Induction of DNA synthesis and apoptosis by regulated inactivation of a temperature-sensitive retinoblastoma protein

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The retinoblastoma protein, pRb, controls entry into the S phase of the cell cycle and acts as a tumor suppressor in many tissues. Re-introduction of pRb into tumor cells lacking this protein results in growth arrest, due in part to transcriptional repression of genes required for S phase. Several studies suggest that pRb may also be involved in terminal cell cycle exit as a result of the instigation of senescence or differentiation programs. To understand better these multiple growth-inhibitory properties of pRb, a temperature-sensitive mutant of pRb has been produced. This tspRb induces G₁ arrest and morphological **changes efficiently at the permissive temperature of 32.5°C, but is weakly functional at 37°C. Consistent with this, tspRb is compromised in nuclear association and E2F regulation at the non-permissive temperature, but regains these properties at 32.5°C. Serial activation and inactivation of tspRb in SAOS-2 cells does not allow proliferation, but rather leads to apoptotic cell death. Transient activation of pRb may kill tumor cells by establishing a conflict between persistent proliferation-inhibitory signals and renewed deregulation of pRb targets such as E2F, and may thus be a more potent means of eliminating these cells than through simple re-introduction of the tumor suppressor gene.** *Keywords*: apoptosis/E2F/growth suppression/pRb/ temperature-sensitive mutant

Introduction

The retinoblastoma susceptibility protein, pRb, functions in a cell cycle control pathway that regulates passage of cells through G_1 and into S phase (Weinberg, 1995). pRb exerts its function in part by controlling a family of heterodimeric transcription factors, collectively referred to as E2F, that can transactivate genes important for the G_1 to S phase transition. Hypophosphorylated pRb binds to E2F, resulting in a transcriptional repressor complex that constrains expression of E2F target genes. Phosphorylation of pRb in mid to late G_1 releases E2F from the complex, which now can be transcriptionally active (Weinberg, 1995; Sherr, 1996). Targeted deletion of the E2F-1 gene suggests that E2F-1 is necessary for the proliferation of some cells, but actually acts as a tumor suppressor in other cell types. This dual role of E2F-1 may result from an ability of E2F-1–pRb complexes to repress genes involved in apoptosis as well as genes whose

products are needed for DNA synthesis (Qin *et al.*, 1994; Field *et al.*, 1996; Yamasaki *et al.*, 1996; Hsieh *et al.*, 1997; Phillips *et al.*, 1997).

Phosphorylation of pRb and concomitant relief of E2F repression is catalyzed by cyclin D-dependent kinases (cdk4 and 6) in response to extracellular signals. The activity of these kinases is in turn inhibited by proteins of the INK4 family (Sherr, 1996). Disruption of this socalled 'pRb pathway' is a frequent event during the pathogenesis of a variety of human tumors (Tiemann *et al.*, 1997), highlighting the importance of the integrity of this pathway in the prevention of tumor formation, as well as pRb's central role in it.

The function of pRb is lost in all retinoblastomas, where it can lead to hereditary cancer, but is also involved in a variety of other tumors, including small cell lung carcinomas, breast carcinomas, osteosarcomas, bladder carcinomas, prostate carcinomas and cervical carcinomas (Dyson *et al.*, 1989; Horowitz *et al.*, 1990; zur Hausen, 1991). Several different types of inactivating mutations in the *RB1* gene have been described, almost all of which specifically alter or eliminate the 'pocket' domain of pRb. The pocket domain was first functionally characterized as the minimal region of pRb that is necessary for binding to the viral oncoproteins E1A, E7 and large T antigen. Thus, both oncogenic mutations and viral oncoprotein binding target the same subdomain of pRb, strongly suggesting a critical role for this domain in tumor suppression (Tiemann *et al.*, 1997). Indeed, the pocket domain is sufficient for the repression of gene expression, but an extra C-terminal stretch of the pRb protein is necessary for E2F binding and growth suppression (Qin *et al.*, 1992; Hiebert, 1993). pRb shares the pocket domain with two other related proteins, p107 and p130, which also bind and regulate E2F activity, but which have not yet been shown to be mutated in cancer (Ewen *et al.*, 1992; Cobrinik *et al.*, 1993; Hannon *et al.*, 1993; Li *et al.*, 1993; Mayol *et al.*, 1993).

Although the pRb/E2F paradigm leads to a simple model for growth regulation through the inactivation of E2F-responsive genes, the identity of genes actually regulated by pRb is far from fully elucidated. The presence of p107 and p130 together with pRb in all cells complicates any simple model of pocket protein–E2F function, since these molecules may regulate subsets of E2F site-containing genes differentially (Hurford *et al.*, 1997). Further, pRb interacts with many proteins besides E2F, several of which can themselves directly influence gene expression (Taya, 1997). Among these are differentiation-specific transcription factors that are activated by pRb, rather than repressed, emphasizing a potential role for pRb in the process of terminal differentiation. Additionally, there is evidence that pRb may inhibit apoptosis (Haas-Kogan *et al.*, 1995; Berry *et al.*, 1996; Fan *et al.*, 1996; Macleod

et al., 1996; Shan *et al.*, 1996; Hsieh *et al.*, 1997) and somehow regulate replicative senescence, a phenomenon antithetical to tumor cell formation (Smith and Pereira-Smith, 1996).

In vivo evidence for a role for pRb in terminal cell cycle exit comes from mouse models in which both *RB1* alleles have been replaced with defective alleles by means of homologous recombination techniques. Mice homozygous for defective *RB1* die *in utero* at about the fourteenth day of gestation from abnormalities in the blood and the liver accompanied by extensive cell death in the central nervous system (Clarke *et al.*, 1992; Jacks *et al.*, 1992; Lee *et al.*, 1992). Although pRb is also absent in all other tissues of the mouse embryo, most tissues develop grossly normally. Nevertheless, loss of pRb may lead to more subtle alterations in differentiated cell properties that have unknown consequences because of the inability to study them in dead embryos. For example, although muscle development appears normal in *RB1* nullizygotes, myotubes produced from such embryos fail to enter a truly 'terminal' state, since their nuclei can be induced to enter S phase, unlike those from genetically normal mice (Schneider *et al.*, 1994; Novitch *et al.*, 1996).

