

# Synaptic vesicle endocytosis deficits underlie GBA-linked cognitive dysfunction in Parkinson's disease and Dementia with Lewy bodies

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## Article

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**Additional Declarations:**

There is **NO** Competing Interest.

"All experiments in this study were done with approval and oversight of the Yale IACUC."

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1 **Synaptic vesicle endocytosis deficits underlie GBA-linked cognitive dysfunction**  
2 **in Parkinson's disease and Dementia with Lewy bodies**

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16 **Abstract:**

17 *GBA* is the major risk gene for Parkinson's disease (PD) and Dementia with Lewy Bodies (DLB),  
18 two common  $\alpha$ -synucleinopathies with cognitive deficits. We investigated the role of mutant *GBA*  
19 in cognitive decline by utilizing *Gba* (L444P) mutant, SNCA transgenic (tg), and *Gba*-SNCA  
20 double mutant mice. Notably, *Gba* mutant mice showed early cognitive deficits but lacked PD-like  
21 motor deficits or  $\alpha$ -synuclein pathology. Conversely, SNCA tg mice displayed age-related motor  
22 deficits, without cognitive abnormalities. *Gba*-SNCA mice exhibited both cognitive decline and  
23 exacerbated motor deficits, accompanied by greater cortical phospho- $\alpha$ -synuclein pathology,  
24 especially in layer 5 neurons. Single-nucleus RNA sequencing of the cortex uncovered synaptic  
25 vesicle (SV) endocytosis defects in excitatory neurons of *Gba* mutant and *Gba*-SNCA mice, via  
26 robust downregulation of genes regulating SV cycle and synapse assembly.  
27 Immunohistochemistry and electron microscopy validated these findings. Our results indicate that  
28 *Gba* mutations, while exacerbating pre-existing  $\alpha$ -synuclein aggregation and PD-like motor  
29 deficits, contribute to cognitive deficits through  $\alpha$ -synuclein-independent mechanisms, involving  
30 dysfunction in SV endocytosis.

31 **Keywords:**

32 *GBA*, *SNCA*, Cognitive dysfunction, Parkinson's disease, Parkinson's disease with dementia,  
33 Dementia with Lewy bodies, Lewy body dementia, snRNA-seq, synaptic vesicle endocytosis,  
34 synaptic plasticity,  $\alpha$ -synuclein,  $\alpha$ -synucleinopathies

35

36

37 **Introduction:**

38 *GBA* is the major risk gene for Parkinson's disease (PD) and Dementia with Lewy Bodies (DLB)<sup>1-</sup>  
39 <sup>6</sup>, two late-onset neurodegenerative diseases, characterized by the neuronal accumulation of  
40 Lewy bodies composed of  $\alpha$ -synuclein<sup>7</sup>. PD is classified as a movement disorder, although  
41 dementia affects around 50% of PD patients within 10 years after symptom onset<sup>7</sup>. DLB is a  
42 dementia, in which cognitive decline is generally the first and most predominant symptom<sup>7</sup>.  
43 Significantly, PD patients with *GBA* mutations exhibit greater and faster cognitive decline than  
44 idiopathic PD<sup>1,7-9</sup>. Cognitive dysfunction in both PD and DLB, which entails visuospatial, memory,  
45 and executive dysfunction, is strongly correlated with neocortical Lewy pathology<sup>7</sup>. However, the  
46 mechanisms through which *GBA* predisposes to cognitive dysfunction, as well as to developing  
47  $\alpha$ -synucleinopathies in general, are not well understood.

48 Homozygous or biallelic mutations in *GBA* cause the lysosomal storage disorder, Gaucher  
49 disease (GD)<sup>10,11</sup>. Two prevalent mutations, due to founder effects, are N370S and L444P<sup>12,13</sup>.  
50 GD patients have a 20-fold increased risk of developing PD accompanied by exacerbated  
51 cognitive decline. Heterozygous carriers of *GBA* mutation are at 5-fold increased risk for  
52 developing both PD and cognitive dysfunction<sup>1,2,8,9,14-18</sup>. In the case of DLB, *GBA* and *SNCA*, the  
53 gene for  $\alpha$ -synuclein, are top GWAS hits. Interestingly, *GBA* mutations confer an even higher risk  
54 of developing DLB<sup>4-6</sup>. *GBA* encodes glucocerebrosidase 1 (GCase1), a lysosomal hydrolase  
55 responsible for breaking down the bioactive lipid glucosylceramide (GlcCer) to glucose and  
56 ceramide. In the absence of GCase1, GlcCer and other glycosphingolipids accumulate.  
57 Interestingly, GCase1 deficiency and glycosphingolipid accumulation are also observed in post-  
58 mortem brains of patients with sporadic PD and in aging brains<sup>19-22</sup>. Glycosphingolipid  
59 accumulation correlates with a higher burden of  $\alpha$ -synuclein or Lewy pathology in several brain  
60 areas<sup>20,22,23</sup>. These genetic, clinical, and epidemiological studies emphasize the importance of  
61 understanding mechanisms of *GBA*-linked cognitive dysfunction.

62 The prevailing hypothesis in the field is that *GBA* mutations lead to GCase1 deficiency, which,  
63 through a combination of lysosomal dysfunction and glycosphingolipid accumulation, trigger  $\alpha$ -  
64 synuclein aggregation, resulting in Lewy body formation and consequently, disease associated  
65 phenotypes. We and others have shown that glycosphingolipids can directly interact with  $\alpha$ -  
66 synuclein and promote aggregation *in vitro*<sup>24,25</sup>. Our long-lived mouse models of GD carrying the  
67 *Gba* N370S and L444P mutations, exhibited reduced GCase1 activity and accumulation of  
68 glycosphingolipids in the liver, spleen, and brain<sup>26</sup>. As GD patients with the L444P mutation have  
69 pronounced cognitive deficits<sup>27</sup>, in this study, we conducted a thorough, longitudinal examination  
70 of *Gba* L444P mice, in conjunction with the well-established *SNCA* tg PD mice that overexpress  
71 mutant human  $\alpha$ -synuclein, and their crossbreeds, i.e. *Gba*-*SNCA* mice. We found that cognitive  
72 and motor deficits are dissociable by genotype, with *Gba* mutants exhibiting cognitive deficits  
73 only, *SNCA* transgenics motor difficulties only, and *Gba*-*SNCA* severe motor and cognitive  
74 phenotypes. Through histopathological analyses, we show that *Gba* L444P mutant mice lack Ser-  
75 129-phospho- $\alpha$ -synuclein (pSer129 $\alpha$ -syn) pathology but presence of the *Gba* mutation in *Gba*-  
76 *SNCA* mice significantly exacerbates this pathology, especially in deep layers of the cortex. Thus,  
77 cognitive deficits related to *Gba* mutations may emerge independently of pSer129 $\alpha$ -syn  
78 pathology. Cortical single-nucleus RNA sequencing (snRNA-seq) analyses revealed a potential

79 role for synaptic dysfunction, in particular synaptic vesicle endocytosis (SVE) deficits in excitatory  
80 neurons, contributing to the cognitive decline observed in *Gba* mutant and *Gba*-SNCA mice. SVE  
81 deficits are emerging as a central mechanism of PD pathogenesis, especially in rare monogenic  
82 forms of PD<sup>28,29</sup>. We suggest that synaptic endocytosis dysfunction also plays a role in cognitive  
83 deficits of GBA-linked PD and DLB, while greater  $\alpha$ -synuclein pathology burden contributes to  
84 worsened motor deficits.

## 85 **Results:**

86 ***Gba* mutation leads to cognitive dysfunction and exacerbates motor deficits in SNCA tg**  
87 **mice:** To determine the relative contributions of *GBA* and *SNCA* to motor and cognitive domains,  
88 we performed behavioral analyses of wild-type (WT), *Gba*, SNCA tg, and *Gba*-SNCA mouse sex-  
89 balanced cohorts. We conducted longitudinal evaluations of motor behavior every 3 months to  
90 establish the age of onset and progression of PD-like motor deficits compared to cognitive  
91 behavior deficits.

92 Four distinct, complementary assays were used to phenotype motor deficits: the balance beam,  
93 grip strength, hind limb clasping, and open-field locomotion tests. In the balance beam test, mice  
94 were required to walk along a narrow beam from a well-lit area to a dark, secure box<sup>29</sup>. The  
95 number of runs completed in a minute and the average time per run were used to assess motor  
96 performance (Fig. 1A, Supp. Fig. 1A, 1D-E). *Gba* mice consistently performed well on this task,  
97 comparable to WT mice, up to 12 months. In contrast, SNCA tg mice could perform this task at 3  
98 months but began showing deficits at 6 months, which worsened by 12 months. Notably, *Gba*-  
99 SNCA mice demonstrated exacerbated balance deficits compared to SNCA tg mice, with  
100 significant deficits appearing as early as 6 months. By 9-12 months, *Gba*-SNCA mice were  
101 severely affected and unable to navigate the balance beam (Fig. 1A, Supp. Fig. 1A, 1D-E). We  
102 noted similar deficits in grip strength, measured as the force exerted by either all limbs or forelimbs  
103 of the mouse when gripping a pull bar of a grip strength meter. WT and *Gba* mice did not show  
104 deficits, while SNCA tg mice developed age-related decline in grip strength, which was  
105 exacerbated in *Gba*-SNCA mice (Fig. 1B, Supp. Fig. 1B, Supp. Fig. 2F-G).

106 WT Mice, when picked up by the tail and lowered towards a surface, extend their limbs reflexively  
107 in anticipation of contact. However, mice with neurological conditions display hind limb clasping.  
108 We tested the mice for 30 seconds on this maneuver and quantitated the time spent clasping (Fig.  
109 1C). WT and SNCA tg mice did not show hind limb clasping. *Gba* mice showed a trend towards  
110 increased clasping (Fig. 1C, Supp. Fig. 2H), whereas *Gba*-SNCA mice showed a significant  
111 increase across all ages, indicating a synthetic motor phenotype (Fig. 1C, Supp. Fig. 2H).

112 Open field assay was performed to evaluate overall locomotion. Distance traveled exploring an  
113 open box (Fig. 1D) was comparable among all groups across ages, except for *Gba*-SNCA mice.  
114 At 9 months, a significant loss in exploration/locomotion was noted in *Gba*-SNCA mice, which  
115 worsened at 12 months (Fig. 1D, Supp. Fig. 2I). No genotypes showed anxiety-like behavior, as  
116 evaluated by the time spent in the inner/outer circles of the open field (Supp. Fig. 1C). There was  
117 no difference across the mice strains for body weight, however, *Gba*-SNCA mice stopped gaining  
118 weight after 6 months (Supp. Fig. 1J). In summary, motor assessments demonstrate that *Gba*  
119 mutants do not exhibit any appreciable motor deficits. Nonetheless, *Gba* mutation significantly  
120 exacerbates existing age-related motor deficits in SNCA tg mice.

