- 43 detectable rebound. Identifying early signals of imminent viral rebound after treatment cessation
- 44 will aid in the development of strategies to prolong time to viral rebound and cure HIV-1.
- 45 **Keywords:** HIV-1, analytical treatment interruption, HIV rebound, monocyte activation, innate
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49 **Introduction**

50 Antiretroviral therapy (ART) prevents the infection of new cells by HIV-1 and prevents HIV-1
51 replication in people living with HIV (PLWH), however, viremia returns rapidly after treatment
52 cessation. This process of viral rebound occurs due to a persistent reservoir of latently infected
53 cells within which virus begins to replicate following treatment interruption [1]. We previously
54 demonstrated that the frequency of CD4⁺ T cells expressing the Tumor Necrosis Factor Super
55 Family Receptor, CD30, increases after ART is stopped but prior to detectable HIV-1 RNA in
56 plasma [2]. Such a biomarker to predict HIV-1 viral rebound would greatly benefit HIV-1 cure
57 studies.

58 We hypothesize that the immune system responds to low-level viral activity before it is
59 detectable by clinical assays, potentially generating signals that could serve as an indicator for
60 imminent rebound. Here, we characterize immune signatures during treatment interruption of 24
61 PLWH who participated in the placebo arm of three separate ATCG vaccine trials [3-5]. We
62 performed high-dimensional plasma proteomics on samples from 23 participants and single-cell
63 RNAseq on 10 participants who were chosen because they demonstrated the greatest fold
64 change in CD4⁺ T cell CD30 expression after stopping ART in our prior study. Examining the
65 viral-immune dynamics during rebound is essential to understanding mechanisms of HIV
66 immune control.

67 **Methods**

68 Cohort: We assembled a cohort of 24 individuals who participated in observational analytical
69 treatment interruption (ATI) studies as part of three previously published ACTG trials
70 (ACTG5068, ACTG5024, ACTG5187) [3-5]. Two of the three trials enrolled participants who
71 were treated in the acute or early phase of HIV-1, and one enrolled ART naïve participants who
72 were treated for at least 44 weeks before undergoing ATI. Consistent with the parent ACTG

73 trials, 21 (87.5%) of participants were male and the median age was 42 years (IQR 37-48).

74 Fourteen participants were on an NNRTI-based regimen, 6 were on a protease inhibitor-based
75 regimen, 3 were taking both PI and NNRTIs together and 1 was on NRTI only regimen.

76 Plasma Proteomics: We performed high-dimensional plasma proteomic analysis through three
77 Olink targeted panels: inflammation, immuno-oncology, and biological processes with samples
78 from 23 of our 24 participants. The median time to detected rebound (>50 copies/mL) in this
79 cohort was 34 days (range 19-63). The median time from the initiation of ATI (the time of
80 stopping ART) to pre-rebound sampling was 25 days (range 11-45).

81 These panels analyzed the abundance of 188 soluble proteins (Supplementary Table 1) in
82 peripheral plasma at each of our three study timepoints. Differences in plasma protein
83 abundance were assessed with a paired Wilcoxon test and p-values were corrected for False
84 Discovery Rates (FDR).

85 Single-cell RNA sequencing (scRNAseq): A subset of 10 participants were selected based on
86 previously published high fold changes in CD30 surface expression on CD4⁺ T cells between
87 samples collected on-ART and pre-rebound. The median time to rebound in this cohort was 33
88 days (range 19-51). The median time from the initiation of ATI (the time of stopping ART) to pre-
89 rebound sampling was 25 days (range 13-43). Live peripheral blood mononuclear cells
90 (PBMCs) were processed through the 10x Genomics 5' Single Cell assay on a 10x Genomics
91 chip K according to manufacturer's instructions. Library products were sequenced on the
92 Illumina NovaSeq platform with a depth of 17068-92846 reads per cell after filtering. Three
93 libraries failed, resulting in a total of 27 samples from 10 donors across 3 time points. Libraries
94 were processed with Cell Ranger 6.1.1. Cells were removed if they were associated with less
95 than 2500 transcripts, fewer than 900 genes, or if mitochondrial gene expression comprised
96 more than 15% of the reads.