Multiple effects of pRb are also evident in cell culture model systems. For example, cells of the human osteosarcoma cell line SAOS-2 undergo a G_1 growth arrest upon pRb expression that is accompanied by a distinctive morphological change (Huang *et al.*, 1988; Templeton *et al.*, 1991; Hinds *et al.*, 1992). This phenotype is characterized by spreading and a senescence-like morphology (Templeton *et al.*, 1991; Hinds *et al.*, 1992; Qin *et al.*, 1992). This morphological alteration is not seen with dominant-negative E2F proteins or p107 overexpression, despite the probable mechanistic similarities in their ability to block progression into S phase (Zhu *et al.*, 1993; Qin *et al.*, 1995). Therefore, pRb may influence the expression of genes controlling cell morphology in a manner distinct from simple repression of E2F-dependent promoters.

In an attempt to explore the biochemical basis of pRb's functions and to produce a system to identify potential gene targets of pRb, we have generated a temperaturesensitive (ts) pRb. Characterization of this mutant supports a multifunctional role for pRb in suppression of cell proliferation, identifying both reversible and irreversible elements of growth arrest engendered by pRb. We suggest that pRb expression in this system not only prevents E2F function, but produces (possibly E2F-independent) cellular changes akin to differentiation or senescence that cannot be overcome by simple loss of pRb function, leading instead to apoptosis when the cells are once again rendered pRb-null through temperature shift. Transient restoration and removal of the differentiation and proliferation controls exerted by some tumor suppressor proteins may thus be a more potent method to eliminate tumor cells than that afforded by constitutive expression.

Results

Identification of ^a pRb protein temperaturesensitive for the induction of growth arrest

Regulated ectopic expression of genes involved in proliferation control is useful for studying their immediate effects upon synthesis and loss. For example, both indu-

Fig. 1. Structure of *in vitro* mutagenized pRb proteins and their ability for growth suppression at 37 and 32.5°C. *In vitro* mutants were constructed by oligonucleotide linker insertion into restriction sites at certain codons of the human *RB1* cDNA. All mutants have been tested to express mutated forms of the pRb protein. The schematic diagram shows the human pRb protein and the positions where the *Xho*I linker was inserted in-frame to generate the mutants HX108, AcX414, XX668 and AX763. The solid black boxes A and B represent the two regions of the retinoblastoma protein essential for binding to E1A and SV40 large T antigen (Hu *et al.*, 1990), together known as the pocket domain of pRb. The shaded gray box represents the nuclear localization signal (NLS) of pRb (amino acids 860–876). To determine the ability of the generated *in vitro* mutants to suppress growth at 37 or 32.5°C, SAOS-2 cells were transfected with pBabepuro and HX108, AcX414, XX668, AX763, wtpRb or ∆22, and transfected cells were selected at 37 or 32.5°C. Suppression of SAOS-2 cell growth was assessed by the appearance of large, apparently non-dividing cells 7–10 days after transfection. $(+)$ Large number of such cells. $(-)$ No or very few enlarged cells were observed.

cible and temperature-sensitive p53 genes have been useful in identifying functional and transcriptional targets of this important tumor suppressor (Michalovitz *et al.*, 1990; Yonish-Rouach *et al.*, 1991; Barak *et al.*, 1993; El-Deiry *et al.*, 1993; Okamoto and Beach, 1994; Wu and Levine, 1994; Chen *et al.*, 1996). Because pRb's long half-life makes it an unattractive candidate for inducible systems, we attempted to identify a temperature-sensitive version of the protein that could be regulated more rapidly. Therefore, a series of *in vitro* mutagenized pRb cDNAs was produced (Mittnacht *et al.*, 1991; Hinds *et al.*, 1992) and tested for growth suppression in SAOS-2 cells at 37 and 32.5°C. As shown in Figure 1, the four linker-insertion mutants and the tumor-derived negative mutant ∆22 (Horowitz *et al.*, 1990) were found to be inactive in growth suppression at 37°C, but one mutant was found to induce growth suppression at 32.5 but not at 37°C. This mutant, XX668, carries a 12 bp *Xho*I linker inserted in-frame into an *Xmn*I site in between codons 668 and 669. This results in the insertion of four new amino acids, RSSG, changing the sequence of this mutant at codon 668 to -er[RSSG]ll- (see Figure 1).

Fig. 2. Temperature-dependent growth suppression by the pRb mutant XX668. For flat cell assays (**A**) and colony formation assays (**B**), cells were transiently transfected with 1.5 µg of pBabepuro and 20 µg of the indicated pRb expression plasmid. Following transfection, cells were plated at 5×10^5 per 10 cm dish, subjected to drug selection at either 37 or 32.5°C and stained 7–10 days (A) or 14 days (B) later. Flat cells (A) or colonies (B) were counted as described in Materials and methods. The graphs (A and B) represent the mean of at least three independent experiments. For the flat cell assays (A), the percentage of flat cells relative to wtpRb (100%) is given in the graph. For cell cycle analysis (**C**), cells were co-transfected with 2 μg of pCMVCD20 and 20 μg of pSVE or Δ22, or 5, 10 and 20 μg of wtpRb or XX668. The total amount of plasmid DNA was kept constant in all samples (20 µg) by adding pSVE plasmid DNA, where needed. Cells were incubated at 37 or 32.5°C and harvested 48 h post-transfection, stained for CD20 and DNA content, and analzyed by flow cytometry. The percentage of CD20-positive cells in G₁ was plotted for each sample. The data presented are representative of multiple experiments.

One of the hallmarks of pRb-induced growth arrest in SAOS-2 cells is a distinctive morphological change which has been referred to as the flat cell phenotype (Hinds *et al.*, 1992). This phenotype is characterized by spreading and a senescence-like morphology (Templeton *et al.*, 1991; Hinds *et al.*, 1992; Qin *et al.*, 1992). Quantitation of flat cell induction, shown in Figure 2A, revealed that XX668 at 37°C produces on average 20% of the number of flat cells produced by wild-type pRb (wtpRb), but is essentially equivalent to wtpRb in flat cell production at 32.5°C. As a further measure of the ability of XX668 to suppress proliferation, colony formation assays were performed. Figure 2B demonstrates that XX668 suppressed outgrowth of SAOS-2 cell colonies with wild-type efficiency at 32.5°C, but was unable to do so at 37°C. To demonstrate conclusively that XX668 behaves as a temperature-sensitive allele not only in the production of flat cells but also in the induction of G_1 arrest in SAOS-2 cells, we used a modified flow cytometry technique that was reported previously for pRb and p107 (Zhu *et al.*, 1993). XX668 or control vectors were co-transfected into SAOS-2 cells with a plasmid expressing the cell surface marker CD20. Two days after transfection, cells that contained transfected DNA were identified by staining with an anti-CD20 monoclonal antibody, and the DNA content of the transfected cells was determined by propidium iodide staining.