121 Next, we evaluated the impact of *Gba* and SNCA mutations on cognition by employing fear  
122 conditioning and novel object recognition (NOR) tests. To avoid confounds due to learning, we  
123 performed these on two separate sets of mice at 3 months and 12 months, prior to and after the  
124 onset of motor deficits in SNCA tg mice (Fig. 1A-D). For fear conditioning, we habituated mice to

125 standard operant boxes, followed by exposure to paired neutral stimulus (a tone) and aversive  
126 stimulus (an electric shock) on the training day. Cognitively normal mice associate this pairing  
127 and exhibit a conditioned fear response i.e. freezing when exposed to the tone alone on the testing  
128 day (24 hours later). We counted the number of freeze episodes after start of the tone and  
129 observed a conditioned fear response in WT and SNCA tg mice at both 3 and 12 months (Fig.  
130 1E). However, Gba and Gba-SNCA mice did not show a significant response on the testing day,  
131 especially at 12 months. While this is suggestive of cognitive impairment, the results were  
132 confounded by the heightened freezing response shown by Gba and Gba-SNCA mice for aversive  
133 stimulus on the training day (Fig. 1E).

134 To substantiate the cognitive findings from fear conditioning, we performed a NOR test to assess  
135 recognition memory. Here, mice were presented with two similar objects (familiarization session).  
136 After 18-20 hours, one of the objects was replaced by a novel object. Mice spend more time with  
137 the novel object when cognitively normal (Fig. 1F). Gba mice spent significantly less time with the  
138 novel object at 3 months and maintained this behavior at 12 months, suggesting an early cognitive  
139 impairment (Fig. 1E). As Gba mice do not have motor problems, these results reflect memory  
140 impairments. Interestingly, SNCA tg mice did not show deficits in the NOR test (Fig. 1F), whereas  
141 Gba-SNCA do, which was comparable to Gba mice (Fig. 1F). Thus, our cognitive behavior assays  
142 suggest that the Gba mutation alone can cause cognitive impairment, with the poor cognition in  
143 Gba-SNCA mice likely driven by Gba mutation.

144 **Gba mutation exacerbates cortical  $\alpha$ -synuclein pathology of SNCA tg mice:**  $\alpha$ -Synuclein  
145 aggregates, redistributes from presynaptic termini to the soma, and is phosphorylated at Ser129  
146 in Lewy body pathology. To investigate whether Gba-mediated cognitive deficits and accelerated  
147 motor deficits are associated with  $\alpha$ -synuclein pathology, we performed immunohistochemistry on  
148 3- and 12-month-old mice brains, staining for  $\alpha$ -synuclein, pSer129 $\alpha$ -syn, and the neuronal  
149 marker NeuN. We found that Gba mice did not exhibit increased  $\alpha$ -synuclein levels, redistribution,  
150 or accumulation of pathological pSer129 $\alpha$ -syn in the cortex both at 3 and 12 months (Fig. 2A-C).  
151 Similar observations were made in the CA1 hippocampus and by Western blotting of whole brain  
152 homogenates (Supp. Fig. 2B-I). These findings suggest that the Gba mutation alone is insufficient  
153 to cause widespread  $\alpha$ -synuclein pathology.

154 In Gba-SNCA mice, where GCase1 deficiency coexists with a pre-existing  $\alpha$ -synuclein  
155 pathology<sup>26</sup>, there is significantly increased cortical  $\alpha$ -synuclein and pSer129 $\alpha$ -syn levels,  
156 especially at 12 months of age when compared to SNCA tg (Fig. 2A-C, Supp. Fig. 2G, I). We also  
157 noted increased redistribution of  $\alpha$ -synuclein to the neuronal soma of Gba-SNCA mice as an  
158 independent measure of  $\alpha$ -synuclein pathology (Fig. 2A, arrows, enlarged inserts). Interestingly,  
159 in CA1 hippocampus, the expression of  $\alpha$ -synuclein and pSer129 $\alpha$ -synuclein, and the soma  
160 redistribution of  $\alpha$ -synuclein in Gba-SNCA mice were comparable to SNCA tg mice (Supp. Fig.  
161 2B-F). Thus, in contrast to the cortex, Gba mutation only nominally exacerbates pSer129 $\alpha$ -syn  
162 pathology, mostly in synaptic layer of CA1 at 12 months (Supp. Fig. 2F). This might be in part due  
163 to high expression of the human SNCA tg in the hippocampus<sup>26,30</sup>.

164 Next, we examined the intensity distribution of pSer129 $\alpha$ -syn in cortical neurons as well as cortical  
165 layer specific  $\alpha$ -synuclein and pSer129 $\alpha$ -syn expression, at 3 and 12 months of age (Fig. 2A, F-  
166 H). Gba mice did not show pSer129 $\alpha$ -syn pathology. Gba-SNCA mice had a higher intensity of  
167 pSer129 $\alpha$ -syn pathology in cortical neurons at 3 months (median value of 20 vs 0 in WT and Gba,  
168 and 11 in SNCA tg mice), which further worsened at 12 months (Fig. 2F, i and ii) (median value  
169 of 47 vs 1 in WT, 0.2 in Gba, and 20 in SNCA tg mice). As the percentage of neurons expressing  
170 pSer129 $\alpha$ -syn in Gba-SNCA was comparable to SNCA tg mice (Fig. 2D), these data suggest that  
171 the burden of pSer129 $\alpha$ -syn per neuron in Gba-SNCA mice was greater. We did not see cortical

172 neuronal loss in any of the mice (Fig. 2A, E), indicating that the observed behavioral deficits are  
173 not due to gross neurodegeneration.

174 Layer-specific analysis of  $\alpha$ -synuclein expression revealed that cortical layer 1, which is heavily  
175 innervated by neurites, showed higher expression of  $\alpha$ -synuclein compared to other layers. (Fig.  
176 2G, i and ii, 3 and 12 months). Conversely, cortical layers 5 and 6a, which predominantly consist  
177 of excitatory neurons, showed higher pathological pSer129 $\alpha$ -syn expression in SNCA tg and Gba-  
178 SNCA mice (Fig. 2H, i and ii, at 3 and 12 months), which is more evident when pSer129 $\alpha$ -syn  
179 expression was normalized to  $\alpha$ -synuclein (Supp. Fig. 2A, i and ii, 3 and 12 months). Together,  
180 these results suggest that the Gba mutation did not independently cause  $\alpha$ -synuclein pathology  
181 but worsened pre-existing  $\alpha$ -synuclein pathology in the cortex of SNCA tg mice, preferentially in  
182 layers 5 and 6a. With our behavior experiments (Fig. 1), these observations suggest that the  
183 cognitive deficits in Gba mutants emerge independently of pSer129 $\alpha$ -syn pathology.

184 **Gba and SNCA driven cortical single nuclei gene expression changes:** To understand  
185 cellular diversity and mechanisms for GBA-linked cognitive dysfunction, we performed single  
186 nucleus RNA sequencing (snRNA-seq) on cortical tissue from mice of all four genotypes (n=14;  
187 3-4 mice/genotype). We chose to perform this analysis on 12-month-old mice, as Gba-SNCA mice  
188 show enhanced behavioral deficits and  $\alpha$ -synuclein pathology, while lacking gross  
189 neurodegeneration in the cortex, allowing us to investigate disease-relevant mechanisms.

190  
191 We dissected cortices and utilized our previous mouse brain nuclei isolation protocol<sup>31</sup>, followed  
192 by snRNA-seq on the 10X Chromium platform. We isolated 104,750 nuclei, that after cross-  
193 sample alignment, and clustering exhibited a spatial UMAP grouping uncorrelated with individual  
194 samples or genotype (Fig. 3A-E, Supp. Fig. 3A, B).

195 The transcriptional signatures from 104,750 nuclei, segregated into 13 broad cortical cell type  
196 clusters (Fig. 3D, E), exhibiting specific expressions of established cell-type markers (Supp. Fig.  
197 3C). We identified three types of excitatory neurons (ExN: ExN1, ExN2, ExN3), four types of  
198 inhibitory neurons (InN: InN1, InN2, InN3, InN4), two types of oligodendrocytes (Oligo and  
199 Oligo2), oligodendrocyte precursor cells (OPC), astrocytes (Astro), and microglia (MG) (Fig. 3D).  
200 Vascular endothelial cells (Vasc) were also identified but were not further studied. The  
201 characteristic marker gene expression for each cell cluster is shown in Supp. Fig. 3C-G.  
202 Expression in all ExNs is consistent with pyramidal neurons. In ExN1, differential expression was  
203 consistent with large layer 5 pyramidal neurons shown e.g. by *Fezf2* expression (Fig. 3D, Supp.  
204 Fig. 3D, F-G)). In the largest ExN subcluster, ExN2, differential expression was consistent with  
205 pyramidal neurons from several neocortical layers. The InN subclusters collectively express  
206 several classical InN markers, such as *Vip*, *Sst*, *ErbB4*, while the subclusters InN1 and InN3  
207 specifically contain layer 2/3 interneurons (Supp. Fig. 3C). Typical marker signatures used for  
208 Oligo and Oligo2 (*Mbp*, *Ptgds*, *Mal*), suggests that Oligo2 also contains minor neuronal  
209 populations in addition to oligodendrocytes (Supp. Fig. 3C). The OPC (*Vcan*, *Epn2*, *Tnr*), Astro  
210 (*Aqp4*, *Prex2*, *Luzp2*) and MG (*Cd74*, *C1qa*, *Csf1r*) markers are consistent with prior literature<sup>31,32</sup>.  
211 The relative proportions of major cell types were roughly similar between the four genotypes (Fig.  
212 3E).

213 Next, we examined the expression of endogenous Gba, mouse Snca in WT brains and the  
214 expression of human transgenic SNCA (hSNCA) using Thy-1 in SNCA tg brains (Supp. Fig. 3H-  
215 J). Snca is enriched in excitatory neuronal clusters, including ExN1, consistent with published  
216 literature (Supp. Fig. 3H)<sup>33,34</sup>. This pattern was also true for hSNCA<sup>34-36</sup>, although hSNCA is also  
217 expressed in glial cell types in SNCA tg mice (Supp. Fig. 3H, I). The high hSNCA expression in  
218 the predominantly layer 5-populated ExN1 cluster (Supp. Fig. 3H) is consistent with the layer 5  
219 specific increases of  $\alpha$ -synuclein pathology demonstrated by immunohistochemistry (Fig. 2H,

220 Supp. Fig. 2A). In contrast, *Gba* is generally found at low levels in brain cells<sup>37-39</sup> (Supp. Fig. 3J, i  
221 and ii).

222  
223 **Mutant *Gba* drives transcriptional downregulation of synaptic pathways in neurons:** After  
224 correcting for genome-wide comparisons, we identified up- and down-regulated differentially  
225 expressed genes (DEGs) in all cell types in *Gba*, *Gba*-SNCA and SNCA tg mice cortices  
226 compared with WT (Fig. 3F, Supp. Table 1-3). To gain insights into the cognitive deficits seen in  
227 *Gba* mice, we focused on DEGs in neuronal clusters, comparing *Gba* with WT (Supp. Table 1).  
228 Strikingly, *Gba* mutant mice showed a general downregulation of many genes functioning at the  
229 synapse (*Arc*, *Syp*, *Actb*, *Nrg1*, *Nlgn1*, *Nrg1*, *Grm7*, *Grip1*, *Ptprd*, *Nlgn1*, *Il1rapl2*, *Gabra1*, *Cntn5*,  
230 *Lingo2*, *Erbp4*, *Nptn*, *Lrrtm4*, *Actb*, *Cntnap2*, *Lfn5*), suggestive of a synaptic dysregulation  
231 signature related to *Gba*. *Ahi1*, a gene important for cortical development and vesicle trafficking  
232 was upregulated in all neuronal classes in *Gba* mice.

