# **MINIREVIEWS**

## Degradation or Maintenance: Actions of the Ubiquitin System on Eukaryotic Chromatin

Helle D. Ulrich\*

*Max Planck Institute for Terrestrial Microbiology, D-35043 Marburg, Germany*

Regulation of gene expression by transcriptional repression or activation has long been recognized as an effective means to control a biological process. However, while the initiation of an event can be governed by turning on the gene encoding a key rate-limiting enzyme mediating the respective action, its termination must often be equally tightly controlled by inactivating the responsible factor. Complete destruction is possibly the most effective way of ensuring the irreversible inactivation of a protein; consequently, all organisms employ intracellular proteolytic systems for the selective removal of "unwanted" proteins. This category includes short-lived regulatory factors as well as proteins that have been damaged or incapacitated by heat or other types of stress or toxic agents. In eukaryotes, regulated proteolysis is mediated largely by the 26S proteasome, a multicatalytic protease that consists of a barrel-shaped proteolytic 20S core particle in association with a 19S cap complex (20, 126). In contrast to a large portion of bulk protein turnover, which is mediated by vacuolar or lysosomal proteases, proteolysis by the 26S proteasome is energy dependent, due to the presence of ATPases of the AAA type within the 19S cap, which are responsible for unfolding the target proteins (135). Simpler versions of the 20S core and its associated ATPase subunits are known in archaebacteria and some eubacteria (76, 132). Even those bacteria that lack a conserved 20S particle employ a related strategy for energy-dependent proteolysis, using proteases that resemble the proteasome core particle architecturally and associate with a specific AAA ATPase subunit (6, 93, 132).

While in bacteria the protease itself—possibly in cooperation with accessory factors—is responsible for the recognition of relevant target proteins, eukaryotes have separated substrate selection from the actual proteolytic step, thereby greatly expanding the range and flexibility of possible degradation signals. Here the signal that elicits the degradation of a protein is its modification by ubiquitin, a small, highly conserved polypeptide unique to eukaryotes but ubiquitous among them (41, 89). Ubiquitin is covalently attached to a target protein in the form of polymeric chains, and these multiubiquitin chains generally serve as a recognition signal for the 26S proteasome (13). Thus, the task of correctly identifying and marking a protein for removal falls upon the enzymatic machinery that mediates the ubiquitin conjugation reaction.

The function of the ubiquitin system in protein degradation—target selection—is widely established. It is becoming clear, however, that modification of a protein by ubiquitin may serve other than degradative purposes (91). This review will focus on the actions of the ubiquitin system on eukaryotic chromatin, which include conventional, i.e., proteolytic, as well as possibly nonconventional functions. It will concentrate on studies in the yeast *Saccharomyces cerevisiae*, which has served as a convenient model system for the investigation of cell autonomous processes such as chromatin metabolism, but parallels to mammalian systems will be pointed out to demonstrate how highly conserved throughout evolution the use of the ubiquitin system appears to be in the context of chromatin. After an overview of the consequences of ubiquitin conjugation and proteolysis on transcription, initiation of replication, and chromosome segregation, I will focus on the RAD6 system and its effects on DNA damage repair, gene silencing, transposition, and meiosis. Finally, I will mention components of the ubiquitin system involved in the pathway of nucleotide excision repair (NER). My account will by no means be complete, as it could be argued that the removal of almost any protein by ubiquitin-dependent proteolysis has some influence on chromatin structure (if only its resynthesis by turning on transcription of the relevant gene). Rather than attempting full coverage of all possible areas, this review will therefore concentrate on a few examples that highlight the diversity of ubiquitin-associated processes in the context of chromatin.

#### **CONSEQUENCES OF UBIQUITINATION**

Ubiquitin is conjugated to target proteins in a multistep reaction that involves a cascade of enzymes (41, 89). In an ATP-dependent reaction, the ubiquitin-activating enzyme, E1, undergoes a thioester linkage between a cysteine residue within its active site and the carboxy terminus of ubiquitin. The activated ubiquitin moiety is then transferred to the active-site cysteine of a ubiquitin-conjugating enzyme (UBC, also known as E2), which mediates the formation of an isopeptide bond between ubiquitin and the ε-amino group of a lysine residue within the target protein. This conjugation reaction normally involves additional factors, ubiquitin protein ligases (also known as E3s), which are responsible for target recognition and in some cases also take part in the thioester transfer reaction. Repeated rounds of conjugation of ubiquitin to an internal lysine of the preceding ubiquitin moiety may result in the formation of multiubiquitin chains. While in most organisms a single E1 is responsible for activation of the entire

<sup>\*</sup> Mailing address: Max Planck Institute for Terrestrial Microbiology, Karl-von-Frisch-Strasse, D-35043 Marburg, Germany. Phone: 49-6421-178601. Fax: 49-6421-178609. E-mail: hulrich@mailer.uni -marburg.de.

cellular ubiquitin pool, all eukaryotic genomes analyzed encode several E2s and a large number of E3s with differing substrate specificities and subcellular localizations. Thus, target selectivity results from the cooperation of E2s and E3s in a combinatorial fashion.