As shown in Figure 2C, transfection of XX668 was unable to alter the G_1 fraction of SAOS-2 cells efficiently at 37°C, whereas even low amounts of the wtpRb cDNA led to a significant increase in the G_1 population. A slight increase in the G_1 population was seen when XX668 was transfected in a very high concentration, consistent with its partial ability to induce flat cell formation. In contrast, at 32.5°C, XX668 and wtpRb both blocked SAOS-2 cells very efficiently in the G_1 phase of the cell cycle. Thus XX668 is defective in flat cell formation, colony reduction and induction of G_1 arrest when expressed at 37 $^{\circ}$ C, but is indistinguishable from wtpRb at 32.5°C. In contrast, the tumor-derived mutant ∆22 was found to be nonfunctional in these assays at either temperature (see Figure 2A–C), consistent with previous reports classifying ∆22 as a 'loss-of-function' mutant (Horowitz *et al.*, 1990; Templeton *et al.*, 1991).

To exclude the possibility that the observed differences of XX668 in growth suppression and flat cell formation at 32.5 and 37°C may be attributable to differences in transfection efficiencies or expression levels rather than to a functional temperature-sensitivity, we performed indirect immunofluorescence and immunoblotting. In a typical experiment, regardless of the temperature or of whether XX668 or wtpRb cDNA was used, ~30% of the transfected SAOS-2 cells became pRb positive (data not shown). In

 $37^\circ C$ wtpRb XX668 Δ 22 pRb \triangle µg cDNA 10 10 20 20 5 20 5 32.5°C XX668 wtpRb Δ 22 pRb Δ 22. µg cDNA 20 20 5 10 20 5 10

Fig. 3. Expression of wild-type and mutant pRb cDNAs in SAOS-2 cells. SAOS-2 cells, transfected as described in Figure 2B with the indicated amounts of ∆22, wtpRb or XX668 and incubated for 48 h post-transfection at 37 or 32.5°C, were lysed and aliquots were immunoblotted with an anti-pRb monoclonal antibody. The position of wtpRb and XX668 proteins (pRb) and the position of the slightly faster migrating ∆22 protein are indicated.

addition, protein levels expressed from increasing amounts of XX668 or wtpRb cDNA were essentially equal at both temperatures (Figure 3).

These data suggest that XX668 encodes a mutant pRb protein that is essentially wild-type for suppression of proliferation and flat cell formation at 32.5°C, but is only very weakly functional at 37°C, despite its persistence in the cell. XX668 therefore can be considered as a temperature-sensitive allele of *RB1*.

Biochemical properties of tspRb

We explored the biochemical properties of tspRb in an effort to understand the defect that inactivates the protein at the non-permissive temperature. Using an *in vitro* binding assay, we first determined if tspRb's ability to associate with the pocket-binding viral oncoprotein E1A could correlate with its temperature-dependent growth-suppressing function. Extracts from SAOS-2 cells transfected with either XX668, wtpRb or Δ 22, and cultured at 37 or 32.5°C, were mixed with E1A that was made as a GST fusion protein in *Escherichia coli* and incubated at 4°C. The fraction of pRb that was bound by the GST–E1A protein was visualized by immunoblot with a pRb-specific monoclonal antibody (Figure 4). Equal amounts of input pRb protein were assured by performing direct immunoblots with aliquots of the cell extracts used in the *in vitro* binding experiments (Figure 4, Input). Regardless of the temperature at which transfected cells had been cultured, only ~10% of tspRb was associated with the E1A oncoprotein when compared with wtpRb. Similar results were observed when *in vitro* binding was performed at 25 or 37°C (data not shown). Thus, the four amino acid insertion in the B-pocket of tspRb severely compromises the ability of the mutant protein to associate with E1A at both the permissive and the non-permissive temperatures. Further, based on the assay employed, there is no correlation between tspRb's ability to suppress cell growth and its ability to bind to the E1A oncoprotein.

Fig. 4. *In vitro* binding of pRb proteins to E1A. SAOS-2 cells were transfected with 20 µg of ∆22, wtpRb or tspRb (XX668) and maintained for 48 h post-transfection at 37 or 32.5°C. Aliquots of the different cell lysates were incubated with glutathione–Sepharose loaded with GST-E1A_{12S} or GST-pm928/961. Bound proteins were separated by SDS–PAGE and immunoblotted for pRb. To control the input of the pRb proteins, aliquots of the same lysates were immunoblotted directly for pRb (Input).

In contrast to E1A binding, nuclear association of pRb is well correlated to its functional state (Mittnacht and Weinberg, 1991; Mittnacht *et al.*, 1991; Templeton *et al.*, 1991). Functionally active pRb stably associates with nuclear structures, whereas pRb that has been inactivated by hyperphosphorylation or mutation fails to do so (Mittnacht and Weinberg, 1991). This biochemical property of pRb can be measured by treatment of the transfected cells with a detergent-containing buffer of low salt concentration. Under these conditions, functional pRb is retained in the nucleus, but inactive pRb is extracted (Mittnacht and Weinberg, 1991; Mittnacht *et al.*, 1994). To examine this property of tspRb, SAOS-2 cells were transfected with XX668, wtpRb or control vectors, cultured for 2 days at 32.5 or 37°C and finally lysed in a hypotonic buffer containing 0.1% Triton X-100. Lysates then were fractionated by low-speed centrifugation into a low-saltsoluble supernatant and an insoluble nuclear pellet fraction. Distribution of the pRb proteins to the fractions was determined by immunoblotting and is shown in Figure 5. As expected, wtpRb was found predominantly in the nuclear pellet fraction at both temperatures, whereas the majority of the tumor-derived mutant ∆22 was extracted into the soluble supernatant (low-salt extract). In contrast, tspRb was stably associated with the nucleus and therefore was detectable mainly in the nuclear pellet fraction at 32.5 but not at 37°C, where it was extracted efficiently into the low-salt fraction. Thus, tspRb encoded by XX668 is temperature-sensitive for nuclear tethering and, consistent with previous studies of tumor-derived mutants (Mittnacht and Weinberg, 1991; Mittnacht *et al.*, 1991; Kratzke *et al.*, 1994), this activity correlates well with its growth-suppressing function.