233  
234 To define the major pathways impacted, we performed unbiased gene ontology (GO) enrichment  
235 analysis comparing *Gba* to WT (Fig. 3G, I). As shown by heatmaps depicting the top biological  
236 pathway changes, we found a consistent decrease in synaptic pathways in cortical ExNs of *Gba*  
237 mice driven by reduced expression of *Syp*, *Actg1*, *Actb*, *Nlgn1*, *Grm8*, *Nrg1*, *Arc* (Fig. 3G). ExN1  
238 and ExN2, shared robust downregulation of genes involved in SVE, presynaptic endocytosis, and  
239 vesicle-mediated transport in synapse in *Gba* mice (Fig. 3G, highlighted, Supp. Table 4).  
240 Additionally, in *Gba* mice, ExN1 and ExN2 showed downregulation of cellular pathways and  
241 genes involved in both pre- and postsynapse organization, and synaptic protein-containing  
242 complex localization (Fig. 3G, highlighted, Supp. Table 4). In the smaller ExN3 cluster, DEGs  
243 were fewer and involved in lysosomal lumen acidification (Supp. Table 4). In contrast, the  
244 significant upregulated pathways in ExN1 and ExN2 of *Gba* involve RNA splicing (Fig. 3I, Supp.  
245 Table 4). Inhibitory neurons (InN1-4) in *Gba* mutant mice showed downregulation of multiple  
246 synapse-associated pathways, including genes involved in synapse organization, synapse  
247 membrane adhesion in InN1, synapse assembly in InN4, Wnt signaling in InN2, and  
248 axonogenesis (Fig. 3G, Supp. Table 4). The upregulated pathways in InN1-4, similar to ExNs, are  
249 related to RNA splicing (Fig. 3I, Supp. Table 4).

250  
251 Next, we compared DEGs in neuronal clusters in *Gba*-SNCA with WT (Supp. Table 2). Consistent  
252 with our finding of a *Gba*-driven synapse effect, ExN clusters in *Gba*-SNCA mice show robust  
253 downregulation of synapse related genes (Supp. Table 4). GO enrichment analysis comparing  
254 *Gba*-SNCA to WT revealed the top downregulated pathways in cortical ExN1 and ExN2 were SV  
255 cycle, vesicle-mediated transport in synapse, and SVE (driven by *Actb*, *Actg1*, *Unc13a*, *Cacna1a*,  
256 *Calm1*, *Btbd9*, *Prkcg*, *Pacsin1* reductions Fig. 3H, highlighted). Although the individual DEGs  
257 between *Gba* and *Gba*-SNCA are not identical, the synaptic pathways being impacted are highly  
258 similar, suggestive of a common synaptic dysregulation signature related to *Gba* (Compare Fig.  
259 3G with 3H). In *Gba*-SNCA InNs we see distinct pathways such as ubiquitin-protein transferase  
260 activator activity in InN1 and tRNA aminoacylation in InN2-4 being down regulated (Fig. 3H). The  
261 upregulated pathways in *Gba*-SNCA in ExNs are related to focal adhesion assembly and in InNs  
262 are diverse and include amyloid binding (Fig. 3J).

263  
264 Next, we analyzed all neuronal DEGs through SynGO to define synaptic DEGs in *Gba* and *Gba*-  
265 SNCA mice. The SynGO analysis revealed more significant suppression of synaptic genes in ExN  
266 classes compared to InN classes in both genotypes (Fig. 4A-D). Cnet plots revealed enrichment  
267 of converging and predominantly down-regulated synaptic genes and pathways in both *Gba* and  
268 *Gba*-SNCA mouse cortices (Fig. 4E, F), consistent GO analyses (Compare Fig. 3G, H, with Fig.  
269 4E, F).

270



271 To evaluate if the downregulation of synapse organization pathways leads to a decrease in  
272 excitatory synapse number, we performed electron microscopy on 12-month old cortex samples.  
273 Electron microscopy was chosen as it allows for accurate quantification of synapse numbers while  
274 avoiding problems of individual synaptic protein marker differences across genotypes. As seen in  
275 Fig. 4G-H, number of excitatory synapses in deep layers of cortex is indeed reduced in Gba  
276 mutant and Gba-SNCA mice compared to SNCA and WT mice.

277  
278 To assess SVE changes at the protein level, we immunostained cortex and hippocampus  
279 sections, for the SVE protein, endophilin A1 (a risk allele for PD) and the endocytic lipid PIP2.  
280 Conforming with the snRNA expression data, endophilin A1 and PIP2, showed a decreased trend  
281 in the cortex (Fig. 5A, Cortex, B, C). Interestingly, in the synaptic layer in CA1 of the hippocampus  
282 where endophilin A1 and PIP2 are enriched, we noted significantly reduced endophilin A1 and  
283 PIP2 expression in Gba and Gba-SNCA mice (Fig. 5A, CA1 hippocampus, D, E). Together, these  
284 observations corroborate our findings from snRNA-seq analysis of Gba-driven suppression of  
285 SVE genes and show these deficits are not limited to the cortex.

286  
287 To determine whether these transcriptional and protein expression changes affect the SV cycle,  
288 we examined electron micrographs of excitatory synapses in the cortical layer 5/6 and quantified  
289 SVs and clathrin coated vesicles (CCVs), which serve as proxies for SV cycling and SVE (Fig.  
290 5F-I). In the Gba mutant mice, the number of SVs was comparable to that in WT mice, however,  
291 a significant loss of CCVs was observed, potentially indicating a slowdown in clathrin-mediated  
292 SVE (Fig. 5F-H). In Gba-SNCA mice, there was a marked reduction in both SVs and CCVs (Fig.  
293 5F-H), with several synapses showing SVs with variable shapes and sizes (Fig. 5F, v), indicating  
294 a severe disruption of SVE and SV recycling. Interestingly, the SNCA tg mice displayed a  
295 significant increase in CCVs (Fig. 5F and H), consistent with findings in other  $\alpha$ -synuclein  
296 models<sup>40,41</sup>. Together, these findings reveal a distinct pattern of SVE disruption in Gba mutant  
297 mice, which is exacerbated in Gba-SNCA mice, with altered SV recycling potentially leading to  
298 cognitive dysfunction.

299  
300 **ExN1 cluster contains vulnerable layer 5 cortical neurons:** As cortical layer 5 neurons  
301 exhibited the highest vulnerability in terms of  $\alpha$ -synuclein pathology, (Fig. 2A, H, Supp. Fig. 2A),  
302 and transcriptional changes associated with SVE (Fig. 3G, H), we further investigated the ExN1  
303 cluster which has high hSNCA transgene and Gba expression (Supp. Fig. 3I, J). Upon  
304 subclustering, ExN1 was divided into six subclusters (Supp. Fig. 4A-D), all of which contained  
305 cells expressing the layer 5 marker *Fezf2* (Supp. Fig. 4D, E). One subcluster, ExN1.1, was  
306 characterized by high expression of *Arc* (Supp. Fig. 4D), which is downregulated in Gba mutant  
307 neurons (Supp. Fig. 4F). Our analysis confirmed the greatest downregulation of synapse-  
308 associated genes in ExN1.1 subcluster in both Gba and Gba-SNCA mice (Supp. Fig. 4D, F-I). In  
309 contrast, non-synaptic DEGs were evenly up- and downregulated (Supp. Fig. 4F, G). SV cycle  
310 pathways were consistently downregulated throughout ExN1, largely driven by the same genes  
311 identified in our non-targeted analysis (Fig. 3G, H). Additionally, *Rab26*, a key regulator of SV  
312 endocytosis and autophagy<sup>42</sup>, was downregulated in both Gba genotypes (Supp. Fig. 4H, I).  
313 These findings suggest that layer 5 excitatory neurons are selectively vulnerable because of both  
314 high hSNCA and Gba expression and SV cycling deficits driven by Gba mutations. These  
315 mechanisms appear to act synergistically to exacerbate  $\alpha$ -synuclein pathology in Gba-SNCA  
316 mice.

317  
318 **Modest glial transcriptional changes in Gba mutant and Gba-SNCA cortex:** Compared to  
319 neuronal clusters, glial clusters in Gba and Gba-SNCA cortices exhibited fewer DEGs (Fig. 3F,  
320 Supp. Table 1-3). In Gba cortex, MG showed altered gene expression patterns indicative of  
321 reduced synaptic remodeling. Notably, synapse pruning and regulation of SV clustering pathways

322 were downregulated (Fig. 3G, Table 4). Postsynaptic neurotransmitter receptor diffusion trapping  
323 was upregulated (Fig. 3I, Supp. Table 4). These changes reinforce synapse dysfunction as a  
324 central pathological mechanism in *Gba* mutant cortex. The down and upregulated pathways in  
325 astrocytes in *Gba* are related to cellular extravasation and morphology (Fig. 3I, Supp. Table 4).  
326 There were fewer DEGs in OPCs and Oligodendrocytes (Fig. 3F); therefore, clear pathway  
327 differences are harder to discern (Fig. 3G-I).

328  
329 In *Gba*-SNCA, MG exhibit decreased endoplasmic reticulum stress response, while SNARE  
330 binding and aspects of phosphatidylinositol binding were upregulated (Fig. 3H, J, Supp. Table 4).  
331 *Gba*-SNCA Astro exhibit decreased tRNA aminacylation and increased amyloid-beta binding (Fig.  
332 3H, J). In *Gba*-SNCA OPCs, phosphatidylinositol binding was downregulated, while  
333 Oligodendrocytes showed modest pathway changes (Fig. 3H, J). Overall, in *Gba* mice, all glial  
334 cell types have muted responses, while *Gba*-SNCA mice had slight astrocytic activation. To  
335 confirm our analysis, we immunostained with the microglial marker Iba1, CD68 for activated  
336 microglia, and GFAP for astrocytes (Supp. Fig. 5). We did not observe any significant increase in  
337 Iba1 or CD68+ve microglial number, suggesting negligible microglial activation in *Gba* and *Gba*-  
338 SNCA cortex. We observed a trend towards increased GFAP levels in *Gba*-SNCA mice compared  
339 to WT (Supp. Fig. 5A-D). Together, these data suggest that glial responses are modest,  
340 consistent with snRNAseq data.