The fate of ubiquitinated proteins depends on the nature of the modification itself: in contrast to multiubiquitination, attachment of a single molecule or a few molecules of ubiquitin to a number of plasma membrane transporters and receptors does not result in proteasomal degradation but rather in endocytosis and subsequent proteolysis in the lysosome or vacuole (42). Multiubiquitin chains, in turn, may potentially convey different signals depending on their topology (46, 90). Of the seven lysine residues in ubiquitin, at least three—K29, K48, and K63—are known to be used in vivo for further ubiquitination, and since they each reside on opposing or orthogonal faces of the molecule, the resulting chains most likely assume different structures (1, 55, 112). Chains linked via K48 are the principal signal for recognition by the 26S proteasome (13). The importance of this lysine is demonstrated by the lethality of the K48R mutation in *S. cerevisiae* (32). The K29 linkage has also been shown to be involved in proteasomal targeting in a number of contexts; however, efficient formation of long ubiquitin chains required the presence of K48 in at least some of the conjugates analyzed (55, 65). K63, on the other hand, has been shown to be involved in a puzzling variety of cellular functions not obviously related to proteasomal degradation: endocytosis and transport to the yeast vacuole (36, 114), mitochondrial inheritance (33), ribosome function (111), I<sub>K</sub>B kinase activation (23), and—last but not least—DNA damage repair (112). Although the biological function of K63-linked multiubiquitin chains is by no means understood, a unique and novel role in signaling unrelated to proteolysis is an attractive hypothesis.

## **REGULATION OF TRANSCRIPTION BY THE UBIQUITIN/PROTEASOME SYSTEM**

Regulation of transcription initiation is one of the key control mechanisms for biological processes within a cell. Consequently, the levels and activities of the transcriptional activators and repressors themselves should be tightly controlled. It is therefore no surprise that many transcription factors have been found to be extremely unstable proteins; their short halflives allow for rapid modulation of their concentrations by adjustment of the rate of either their synthesis or their degradation (108). This strategy is used by gram-negative bacteria, where the activities of several RNA polymerase-associated sigma factors are regulated on the basis of protein stability (109, 116, 121), but even more widely by yeast and higher eukaryotes. Here, the ubiquitin/proteasome system is mainly responsible for the degradation of short-lived transcription factors (45). In *S. cerevisiae*, these include the bZIP proteins GCN4 (69) and MET4 (100), regulators of amino acid biosynthesis and sulfur assimilation, respectively, as well as the homeodomain proteins CUP9 (12), a mediator of peptide import and copper homeostasis, and  $MAT\alpha$ 2, which in combination with a number of different dimerization partners acts as a repressor of **a**- and haploid-specific genes, thereby controlling mating type identity in yeast (44). With half-lives as short as 3

TABLE 1. Targets of the ubiquitin/proteasome system involved in yeast chromatin metabolism

Target protein <sup>a</sup>	$E2^b$	$E3^b$	Reference(s)
Transcription factors			
GCN4	CDC34	SCFCDC4	69, 79
	RAD <sub>6</sub>	?	
MET <sub>4</sub>	CDC <sub>34</sub>	SCF <sub>MET30</sub>	60, 100
CUP <sub>9</sub>	RAD <sub>6</sub>	UBR1	12
	UBC4	$\overline{\phantom{a}}$	
MAT <sub>0</sub> 2	UBC6, UBC7	DOA10	16
	UBC4, UBC5	?	
Replication initiation factors			
CDC <sub>6</sub>	CDC34	SCFCDC4	27, 28, 105
DBF4	?	APC	17, 85, 130
Kinetochore components			
CTF13	CDC <sub>34</sub>	SCF <sup>CDC4</sup>	61
CBF2	CDC <sub>34</sub>	9	133
Anaphase regulators			
PDS <sub>1</sub>	$\gamma$	<b>APC</b>	18, 48, 53
$SCC^*$	$RAD6$ ?	UBR1	96
$RECS*$	$RAD6$ ?	UBR1	11
Other proteins			
RP <sub>R1</sub>	?	RSP <sub>5</sub>	5, 51
HO	CDC34	SCF <sup>UFO1</sup>	62
	RAD <sub>6</sub>	<b>RAD18?</b>	

*<sup>a</sup>* \*, proteolytic fragments resulting from cleavage by ESP1.

*b* ?, identity of enzyme unknown.

to 5 min under destabilizing conditions, these proteins exemplify the flexibility of the transcriptional machinery, which can quickly adapt to environmental changes. In mammals, the number of transcription factors whose abundance is controlled by the ubiquitin/proteasome system is even larger, including such key regulators as p53, c-Jun, c-Fos, MyoD, and many others (45).

Despite a common degradation mechanism, the signals conveying ubiquitination as well as the enzymes involved in their recognition are quite diverse, and in many cases more than a single UBC contributes to the ubiquitination of a particular transcription factor (Table 1). For example, ubiquitination of CUP9 is mediated by the ubiquitin ligase UBR1, which has been identified as the cognate E3 of the N-end rule pathway, a degradation system based on the identity of a protein's aminoterminal residue that directly contacts the ligase (125). UBR1 generally acts in conjunction with the UBC RAD6/UBC2 (4, 25), and in fact, degradation of CUP9 has been found to depend on this E2; however, ubiquitination of the protein is independent of its amino terminus, indicating that an internal site within CUP9 serves as a ubiquitination signal for UBR1 in this case (12). Moreover, UBC4 in addition to RAD6 contributes to CUP9 turnover.