Viral oncoprotein binding to pRb is thought to mimic and to compete with the association of cellular proteins that can bind to the pocket domain of pRb. The best understood cellular targets of pRb are the members of the E2F family of transcription factors. Since physical association between E2F and pRb is postulated to result in transcriptional repression and growth arrest, we wished to determine if the defects in E1A binding, growth suppression and nuclear tethering displayed by tspRb were associated with an inability to suppress transcription and/

Fig. 5. Nuclear association of pRb. SAOS-2 cells were transfected with 20 µg of the indicated pRb expression construct and incubated at either 37 or 32.5°C. At 48 h after the removal of DNA precipitates, transfected cells were subjected to subcellular fractionation. Aliquots of the low-salt extract fraction and the nuclear pellet fraction were analyzed for pRb by immunoblotting with an anti-pRb-specific monoclonal antibody. The position of wtpRb and XX668 proteins (pRb) and the position of the slightly faster migrating ∆22 protein are indicated.

or bind to E2F. To determine the functional consequence of tspRb expression on E2F-dependent transcription, we employed a reporter consisting of the luciferase gene driven by the E2F-1 promoter. The E2F-1 promoter fragment used in this experiment has been shown to be subject to repression by pRb (Sellers *et al.*, 1995). This reporter construct was co-transfected with tspRb cDNA, wtpRb or control plasmids into SAOS-2 cells, and the cells were incubated at either 37 or 32.5°C. As shown in Figure 6A, increasing amounts of tspRb were much less effective in repressing the E2F-1 promoter at 37°C, but gained this ability with wild-type efficiency at 32.5°C. This result clearly demonstrates a temperature-dependent activity of tspRb in suppression of E2F-dependent transcription.

To determine if the inability of tspRb to repress transcription through E2F is due to an inability of this mutant protein to interact with E2F, we obtained an antibody against E2F-4 (kind gift of J.Lees, MIT, Cambridge, MA) and used this to immunoprecipitate E2F-4 from SAOS-2 cells transfected with the various pRb expression constructs. Because E2F-4 is the most abundant family member in SAOS-2 cells, we anticipated that pRb–E2F-4 complexes would be detectable without further overexpression of E2F-4 (J.Lees, personal communication). Figure 6B presents the results of an anti-pRb immunoblot of the anti-E2F-4 immunoprecipitate. Whereas ∆22 is incapable of associating with endogenous E2F-4, a clear signal is obtained when wtpRb is produced in the transfectants. Transfection with XX668 yields a tspRb protein that is clearly capable of interacting with E2F-4 at 37°C, but at a reduced level. This binding does not increase when the protein is produced at 32.5° C. These data suggest that tspRb binds E2Fs more weakly than wtpRb, and this binding is not temperature-sensitive. Thus it is possible that sufficient pRb–E2F complex is present to repress E2F-dependent transcription, but repression only occurs at 32.5°C, when tspRb gains transcriptional repressor ability through an as yet undetermined mechanism.

Inactivation of tspRb leads to DNA synthesis and apoptotic cell death

The data obtained so far clearly demonstrate that tspRb in SAOS-2 cells can induce cell cycle arrest, a senescencelike morphology and E2F repression in a temperaturedependent manner. We next wished to determine if tspRb produced at the permissive temperature could be inactivated by a shift to the non-permissive temperature, and

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ultimately wished to study the reversibility of the pRb phenotype. To determine if active tspRb can be inactivated after transient transfection of SAOS-2 cells, tspRbinduced, growth-arrested flat cells produced by a 1 week incubation at the permissive temperature were shifted back to the non-permissive temperature and scored for re-entry into S phase by measuring the ability to incorporate bromodeoxyuridine (BrdU) (Figure 7). Flat cells produced by wtpRb were found to be incapable of incorporating BrdU at either temperature, consistent with their arrest in G_1 (or G_0). Similarly, tspRb-produced flat cells at 32.5 \degree C could not incorporate this nucleotide. Strikingly, when the latter were shifted to 37°C, ~30% of the cells were found to incorporate detectable amounts of BrdU as early as 24 h after the shift. In contrast, growth-arrested cells produced by wtpRb expression at 32.5°C did not regain an ability to incorporate BrdU upon temperature upshift. Parental SAOS-2 cells permanently cultivated at 32.5 and 37°C and XX668-transfected SAOS-2 cells permanently cultivated at the non-permissive temperature were used as a positive control for BrdU incorporation (Figure 7). Thus, the block to S phase entry caused by tspRb appears to be rapidly reversible by temperature shift.

The apparent reversibiliy of the S phase block induced by tspRb allowed us to ask if the transiently growtharrested SAOS-2 cells could re-enter the cell cycle permanently and/or reverse the senescence-like morphology. Incorporation of BrdU into the tspRb-transfected, temperature-shifted SAOS-2 cells occurred while the cells still possessed the flat cell morphology. To determine if inactivation of tspRb would allow the cells to regain their normal shape and proliferation capacity, flat cells were produced by transient transfection of SAOS-2 cells with XX668 and wtpRb followed by drug selection for 7–10 days at 32.5°C. Flat cells were then removed from the drug and either further incubated at 32.5°C or shifted to 37 $^{\circ}$ C. Photographs of cells within a fixed area of 0.25 cm² were taken and the cells were counted on seven consecutive days (see Table I). While the number of wild-type transfected cells at both temperatures as well as tspRbexpressing cells at 32.5°C remained unchanged, tspRbexpressing flat cells at 37°C quickly disappeared from the plate, with loss of $\sim 50\%$ of the cells occurring within 24 h and loss of all cells occurring within 7 days after temperature shift. Periodic visual inspection of the remaining flat cells suggested that nuclear division commonly precedes the death of these cells, perhaps consistent with their ability to re-enter S phase prior to death

IP: anti-E2F4

Blot: anti-pRb

Fig. 6. Repression of E2F-dependent transcription and association of pRb proteins with E2F-4. (**A**) SAOS-2 cells were co-transfected with 5 μg of pGL2-AN, 2 μg of pCMV-βgal and 20 μg of pSVE or $Δ22$, or 5, 10 and 20 µg of wtpRb or XX668. The total amount of plasmid DNA was kept constant in all samples $(20 \mu g)$ by adding $pSVE$ plasmid DNA, where needed. Following transfection, cells were incubated for 48 h at 37 or 32.5°C, cell extracts were prepared and β-galactosidase and luciferase activities were assayed. Luciferase values were normalized for β-galactosidase activity and plotted relative to the activity observed for the reporter in the presence of pSVE. Data shown are representative of three experiments. (**B**) SAOS-2 cells were transfected with the indicated pRb-expressing constructs, incubated at either 37 or 32.5°C for 48 h and then subjected to lysis. Soluble proteins were immunoprecipitated with an anti-E2F-4 monoclonal antibody and the immunoprecipitates were subjected to immunoblot with anti-pRb antibodies.

