





ORIGINAL ARTICLE

Proenkephalin A 119-159 (penKid) and mortality in stable patients at high cardiovascular risk

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ABSTRACT

Background. Proenkephalin A 119–159 (penKid) is a novel blood biomarker for real-time assessment of kidney function and was found to be independently associated with worsening kidney function and mortality. A novel penKid-based estimated glomerular filtration rate equation (eGFR_{penKid-Crea}), outperforms current creatinine-based eGFR equations in predicting iothexol or iothalamate plasma clearance-based measured GFR. In this study, we aimed to evaluate the predictive value of penKid and eGFR_{penKid-Crea} for all-cause mortality in stable patients at high cardiovascular risk.

Methods. Circulating penKid levels were assessed in 615 stable patients hospitalized at the Department of Cardiology at University Hospital Aachen, Germany. The endpoint was all-cause mortality; follow up was 3 years.

Results. penKid levels were higher in 46 non-survivors [58.8 (IQR 47.5–85.0) pmol/l] compared to 569 survivors [43.8 (IQR 34.0–58.0) pmol/l; $P < .0001$]. Univariable Cox regression analyses found penKid and eGFR_{penKid-Crea} to be associated with all-cause mortality (C index 0.703, χ^2 33.27, $P < .00001$; C index 0.716, χ^2 36.51, $P < .00001$). This association remained significant after adjustment for significant baseline parameters including age, smoking, chronic heart failure, use of diuretics, leucocytes, body mass index, sex, and creatinine (C index 0.799, χ^2 72.06, $P < .00001$). Importantly, penKid provided significant added value on top of eGFR_{CKD-EPI 2021} (eGFR_{CKD-EPI 2021}: C index 0.716, χ^2 34.21; eGFR_{CKD-EPI 2021} + penKid: C index 0.727, χ^2 : 40.02; Delta χ^2 5.81; all $P < .00001$) for all-cause mortality prediction in our cohort.

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Conclusions. penKid levels and $eGFR_{PENK-Crea}$ is associated with all-cause mortality within a 3-year follow-up period and the addition of penKid on top of $eGFR_{CKD-EPI 2021}$ provided significant added value in mortality prediction.

Keywords: high cardiovascular risk, mortality prediction, proenkephalin A 119-159, study

KEY LEARNING POINTS

What was known:

- PenKid is a novel blood biomarker for real-time assessment of kidney function and was found to be independently associated with worsening kidney function and mortality.

This study adds:

- PenKid and the novel $eGFR_{PENK-Crea}$ are strong biomarkers for all-cause mortality in stable patients with high cardiovascular risk. The addition of penKid on top of creatinine or $eGFR_{CKD-EPI 2021}$ provides significant add-on value in mortality prediction.

Potential impact:

- Future studies in larger cohorts with external validation are needed to investigate the impact of routine penKid measurement on clinical decision-making in stable patients at high cardiovascular risk.

INTRODUCTION

Proenkephalin A 119–159 (penKid) is a novel blood biomarker for real-time assessment of kidney function [1]. Endogenous opioids, including enkephalins (i.e. met-enkephalin, leu-enkephalin), exert various physiological functions when binding to delta opioid receptors. Enkephalins and the stable surrogate marker penKid originate from proteolytic cleavage of its precursor preproenkephalin A. In addition to neuronal, cardiac, and intestinal tissue, delta opioid receptors are highly prevalent in the kidney and are discussed to stimulate kidney function when activated [2–4]. The predictive value and performance of penKid as a biomarker was evaluated in various cohorts for clinical outcomes. As penKid measurements provide real-time assessment of kidney function, it detects acute kidney injury (AKI) within 48 hours and 7 days, whereas current creatinine-based estimations [3] show a 24–48-hour delay in detection of deteriorating kidney function. In patients after myocardial infarction, with acute heart failure or sepsis, or in critically ill burn patients, penKid was found to be independently associated with worsening kidney function and mortality [5–9]. A novel penKid-based estimated glomerular filtration rate equation ($eGFR_{PENK-Crea}$) that incorporates creatinine and age has been evaluated in a study and was superior to current creatinine-based $eGFR$ equations such as MDRD or CKD-EPI 2009 (not superior to CKD-EPI 2021) in predicting iohexol or iothalamate plasma clearance-based measured GFR [10]. In this study, we aimed to evaluate the predictive value of penKid and $eGFR_{PENK-Crea}$ for all-cause mortality in stable patients at high cardiovascular risk.