No fewer than four E2s participate in the ubiquitination of  $MAT\alpha$ 2: UBC4, UBC5, UBC6, and UBC7 (16). These UBCs respond to distinct ubiquitination signals within the  $\alpha$ 2 protein. While UBC4 and UBC5, which appear to be largely redundant, depend on a poorly defined internal signal, UBC6 and UBC7 respond to a defined, transplantable signal termed Deg1, which resides within the first 67 amino acids of  $\alpha$ 2 and overlaps with the hydrophobic face of the amphipathic  $\alpha$ -helix responsible for dimerization (16).

GCN4 ubiquitination apparently involves two UBCs, based

on the fact that the protein is partially stabilized in the respective mutants (69). One of them is RAD6; however, in contrast to CUP9 ubiquitination, the presence of UBR1 is not required here. The other is CDC34/UBC3, the E2 responsible for cell cycle progression at the  $G<sub>1</sub>/S$  transition. CDC34 is known to function in conjunction with a modular type of ubiquitin ligase, the SCF complex, which employs exchangeable subunits, the F-box proteins, for substrate recognition (24, 87). Ubiquitination of GCN4 is mediated by the F-box protein CDC4 (79). Other prominent targets of  $SCF<sup>CDC4</sup>$  are the cyclin-dependent kinase inhibitors SIC1 and FAR1 (30, 40, 110).

Like GCN4, MET4 is ubiquitinated by CDC34 in conjunction with the SCF complex. The cognate F-box subunit in this case is MET30 (100). Interestingly, it is an unresolved question whether MET4 ubiquitination causes its degradation by the proteasome or conveys an alternative signal: while Rouillon et al. (100) determined a half-life of less than 10 min under destabilizing conditions and inhibition of degradation in proteasome mutants, Kaiser et al. (60) report that ubiquitination of MET4 by CDC34 and SCF<sup>MET30</sup> did not lead to immediate proteolysis but instead inhibited its activity as a transcriptional activator of methionine biosynthesis genes. Thus, a nonproteolytic function of MET4 ubiquitination remains a possibility.

Intriguingly, in a recent report, ubiquitination via MET30 was demonstrated to contribute not to the inactivation, but instead to the activation, of a transcription factor: Salghetti et al. found that the function of the short-lived VP16 transcriptional activation domain (TAD) in yeast was dependent on the SCFMET30 ubiquitin ligase, as deletion of MET30 resulted in stabilization of the TAD in an inactive form (104). Linear fusion of ubiquitin to the TAD restored transcriptional activation function in the absence of MET30 without destabilizing the protein, indicating that activation and destruction are two separable consequences of ubiquitination. This dual role of ubiquitin conjugation may provide a "licensing" of the transcriptional activator by linking its activation to its rapid destruction  $(104)$ .

Finally, the ubiquitin/proteasome system appears to be involved in the transcriptional elongation step in a nonconventional, i.e., nonproteolytic way. Ferdous et al. observed an inhibition of transcription elongation in vivo and in vitro by inactivation of two 19S cap subunits and the restoration of elongation by addition of purified 19S complex (31). This effect was independent of the proteolytic activity of the 20S core, and coimmunoprecipitation of 19S components with the elongation factor CDC68 suggested that the regulatory particle of the proteasome exerts its influence by means of physical interactions.

While these examples demonstrate the importance of the ubiquitin system for the regulation of transcription, they also raise the question how closely the components of the ubiquitination machinery really interact with the chromatin itself. Apart from its influence on transcription elongation (31), the 26S proteasome has not been observed in direct association with chromatin, arguing against proteolysis "in situ." However, its exact subcellular localization remains a matter of debate (29, 103). It seems likely, however, that many short-lived transcription factors are ubiquitinated while they are bound to their recognition elements. At least in the case of MAT $\alpha$ 2, ubiquitination appears to occur preferentially in the nucleus, as inhibition of nuclear import results in the abolishment of ubiquitin conjugation and a significant stabilization of the protein (73). A nuclear localization was also demonstrated for the F-box protein MET30 (100). Similarly, mammalian p53 is ubiquitinated in the nucleus; however, its degradation apparently requires its export from the nucleus to the cytoplasm (120). Thus, it remains to be seen how close to their sites of action DNA-binding proteins are being recognized and modified by the ubiquitin system.