(data not shown). Thus, flat cells produced by transient transfection with tspRb at the permissive temperature appear to display a reversible block to S phase entry, but are terminally blocked from proliferation, since they die after shift to the non-permissive temperature.

Because pRb loss has been reported to induce apoptosis (Lee *et al.*, 1994; Maandag *et al.*, 1994; Morgenbesser *et al.*, 1994; Macleod *et al.*, 1996; Zacksenhaus *et al.*, 1996), we wished to determine if the cell death we observed was apoptotic in nature. Therefore, we first attempted to block cell death by introducing Bcl-2 or adenovirus E1B 19K protein along with pRb. Interestingly, co-transfection of these anti-apoptotic genes along with tspRb prevented cell death upon temperature upshift (see Table I), suggesting that the observed cell death is apoptotic.

To quantitate the percentage of apoptotic cells within the total flat cell population, we determined the DNA content of the affected cells by flow cytometry analysis following propidium iodide staining (Figure 8). The percentage of apoptotic cells in the wtpRb-induced flat cell population was very low, usually $\leq 10\%$, regardless of the incubation temperature. Similary, flat cells produced with tspRb at 32.5°C did not contain very many apoptotic cells. In contrast, when the latter were shifted to 37° C, a significant number of cells with a sub- G_1 DNA content was observed 24 h after the shift, representing some 40% of the total cell population. At 48 h post-shift, the percentage of apoptotic cells was a little lower, but still significant at 30–40% of the total cell population. Cointroduction of either Bcl-2 or E1B 19K led to a 3- to 5-fold decrease in apoptotic cells. Furthermore, as a consequence of the additional expression of either apoptosis inhibitor, small, proliferating SAOS-2 cells, positive for the expression of tspRb, were generated (Figure 9).

Thus, cellular changes caused by prolonged pRb expression appear to preclude proliferation (but not cell cycle progression) upon removal of pRb as a result of programed cell death. Inhibition of this death by known protein inhibitors of apoptosis allows the outgrowth of colonies of pRb-positive, morphologically 'normal' SAOS-2 cells, suggesting that the flat cell phenotype can be reversed once the apoptotic signals are overcome.

Discussion

We have produced a genetically engineered pRb mutant, XX668, carrying an insertion of four amino acids at codon 668 in the B-pocket, that can exert very diverse effects on transiently transfected SAOS-2 cells, depending on the temperature at which the culture is maintained. At 37°C, despite its persistence in the cell, XX668, unlike wtpRb, is defective in flat cell formation, colony reduction and induction of G_1 arrest. In this respect, it behaves like tumor mutants described here and in earlier work (Templeton *et al.*, 1991; Hinds *et al.*, 1992). However, unlike these tumor mutants, XX668 was found to be indistinguishable from wtpRb for suppression of proliferation when the cells were kept at 32.5°C. Furthermore, at this temperature, it can induce formation of morphologically altered 'flat' cells very efficiently. Thus, XX668 encodes a mutant pRb protein that is essentially wild-type at the low temperature, but is only very weakly functional at 37°C. These findings strongly suggest that XX668 is a temperature-sensitive mutant of pRb.

Not surprisingly, the insertion in the B-pocket of tspRb severely compromised its ability to interact with E1A. tspRb interacts only weakly with E1A at 37°C, and this binding cannot be improved upon changing the

Fig. 7. Re-entry of tspRb-induced growth-arrested flat cells into S phase upon temperature shift to the non-permissive temperature. SAOS-2 cells were co-transfected with 1.5 µg of pBabepuro and 20 µg of wtpRb or tspRb (XX668). Following transfection, cells were subjected to drug selection at 32.5°C. In addition, tspRb-transfected cells were selected at 37°C. After 7–10 days, when only flat cells appeared on the plates, cells were removed from the drug and either incubated further at their former temperature or shifted from 32.5 to 37°C for 24 or 48 h. Shifted and indicated control cells were scored for re-entry into S phase by measuring their ability to incorporate BrdU. The percentage of BrdU-incorporating cells for each sample is shown in the graph. The results shown represent three independent experiments and the error bars indicate the standard deviations. Representative pictures of all samples stained for BrdU were taken at the same magnification.

temperature at which the protein is produced. Therefore, binding to E1A seems not to correlate with pRb's ability to suppress proliferation. This is consistent with previous studies in which pRb mutants with mutations within the B-pocket were reported that retained the ability to suppress cell proliferation but failed to associate with E1A (Mittnacht *et al.*, 1991; Kratzke *et al.*, 1994). A caveat to these E1A-binding experiments is that although tspRb proteins were produced in cells incubated at either the

permissive or non-permissive temperature, *in vitro* binding was performed at 4°C, raising the possibility that the temperature-sensitive nature of the interaction might be altered by exposure of tspRb to the low temperature. Several observations argue against this possibility. First, tspRb produced in cells incubated at either temperature displayed equivalent *in vitro* binding to E1A (and equivalent E2F-4 co-immunoprecipitation) rather than showing an increase at the functionally permissive temperature.

^aSAOS-2 cells were co-transfected with 1.5 µg of pBabepuro and 20 µg of wtpRb or tspRb (XX668) and 5 µg of Bcl-2 or E1B 19K, where

indicated. Following transfection, cells were subjected to drug selection at 32.5°C. After 7–10 days, when only flat cells appeared on the plate, cells were removed from the drug and either incubated further at 32.5° C or shifted from 32.5 to 37° C for up to 7 days.

bAverage cell numbers based on four experiments were calculated (see Materials and methods) and are presented relative to the cell numbers on day 0 of either temperature which were set as 100%.