MATERIALS AND METHODS

Study population

In this cohort study, 956 hemodynamically stable patients hospitalized due to different internal medical diseases/causes at high cardiovascular risk with or without type 2 diabetes (T2D), coronary artery disease (CAD), chronic kidney disease (CKD), and/or chronic heart failure (CHF) were recruited from the Department of Cardiology at University Hospital Aachen, RWTH Aachen University, Germany, from February 2012 to June 2016.

Inclusion criterion was age ≥ 18 years. Exclusion criteria were critical illness (e.g. hemodynamically unstable patients) and failure to give written informed consent. Personal history, including cardiovascular diseases, comorbidities, cardiovascular risk factors, and medication, was obtained from all patients at baseline. Human biosamples were processed and stored by the RWTH centralized Biomaterial Bank (RWTH cBMB, Aachen, Germany) and provided in accordance with the regulations of the RWTH cBMB. Centralized biobanking was approved by the Ethics Committee of the Medical Faculty Aachen (EK 206/09). The primary endpoint of the study was all-cause mortality, and the follow-up period lasted for a duration of 3 years. Follow up was performed by structural questionnaire. The study protocol complies with the ethical guidelines of the Declaration of Helsinki (Hong Kong Amendment) and Good Clinical Practice (European guidelines) and was approved by the local ethics committee (EK 206/09). All patients provided written informed consent.

Laboratory measurements

Serum chemistry data including hematology, lipid profile, glucose metabolism, estimated glomerular filtrated rate ($eGFR_{CKD-EPI 2021}$), and NT-proBNP were obtained at hospital admission. PenKid was measured in EDTA plasma samples using the immunoluminometric sphingotest[®] assay (SphingoTec GmbH, Hennigsdorf, Germany) as described previously [11]. The laboratory performing of the biomarker measurement was blinded to clinical and demographic data of the patients. The 97.5th percentile in healthy adult subjects is 89 pmol/l (90% CI 85–118 pmol/l). The upper normal range (89 pmol/l) is also the clinical cut-off for diagnosis of AKI. For estimation of glomerular filtration rate $eGFR_{PENK-Crea}$ (ml/min/1.73 m²) the following algorithm incorporating age, penKid, and creatinine levels, as developed by Beunders et al. [10], was used:

$$eGFR_{PENK-Crea} = 84 + 72.5 * \tanh[5.5 - 1.10 * \log(\text{penKid}) - 1.29 * \log(\text{creatinine}) - 0.63 * \log(\text{age})]$$

Table 1: penKid levels in 615 stable patients at high cardiovascular risk.