97 scRNAseq Analysis: Single cell data was analyzed with Seurat v4 in R. Reads in each cell were
98 normalized by cell cycle phase and mitochondrial expression ratio, then cell type was estimated
99 by mapping to a standard PBMC multi-modal dataset [6]. We selected the top 3000 most
100 variable genes for integration, with on-ART samples as reference, to determine differentially
101 expressed genes. Cell-type abundance was tested with a non-parametric Wilcoxon signed-rank
102 test paired by participant to account for baseline differences (with FDR corrected p-values). Cell
103 expression counts were summed by sample and by cell type to form pseudo-bulk count
104 matrices which were analyzed with DESeq2 [7]. The results of the differential expression
105 analysis were used to rank the genes and create a subset of 219 differentially expressed genes
106 (unadjusted p-value < 0.05). Finally, pathways enriched in this subset were identified with gene
107 set enrichment analysis, implemented by the clusterProfiler R package [8]. We evaluated
108 hallmark, curated and gene ontology gene sets from MSigDB [9].

109 **Results**

110 To investigate the immune-viral interactions of rebound, we compared samples from three
111 distinct time points: on-ART (before ATI), pre-rebound (during ATI, before detectable plasma
112 viremia), and post-rebound (during ATI, detectable viremia) (Figure 1A). Cryopreserved PBMCs
113 and plasma samples were obtained at these three time-points from each participant. Proteomic
114 analyses revealed a significant increase in plasma LAG3, TNF, GZMH, CRTAM, CXCL10, IL12,
115 TRAIL, CD27, MIC-A/B, CD5, MMP12, GZMB, IL-18R1, CXCL9, PD-L2, GZMA, NCR1, CD83,
116 SLAMF1, AND IL-12B from on-ART to post-viral rebound (FDR adjusted p-values <0.05; Figure
117 1B, Table S1), but no significant differences were observed between on-ART and pre-rebound
118 time points (FDR >0.05).

119 Next, we performed 10x scRNAseq on 10 participants (8 participants had both an on-ART and
120 pre-rebound time point) (Figure 2) and analyzed cell type proportions. The median proportion of
121 classical CD14⁺⁺ monocytes increased from 16.6% of total PBMCs to 19.0% (p=0.054) and we

122 observed a significant increase in the median proportion of anti-viral CD16⁺⁺ monocytes from
123 on-ART baseline to pre-rebound (2.57% to 4.38% of PBMC; p=0.008) (Figure 2AB). The
124 baseline on-ART levels of CD16⁺⁺ monocytes varied from 1-5%, across donors, however, the
125 increase in CD16⁺⁺ monocytes remained consistently ~1.7x. No other subsets significantly
126 differed in abundance from on-ART to pre-rebound.

127 To assess changes in gene expression between cells on-ART and pre-rebound, we performed a
128 pseudo-bulk differential gene expression analysis on our scRNAseq data. In CD16⁺⁺ monocytes,
129 there were 219 differentially expressed genes which clearly distinguish the on-ART and pre-
130 rebound expression pattern of these cells (Figure 2C). Pathway analysis on the differentially
131 expressed genes from monocyte subsets and other cell type subsets show upregulation of
132 specific inflammatory pathways, including TNF α via NF κ B, oxidative phosphorylation, interferon
133 response, inflammation, and IL2/STAT5 signaling (Figure 2D). Whereas expression of these
134 pathways increased in multiple cell subsets, we observed the greatest fold change differences
135 in monocyte subsets.

136 ***Discussion***

137 In this study, we evaluate changes in the immune landscape of PLWH throughout ATI to
138 determine whether an immune response is detectable in peripheral blood prior to clinical viral
139 rebound. While we only identify increases in inflammatory circulating proteins following HIV-1
140 recrudescence, we observe a significant increase in CD16⁺⁺ monocytes and a significant
141 increase in the expression of inflammatory pathways in monocyte subsets before HIV-1 RNA
142 was detected in plasma.

143 Monocytes are comprised of three subsets: classical, intermediate, and non-classical, however
144 intermediate monocytes cannot be differentiated with current single-cell RNA sequencing
145 analyses [10]. Classical monocytes, which make up ~80% of the monocyte compartment,

146 express the LPS receptor CD14 but not CD16, while both intermediate and non-classical
147 subsets express CD16 [11]. Intermediate monocytes maintain CD14 expression, while non-
148 classical monocytes are CD14^{dim}CD16⁺⁺ and functionally distinct from the other subsets with
149 higher expression of anti-viral genes and a more migratory phenotype, which helps alert the
150 immune system to viral replication in tissues [11, 12]. Migration throughout the body after
151 detecting HIV-1 activity in tissues could explain why this subset is measurable before detection
152 of viremia in our study.