## **INFLUENCE OF THE UBIQUITIN SYSTEM ON CELL CYCLE-REGULATED CHROMOSOME DYNAMICS**

Ubiquitination and subsequent degradation of cyclins and cyclin-dependent kinase inhibitors ensure orderly progression through the eukaryotic cell cycle (66, 82). However, the ubiquitin system has an even more direct influence on chromosome dynamics throughout the cell cycle, ranging from initiation of replication to chromosome segregation. Replication initiation is controlled by the association of a set of proteins, the prereplication complex, with the origins of replication, a process called licensing. Two essential DNA-associated factors involved in this function, CDC6 and DBF4, have been shown to be substrates of the ubiquitin/proteasome system (27, 130). CDC6 mediates the assembly of the prereplication complex by increasing its specificity for the replication origins (115). Transcription of the *CDC6* gene peaks at the  $M/G_1$  transition, and the instability of the protein necessitates its resynthesis in each round of DNA replication. Its degradation once the prereplication complex is assembled is mediated by CDC34 and SCF<sup>CDC4</sup> after phosphorylation by the cyclin-dependent kinase CDC28 (27, 28, 105). The observation that deletion of its nuclear localization signal stabilizes the protein again argues for recognition and modification by the ubiquitin system within the nucleus, possibly still in association with the DNA (28).

DBF4 is recruited to the chromatin as a positive regulator of the CDC7 kinase, which is responsible for release of the prereplication complex during S phase by phosphorylation of several of its subunits, preceding the assembly of the replication machinery (115). Gene expression peaks in S phase and persists until after mitosis (17). The protein is rapidly degraded prior to START. The E3 responsible for ubiquitination of DBF4 is the anaphase-promoting complex (APC) (17, 130), a multisubunit ubiquitin ligase that mediates the destruction of mitotic cyclins at the metaphase-to-anaphase transition (88). The fact that overproduction of DBF4 is lethal in combination with a mutation in a gene encoding one of the APC subunits, *apc1-1*, indicates the importance of timely removal of DBF4 (85).

Several observations point to an influence of the ubiquitin system on kinetochore assembly, yet the nature of this effect remains poorly understood. Connelly and Hieter identified SKP1, the F-box binding subunit of the SCF complex, as a component of the CBF3 complex, a protein assembly that mediates the connection of centromere DNA to the mitotic spindle (19). SKP1 was found to promote the DNA association of CTF13, another CBF3 subunit (61). This activation coincided with the phosphorylation of CTF13, and Kaplan et al. propose that a SKP1-associated kinase may be responsible for this activity. CTF13 apparently contacts SKP1 directly by

means of its F-box domain. Moreover, CTF13 is a short-lived protein whose degradation depends on CDC34 and CDC4, suggesting that SKP1, as part of an SCF-type ubiquitin ligase, promotes the ubiquitin-dependent removal of CTF13 following phosphorylation (61). Kaplan et al. hypothesize that CTF13 proteolysis may contribute to the destruction of incorrectly assembled kinetochores. How exactly SKP1 activates CTF13 is not fully understood; however, an additional component required for this process has been shown to be SGT1, which apparently acts as another SKP1-interacting subunit of the  $SCF^{\text{CDC4}}$  complex and is also required for ubiquitination of other SCF substrates (64). Consequently, *sgt1* mutants are defective in CBF3 assembly. Yet another kinetochore component, CBF2, was found to be ubiquitinated in vivo by CDC34 (133); however, the significance of this modification remains unknown, as the protein does not appear to be degraded (61).

Arguably the most important contribution of the ubiquitin system to progression of the cell cycle at the level of chromosome dynamics is the control over sister chromatid separation at the onset of anaphase, a function that is highly conserved among eukaryotes (83). Cohesion between sister chromatids after replication of the genome is essential for the symmetric distribution of the chromatids to opposite poles of the mitotic spindle. During metaphase, this cohesion between the sisters results in a tension that is created by the pulling force of the spindle fibers at the kinetochores and is relieved in anaphase when sister chromatid separation allows the movement of the chromatids to the opposing spindle poles. The observation that a ubiquitin ligase, the APC (see above), is required for the onset of anaphase first led to the hypothesis that a proteinaceous bridge mediates cohesion between sisters (48, 53). This bridge was identified as a multiprotein complex now called cohesin (122). However, the APC does not, as was initially believed (53), directly trigger the destruction of cohesin by ubiquitination. In fact, it turned out that its substrate is a protein called PDS1, an inhibitor of the cysteine protease ESP1, also called separin, which in turn cleaves one of the subunits of cohesin, SCC1, and thereby mediates sister chromatid separation (18). As separin is also responsible for cleaving REC8, a meiotic counterpart of SCC1, the ubiquitin system exerts its effect on the dissociation of homologous chromosomes during meiosis I as well (11). Despite the absence of sequence homology, the interplay between vertebrate securin and separin has been found to be controlled by the APC in the same manner (134).

Interestingly, ubiquitin-dependent proteolysis plays a role in chromosome segregation even after SCC1 and REC8 are cleaved: Rao et al. were able to show that the carboxy-terminal fragment of SCC1 resulting from cleavage by separin is shortlived and is degraded in a UBR1-dependent manner (96). In fact, this fragment bears a destabilizing amino terminus and thus represents the first known physiological substrate of the N-end rule pathway in yeast that is truly recognized by its amino terminus (see above). Proteolysis appears to be important for mitosis, as overexpression of a stable analog of the respective SCC1 fragment is lethal to mitotic cells. Even when expressed from the native promoter, the stable fragment leads to a greatly increased frequency of missegregation and chromosome loss, similar to that observed with the *ubr1* deletion itself (96). Besides REC8, whose proteolytic fragment has been



translesion synthesis (error-free ?)