341 **Cortical transcriptional changes indicate broad synapse dysregulation in SNCA cortex:**  
342 SNCA tg mice showed the greatest number of DEGs compared to WT relative to *Gba* and *Gba*-  
343 SNCA mice (Fig. 3F, Supp. Table 3). The neuronal clusters showed broad alterations of synapse  
344 related gene expression. Both up and down-regulated DEGs are involved in synapse assembly,  
345 regulation of synapse structure or activity, and postsynapse organization (Supp. Figs. 6A-B, Supp.  
346 Table 3). SynGO analysis of these DEGs revealed regulation of synapse structure and function  
347 as the main pathway impacted in SNCA tg mice (Supp. Fig. 6C-D). As excitatory synapse number  
348 was not changed significantly in cortical regions of SNCA tg mice (Fig. 4G, H) compared to WT,  
349 this likely results in functional deficits. Consistent with the observed cortical  $\alpha$ -synuclein pathology  
350 at this age (Fig. 2A, D), unfolded protein handling was upregulated, as were pathways involved  
351 in protein folding and refolding specifically in ExN1 and ExN2 clusters (Supp. Fig. 6B, Supp. Table  
352 3). Additionally, regulation of protein ubiquitination was upregulated in all ExNs (Supp. Fig. 6B,  
353 Supp. Table 3). OPCs and oligodendrocytes show changes related to oligodendrocyte  
354 differentiation. MG showed down-regulation in immune receptor binding and up-regulation in  
355 cation channel activity (Supp. Fig. 6A-B, Supp. Table 3). In Astros, cell junction assembly was  
356 decreased, and ion channel activity was increased (Supp. Fig. 6A-B). However, we did not  
357 observe significant microgliosis or astrogliosis in SNCA tg mice cortices by immunohistochemistry  
358 (Supp. Fig. 5). Despite SNCA transcriptional changes, *Gba* signatures are predominant in *Gba*-  
359 SNCA cortices.

## 360 **Discussion:**

361 Surveys of PD and DLB patients and their caregivers highlight that maintaining cognitive abilities  
362 is a major unmet need<sup>43</sup>. The *GBA* gene is an ideal choice to investigate this non-motor symptom,  
363 because it is the most common risk gene for PD<sup>8</sup> and *GBA* mutations are linked to cognitive  
364 deficits in both diseases<sup>8,18</sup>. Here, we present detailed age-dependent behavioral and pathological  
365 phenotyping of the *Gba*-SNCA line alongside WT, *Gba* mutant, and SNCA tg mice. We  
366 demonstrate that *Gba*-SNCA mice recapitulate both cognitive dysfunction and motor deficits seen  
367 in *GBA*-linked PD and DLB. Significantly, we carried out snRNA-seq analysis of the cerebral  
368 cortex in these four mice genotypes and have built one of the first comprehensive *Gba*

369 transcriptomic data sets. We encourage the scientific research community to utilize this rich  
370 dataset as a resource for additional analyses on PD and DLB (NCBI GEO GSE283187).

371 **Gba-SNCA as a mouse model of GBA-linked PD and DLB.** Cognitive dysfunction has been  
372 noted in several existing mice models of PD designed to study motor deficits, including those  
373 focused on  $\alpha$ -synuclein pathology<sup>44-47</sup>. These models involve overexpression of hSNCA mutations  
374 or the use of pre-formed fibrils to induce  $\alpha$ -synuclein aggregation<sup>48</sup> and have been instrumental  
375 in elucidating the mechanisms of  $\alpha$ -synuclein pathology and its impact on neurodegeneration and  
376 cognitive decline. However, they do not fully replicate the complex genetic and pathological  
377 features of human PD and DLB, importantly, the contribution of *GBA*. Additionally, most biallelic  
378 *Gba* models are hampered by early lethality, precluding age-related studies, and hence  
379 investigated as heterozygotes<sup>48,49</sup>. Here, we build on our previous analyses of long-lived biallelic,  
380 *Gba* mutant mice and *Gba-SNCA*<sup>26</sup> and show that *Gba-SNCA* mice are an excellent model of  
381 GBA-linked PD and DLB. *Gba-SNCA* mice offer significant advancements as they exhibit  
382 worsened motor deficits compared to SNCA tg in an age-dependent manner as well as early  
383 cognitive deficits, closely mirroring the human condition. This is further evidenced by exacerbation  
384 of cortical  $\alpha$ -synuclein pathology in *Gba-SNCA* mice. Significantly, by comparing *Gba*, SNCA tg,  
385 and *Gba-SNCA* mice, we were able to demonstrate that the *Gba* mutation alone can drive  
386 cognitive dysfunction. These data are congruent with recent studies using heterozygous L444P  
387 *Gba* mutant mice<sup>49,50</sup>. Notably, *Gba-SNCA* mice exhibited enhanced motor deficits, but cognitive  
388 deficits were on par with *Gba* mice, matching the similar synaptic pathway deficits in their neuronal  
389 populations as assessed by snRNAseq. In sum, *Gba-SNCA* mice capture the complexities of  
390 GBA-linked PD and DLB and serve as a good mouse model for these synucleinopathies.

391  
392 **GBA-linked cognitive dysfunction is independent of  $\alpha$ -synuclein pathology.** A striking  
393 finding is that cognitive dysfunction occurs independent of or precede  $\alpha$ -synuclein pathology in  
394 *Gba* mutants. pSer129 $\alpha$ -syn is the gold standard to define Lewy bodies in both PD and DLB<sup>51-57</sup>  
395 <sup>56,58,59</sup>. Yet, we did not detect any pSer129 $\alpha$ -syn in *Gba* mutant brain nor did we observe  
396 redistribution of  $\alpha$ -synuclein to the soma, a posttranslational modification independent measure  
397 of pathology<sup>60</sup>. This was most evident in the hippocampus, where the synaptic and cell body layers  
398 are demarcated. While  $\alpha$ -synuclein pathology in cortical areas does lead to cognitive dysfunction  
399 in mice overexpressing mutant  $\alpha$ -synuclein or those injected with PFFs<sup>47</sup>, our study specifically  
400 challenges the necessity of  $\alpha$ -synuclein pathology in the development of cognitive deficits in *GBA*  
401 mutations. Clinical support for this comes from children with neuropathic forms of Gaucher  
402 disease, who show cognitive deficits but do not develop neocortical  $\alpha$ -synuclein pathology<sup>61,62</sup>.  
403 While a recent study has made similar observations focusing on the hippocampus<sup>50</sup>, it would be  
404 interesting to explore whether aging *Gba* mice could initiate  $\alpha$ -synucleinopathy or if other  
405 pathological forms of  $\alpha$ -synuclein are involved.

406  
407 In contrast to cognitive deficits, motor deficits are strongly related to  $\alpha$ -synuclein pathology in *Gba*-  
408 SNCA mice. Notably, we observed significant  $\alpha$ -synuclein pathology in cortical layers 5, similar to  
409 that seen in other PD mice models and human PD and DLB patients<sup>34,63</sup>. Our snRNA-seq data  
410 suggest that layer 5 ExNs are also vulnerable to *Gba* mediated synaptic dysfunction, which could  
411 contribute to an increased accumulation of  $\alpha$ -synuclein pathology in cortical layer 5, and in turn,  
412 the severity of the motor deficits. Thus, *Gba-SNCA* mice also highlight specific cortical neuronal  
413 vulnerabilities, allowing for further investigations into cortical mechanisms of PD and DLB.

414  
415 **SVE and organization deficits in *Gba*-linked cognitive dysfunction.** Our snRNAseq analysis  
416 showed clear evidence of specific synaptic changes. Many synaptic genes that function in SV  
417 cycle, SVE, synapse organization, synapse membrane adhesion, and synapse assembly were  
418 downregulated in neuronal clusters in the cortex of *Gba* as well as in *Gba-SNCA* mice, suggesting

419 common synaptic dysfunction mechanisms linked to Gba. Because Gba mutant mice do not show  
420  $\alpha$ -synuclein pathology, this implies that synaptic dysfunction directly contributes to the observed  
421 cognitive deficits, rather than a consequence of disease pathology or neurodegeneration. In  
422 support of this tenant, we observed excitatory neuronal synapse loss in the cortex of Gba mutant  
423 and Gba-SNCA mice. In other dementias such as Alzheimer's disease, synapse loss correlates  
424 tightly with cognitive decline<sup>64</sup>. Our findings suggest that this is likely true for GBA-linked PD and  
425 DLB, in line with available clinical studies<sup>65-67</sup>.

426  
427 SVE was the major pathway downregulated in neurons in both Gba mutant and Gba-SNCA mice,  
428 supported by our immunohistochemistry and electron microscopy experiments. Three key genes  
429 driving this pathway are *Hspa8*, *Dlg2* and *Arc*. *Hspa8* (encoding HSC70) contributes to synapse  
430 vesicle uncoating and functions with *Dnajc6/PARK19*, a familial PD gene<sup>28,68</sup>. *Dlg2* is a risk gene  
431 for sporadic PD that participates in receptor clustering in synapses<sup>69</sup>. *Arc* is an activity regulated  
432 gene that regulates transcription of many synaptic and SVE genes<sup>70</sup>. Interestingly, both clinical  
433 and experimental data link SVE deficits to cognitive deficits. The levels of dynamin1, the endocytic  
434 GTPase, correlate with Lewy Body Dementia<sup>71</sup>. Patients with mutations in *DNAJC6/PARK19* and  
435 *Synj1/PARK20* which encode two key SVE proteins--auxilin and synaptojanin1—have cognitive  
436 deficits. Endocytic mutant mice show deficits in NOR and fear conditioning<sup>72,73</sup>, supporting the  
437 tenet that SVE deficits lead to cognitive dysfunction. We suggest that *Arc* could serve as an  
438 upstream regulator of the synaptic transcriptional changes seen in Gba and Gba-SNCA cortices.  
439 Another contributor to the Gba-driven alteration of SV cycling is plasma membrane lipid  
440 composition changes. Emerging evidence indicates altered sphingolipid composition, such as in  
441 Gba mutants, may interfere with phosphoinositide biology at membranes<sup>74,75</sup>. Future research  
442 should address the topic of co-regulated lipids.

443  
444 **Limitations:** The Gba-SNCA mouse model effectively replicates both behavioral and  
445 histopathological characteristics of GBA-linked PD and DLB, offering a valuable tool for future  
446 research. Our study highlights the critical role of synaptic dysfunction in GBA-linked cognitive  
447 decline, occurring independent of  $\alpha$ -synuclein pathology. While transcriptional analysis supported  
448 by histology revealed significant disruptions in SVE and synapse assembly in cortical excitatory  
449 neurons of both Gba and Gba-SNCA mice, the upstream regulators of these changes remain to  
450 be identified. While glial cells exhibit a more modest transcriptional response compared to  
451 neurons at this age, their involvement in synaptic dysfunction at earlier stages cannot be ruled  
452 out. Our comprehensive transcriptomic dataset provides a rich resource for further exploration,  
453 and we encourage the scientific community to leverage this data to elucidate GBA-linked disease  
454 mechanisms.

455  
456 **Methods:**

457 **Mice:** Gba mutant mice have been previously described in Mistry et al. (2010)<sup>76</sup> and Taguchi et  
458 al. (2017)<sup>26</sup>. These mice have a copy of the Gba L444P mutant allele and a Gba KO allele, with  
459 Gba expression rescued in skin to prevent early lethality. SNCA tg mice overexpress the human  
460  $\alpha$ -synuclein A30P transgene (heterozygous), and have also been previously described<sup>30</sup>. Gba  
461 mutant mice were crossed to SNCA tg to obtain Gba-SNCA double mutant mice. Age and sex  
462 matched WT mice were used controls.