	n	penKid all patients (n = 615)	penKid <38.1 pmol/l (n = 207)	penKid > 38.1 and <54.0 pmol/l (n = 203)	penKid > 54.0 pmol/l (n = 205)	P
Age (years)	615	66 [56-74]	59 [52-67]	66 [55-73]	74 [66-78]	<.0001
Male: no. (%)	615	469 (76.3)	175 (84.5)	164 (80.8)	130 (63.4)	<.0001
BMI (kg/m ²)	607	27.3 [24.60-30.25]	28.4 [25.3-31.6]	27 [24.7-29.7]	26.8 [24.1-29.5]	.0005
Hypertension: no. (%)	613	435 (71)	136 (65.7)	147 (72.8)	152 (74.5)	.1137
Smoking, current: no. (%)	613	147 (24)	59 (28.5)	52 (25.7)	36 (17.6)	.0001
Type 2 diabetes: no. (%)	615	168 (27.3)	53 (25.6)	58 (28.6)	57 (27.8)	.7821
Glycated hemoglobin: no. (%)	457	5.7 [5.4-6.4]	5.7 [5.4-6.5]	5.7 [5.4-6.3]	5.75 [5.40-6.32]	.8841
History of CAD: no. (%)	567	461 (81.3)	158 (82.3)	154 (81.1)	149 (80.5)	.9039
History of CHF: no. (%)	566	338 (59.7)	101 (52.6)	106 (58.6)	131 (67.9)	.0087
Total cholesterol (mg/dl)	448	180 [152.75-209.00]	181 [153-215]	173.5 [149-196]	182 [155-206]	.2931
LDL-C (mg/dl)	436	78 [49-117]	87 [59-120]	73.5 [48.5-111.5]	74 [48-117]	.3731
HDL-C (mg/dl)	430	63 [44-101]	54 [41-92]	64 [43-99]	70 [46-103]	.1016
Triglycerides (mg/dl)	389	128 [94-183]	132 [97-204]	129 [94-183]	119 [91.5-175.5]	.2257
Leukocytes -/nl	612	7.5 [6.20-9.03]	7.8 [6.4-9.3]	7.6 [6.3-9.2]	7.2 [6.00-8.55]	.0304
CRP (mg/l)	543	5 [5-10.35]	5 [5-13]	5 [5-8]	5 [5-11]	.0437
NT-proBNP (pg/ml)	476	423.5 [134-1270]	209 [84.25-520.75]	492 [141-1193]	666 [254-2660]	<.0001
Creatinine	615	1 [0.81-1.10]	0.9 [0.78-1.00]	1 [0.9-1.1]	1.1 [0.9-1.4]	<.0001
eGFR _{MDRD} (ml/min/1.73 m ²)	615	74 [62-86]	84 [75-99]	74 [65-85]	61 [45-73]	<.0001
eGFR _{CKD-EPI 2021} (ml/min/1.73 m ²)	615	83.13 [67.56-96.11]	94.4 [84.73-102.08]	82.49 [70.66-94.19]	65.98 [48.82-82.20]	<.0001
eGFR _{penKid-Crea} (ml/min/1.73 m ²)	615	85.24 [69.89-98.52]	102.46 [94.75-108.87]	83.94 [77.14-90.97]	63.42 [51.21-73.08]	<.0001
Left ventricular systolic function - %	506	52 [45.25-55.75]	52 [50-56]	52 [42-55]	52 [43-55]	.0227
Medication: no. (%)						
Antiplatelets	587	473 (80.6)	157 (80.1)	160 (82.1)	156 (79.6)	.8103
Oral anticoagulants	587	186 (31.7)	51 (26)	62 (31.8)	73 (37.2)	.0577
Diuretics	587	315 (53.7)	83 (42.3)	106 (54.4)	126 (64.3)	.0001
Statins	587	457 (77.9)	159 (81.1)	150 (76.9)	148 (75.5)	.3797
Calcium channel blockers	587	113 (19.3)	34 (17.3)	45 (23.1)	34 (17.3)	.2528
Beta blockers	587	494 (84.2)	164 (83.7)	162 (83.1)	168 (85.7)	.7552
RAAS inhibitors	587	488 (83.1)	162 (82.7)	162 (83.1)	164 (83.7)	.9639
Insulin	587	48 (8.2)	12 (6.1)	17 (8.7)	19 (9.7)	.4110
Metformin	587	80 (13.6)	27 (13.8)	31 (15.9)	22 (11.2)	.4028

Values are expressed as medians and interquartile ranges (IQR), or counts and percentages. Significant P-values are highlighted in bold.