153 Strikingly, the increase in anti-viral CD16⁺⁺ monocyte frequency was consistent across all
154 participants, at a median of 13 days prior to the first detectable viral load measurement.
155 Previous studies suggest that non-classical monocytes are a first-line immune response to
156 viruses including HIV-1 which increase in frequency early after HIV acquisition and wax and
157 wane in concordance with viral load through all stages of HIV progression [12-14]. These data
158 suggest that monocytes may be a relatively universal responsive to HIV-1 activation in localized
159 tissues before systemic viral replication, with non-classical CD16⁺⁺ monocytes being particularly
160 sensitive to viral activity.

161 The increasing expression of specific inflammatory pathways within expanding monocyte
162 subsets supports the hypothesis that these cells not only increase in frequency but may be
163 engaged in suppressing viral replication in tissues. In fact, both classical CD14⁺⁺ and CD16⁺⁺
164 monocytes were highly enriched in inflammatory pathways and responses related to IFN- γ and
165 IFN- α . These pathways are an integral part of the antiviral response and are necessary for the
166 production of cytokines to recruit immune cells to sites of viral replication [15]. In addition,
167 CD14⁺⁺ and CD16⁺⁺ monocytes increase expression of pathways related to oxidative
168 phosphorylation, indicating that energy requirements may increase in response to proliferation
169 and pathogenesis. Future studies more deeply investigating inflammatory anti-viral monocyte
170 subsets and further tissue-based studies during ATI are urgently needed.

171 Our study has a number of potential implications for HIV cure, most importantly in identifying a
172 signal of imminent viral rebound. Although sustained post-ART control is now being observed,
173 there is no simple way to monitor these viral controllers for eventual viral rebound [16]. If current
174 efforts to achieve durable virus control succeed, then monitoring individuals' rebound kinetics
175 will become paramount [17]. An assay that detects early virus spread would be particularly
176 useful, as we suggested in an earlier case study of sustained post-ART control [18]. Our data
177 also have implications for the development of novel interventions. Theoretically, the early
178 immune response to replicating HIV following treatment interruption might prove to be an
179 essential factor in determining who controls versus who does not control their virus [19].

180 Our study also demonstrates the need for more in-depth ATI studies examining immune
181 responses during treatment interruption in response to rebound viremia. While the current study
182 is based on a small number of participants sampled relatively infrequently during ATI, our
183 results suggest that future studies with more frequent longitudinal sampling, and, if possible,
184 intensive tissue sampling would greatly benefit the field. These studies would have the potential
185 to examine early viral activity in tissues, to explain variation in time to HIV-1 rebound, and shed
186 light into potential etiologies of post-treatment and post-intervention control of viremia.