463 **Behavior evaluation:** WT, Gba, SNCA tg, and Gba-SNCA mice were examined for motor  
464 behavior longitudinally at 3, 6, 9, and 12 months of age as described previously (n=9-12  
465 mice/genotype, sex-matched)<sup>29</sup>. The balance beam test assesses the ability to walk straight on a  
466 narrow beam from a brightly lit end towards a dark and safe box. Number of times a mouse could  
467 perform this behavior in a minute and the average time taken for each run were evaluated. The

468 grip strength of all the limbs and the forelimbs was assessed by measuring the maximum force  
469 (g) exerted by the mouse in grasping specially designed pull bar assembly in tension mode,  
470 attached to a grip strength meter (Columbus Instruments, Ohio, USA). Mice, when picked up by  
471 the base of the tail and lowered to a surface, extend their limbs reflexively in anticipation of  
472 contact. Mice with certain neurological conditions display hind limb clasping instead of extension.  
473 Mice were tested on this maneuver for 30 secs and the hindlimb, forelimb, and trunk clasps were  
474 scored (0: no clasp; 1: one hind limb clasp; 2: both the hind limbs clasp; 3: Both the hind limbs  
475 clasping with at least one forelimb clasp; 4: Both the hind limbs clasp with trunk clasping). For  
476 evaluation of overall locomotory capabilities, mice were allowed to explore an open field arena for  
477 5 minutes, which was videotaped to assess the distance travelled using Noldus Ethovision CT  
478 software.

479 To evaluate cognition, we employed fear conditioning and novel object recognition (NOR) tests.  
480 To avoid learning-induced confounding factors, we performed these tests on two separate sets of  
481 mice at 3 and 12 months. For fear conditioning test, we initially habituated mice in standard  
482 operant boxes for 2 minutes, followed by exposure to a 30-second neutral stimulus (a 80 dB tone),  
483 which ended with 2 seconds of an aversive stimulus (a 0.1 to 1.0 mA electric shock). This pairing  
484 associates the neutral stimulus with fear, leading the mice to exhibit fear responses, such as  
485 freezing, when exposed to the tone alone. We tested for freezing 24 hours later on the testing  
486 day. Cognitively normal mice will form a conditioned fear response, exhibiting increased freezing  
487 behavior on the testing day compared to the training day after exposure to the tone alone,  
488 indicating their ability to associate tone with the electric shock they received on the training day.  
489 We measure this conditioned fear response as the number of freeze counts after starting the tone  
490 for a total of 3 minutes. The NOR test was used to assess the recognition memory. First, mice  
491 were acclimatized to the novel object arena without any objects in it. After 24 hours, familiarization  
492 session was performed where mice were presented with two similar objects for 8 minutes. After  
493 18-20 hours, one of the two objects was replaced by a novel object and mice were allowed to  
494 explore for 8 minutes. Mice being exploratory animals, spend more time with novel object when  
495 their cognition is normal, which we used as a measure of NOR test.

496 **Immunohistochemistry:** Equal number of male and female mice at 3 and 12 months of age  
497 (n=3-6/genotype) were used for immunohistochemistry. Mice were anaesthetized using isoflurane  
498 inhalation and perfused intracardially with chilled 0.9 % heparinized saline followed by chilled 4  
499 % paraformaldehyde (PFA) in 0.1 M phosphate buffer (PB). The brains were post-fixed in the  
500 same buffer for ~48 hours and cryoprotected in increasing grades of buffered sucrose (15 and 30  
501 %, prepared in 0.1 M PB), at 4 °C, and stored at -80 °C until sectioning. Sagittal brain sectioning  
502 (30 µm thick) was performed using a cryostat (Leica CM1850, Germany), and the sections were  
503 collected on gelatinized slides, and stored at -20 °C until further use. For immunofluorescence  
504 staining, sections were incubated in 0.5 % triton-X 100 (Tx) (15 mins), followed by incubation in  
505 0.3 M glycine (20 mins). Blocking was performed using 3% goat serum (90 mins), followed by  
506 overnight incubation (4° C) in the primary antibodies. Following day, sections were incubated in  
507 Alexa-conjugated secondaries for 3-4 hours, followed by coverslip mounting using an antifade  
508 mounting medium with (H-1000, Vectashield) or without (H-1200, Vectashield) DAPI. Coverslips  
509 were sealed using nail polish. 1X PBS with 0.1 % Tx was used as both washing and dilution  
510 buffer. Below is the list of antibodies used and their dilutions.

<b>Antibody</b>	<b>Dilution</b>	<b>Manufacturer, RRID</b>
Mouse Anti- $\alpha$ -Synuclein	1:500	BD Biosciences (610786), AB_398107
Guinea Pig Anti-NeuN	1:500	Sigma-Aldrich (ABN90P), AB_2341095
Rabbit Anti- $\alpha$ -synuclein (phospho S129)	1:800	Abcam (ab51253), AB_869973
Rabbit Anti-Iba1	1:300	Wako Chemicals (019-19741), AB_839504

Guinea Pig Anti-GFAP	1:400	Synaptic Systems (173004), AB_1064116
Mouse Anti-CD68	1:400	Invitrogen (MA1-80557), AB_929627
Rabbit Anti-Endophilin 1	1:200	Synaptic Systems (159002), AB_887757
Mouse Anti-PIP2	1:200	Invitrogen (MA3-500), AB_568690

512 **Fluorescence slide scanner, confocal microscopy, and image analysis:** Fluorescent images  
513 were acquired using a fluorescence slide scanner (VS200, Olympus) or confocal microscope  
514 (LSM 800, Zeiss) with a 40X objective using appropriate Z-depth. Images were then analyzed  
515 using FIJI software from National Institute of Health (NIH), blinded for genotype. Whole cortex  
516 was demarcated as per Paxinos and Franklin, 2008. After performing sum intensity projection,  
517 the expression intensity was measured on an 8-bit image as the mean gray value on a scale of  
518 0–255, where ‘0’ refers to minimum fluorescence and ‘255’ refers to maximum fluorescence. For  
519 counting NeuN+ neurons, images were thresholded using the ‘otsu’ algorithm and the cells larger  
520 than 25  $\mu\text{m}^2$  were counted using the ‘analyze particles’ function<sup>29</sup>. A similar method was used to  
521 count Iba1+ve microglial cells, and CD68 +ve cells. GFAP+ astroglial cells were counted manually  
522 using the ‘cell counter’ function. Regions of interest (ROIs) obtained for individual NeuN+ were  
523 overlaid on pSer129 $\alpha$ -syn staining to obtain numbers of neurons that were positive for  
524 pSer129 $\alpha$ -syn. To analyze the cortical layer-specific expression of  $\alpha$ -synuclein and pSer129 $\alpha$ -  
525 syn, we initially determined the proportions of cortical layers (1 to 6b) in sagittal brain slice images  
526 obtained from the Allen Brain Atlas (<https://mouse.brain-map.org/>). This involved drawing  
527 perpendicular lines across cortical layers using FIJI software. Proportions were calculated across  
528 three different sample areas of the entire cortex. Intensity profiles for  $\alpha$ -synuclein and pSer129 $\alpha$ -  
529 syn expression across cortical layers were then generated by drawing perpendicular lines and  
530 utilizing the “RGB Profile Plot” function on FIJI for the corresponding sample areas. The resulting  
531 expression values, scaled from 0 to 255, were subsequently assigned to the proportions of layers  
532 1 to 6b obtained from the Allen Brain Atlas.

533 **Electron Microscopy:** Brains of 12-month-old mice (n=2-3 per genotype) were fixed via  
534 intracardial perfusion with a solution of 2% PFA and 2% glutaraldehyde in 0.1M PB. This was  
535 followed by an overnight immersion in 0.1M cacodylate buffer containing 2.5% glutaraldehyde  
536 and 2% PFA<sup>29</sup>. The cortical layers 5 and 6 were then dissected and processed at the Yale Center  
537 for Cellular and Molecular Imaging's Electron Microscopy Facility. Electron microscopy imaging  
538 was conducted using an FEI Tecnai G2 Spirit BioTwin Electron Microscope, and the resulting  
539 micrographs were analyzed for excitatory asymmetric synapses, their synaptic vesicles and  
540 clathrin coated vesicles using FIJI software, with the analysis performed blind to genotype.

541 **Nuclei isolation from cerebral cortex:** Fresh cortical tissue were dissected from left hemisphere  
542 of 12 month old WT, Gba, SNCA tg and Gba-SNCA mice after euthanasia. Single nuclei were  
543 isolated as previously described with modifications<sup>31</sup>. All procedures were carried out on ice or at  
544 4°C. Briefly, fresh cortical tissue was homogenized in 8.4 ml of ice-cold nuclei homogenization  
545 buffer [2 M sucrose, 10 mM Hepes (pH 7.5), 25 mM KCl, 10% glycerol, 1 mM EDTA (pH 8.0), and  
546 ribonuclease (RNase) inhibitors freshly added (40U/ml)] using a Wheaton Dounce tissue grinder  
547 (10 strokes with the loose pestle and 10 strokes with the tight pestle). The homogenate was  
548 carefully transferred into a 15 ml ultracentrifuge tube on top of 5.6 ml of fresh nuclei  
549 homogenization buffer cushion and centrifuged at 25,000 rpm for 60 min at 4°C in an  
550 ultracentrifuge. The supernatant was removed, and the pellet was resuspended in 1 ml of nuclei  
551 resuspension buffer [15 mM Hepes (pH 7.5), 15 mM NaCl, 60 mM KCl, 2 mM MgCl<sub>2</sub>, 3 mM CaCl<sub>2</sub>,  
552 and RNase inhibitors freshly added (40U/ml)] and counted on a hemocytometer with Trypan Blue  
553 staining. The nuclei were centrifuged at 500g for 10 min at 4°C with a swing bucket adaptor. They  
554 were subsequently resuspended at a concentration of 700 to 1200 nuclei/ $\mu\text{l}$  in the nuclei  
555 resuspension buffer for the next step of 10x Genomics Chromium loading and sequencing.

556 **Droplet-based single nucleus RNA sequencing and data alignment:** After quality control, we  
557 recovered a total of 104,750 nuclei, including 31,906 nuclei from WT, 28,568 from Gba mutant,  
558 26,579 from SNCA tg, and 17,697 from Gba-SNCA cortices. The mean reads per nuclei was  
559 34,312 and the median number of identified genes per nuclei was 2410 in all samples. The  
560 snRNA-seq libraries were prepared by the Chromium Single Cell 3' Reagent Kit v3.1 chemistry  
561 according to the manufacturer's instructions (10x Genomics). The generated snRNA-seq libraries  
562 were sequenced using Illumina NovaSeq6000 S4 at a sequencing depth of 300 million reads per  
563 sample. For snRNA-seq of brain tissues, a custom pre-mRNA genome reference was generated  
564 with mouse genome reference (available from 10x Genomics) that included pre-mRNA  
565 sequences, and snRNA-seq data were aligned to this pre-mRNA reference to map both unspliced  
566 pre-mRNA and mature mRNA using CellRanger version 3.1.0. The raw data are available on  
567 NCBI GEO GSE283187 (<https://www.ncbi.nlm.nih.gov/geo/query/acc.cgi?acc=GSE283187>).

568 **Single-cell quality control, clustering, and cell type annotation:** After quality control filtering  
569 by eliminating nuclei with less than 200 genes or more than 5% mitochondrial gene expression  
570 (poor quality nuclei) or more than 6,000 genes (potential doublets) per nucleus, we profiled  
571 104,750 brain nuclei. Seurat (version 4.2.0) single cell analysis R package was used for  
572 processing the snRNA-seq data. The top 2000 most variable genes across all nuclei in each  
573 sample were identified, followed by the integration and expression scaling of all samples and  
574 dimensionality reduction using principal components analysis (PCA). Uniform Manifold  
575 Approximation and Projection for Dimension Reduction (UMAP) was then applied to visualize all  
576 cell clusters, and the classification and annotation of distinct cell types were based on known  
577 marker genes of each major brain cell type and the entire single nucleus gene expression matrix  
578 were investigated but were not used in downstream analyses.