BMI = body mass index, CKD-EPI 2021 = Chronic Kidney Disease Epidemiology Collaboration, HDL-C = high density lipoprotein cholesterol, CRP = c-reactive protein, LDL-C = low density lipoprotein cholesterol, MDRD = Modification of Diet Renal Disease, RAAS = renin-angiotensin-aldosterone system

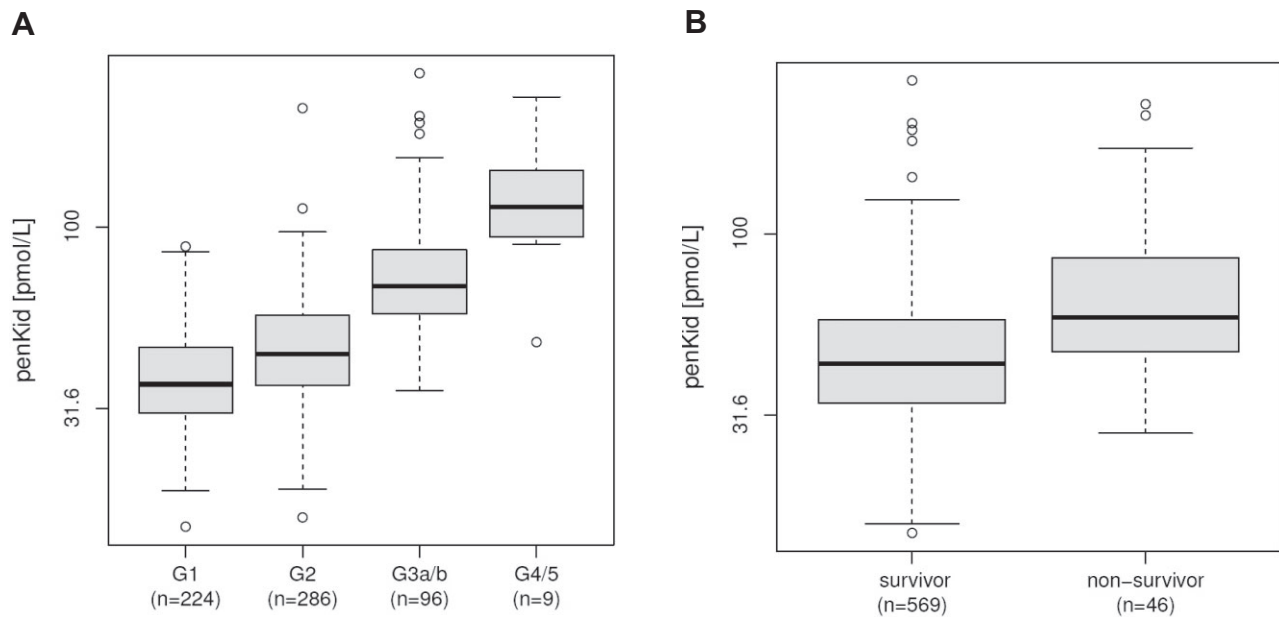


Figure 1: (A) PenKid levels (pmol/l) at baseline in patients with eGFR >90 ml/min/1.73 m² (G1), between 60 and 90 ml/min/1.73 m² (G2), between 30 and 60 ml/min/1.73 m² (G3a/b), and <30 ml/min/1.73 m² (G4/5), $P < .001$, ANOVA all comparisons. (B) PenKid levels (pmol/l) at baseline in survivors compared to non-survivors (all-cause mortality) within 3 years.

Statistical analysis

After exclusion of 341 patients (missing follow up and missing values of penKid and creatinine), 615 patients were analyzed. Values are expressed as medians and interquartile ranges (IQR), or counts and percentages, as appropriate. Group comparisons of continuous variables were performed using the Kruskal–Wallis test. Categorical data were compared using Pearson's chi-squared (χ^2) test for count data. Biomarker data were log-transformed. The predictive value of each model was assessed by the model likelihood ratio chi-square statistic. The concordance index (C index) is given as an effect measure. Survival curves plotted by the Kaplan–Meier method were used for illustrative purposes, and log-rank P values computed if pre-specified cut points were applied. All statistical tests were two-tailed and a two-sided P value of .05 was considered significant. The statistical analyses were performed using R version 4.2.2 (<http://www.r-project.org>, library rms, Hmisc, ROCR) and Statistical Package for the Social Sciences (SPSS) version 22.0 (SPSS Inc., Chicago, IL, USA).