This argues that the starting pools of conformationally wtpRb were equivalent, even if an effect of 4°C incubation might lead to partial restoration of pRb binding function. Second, incubation of GST–E1A with pRb-containing lysates at 25 or 37°C failed to increase the difference between wild-type and tspRb (data not shown), demonstrating that the temperature to which tspRb is subjected *in vitro* does not necessarily influence its E1A-binding ability. Finally, the XX668 mutant is also severely compromised in its ability to associate with the nucleus at 37°C, a loss of function common to inactive, tumorderived mutants (Mittnacht and Weinberg, 1991; Mittnacht *et al.*, 1991; Templeton *et al.*, 1991; Kratzke *et al.*, 1994) but, in contrast to E1A binding, tspRb produced at 32.5°C regains the ability to associate with the nucleus, suggesting a temperature-dependent structural alteration of the pocket region required for nuclear tethering. Because this property of tspRb is also assayed at 4°C, it is clear that some physical differences between tspRb produced at permissive and non-permissive temperatures persist *in vitro*.

It is believed that transcriptional repression of genes containing E2F sites mediated by complexes of pRb and E2F contributes significantly to pRb's role as a tumor suppressor. Consistent with this, we find that tspRb is much less effective than wtpRb in repressing the E2F-1 promoter at the non-permissive temperature, but gains this ability with wild-type efficiency at 32.5°C. This result clearly suggests a temperature-dependent activity of tspRb in suppression of E2F-dependent transcription, correlating with its nuclear tethering and proliferation-suppressing activity. Interestingly, our data suggest that the inability of tspRb to suppress transcription at the non-permissive temperature is not due simply to a defect in association with E2F, since we have observed equivalent levels of pRb–E2F complexes at both the permissive and nonpermissive temperatures. The XX668 mutation may thus be somewhat similar to a recently identified, low penetrance allele of *RB1*, called 661W, that is defective for E1A and E2F association, yet retains the ability to arrest cells (Kratzke *et al.*, 1994). However, as with E1A, E2F binding in the experiments described here was assayed after incubation of cell lysates at 4°C, and was restricted to analysis of an association with E2F-4. Although we cannot rule out entirely temperature-dependent affinity differences *in vivo*, the clear functional changes observed with tspRb are not accompanied by obvious changes in E1A or E2F association *in vitro*. Thus, it remains possible that

temperature-dependent interactions with one or more E2F family members within the cell may be found. Alternatively, tspRb may be defective for transcriptional repression but not E2F association.

We suspect that the temperature dependence of tspRb's ability to repress E2F-dependent transcription may be due to a defect in its ability to interact with the transcriptional machinery adjacent to the E2F-binding sites. It has been proposed that the pRb pocket, after being tethered to a specific promoter through E2F, could either bind surrounding transcription factors, preventing their interaction with the basal transcription machinery (TFIID-like factors; the factor PU.1) (Chow and Dean, 1996), or bind one or more unknown proteins 'X' that have an intrinsic repressor activity (Sellers *et al.*, 1995). Both possibilities would result in the repression of transcription of the affected gene. TspRb may be unable to form such contacts at the non-permissive temperature, but could regain this ability at the permissive temperature. The suppression of proliferation mediated by tspRb at the permissive temperature may thus result from one or more pRb-containing multiprotein complexes that act to repress the transcription of growth-promoting genes. Clearly, our knowledge of pRb's interaction with E2F only scratches the surface of pRb's true molecular role in proliferation control. The tspRb protein promises to be a powerful tool to unravel the molecular mechanism of pRb-mediated transcriptional repression and should also be a very useful tool for identifying genes that are turned on or off soon after temperature shift. Such genes would be good candidates for direct control by pRb. As a first step in the identification of such genes, we recently have established cell lines stably expressing tspRb that undergo growth arrest at the permissive temperature (F.Tiemann and P.W.Hinds, unpublished observations).

The utility of tspRb as a reagent to study pRb's molecular functions in tumor suppression is enhanced by its apparent ability to be inactivated efficiently by temperature upshift. Cell cycle re-entry of tspRb-arrested SAOS-2 cells occurred well within 24 h as determined by BrdU incorporation, suggesting pRb's control of E2F and other factors is rather rapidly reversible. Most interestingly, although these cells displayed a reversible block to S phase entry, they were terminally blocked from proliferation, since they died by apoptosis after shift to the nonpermissive temperature. An intriguing property of pRbexpressing SAOS-2 cells is the appearance of the so-

Fig. 8. Inactivation of tspRb after induction of the flat cell phenotype leads to apoptosis. SAOS-2 cells were co-transfected with 1.5 µg of pBabepuro and 20 µg of wtpRb or tspRb (XX668) and 5 µg of Bcl-2 or E1B 19K, where indicated. Following transfection, cells were subjected to drug selection at 32.5°C. After 7–10 days, when only flat cells appeared on the plate, cells were removed from the drug and either incubated further at 32.5°C or shifted from 32.5 to 37°C. WtpRb- and tspRb-transfected cells that were shifted from 32.5 to 37°C were harvested for flow cytometry analysis 24 and 48 h after temperature shift. All other cells were harvested for flow cytometry analysis 48 h after the former were shifted. Total flat cell populations were analyzed. Apoptosis was measured by the accumulation of cells with a sub- G_1 DNA content in an area indicated as M1. A representative FACS analysis is shown (A) . The graph (B) represents the percentage of cells with sub- $G₁$ content (apoptotic cells) with mean values derived from three independent experiments.