579 **Differential expression (DE) analysis:** Differential expression analysis for snRNA-seq data was  
580 performed using the Wilcoxon Rank Sum test using the function FindMarkers of the Seurat  
581 package (4.1.0) in R. For all cells, the threshold for differentially expressed genes (DEGs) was  
582 set as the expression log<sub>2</sub> fold change of Mutant/WT mice being greater than 0.2 and significantly  
583 changed ( $p < 0.05$ ) after Bonferroni (BF)-correction for multiple comparisons and adjustment for  
584 possible confounders, using default parameters. Adjustment was made for differences in sex,  
585 batch and read depth with MAST<sup>77</sup>.

586 **Gene ontology (GO) pathway and targeted gene expression analysis.** Gene-set and protein  
587 enrichment analysis was performed using the function enrichGO from the R package  
588 clusterProfiler in Bioconductor (3.14)<sup>78</sup>, with the DEGs that were significant after correction (see  
589 above) as input. Cnet plots were produced using the same package. The top three GO terms from  
590 biological process (BP) based on the lowest p-value were identified and plotted in a heatmap,  
591 without selection. Molecular function (MF) subontologies were shown instead of BP in a few cases  
592 (< 8) where BP contained duplicates or triplicates of the same pathway, for illustrative purposes,  
593 with the same DEGs and ranking used for BP and MF subontologies. The background genes  
594 were set to be all the protein-coding genes for the mouse reference genome. Default values were  
595 used for all parameters. In GO pathway analysis DEGs were entered only if significant after  
596 genome-wide BF correction (for the total number of expressed genes), using Seurat default  
597 settings, and in targeted analyses of genes of interest – i.e., genes known to function in the  
598 synapse as defined by the SynGO consortium (<https://syngoportal.org>)- after BF correction for the  
599 number of investigated genes. For the latter analysis, 1203 genes were found expressed in the  
600 dataset.

601 **Statistics:** For motor behavioral studies, two-way repeated measure ANOVA followed by Sidak's  
602 multiple comparison test was used. For cognitive behavior assays, one-way ANOVA followed by  
603 Sidak's multiple comparison tests or Student's t-test with Welch's correction was used. For

604 immunohistochemistry experiments, one-way ANOVA followed by Sidak's multiple comparison  
605 tests was used.

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614  
615 **Conflicts of interest:** Authors have no conflicts of interest to declare.

616  
617 **Author Contribution:** D.J.V., D.B., and S.S.C. conceptualized the study. D.J.V. conducted  
618 behavioral experiments and analyzed the data, prepared samples for histology and Western  
619 blotting, and performed immunohistochemistry, imaging, and image analyses. D.B. prepared  
620 samples for snRNA-seq and analyzed the snRNA-seq data. R.C. analyzed behavioral data and  
621 performed immunohistochemistry, Western blotting, imaging, and image analyses. J.R. set up  
622 founder breeding colonies and conducted genotyping for Gba L444P/KO and Gba-SNCA mice.  
623 J.P. assisted with snRNA-seq data analysis. P.M. contributed to the initial conceptualization of  
624 the study and provided founder colonies of Gba L444P/KO mice. D.J.V., D.B., and S.S.C. wrote  
625 the manuscript. All authors have read and contributed to the manuscript.

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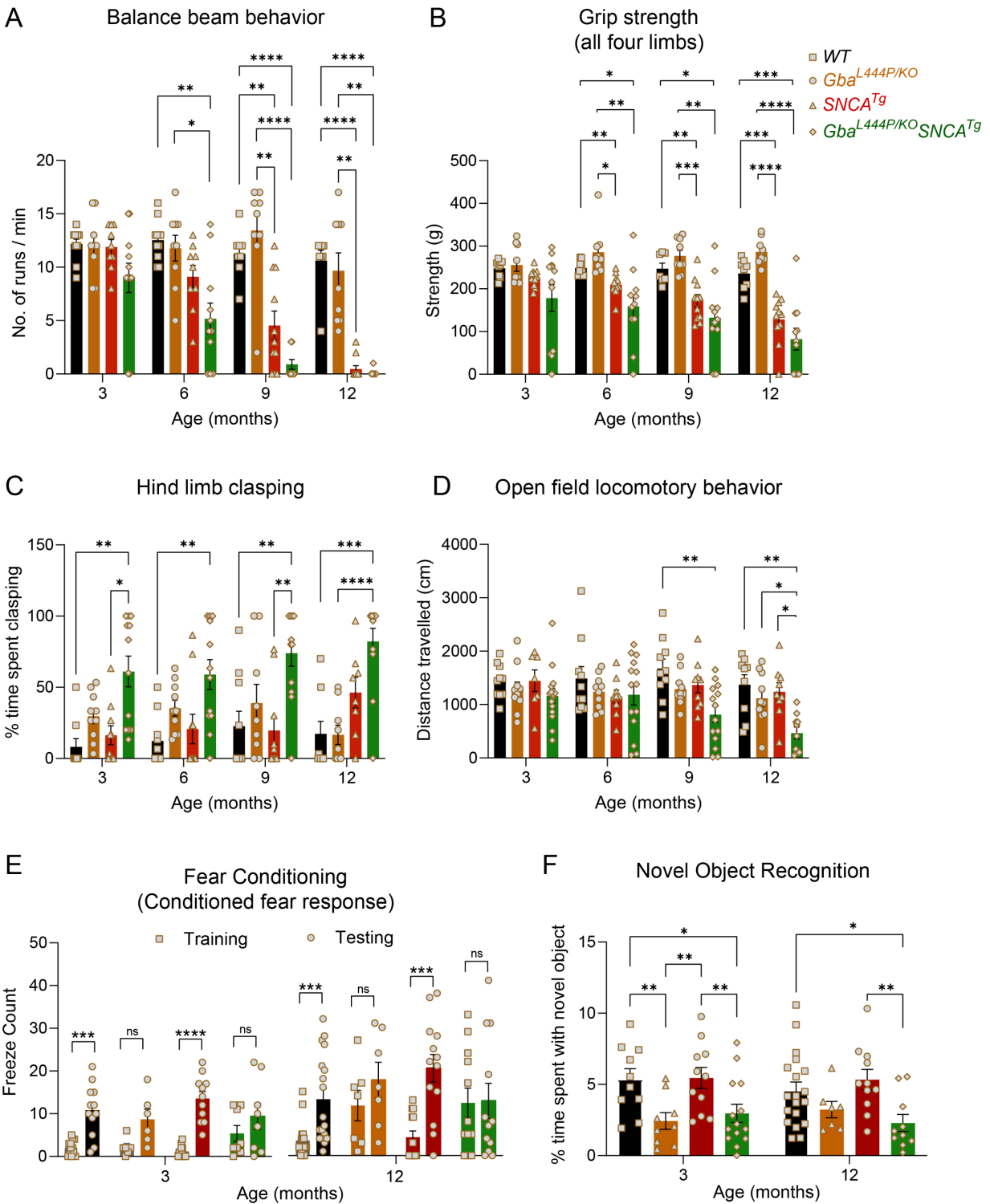
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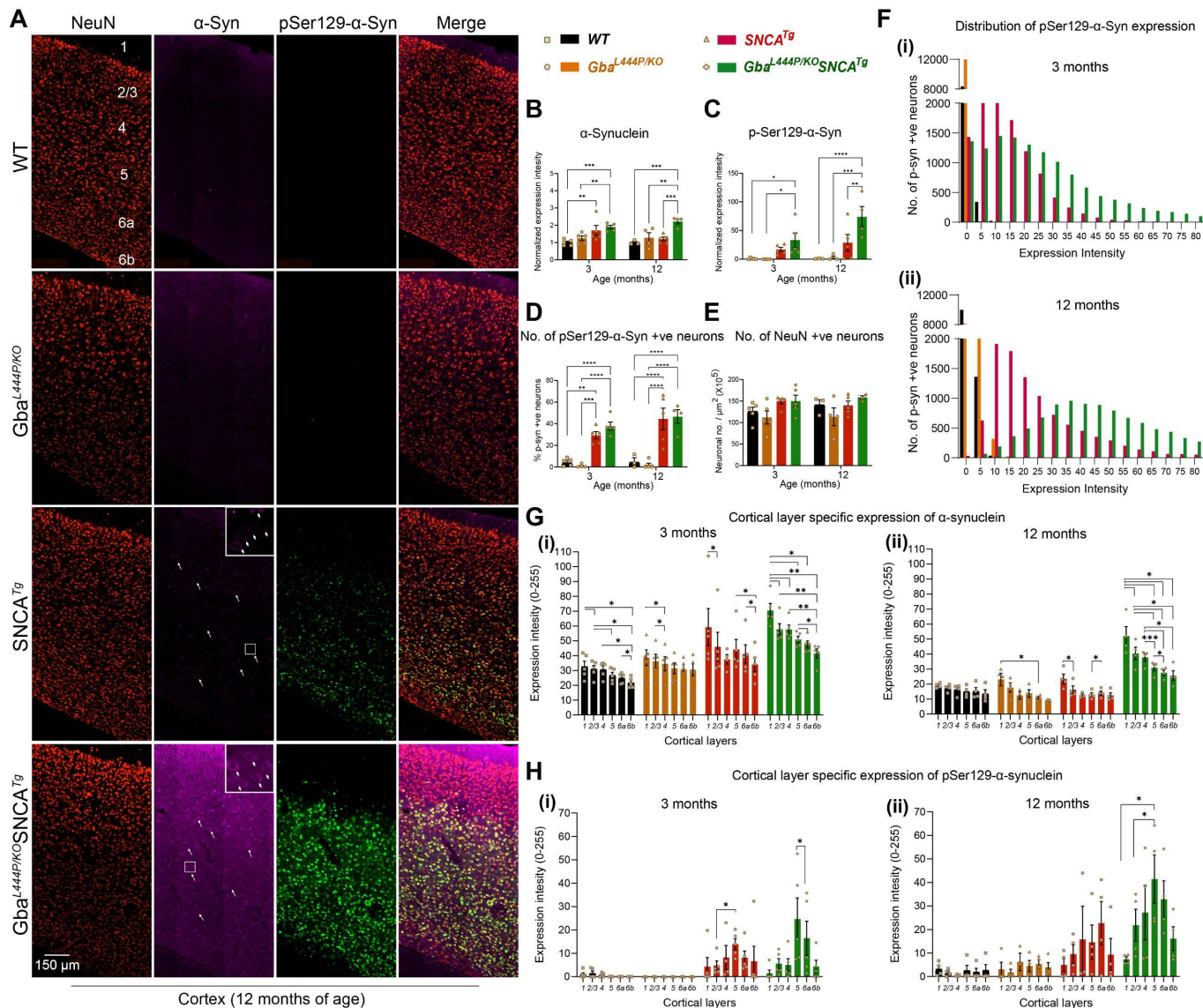
804 **Figures:**