RESULTS

Baseline characteristics are shown in Table 1. The median age of study participants was 66 (IQR 56–74) years, 76.3% were male, with a median BMI of 27.3 (IQR 24.6–30.3) kg/m² and a median eGFR_{CKD-EPI 2021} of 83.1 (IQR 67.6–96.1) ml/min/1.73 m². Of the patients in our cohort, 81.3% have been previously diagnosed with CAD, a history of hypertension in 71%, T2D in 27.3%, current smoking in 24%, and presence of CHF in 59.7% of all patients. Patients had median low density lipoprotein cholesterol levels of 78 (IQR 49–117) mg/dl.

Median penKid levels were 44.5 (IQR 34.7–59.3) pmol/l and median eGFR_{PENK-Crea} was 85.24 (69.9–98.5) ml/min/1.73 m² in the 615 patients of our cohort at baseline. Highest penKid levels were found in patients with eGFR_{CKD-EPI 2021} <30 ml/min/1.73 m²

(G4/5) [113.4 (IQR 93.8–143.4) pmol/l]. Further, penKid levels were higher in patients with eGFR_{CKD-EPI 2021} 30–60 ml/min/1.73 m² (G3a/b) [68.75 (IQR 57.6–86.1) pmol/l] compared to patients with eGFR_{CKD-EPI 2021} 60–90 ml/min/1.73 m² (G2) [44.7 (IQR 36.5–57.1) pmol/l]. Lowest penKid levels were found in patients with eGFR_{CKD-EPI 2021} >90 ml/min/1.73 m² (G1) [36.8 (IQR 30.6–46.4) pmol/l] (Fig. 1A; $P < .001$, ANOVA all comparisons).

Over a 3-year follow-up period, a total of 46 patients died. PenKid levels were higher in 46 non-survivors [58.8 (IQR 47.5–85.0) pmol/l] compared to 569 survivors [43.8 (IQR 34.0–58.0) pmol/l; $P < .0001$] (Fig. 1B). Kaplan–Meier plots based on creatinine, penKid, eGFR_{CKD-EPI 2021}, and eGFR_{PENK-Crea} indicate the survival rate in our cohort of stable patients at high cardiovascular risk (Fig. 2A–D). The model likelihood ratio (LR) χ^2 statistics and the C index indicate the predictive value of penKid and are summarized in Table 2. Univariable Cox regression analyses found penKid and eGFR_{PENK-Crea} to be associated with all-cause mortality (C index 0.703, χ^2 33.27, $P < .00001$; C index 0.716, χ^2 36.51, $P < .00001$). This association remained significant after adjustment for significant baseline parameters including age, smoking, CHF, use of diuretics, leucocytes, body mass index, sex, and creatinine (C index 0.799, χ^2 72.06, $P < .00001$). Importantly, penKid provided significant added value on top of eGFR_{CKD-EPI 2021} (eGFR_{CKD-EPI 2021}: C index 0.716, χ^2 34.21, $P < .00001$; eGFR_{CKD-EPI 2021} + penKid: C index 0.727, χ^2 40.02, $P < .00001$) for all-cause mortality prediction in our cohort.