FIG. 1. Actions of RAD6 on yeast chromatin. DNA-associated processes and the factors involved are represented schematically. Thick, double-headed arrows, physical interactions; thin arrows, indirect or genetically inferred influences. Lollipops, ubiquitin; triangles, DNA lesions.

confirmed as another N-end rule substrate (11), there are several other proteins in *S. cerevisiae* bearing potential ESP1 consensus recognition sites where cleavage would generate destabilizing amino termini, suggesting a more general relevance of the N-end rule in vivo (96).

### **ACTIONS OF RAD6 ON CHROMATIN**

RAD6/UBC2 is the E2 that cooperates with UBR1 in N-end rule-dependent ubiquitin conjugation in yeast (25). Independently of UBR1, RAD6 is intimately involved in many aspects of chromatin metabolism, judging by the highly pleiotropic phenotype of *rad6* mutants. First isolated in a screen for mutants sensitive to UV irradiation (21), *rad6* cells in fact display an extremely high sensitivity to a broad spectrum of DNAdamaging agents, including UV light, X rays, and virtually all chemical mutagens tested (70). They are incapable of DNA damage-induced mutagenesis but have an elevated spontaneous-mutation rate (71, 72, 95). Homozygous diploids fail to sporulate but are hyperactive in mitotic recombination (63, 81). Moreover, *rad6* mutants are deficient in silent information regulator (SIR)-dependent silencing (50) and exhibit elevated levels of Ty1 transposition, along with an altered site preference for transposon integration (74, 92). These properties identify RAD6 as a key player in the maintenance of DNA integrity (Fig. 1), and genetic analysis has since provided a lot of information about the pathways controlled by this UBC (10, 70). Yet its mechanism of action on a biochemical level still remains an enigma. As a matter of fact, its connection to the ubiquitin system was established only when RAD6 was identified as a UBC by means of its ability to covalently attach to a ubiquitin affinity column (54). The observation that mutation of its conserved active-site cysteine, responsible for ubiquitin thioester formation, results in the same phenotype as the *rad6* null mutant confirmed that its catalytic activity as an E2 is essential for in vivo function (50, 117, 118). However, most of the relevant RAD6 substrates have yet to be identified.

In higher eukaryotes ubiquitination is one of the prominent histone modifications that has been correlated with an alteration of nucleosome structure and activation of transcription (7, 113). Purified yeast RAD6 is in fact able to efficiently ubiquitinate histones H2A and H2B in vitro (54, 119). This observation prompted Robzyk et al. to examine histone ubiquitination in yeast (98). They observed that a significant fraction of H2B is modified by a single ubiquitin at one of the conserved lysines in its carboxy-terminal tail, K123. This situation is different in mammals, where H2A is the most prevalent ubiquitinated histone (113). H2B modification is absent in the *rad6* mutant and correlates with the ability to undergo meiosis, as the H2B(K123R) mutant is, like the *rad6* mutant, defective in sporulation. In addition, the mutation confers a slight growth defect that is shared by the *rad6* mutant. Thus, ubiquitination of H2B by RAD6 is likely a prerequisite for meiosis and optimal mitotic growth in yeast (98). No E3 has been found to cooperate with RAD6 in this process in vivo or in vitro, suggesting that the highly acidic carboxy-terminal tail of RAD6 may be sufficient to promote an interaction with the basic histone substrate (119). Deletion of the terminal 23 amino acids indeed confers a sporulation and growth defect similar to that of the *rad6* null mutant, but without affecting other RAD6 functions. The acidic tail is apparently unique to yeast; as a consequence, homologs of other species, including *Schizosaccharomyces pombe*, mice, and humans, which lack this extension, fully or partially complement the UV repair deficiency, but not the sporulation defect, of the yeast *rad6* mutant (68).

Interestingly, mammalian RAD6 nevertheless seems to play an important role in meiosis. Two RAD6 homologs have been identified in both mice and humans: the X-linked HR6A and the autosomal HR6B (119). Expression of HR6A is strongly repressed during spermatogenesis, but the HR6B transcript and protein are highly enriched in the testis, and transcription peaks at the time of histone-to-protamine transition (67). Deletion of HR6B in mice results in male sterility, accompanied by severe aberrations in spermatogenesis (99). Extensive ubiquitination of histone H2A is indeed observed during the pachytene stage of prophase I and again in elongating spermatids, and Baarends et al. have proposed that ubiquitination by HR6B may trigger the removal of histones, which is required for chromatin condensation and packaging (2). Intriguingly, this attractive model is contradicted by the troubling finding that the pattern of modification appears unchanged in HR6B knockout mice, arguing that HR6B alone may not be responsible for histone ubiquitination after all. Thus, Baarends et al. conclude that dysregulation of other, yet unidentified HR6B substrates is likely to cause the impairment of spermatogenesis in HR6B knockout mice (2). A possible candidate for this alternative HR6B substrate is histone H3, which has been observed in a ubiquitinated form in elongating spermatids as well (14). However, the extent of H3 modification has not yet been reported in the HR6B knockout.