805  
 806 **Figure 1: *Gba* mutation worsens motor deficits in *SNCA* tg mice and independently leads**  
 807 **to cognitive dysfunction.** WT, *Gba*, *SNCA* tg and *Gba-SNCA* mice cohorts were evaluated in  
 808 four motor and two cognitive behavior tests in a longitudinal manner. **A.** Balance beam behavior.  
 809 **B.** Grip strength of all four limbs. **C.** Hind limb claspings behavior. **D.** Open field locomotory

810 behavior. **E.** Fear conditioning test. **F.** Novel object recognition.  $n = 9-12$  mice for motor behavior  
 811 and 6-19 for cognitive behavior, both sexes were used. Data are presented as mean  $\pm$  SEM. ns -  
 812 not significant; \* $p < 0.05$ , \*\* $p < 0.01$ , \*\*\* $p < 0.001$ , \*\*\*\* $p < 0.0001$

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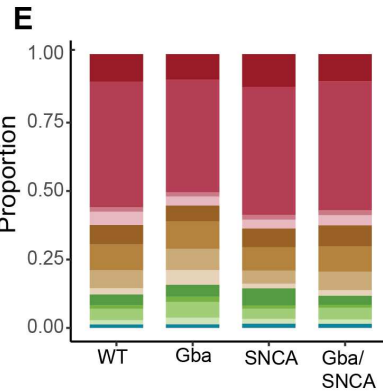
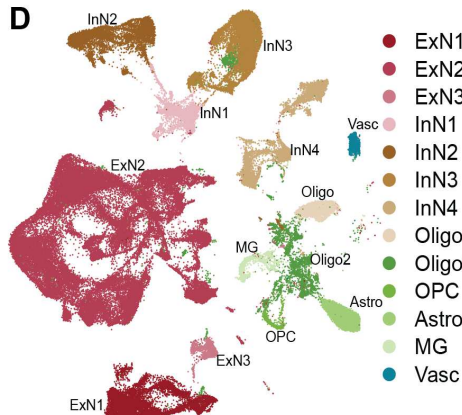
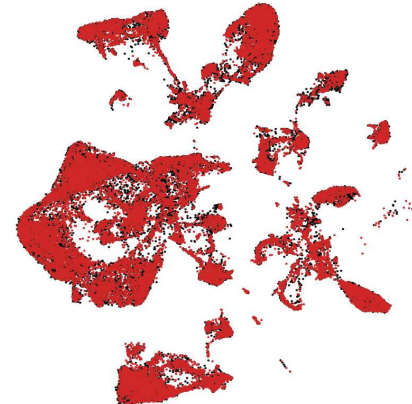
814 **Figure 2: Gba mutation exacerbates  $\alpha$ -synuclein pathology in the cortices of SNCA tg mice.**  
 815 **A.** Representative images of cortices of WT, Gba, SNCA tg, and Gba-SNCA mice (12 months)  
 816 immunostained for NeuN (red),  $\alpha$ -synuclein (magenta) and pSer129- $\alpha$ -syn (green). Note  
 817 increased  $\alpha$ -synuclein levels along with redistribution to neuronal soma (arrow) and pSer129- $\alpha$ -  
 818  $\alpha$ -syn expression in the Gba-SNCA double mutant when compared to SNCA tg mice. **B.** Cortical  $\alpha$ -  
 819  $\alpha$ -synuclein expression at 3 and 12 months, normalized to respective WT average at each time  
 820 point. **C.** Cortical pSer129- $\alpha$ -syn expression at 3 and 12 months, normalized to respective WT  
 821 average at each time point. **D.** Percentage of cortical neurons positive for pSer129- $\alpha$ -syn at 3 and  
 822 12 months. **E.** NeuN positive cortical neuronal number at 3 and 12 months. **F.** Distribution of  
 823 pSer129- $\alpha$ -syn expression intensity (Range of 0-255) in the cortical neurons at 3 months (i, WT:  
 824 Mean = 0.35, 25% Percentile = 0.0024, 75% Percentile = 0.1252; Gba: Mean = 0.04, 25%  
 825

826 Percentile = 0, 75% Percentile = 0.0058; SNCA tg: Mean = 13.05, 25% Percentile = 5.211, 75%  
827 Percentile = 18.534; Gba-SNCA: Mean = 23.781, 25% Percentile = 9.221, 75% Percentile =  
828 33.972) and 12 months (ii), WT: Mean = 1.28, 25% Percentile = 0.439, 75% Percentile = 1.731;  
829 Gba: Mean = 1.482, 25% Percentile = 0, 75% Percentile = 2.124; SNCA tg: Mean = 26.282, 25%  
830 Percentile = 12.357, 75% Percentile = 32.834; Gba-SNCA: Mean = 53.741, 25% Percentile =  
831 32.233, 75% Percentile = 66.691) of age. **G.** Cortical layer specific expression of  $\alpha$ -synuclein at  
832 3 (i) and 12 (ii) months of age. **H.** Cortical layer specific expression of pSer129  $\alpha$ -syn at 3 (i) and  
833 12 (ii) months of age. n= 3-6, sex balanced. Data are presented as mean  $\pm$  SEM. ns - not  
834 significant; \*p < 0.05, \*\*p < 0.01, \*\*\*p < 0.001, \*\*\*\*p < 0.0001. Scale = 150  $\mu$ m

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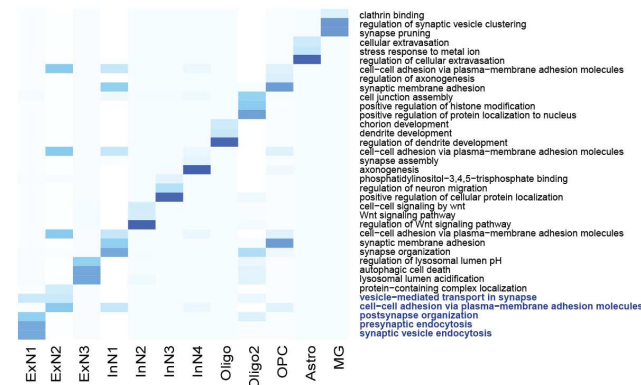
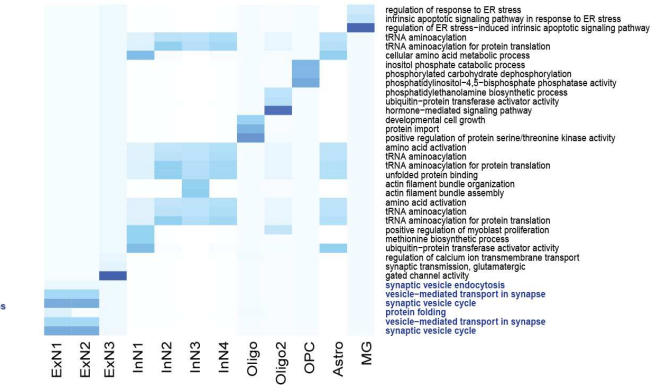
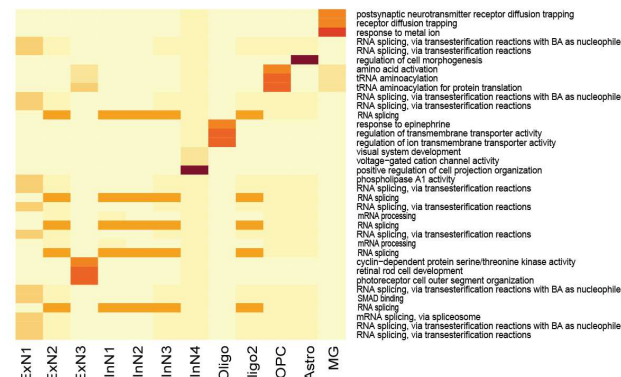
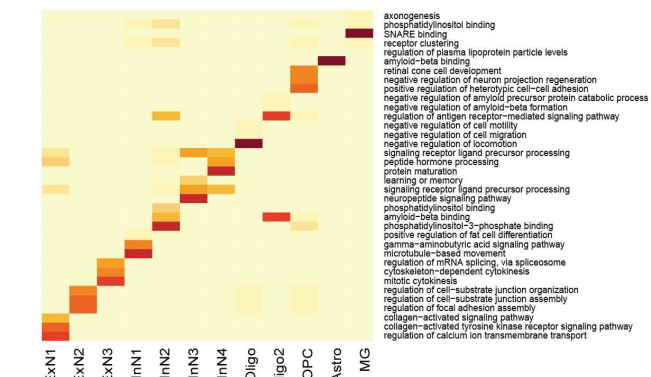
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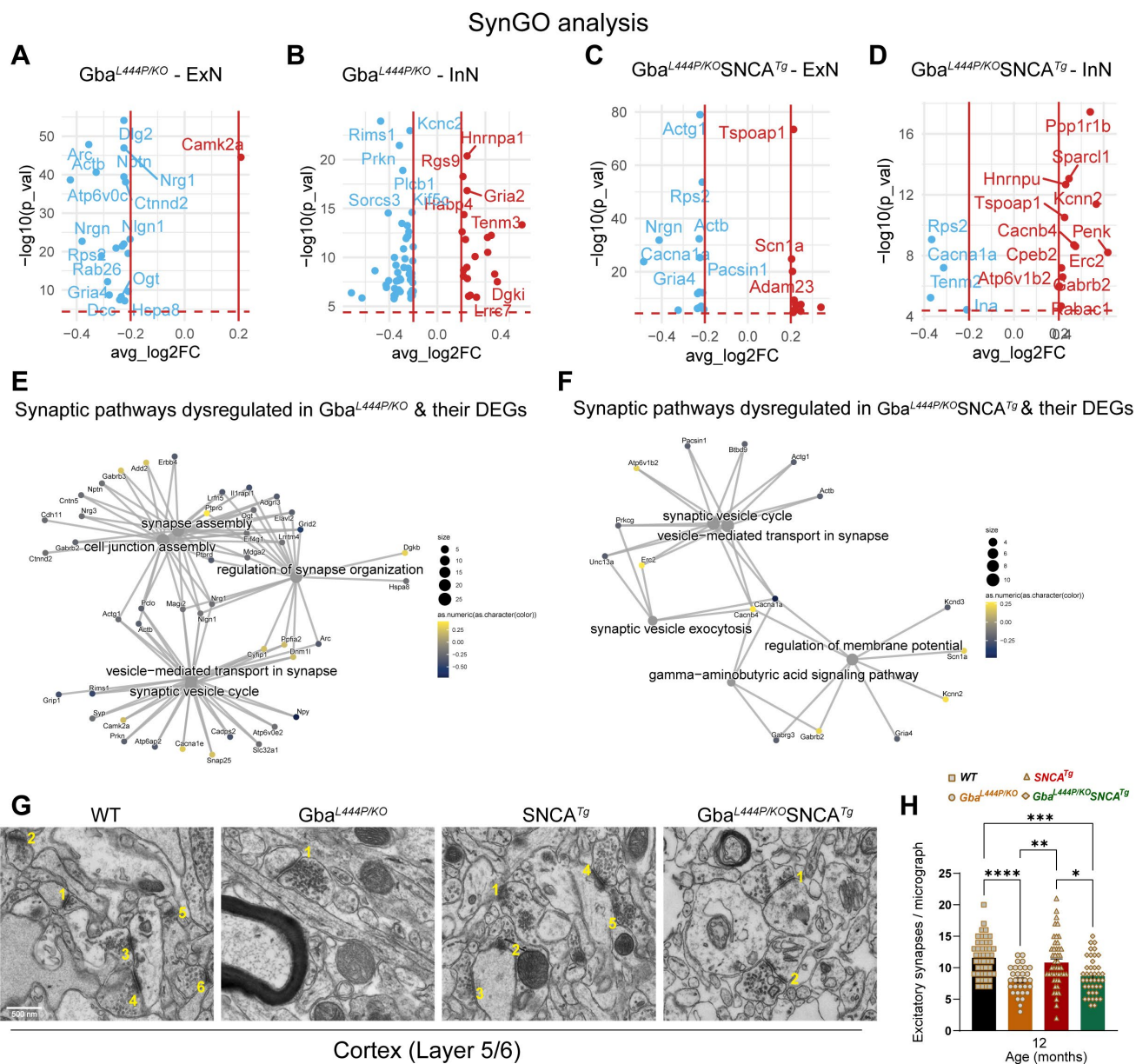
**A** *Gba*<sup>L444P/KO</sup> UMAP**B** *Gba*<sup>L444P/KO</sup>*SNCA*<sup>Tg</sup> UMAP**C** *SNCA*<sup>Tg</sup> UMAP