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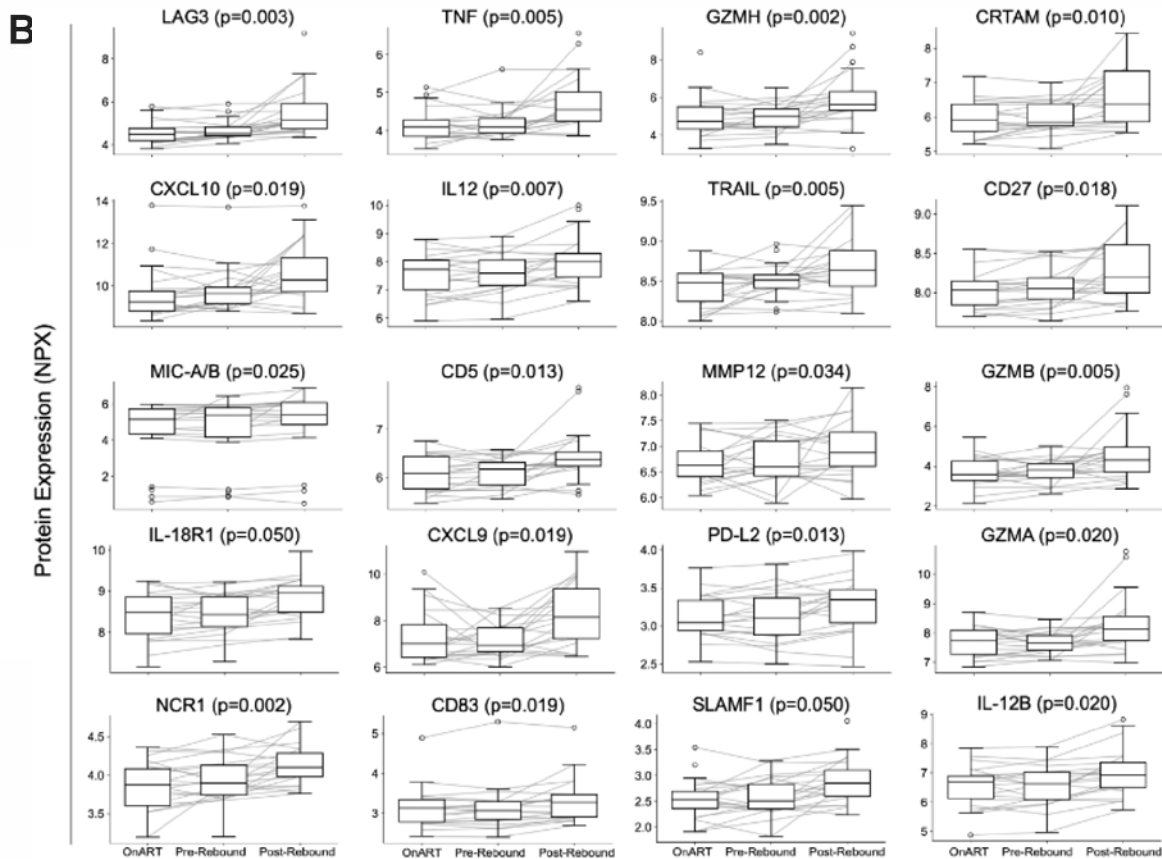
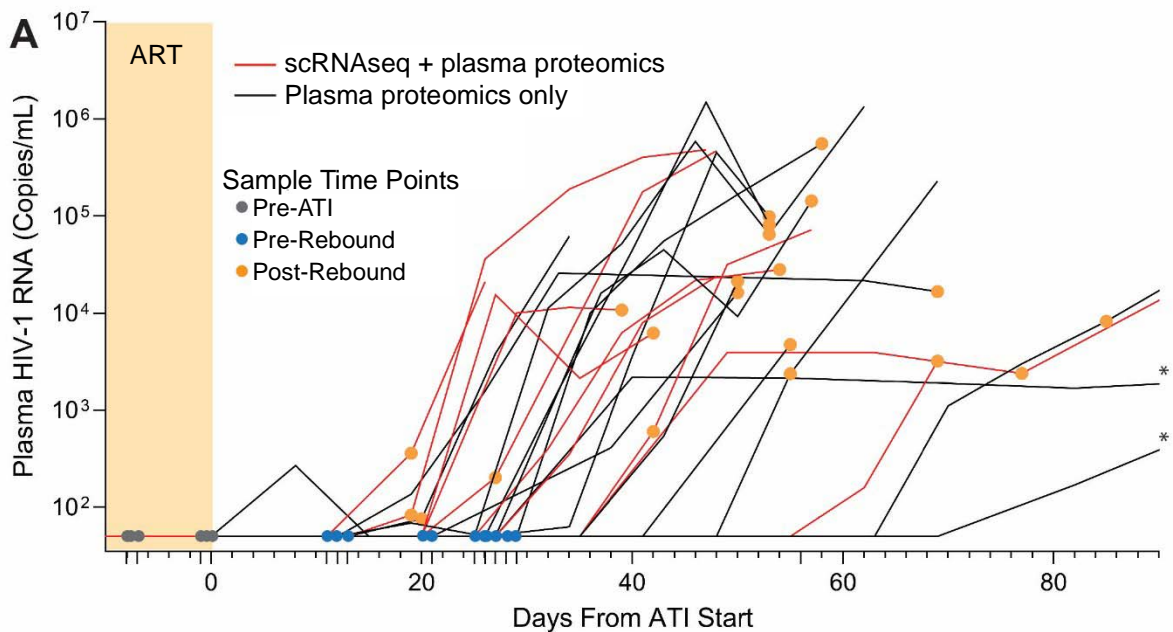
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203

204 **FIGURE 1:** (A) Plasma viral load levels from all 24 participants included in the proteomics and
205 scRNAseq experiments throughout ATI. Colored circles indicate samples used in the current
206 study. Yellow shading indicates ART. The viral load limit of detection is 50 HIV-1 RNA
207 copies/mL. Lines with asterisks indicate post-rebound sampling >90 days from first detectable
208 viral load measurements. (B) Circulating proteins significantly upregulated between on-ART and
209 post-rebound timepoints as detected by O-link (full results are show in Table S1). P-values are
210 from paired comparisons of on-ART to post-rebound protein levels.