Additional substrates of RAD6 must exist in yeast as well, since lack of histone H2B ubiquitination is not responsible for the UV sensitivity of *rad6* mutants (98). This defect is primarily due to the inability of *rad6* mutants to restore high-molecularweight DNA by replicative synthesis on templates that have been damaged by irradiation in the absence of NER (94). Due to this phenotype, the RAD6 pathway has also been called postreplication repair, and it is believed to confer damage tolerance in a situation where the DNA replication machinery is blocked by lesions in the template strand that have not been removed by other repair systems (70). Genetic analysis has revealed two apparently distinct activities of RAD6 that contribute to this function: one of these is responsible for damageinduced mutagenesis and is therefore termed error-prone, whereas the other is an apparently error-free mode of repair (70, 81). Numerous other repair genes have been found by classical epistasis analysis to be dependent on *RAD6*, and based on the ability of the respective mutants to undergo damage-induced mutagenesis, they have been assigned to either the error-free or the error-prone branch.

Biochemical characterization of the factors involved has revealed that error-prone repair entails translesion synthesis very similar to the SOS response of *Escherichia coli*. A damagetolerant DNA polymerase, Polζ, encoded by the two RAD6dependent repair genes *REV3* and *REV7*, is able to bypass a broad range of lesions, including photoadducts and abasic sites (84). Pol $\zeta$  cooperates with the *REV1* gene product, a deoxycytidyl transferase with homology to the *E. coli* UmuC protein. REV1 can incorporate dCMP opposite a lesion such as an abasic site, producing a 3' terminus that is efficiently extended by Polζ. A second damage-tolerant polymerase, Poln, encoded by *RAD30*, has recently been identified as another member of the RAD6 pathway (58). Poln, which shares homology with the *E. coli* DinB protein, was found to correctly insert adenine opposite a thymine dimer; consequently, Johnson et al. termed Poln-dependent repair error-free translesion synthesis (58). However, the overall fidelity of Poln on nondamaged templates is much lower than that of replicative polymerases (128). Moreover, other types of lesions were found to cause a mutagenic bypass in cooperation with Pol $\zeta$  (56). Accordingly, there is disagreement about the extent of damage-induced mutagenesis in *rad30* mutants (78, 101). Homologs of both Polζ and Pol $\eta$  exist in mammals, indicating that translesion synthesis is a highly conserved process in eukaryotes (127); nevertheless, the role of RAD6 in this process is not at all understood.

In error-free repair, RAD6 cooperates with a number of factors that include additional components of the ubiquitin system. An early contribution came from the discovery that mutant yeast cells in which lysine 63 of ubiquitin was replaced by arginine (*K63R*) displayed a UV-sensitive phenotype that falls into the RAD6 epistasis group, arguing that K63-linked multiubiquitin chains are important for postreplication DNA repair (112). The E2 responsible for the assembly of those chains was later identified as a heterodimer of UBC13 and the E2 homolog MMS2 (46). MMS2 had been cloned independently by complementation of a mutant sensitive to the DNAdamaging agent methyl methane sulfonate (MMS) and was found to be a member of the error-free RAD6-dependent repair system (9). Both *mms2* and *ubc13* cells in fact exhibit UV sensitivities identical to that of the ubiquitin *K63R* mutant (47). A close cooperation between RAD6 and UBC13/MMS2 can be inferred from the identification of physical interactions within the error-free system (124). RAD6 is known to form a stable complex with the DNA-binding protein RAD18, and although its DNA-binding properties are not fully characterized, it has been suggested that RAD18 serves to recruit RAD6 to sites of damage for both error-free and error-prone repair (3). Recently, another member of the error-free repair system, RAD5, was found to act as a mediator between the RAD6- RAD18 complex and UBC13/MMS2: RAD5, a DNA-dependent ATPase with homology to the SNF2/SWI2 family of helicases and chromatin remodelling factors (57, 59), is able to promote the association of UBC13 with the chromatin in a manner similar to the recruitment of RAD6 by RAD18 (124). In addition, RAD5 physically associates with RAD18 in a way that is permissive for the RAD5–UBC13 interaction, resulting in a heteromeric complex in which the two E2s, RAD6, and UBC13/MMS2 may directly cooperate in the ubiquitination of a common target protein (124).

In contrast to the concept of translesion synthesis, however, the molecular mechanism of error-free damage tolerance remains largely obscure. Current models of the events at a stalled replication fork mostly invoke a transient template switch to the nondamaged sister chromatid, possibly in a recombinationmediated fashion (10, 70, 123). Identification of the target proteins ubiquitinated by RAD6 and UBC13/MMS2 is expected to shed some light on the function of ubiquitin in this process. In particular the role of the nonconventional K63 linked multiubiquitin chains is of interest, as analyses of proteasome mutants have indicated that proteolysis is not required for postreplication DNA repair (26, 46). Instead, ubiquitination may serve to recruit additional repair factors to the site of damage or promote the disassembly of a multimeric complex by inducing changes in the conformation of the target protein.