**F**

	<i>Gba</i> <sup>L444P/KO</sup> vs WT		<i>Gba</i> <sup>L444P/KO</sup> <i>SNCA</i> <sup>Tg</sup> vs WT		<i>SNCA</i> <sup>Tg</sup> vs WT	
	DEGs Up	Down	DEGs Up	Down	DEGs Up	Down
ExN1	35	47	49	40	87	76
ExN2	32	34	46	44	94	117
ExN3	7	4	4	8	40	26
InN4	90	34	20	12	66	53
InN5	52	44	48	35	100	93
InN6	28	34	36	27	68	96
InN7	78	77	17	8	86	87
Oligo	8	6	14	5	185	61
Oligo2	21	20	26	10	92	168
OPC	6	2	3	2	64	19
Astro	22	24	31	10	219	177
MG	8	32	8	13	178	112

**G** Down-regulated pathways in *Gba*<sup>L444P/KO</sup> mice cortices**H** Down-regulated pathways in *Gba*<sup>L444P/KO</sup>*SNCA*<sup>Tg</sup> mice cortices**I** Up-regulated pathways in *Gba*<sup>L444P/KO</sup> mice cortices**J** Up-regulated pathways in *Gba*<sup>L444P/KO</sup>*SNCA*<sup>Tg</sup> mice cortices

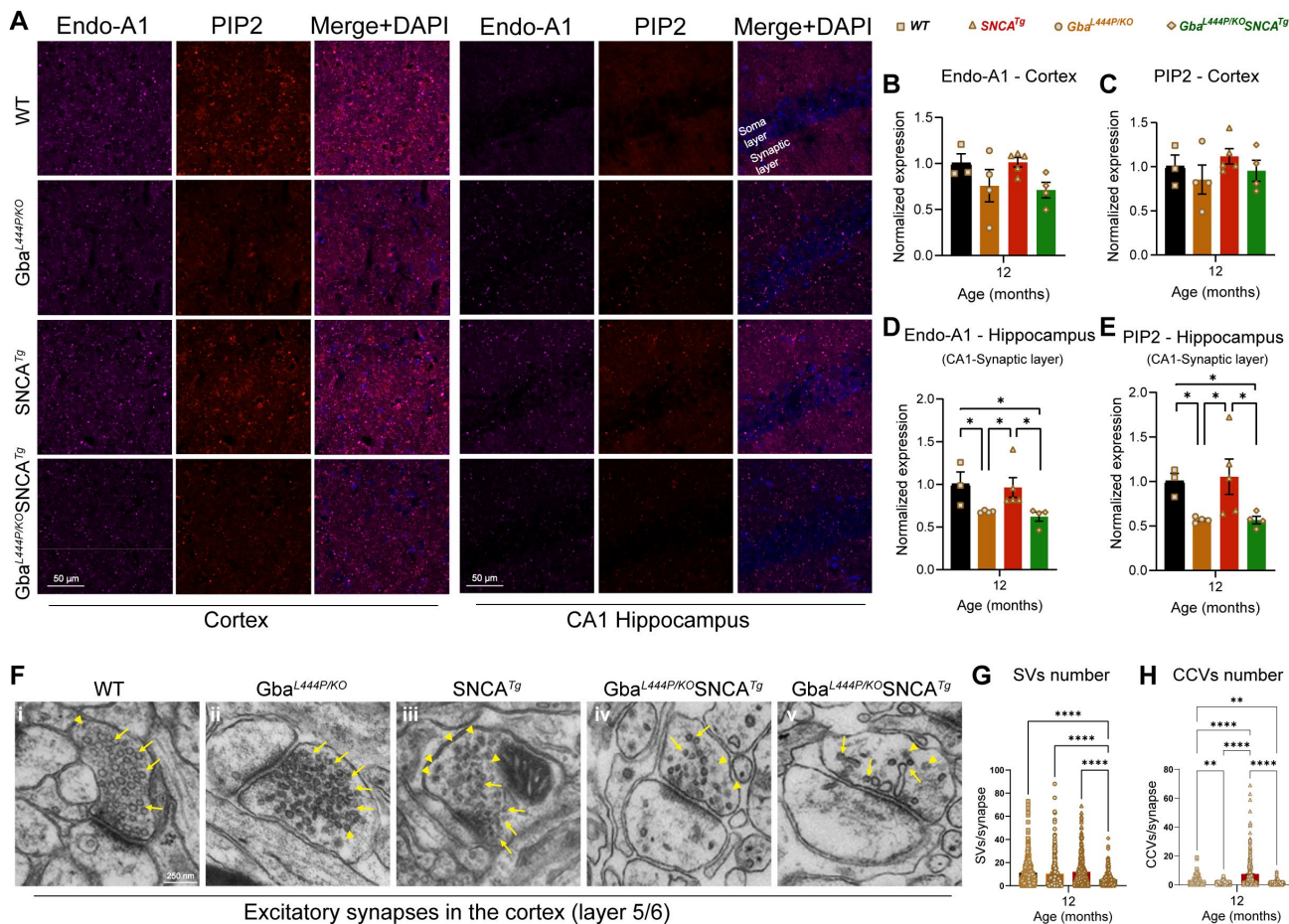
839 **Figure 3: Cell type distribution, differential gene expression, and cellular pathway changes**  
 840 **in cortex.** Uniform Manifold Approximation and Projection (UMAP) dimension reduction for **A.**  
 841 **Gba** (Amber), **B.** **Gba-SNCA** (Green) and **C.** **SNCA tg** (Red) overlaid over WT (Black) mouse  
 842 cortical snRNAseq expression. **D.** UMAP showing clusters of cortical cell types identified by  
 843 expression signatures. In **A-D**, UMAP 1 is shown on the x-axis and UMAP 2 on the y-axis. **E.**  
 844 Proportions of the cell types in the cortices of wild type and transgenic mice. **F.** The number of  
 845 differentially expressed genes (DEGs) per cell type in **Gba**, **Gba-SNCA**, and **SNCA** mutant mice  
 846 after Bonferroni-correction for genome-wide comparisons and filtering out of genes with  $\log_2FC$   
 847  $< 10.21$ . **G-J.** Heatmap with the significantly down- and up-regulated gene ontology (GO) biological  
 848 pathway alterations in 12 month old (**G, I**) **Gba**- and (**H, J**) **Gba-SNCA** mice, for each neuronal  
 849 cluster type, revealed by unbiased analysis of enrichment of genome-wide corrected DEGs.  
 850  
 851



852



853 **Figure 4: Analysis of synapse related gene expression in Gba and Gba-SNCA neurons**  
 854 **shows similar deficits in synapse vesicle cycling. A-D.** Analysis of significant DEGs that  
 855 participate in synapse function, as annotated by SynGO, after Bonferroni-correction in excitatory  
 856 (ExN1-3, **A, C**) and inhibitory (InN1-4, **B, D**) neurons. All genes with log2FC <0.2 were filtered  
 857 out. **E, F.** Cnet plots of differentially expressed synapse associated genes as annotated by  
 858 SynGO, in **(E)** Gba<sup>-</sup>, and **(F)** Gba-SNCA mice cortices, after Bonferroni-correction for multiple  
 859 comparisons. **G.** Electron micrographs of cortical layer 5/6 number for excitatory synapses. **H.**  
 860 Quantitation of excitatory synapses in the cortical layer 5/6. Data are presented as mean ± SEM,  
 861 Scale = 500 nm, \*p<0.05, \*\*\*p<0.001., N=2 brains in WT, SNCA tg, and Gba-SNCA mice, and 1  
 862 brain in Gba mutant mice. 23-25 micrographs/genotype.  
 863



864 **Figure 5: Decreased expression of SVE markers and loss of SVs in Gba mutants. A.**  
 865 Representative images showing cortical and CA1 hippocampal expression of endophilin-A1  
 866 (Endo-A1) and phosphatidylinositol 4,5-bisphosphate (PIP2), two markers of synaptic vesicle  
 867 endocytosis, in WT, Gba, SNCA tg, and Gba-SNCA mice at 12 months of age. **B.** Cortical Endo-  
 870 A1 expression at 12 months, normalized to WT average. **C.** Cortical PIP2 expression at 12  
 871 months, normalized to WT average. **D.** Endo-A1 expression in the CA1 Hippocampal synaptic  
 872 layer, normalized to WT average. **E.** PIP2 expression in the CA1 hippocampal synaptic layer,  
 873 normalized to WT average. Data are presented as mean ± SEM. Scale = 50 μm. \* p<0.05. n=4-5  
 874 brains/genotype. **F.** Electron micrographs of excitatory synapses in cortical layer 5/6 showing  
 875 synaptic vesicles (SVs, arrows) and clathrin-coated vesicles (CCVs, arrowheads) in WT (i), Gba  
 876 mutant (ii), SNCA tg (iii), and Gba-SNCA (iv and v) mice. Note SVs with variable shapes and

877 sizes in Gba-SNCA synapse (**v**). **G-H**. Quantitation of SVs (**G**) and CCVs (**H**) in the excitatory  
878 synapses of the cortical layer 5/6. Data are presented as mean  $\pm$  SEM, Scale = 250 nm, \* $p < 0.05$ ,  
879 \*\* $p < 0.01$ , \*\*\*\* $p < 0.0001$ , N=2 brains in WT, SNCA tg, and Gba-SNCA mice, and 1 brain in Gba  
880 mutant mice. 23-25 micrographs, 150-300 synapses, per genotype.  
881

## Supplementary Files

This is a list of supplementary files associated with this preprint. Click to download.

- [SupplTable1DEGlistGbavsWTVidyadharaetal.2024.xlsx](#)
- [SupplTable2DEGlistGbaSNCAvsWTVidyadharaetal.2024.xlsx](#)
- [SupplTable3DEGlistSNCAvsWTVidyadharaetal.2024.xlsx](#)
- [SupplTable4DEGsdrivingGOpathwaysVidyadharaetal.2024.xlsx](#)
- [SupplementalinformationVidyadharaetal.2024.pdf](#)