called flat cell phenotype (Templeton *et al.*, 1991; Hinds *et al.*, 1992; Zhu *et al.*, 1993; Qin *et al.*, 1995). It was suggested that these flat cells resemble the senescent phenotype of primary fibroblasts seen after extended time in culture (Templeton *et al.*, 1991), and that this biological activity of pRb may be a manifestation of a less reversible type of exit from the cell cycle leading to senescence or differentiation (Qin *et al.*, 1995; Weinberg, 1995). Prolonged expression of pRb may produce a 'terminal' pseudo-differentiated or senescent state characterized by

the flat cell phenotype that is distinct from pRb–E2F-type cell cycle arrest and which is poorly reversible upon loss of pRb. Indeed, some property of the flat cells themselves, such as cytoskeletal structure or cell shape, may contribute directly to the relative irreversibility of the arrested state. Thus, cellular changes caused by the prolonged expression of functional tspRb appear to preclude rather than restore proliferation (but not cell cycle progression) upon removal of a functional pRb as a result of programed cell death. Interruption of this apoptotic response could be achieved

tspRb/32.5

wtpRb/32.5

 $tspRb + Bcl-2$ \rightarrow 37 $tspRb + E1B19K - 37$

Fig. 9. Inhibition of cell death by apoptosis inhibitors allows the outgrowth of pRb-positive SAOS-2 cells with a normal cell morphology. SAOS-2 cells transfected with tspRb and the apoptosis inhibitors Bcl-2 or E1B 19K, respectively, or with tspRb or wtpRb that were generated at day 7 in the experiment described in Table I, were immunocytochemically stained for pRb and compared with SAOS-2 cells that were cultivated at 37 or 32.5°C. Representive photographs were taken at the same magnification.

by co-transfection of Bcl-2 or E1B 19K, which led to the outgrowth of small, proliferating SAOS-2 cells, positive for the expression of tspRb. As observed by the photographs taken, the outgrowth of colonies was rather slow, with the first appearance of colonies positive for pRb staining at day 7 after temperature shift to the nonpermissive temperature. This can be explained in part by the fact that the block to apoptosis was not complete (see Figure 8). Additionally, we cannot rule out a requirement for additional events to restore proliferative capacity to cells that have escaped apoptosis through expression of Bcl-2 or E1B 19K.

Given these results, it is of note that SAOS-2 cells express high levels of the CDK inhibitor gene encoding p16, which is overexpressed in senescent cells, and which is one of the candidate causal senescence genes (Smith and Pereira-Smith, 1996). Since loss of pRb in SAOS-2 cells may allow bypass of the putative p16 function in senescence (Sherr, 1996), re-introduction of a hypophosporylated form of pRb in pRb-negative tumor cells could promote a terminal cell cycle exit by switching on elements of a senescence program. The functional inactivation of pRb once the senescent phenotype is established may lead to apoptosis as a result of two conflicting signals: (i) a growth-promoting signal triggered by a deregulated E2F and (ii) a growth-inhibitory signal maintained by the senescence machinery. In support of this are studies that

suggest that several proteins that play a role in regulating cell cycle progression also have an apoptotic potential and that the apoptotic signal induced by such proteins is a direct consequence of conflicting growth control functions. Of particular interest are recent studies that suggest a p53 independent apoptotic activity of E2F-1 that is dependent on the deregulation of a functional pRb–E2F-1 repressor complex. Thus, derepression of genes containing E2F sites may lead directly to apoptosis if the cell's interpretation of its environment is otherwise at odds with a decision to proliferate (Hsieh *et al.*, 1997; Phillips *et al.*, 1997).

In summary, we propose that the introduction of a functional pRb protein into SAOS-2 cells acts as a negative epigenetic signal that is followed by the start of a senescence program (or alternatively a differentiation program) that in turn causes the observed S phase block accompanied by the shape change of the cells. Once the growth-inhibitory signal is established, removal of functional pRb restores S phase entry, but this may conflict with irreversible negative signals resulting from other downstream effects of pRb with the consequent death of the affected cells. Achieved here with a temperaturesensitive pRb, such a transitory reactivation of the pRb pathway has broader conceptual implications for cancer therapy, since techniques designed to restore the pRb pathway only temporarily in tumor cells may prove more efficient at cell killing than permanent expression of a given cell cycle regulator.

Materials and methods

Cell culture, plasmids and transfections

The human osteosarcoma cell line SAOS-2, subclone 2.4 (Hinds *et al.*, 1992) was used for all studies. Cells were maintained in Dulbecco's modified Eagle's medium (Gibco/BRL) supplemented with 15% heatinactivated fetal bovine serum in a 3% $CO₂$ incubator at 37°C.

All pRb expression plasmids were constructed in pSVE (Templeton *et al.*, 1991). The human pRb expression vector phRbc-SVE (here referred to as wtpRb) has been described previously (Templeton *et al.*, 1991; Hinds *et al.*, 1992) and was used in all transfection experiments to express wild-type pRb. Several mutant cDNAs were constructed in this vector by inserting an *Xho*I linker in-frame into different restriction sites at certain codons (Mittnacht *et al.*, 1991; Hinds *et al.*, 1992). HX108 has a 12 bp linker inserted at the *Hin*cII site at codon 108, resulting in the insertion of four amino acids (P-L-E-R) at this position. AcX414 has an insertion of four amino acids (I-P-R-G) at codon 414, resulting from a 10 bp linker inserted into an *Acc*I site. The mutant XX668 carries the 12 bp linker inserted at the *Xmn*I restriction site at codon 668, with four new amino acids (R-S-S-G) at this position. AX763 was constructed by inserting a 12 bp linker into an *Alw*NI site at codon 763, and has three new amino acids (P-L-E) at this position.

The expression vector pSV∆22 encoding a pRb protein deleted in exon 22 (here referred to as ∆22) was used as a negative control for pRb expression and function. The ∆22 cDNA was derived from the NCI-H592 small cell lung carcinoma (Horowitz *et al.*, 1990) and subcloned into pSVE (Templeton et al., 1991).

The vector pBabepuro (Morgenstern and Land, 1990) was used to mediate resistance to puromycin.

SAOS-2 cells $(1-2\times10^6)$ were transfected with the indicated plasmids on 10 cm dishes for 18 h by using the $2 \times$ Bes-buffered saline $(2 \times$ BBS)/calcium phosphate method of Chen and Okayama (1987), with modifications as described previously (Hinds *et al.*, 1992).

Flat cell assay and colony formation assay

For flat cell assays and colony formation assays, cells were transiently transfected with 1.5 µg of pBabepuro and 20 µg of the indicated pRb expression plasmid. Following transfection, cells were plated at 5×10^5 per 10 cm dish. Puromycin (Sigma) was added at 0.5 µg/ml 24 h after plating and cells were selected at either 37 or 32.5°C. After 7–10 days of selection, cells were stained with crystal violet and flat cells were quantitated as described by Hinds *et al.* (1992). For the quantitation of colonies, cells were stained with crystal violet after 14 days of selection, when macroscopic colonies became detectable. Since no macroscopic colonies were detectable at this time on plates maintained at 32.5°C, microcolonies were quantitated as described for the flat cells.