Intriguingly, both RAD18 and RAD5 harbor a RING domain, a specialized type of zinc finger that has been identified as part of a growing number of ubiquitin ligases, including UBR1, the SCF complex, and the APC (34, 75). In many, but not all, cases, the RING domain mediates interaction with the E2; similarly, RAD5 contacts UBC13 by means of this domain (124). It is therefore attractive to speculate that RAD18 and RAD5 may actually not only function as recruiting factors but directly take part in ubiquitin conjugation as E3s. Consistent with this hypothesis is the notion that an intact RING domain is essential for the function of both factors in DNA repair (unpublished data), even though the RAD18 RING finger is not required for binding to either RAD6 or RAD5. A possible E3 function of RAD18 is also suggested by its recent implication in the proteasome-dependent degradation of HO (62), the endonuclease that initiates mating type switching in yeast (39). Two E2s, CDC34 and RAD6, as well as components of the SCF complex and a previously uncharacterized F-box protein, UFO1, have also been found to contribute to the protein's short half-life (62). Hence, if the ubiquitin ligase activity of RAD18 can indeed be demonstrated with HO as a substrate, this would indicate that the RAD6–RAD18 complex can function in a conventional fashion to mark a short-lived protein for proteasomal degradation.

A more general effect on chromatin structure is suggested by the effects of the *rad6* mutation on silencing and the rate and site bias of Ty1 transposition. Ty1 is a yeast retrotransposon that integrates preferentially into AT-rich sequences and tRNA genes, with a strong bias toward promoters and 5' ends

of coding regions (106). In *rad6* null mutants, this target site bias is abolished for all sites analyzed and overall transposition frequency is elevated without a concomitant increase in Ty1 message, arguing that deletion of RAD6 leads to an alteration of chromatin structure that facilitates transposon integration at random sites (49, 74, 92). A derangement of chromatin structure is similarly suggested by the loss of silencing at the telomeres, the rDNA cluster, and the silent mating type loci observed in *rad6* mutants (50). In a phenotypic analysis of a broad spectrum of *rad6* alleles, Freiberg et al. found a correlation between defects in silencing and elevated retrotransposition in most mutants, whereas effects on DNA damage repair, sporulation, growth rate, and N-end rule activity were genetically separable (35). Since neither UBR1 nor RAD18 is required for silencing and transposition (50), Freiberg et al. propose that these activities may be manifestations of the same aspect of RAD6 function, possibly mediated by a third, yet unidentified interaction partner (35). Interestingly, not only ubiquitination but also deubiquitination affects silencing. In contrast to the *rad6* mutation, deletion of the ubiquitin hydrolase gene *UBP3* results not in a defect, but in an enhancement, of silencing (80). Since UBP3 was found to interact directly with the DNAbinding silencing protein SIR4, it is very likely that its target proteins are chromatin components associated with the silenced mating type loci and telomeres (80). Thus, ubiquitin deconjugation apparently counteracts the effects of ubiquitin conjugation at these sites. It is unknown whether RAD6 and UBP3 act on the same set of target proteins, but it is attractive to speculate that they may function in opposite ways to regulate the extent of chromatin silencing by means of ubiquitination.

#### **FUNCTIONS OF THE UBIQUITIN SYTEM IN NER**

In contrast to postreplication repair, NER is mechanistically well understood and has been reconstituted in vitro from purified components (22). Yet ubiquitin and the proteasome appear to play a regulatory role in this process that is still an issue of controversy. The first hint that the ubiquitin system may be involved in NER came from the identification of a ubiquitinlike domain at the amino terminus of the repair factor RAD23 (129). This protein, like its two human homologs (77), acts in complex with another NER protein, RAD4, which is necessary for the incision step in both transcription-coupled and global NER (38); however, its catalytic function is unknown. The ubiquitin-like domain is important for efficient repair, and its removal results in a UV sensitivity intermediate between the sensitivity with the wild-type gene (*wt*) and that with complete deletion of the gene. Interestingly, this domain can be replaced by ubiquitin itself without affecting repair efficiency, although the protein is quite stable and is not subject to proteasomal degradation (129). Schauber et al. (107) were able to show that the ubiquitin-like domain in fact mediates an interaction of RAD23 with the 26S proteasome. In a recent study from the same laboratory, RAD23 was found to interfere with the formation of long multiubiquitin chains in vitro and in vivo, its overexpression leading to a stabilization of several otherwise short-lived proteins (86). Apparently, RAD23 exerts this inhibitory role by directly binding to substrates bearing short chains and inhibiting chain elongation (15, 86, 131). Surprisingly, however, its ubiquitin-like domain is dispensable for this activity (86). Suppression of the UV sensitivity of  $rad23\Delta$  cells by deletion of the E2 *UBC4* or the chain elongation factor *UFD2* suggested antagonistic roles of RAD23 and the ubiquitin conjugation machinery, leading to the conclusion that RAD23 may have a novel antiproteolytic function for DNA repair, possibly the regulation of RAD4 stability (86).