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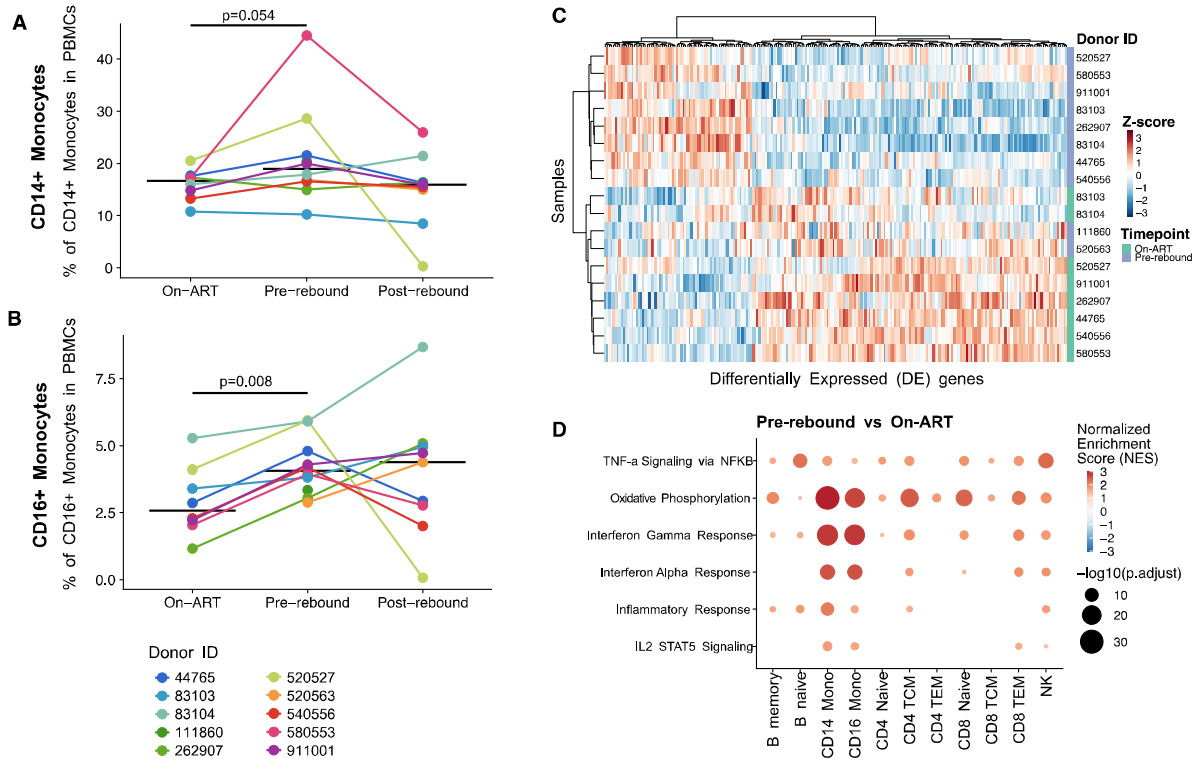
212 **FIGURE 2:** (A-B) Changes in CD14⁺⁺ (A) and CD16⁺⁺ (B) cell type frequencies across
213 timepoints. Individual donors shown in color with the median indicated with a horizontal black
214 line. P-values from a Wilcoxon test between indicated timepoints. (C) Heatmap of the z-score of
215 normalized expression for significantly differentially expressed genes in CD16⁺⁺ monocytes
216 between on-ART and pre-rebound (significance before multiple hypothesis testing). (D)
217 Changes in pathway expression between on-ART and pre-rebound in various cell types.
218 Bubbles are colored by normalized enrichment score (NES) and the size indicates the
219 significance of the association $-\log_{10}(\text{p-value})$.



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