DISCUSSION

Consequently, in this study penKid levels were associated with poor kidney function (eGFR_{CKD-EPI 2021}) in stable patients at high cardiovascular risk. Moreover, we found penKid levels and eGFR_{PENK-Crea} to be associated with all-cause mortality within a 3-year follow-up period. Importantly, the addition of penKid on

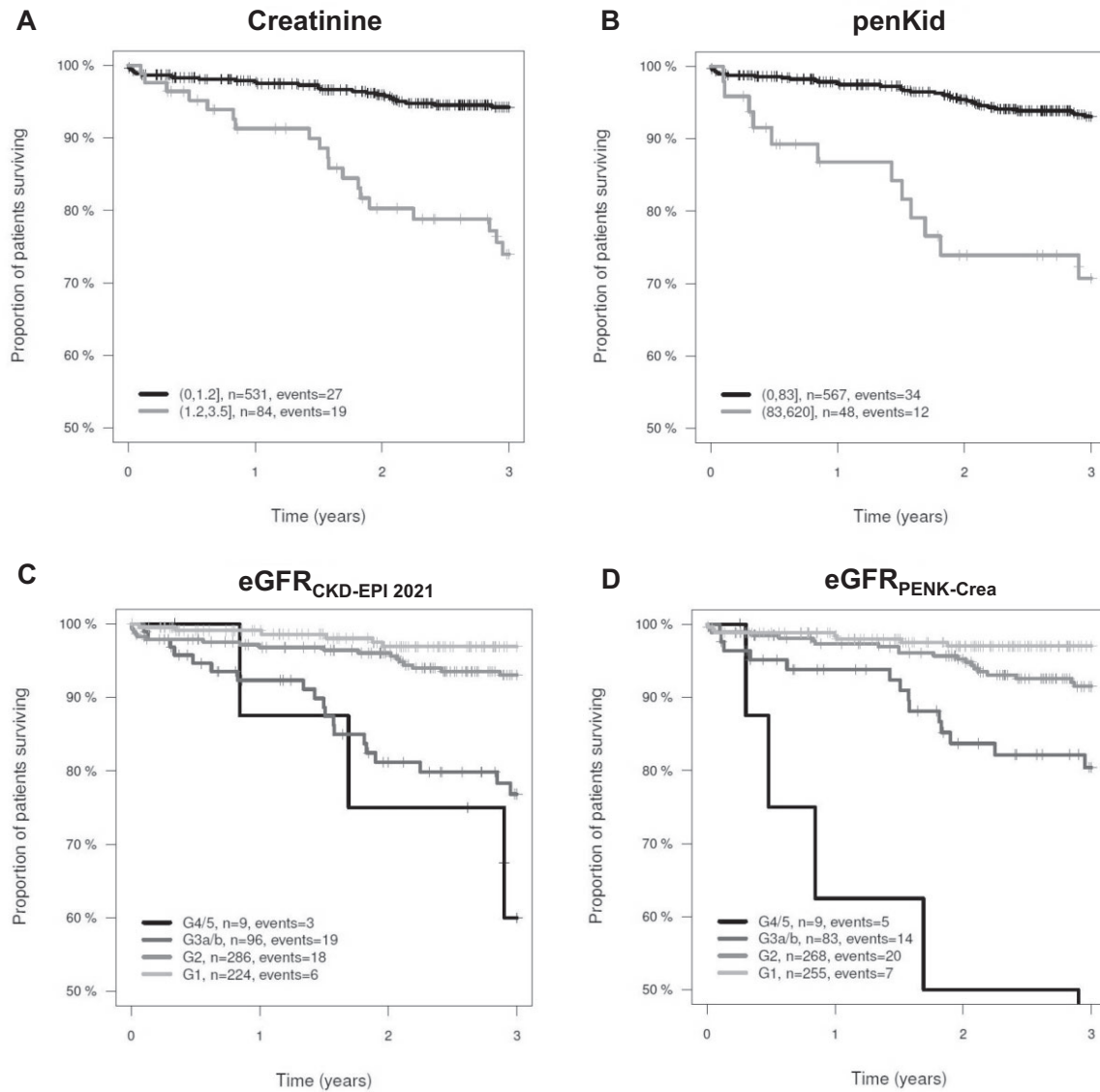


Figure 2: Kaplan-Meier plots for creatinine (LR $P = .00001$) (A), penKid (LR $P < .00001$) (B), $eGFR_{CKD-EPI\ 2021}$ (LR $P < .00001$) (C) and $eGFR_{PENK-Crea}$ (LR $P < .00001$) (D).