These findings stand in contrast to those of Russell et al. who confirmed the interaction of RAD23 with the 26S proteasome but found a stimulatory role of the 19S particle for NER in cell extracts, independent of the 20S proteolytic activity, thus suggesting a nonproteolytic, possibly chaperone-like function of the proteasome in repair (102) reminiscent of its effect on transcription elongation (31). However, the same group recently reported that in vivo the 19S particle actually had an inhibitory rather than a stimulatory effect on the removal of lesions from the DNA by NER that was independent of RAD23, despite an increased UV sensitivity of mutants with impaired 19S function (37). These conflicting results could be partially reconciled if ubiquitination and its inhibition by RAD23 served a nonproteolytic function in the context of NER, while the effect of RAD23 overexpression on the degradation of unrelated proteins could be a nonphysiological side effect. Nevertheless, how this activity relates to the recruitment of the proteasome by RAD23's ubiquitin-like domain or even a RAD23-independent action of the 19S cap on the chromatin remains an open question.

A second contribution of the ubiquitin system to NER appears to be limited to transcription-coupled repair, a special form of NER that promotes the preferential repair of lesions within the transcribed strand of transcriptionally active DNA. The process is dependent on RNA polymerase II (PolII), and the large subunit of this polymerase has been identified as a substrate for ubiquitination in response to DNA damage in both mammals and yeast (8, 51). In yeast, ubiquitination is dependent on the ligase RSP5, which is capable of ubiquitinating the human polymerase in vitro as well (5, 51). Both mammalian and yeast PolII's are indeed subject to proteasomal degradation following damage-induced ubiquitin conjugation (5, 97). However, the relevance for transcription-coupled repair is not entirely clear yet. On the one hand, ubiquitination of PolII was found to be absent in two different cell lines derived from Cockayne syndrome patients that were defective in transcription-coupled repair; on the other hand, it was not determined whether this lack of ubiquitination was the cause or a consequence of the repair defect (8). Moreover, RAD26, the yeast homolog of CS-B, the factor missing in one of the Cockayne syndrome cell lines, was found to have no influence on the ubiquitination of PolII, and mutation of RSP5 did not cause a defect in transcription-coupled repair (5).

## **CONCLUDING REMARKS**

Proteolytic functions of the ubiquitin/proteasome system obviously play an essential role in the regulation of chromatinassociated processes: in particular, the degradation of shortlived regulatory factors has direct consequences for transcription, initiation of replication, and chromosome segregation. The conjugation factors involved in the modification of the target proteins reflect the full range and the diversity of the ubiquitination machinery that is active even in one of the least complex eukaryotes (Table 1).

However, the maintenance of chromatin integrity appears to invoke a number of additional, less conventional aspects of the ubiquitin system, best exemplified by the influence of RAD6 on DNA repair, transposition, silencing, and meiosis (Fig. 1), but also by the activity of RAD23. Unfortunately, as most of the relevant ubiquitination targets are still unknown, any predictions about the consequences of ubiquitin conjugation remain speculative, and we are not even close to understanding the nature of this potential nonproteolytic regulatory signal. Where proteasome involvement can be excluded, alternative models would invoke functions such as the recruitment of other factors by means of an affinity for ubiquitin chains or ubiquitinated proteins, the modulation of a protein's activity, and the disassembly or structural alteration of a multiprotein complex due to a conformational change induced by ubiquitination of a subunit. An even more speculative, but not entirely impossible, scenario would be the conjugation of ubiquitin not to a proteinaceous substrate but to a different biomolecule, potentially even DNA. One precedent for this option was found in the case of a remote cousin of ubiquitin, APG8, which is conjugated by its carboxy terminus not to a protein but to the amino group of a lipid (52). On the whole, ubiquitination is emerging more and more as a general and multipurpose protein modification system much like phosphorylation or acetylation (42, 91).

Components of the ubiquitin system in different organisms are often highly conserved, and in many cases the human homologs are able to complement the phenotypes of the corresponding yeast mutants. This conservation is particularly striking for the chromatin-related aspects of ubiquitin, raising the question how and in what order the ubiquitin system could have acquired its degradative as well as nonproteolytic functions. Possible hints come from ubiquitin's relatives, a growing set of ubiquitin-like modifiers that cover an extremely wide range of cellular activities (43). Obvious mechanistic parallels in their conjugation machineries suggest an evolutionary origin entirely unrelated to proteolysis, arguing that the specialization in degradation may have been a later acquisition. An intriguing question is how, then, ubiquitin was able to assume control in eukaryotes over processes that in prokaryotes are organized in a very similar manner, but without the need for ubiquitin—for example, mutagenic translesion DNA synthesis. The fact that the basic principle of this process still appears to be conserved from prokaryotes to eukaryotes (127) supports the notion that the ubiquitin system mainly acts in a regulatory manner to fine-tune the events at the chromatin. Considering that the set of conjugation factors and substrates described here reflects only a fraction of the known ubiquitin-dependent aspects of metabolism, we might expect to find an even broader scope of nonconventional ubiquitin functions in other areas of cell biology, illustrating once more the pervasiveness of the ubiquitin system throughout the eukaryotic cell.

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