Flow cytometry analysis

Flow cytometry analysis for the determination of cell cycle profiles of pRb-transfected cells was performed as described previously for pRb and p107 (Zhu et al., 1993). Briefly, 2 µg of the expression plasmid for the B cell surface marker CD20 (pCMVCD20) (van den Heuvel and Harlow, 1993) was co-transfected with the indicated amounts of pRb expression constructs into SAOS-2 cells. At 48 h after the removal of DNA precipitates, cells were rinsed off the plates with phosphatebuffered saline (PBS) containing 0.1% EDTA, pelleted, and stained with 20 µl of a fluorescein isothiocyanate (FITC)-conjugated anti-CD20 monoclonal antibody (PharMingen). Subsequently, cells were fixed with 90% ethanol on ice for several hours. Before flow cytometry analysis, the cells were treated with 200 µg/ml RNase A for 15 min at 37°C and stained with a solution containing 20 µg/ml of propidium iodide. Flow cytometry analysis was performed on a Becton-Dickinson FACScan. The intensity of propidium iodide staining was analyzed with the CellFIT Cell Cycle Analysis software to determine the DNA content and hence the cell cycle profiles on cells that were positive for FITC staining. We observed small variations in the G_1 , S and G_2/M populations between samples that were transfected independently with the same plasmids. However, the differences between controls and testing samples observed in each particular experiment were significantly consistent in all separate experiments.

Immunoblotting, immunoprecipitations and in vitro binding assay

The expression of transfected cDNAs was monitored by immunoblotting. Aliquots of SAOS-2 cells, transfected with the indicated amounts of pRb expression constructs for cell cycle analysis, reporter assays or *in vitro* binding assays, were lysed in ELB (50 mM HEPES, pH 7.2; 250 mM NaCl; 2 mM EDTA; 0.1% NP-40) 48 h after the removal of DNA precipitates as described (Latham *et al.*, 1996). Protein concentrations in the cell lysates were determined by the Bio-Rad protein assay. Proteins were separated by SDS–PAGE and transferred to nitrocellulose by standard procedures. pRb proteins were monitored by blotting with the monoclonal antibodies 245 (PharMingen) or Ab-5 (Oncogene Science). Detection was performed, after incubation with peroxidase-conjugated donkey anti-mouse IgG (Jackson Immunoresearch), by enhanced chemiluminescence (Amersham), as described previously (Latham *et al.*, 1996).

For E2F-4 immunoprecipitations, lysates containing 200 µg of protein were subjected to immunoprecipitation with 100 µl of hybridoma supernatant from the monoclonal antibody 2-4/E9 (kind gift from J.Lees), as described (Latham *et al.*, 1996).

Plasmids encoding the GST-E1A_{12S} and E1A_{12S} pm928/961 fusion proteins (Kraus *et al.*, 1994) were used to produce the proteins for the GST pull down experiments. GST fusion proteins were induced, purified and recovered on glutathione–Sepharose beads as described earlier (Kaelin *et al.*, 1991). The beads were rocked with aliquots of cell lysates for 2 h at 4°C and then washed five times with ELB. The beads were then boiled in sample buffer and bound proteins were resolved by SDS– PAGE. pRb proteins were visualized by immunoblotting as described above. With each aliquot of cell lysate, ~150 µg of total protein was analyzed.

Nuclear extraction

SAOS-2 cells were transfected with 20 µg of the indicated pRb expression construct and incubated at either 37 or 32.5°C. At 48 h after the removal of DNA precipitates, transfected cells were subjected to subcellular fractionation as described previously (Mittnacht and Weinberg, 1991). Briefly, cells were lysed in a hypotonic buffer (10 mM HEPES-KOH, pH 7.9; 10 mM KCl; 1.5 mM MgCl₂; 0.5 mM dithiothreitol) containing 0.1% Triton X-100, and fractionated by low-speed centrifugation into a low-salt-soluble supernatant and an insoluble nuclear pellet. The insoluble nuclear pellet fraction then was extracted further with ELB. pRb in aliquots of both extracts was detected by immunoblotting as described above. To control for pRb expression, a small aliquot of each sample was lysed directly in ELB and monitored for pRb expression by immunoblotting.

E2F transcription assay

Luciferase assays using the luciferase reporter plasmid pGL2-AN, containing the E2F-1 promoter upstream of the luciferase cDNA (Neuman *et al.*, 1994), were performed according to standard protocols (Ausubel *et al.*, 1990). Cells were co-transfected with 5 µg of pGL2-AN, 2 µg of pCMV-βgal and the indicated amount of different pRb expression plasmids, and incubated at 37 or 32.5°C. At 48 h after the removal of DNA precipitates, β-galactosidase and luciferase activities were assayed.

Analyses of DNA synthesis and cell death, and immunocytochemical staining for pRb

SAOS-2 cells were transfected with 1.5 µg of pBabepuro, 20 µg of the indicated pRb expression plasmid and 5 $\mu\mathrm{g}$ of either the Bcl-2 expression vector SFFV-Bcl-2 (kindly provided by Dr S.Korsmeyer) or the E1B 19K expression vector pCMV-E1B 19K (Han *et al.*, 1996), when indicated. Following transfection, cells were plated and selected at 32.5° C as described for the flat cell assays. After 7–10 days, when only flat cells appeared on the plates, cells were removed from the drug and either incubated further at 32.5°C or shifted to 37°C. Treated cells as well as control cell populations were then scored for (i) DNA synthesis by measuring their ability to incorporate BrdU, (ii) cell death by cell number comparison and flow cytometry analysis of propidium iodidelabeled cells and (iii) pRb expression by immunocytochemical staining for pRb.

(i) BrdU incorporation was detected exactly as described earlier (Latham *et al.*, 1996). At least 100 nuclei per sample were counted.

(ii) To compare cell numbers, photographs of cells within a fixed area of 0.25 cm^2 were taken on seven consecutive days and the cells in this fixed area were counted. Relative cell numbers based on several experiments are presented. Flow cytometry analysis was carried out and total flat cell populations were gated and analyzed as described (Phillips *et al.*, 1997).

(iii) The immunocytochemical staining for pRb was performed as described (Latham *et al.*, 1996).

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