Table 2: Uni- and multivariable Cox regression analysis for all-cause mortality within 3 years.

Model	n	events	χ^2 test	d.f.	LR P value	C index [95 % CI]
Age	615	46	34.99	1	<.00 001	0.736 [0.675, 0.797]
penKid	615	46	33.27	1	<.00 001	0.703 [0.625, 0.780]
$eGFR_{CKD-EPI\ 2021}$	615	46	34.21	1	<.00 001	0.716 [0.640, 0.793]
$eGFR_{PENK-Crea}$	615	46	36.51	1	<.00 001	0.716 [0.635, 0.797]
$eGFR_{CKD-EPI\ 2021} + penKid$	615	46	40.02	2	<.00 001	0.727
Model 1	604	45	68.33	8	<.00 001	0.795
Model 1 + penKid	604	45	72.06	9	<.00 001	0.799

CKD-EPI 2021 = Chronic Kidney Disease Epidemiology Collaboration, d.f. = number of degrees of freedom, LR = likelihood ratio.
Model 1 includes age, smoking, CHF, use of diuretics, leucocytes, body mass index, sex, and creatinine.

top of $eGFR_{CKD-EPI\ 2021}$ provided significant added value in mortality prediction.

To the best of our knowledge, this is the first study (i) demonstrating penKid levels to be associated with all-cause mortality providing added value in mortality prediction on top of

$eGFR_{CKD-EPI\ 2021}$ in stable patients at high cardiovascular risk and (ii) showing an association of the novel $eGFR_{PENK-Crea}$ equation with all-cause mortality in a real-world patient cohort.

This study has certain limitations. The follow-up period was limited to 3 years, which may not capture long-term outcomes.

Furthermore, our cohort included only a few patients with severe CKD restricting the reliability of the results in this subpopulation of patients with high cardiovascular risk. Moreover, the absence of comparison with cystatin C is an important limitation of our study. Future studies testing penKid should include measurements of cystatin C.

In conclusion, our study highlights a significant association between elevated penKid levels and impaired kidney function in stable patients at high cardiovascular risk. Furthermore, penKid provides added value on top of eGFR_{CKD-EPI 2021} in prediction of all-cause mortality. Future studies in larger cohorts with external validation are needed to investigate the impact of routine penKid measurement on clinical decision-making in stable patients at high cardiovascular risk.

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DATA AVAILABILITY STATEMENT

The data underlying this article will be shared on a reasonable request to the corresponding author.

CONFLICT OF INTEREST STATEMENT

B.K. has received a travel reimbursement from SphingoTec/4TEEN4. F.K. has served as a speaker for Novo Nordisk, AstraZeneca, DGK-Akademie, consulted Novo Nordisk, Bayer, PricewaterhouseCoopers/Strategy&, received personal fees from Amgen, Novo Nordisk, Boehringer Ingelheim and Lilly and travel reimbursement from SphingoTec/4TEEN4. M.L. received

grants and personal fees from Boehringer Ingelheim, grants and personal fees from MSD, grants and personal fees from Novo Nordisk, personal fees from Amgen, Sanofi, Astra Zeneca, Bayer, Lilly, Abiomed, Daiichi Sankyo. N.M. has served as speaker for Amgen, Bayer, Boehringer Ingelheim, Sanofi-Aventis, MSD, BMS, AstraZeneca, Lilly, Novo Nordisk. N.M. declines all personal compensation from pharma or device companies. M.S. received personal fees from Novartis, Pfizer, Berlin Chemie, Vantis, Daiichi Sankyo, AstraZeneca, Sanofi, Bayer. O.H. and F.D.L.S. are employed by SphingoTec GmbH, the company providing the assay for penKid measurements. SphingoTec GmbH had no influence on the design of the study. All other authors have no conflict of interest to declare.

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