

Variable rate of singing and variable song duration are associated with high immediate early gene expression in two anterior forebrain song nuclei

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The duration of songs and the intervals between these songs are more variable when wild, adult, free-ranging chipping sparrows sing at dawn than when they sing during the day. The more variable delivery is used to interact with males, and the stereotyped delivery is used to attract females. In captive birds, however, the variability observed at dawn persists during the day. We quantified the expression of an immediate early gene, ZENK, in wild and captive birds and found that the level of song-associated ZENK expression in two song nuclei, Area X and IMAN, was positively related to variability in song duration and intersong interval and could be dissociated from the social context in which the song occurred. Thus, a combination of field and laboratory approaches helped us identify nuclei, context, and behavioral features associated with a change in gene expression thought to be a marker of behavioral variability.

dawn chorus | vocal communication | ZENK | sexual selection

The level of expression of an immediate early gene (IEG) in some avian song nuclei is determined not just by the ongoing behavior, song (1–4), but also by the context in which it occurs (5). Because natural contexts are often hard to reproduce in captivity, there is a premium, when studying behavior-associated gene expression, to relate observations in captive animals to observations in the wild. The goal of this study was to compare IEG expression in free and captive singing chipping sparrows, *Spizella passerina*.

The characterization of IEG expression in free ranging birds was pioneered by Jarvis *et al.* (4, 6). Just like these earlier studies, we focused on a particular IEG, ZENK, the acronym for the gene known in other species as Zif-268, Egr-1, NGFIA, and Krox-24 (2). ZENK encodes a DNA-binding protein capable of regulating the expression of other genes and is thought to be part of a genomic program that coordinates long-term cellular changes in response to neuronal activation (7, 8). We looked at ZENK expression in four song system nuclei: HVC, RA, Area X, and IMAN (Fig. 1) of male chipping sparrows singing in captivity and in the wild. Such a comparison between free-ranging and captive conspecifics had not been previously attempted.

Male chipping sparrows have a simple song that differs in manner of delivery between dawn and the rest of the day. At dawn, territorial males stand on the ground at their territorial boundary and sing a song of variable length at short, variable intervals, while interacting with neighboring males (Fig. 2). Dawn singing occurs during the entire breeding season. During the day, males deliver the same song from the top of a tree near the center of the territory, but at this time, song length and intersong intervals are constant. Daytime singing stops when territorial males pair with a female (9). We compared ZENK expression associated with dawn and daytime singing.

Materials and Methods

Field Experiment. We collected nine wild, adult, free-ranging, male chipping sparrows near Millbrook, NY, from May to July. Five of these birds were captured and killed after dawn singing and four after daytime singing. Dawn singing in chipping spar-

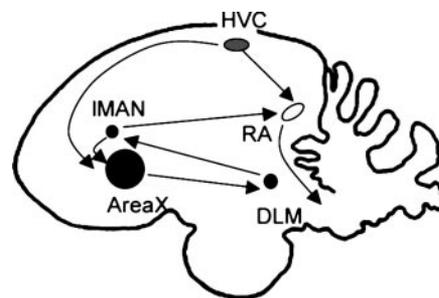


Fig. 1. Schematic sagittal view of the chipping sparrow brain, showing some of the song system nuclei, their relative sizes, and interconnections. The anterior pathway (in black) originates in HVC and from there goes to Area X, then DLM, IMAN, and RA. The posterior pathway (in gray) also originates from HVC and from there goes to RA, then brainstem nuclei and muscles of the respiratory tract and the syrinx. Because HVC is a member of both these pathways, its shading of gray is intermediate. Area X, a part of the avian basal ganglia, is disproportionately large when compared with other song nuclei.

rows consists of 15–30 min of intense singing before sunrise. The daytime singing occurs after sunrise and throughout the day (9).

Dawn song in the five males lasted from 15 to 28 min; three of the five birds were unpaired, and two were paired. After song recording, the five males were captured by playing back of conspecific song to draw birds to the mist net started ≈ 10 min after the last song for the birds that sang less and immediately after the last song for the birds that sang more. All birds were captured 0.5–8.5 min after song playback began; none was killed sooner than 30 min after the onset of singing. Earlier observations suggested such timing would suffice to induce a song-associated rise in IEG expression (3, 10).

The four birds captured after day singing were caught at several different times between 0930 and 1200 hours; by the time they were caught, they had sung for 3.5–6 h. The singing perch, rate of singing, and song duration are very constant throughout the day (from 0600 to 1500 hours) (9). Before each bird was captured, its song was recorded for 1 h and notes made on other ongoing behavior. Immediately after capture, birds were killed by decapitation, and their brain was removed and stored in dry ice. Songs were recorded by using a Marantz 222 tape recorder with SE62 microphone and a Saul Mineroff parabola.

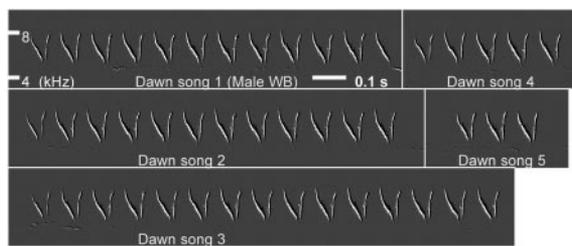
Laboratory Experiment. Thirteen 5- to 9-day-old nestlings were collected from the field in June and July and hand raised; after independence, each juvenile was housed singly in a separate cage. The cages were arranged in a circle around the cage of a wild-caught adult that sang profusely. In this caging configuration, all juveniles could see and hear this adult and also interact

Abbreviation: IEG, immediate early gene.

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Dawn singing



Day singing

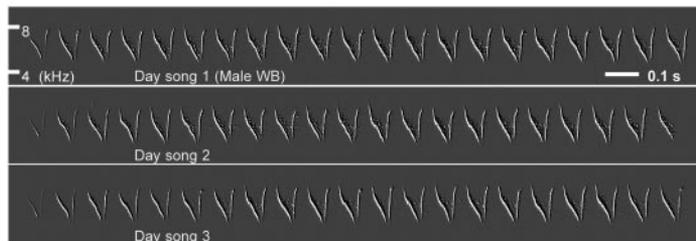


Fig. 2. Examples of dawn and daytime song of the same, free-ranging chipping sparrow and the context in which they occur. The same single, stereotyped syllable is used in both cases, but songs produced at dawn are more variable in duration than those produced during the remainder of the day.

with each other. Many of the juveniles imitated the song of the tutor and, at maturity, they all sang a single song type whose pattern was similar to that of wild-type song (11). During the first fall and winter, several cages with two to three female chipping sparrows were in the same room as the males. The room in which our birds were housed had two windows that let in outdoor light. Electrical lights turned on and off to coincide with sunrise and sunset. Because of this lighting arrangement, the captive birds were exposed to the gradual brightening and dimming of light that occurs outdoors at dawn and dusk.

During the following spring, at ≈ 1 year of age, each of the 13 laboratory-reared birds had a single crystallized song. Females were then removed, and the yearling sparrows sang both at dawn (before the electrical lights went on) and during the day. These 13 hand-reared birds were assigned to one of four groups: (i) Three birds caged singly were kept in the same room; this group was called “socially interactive.” These birds sang at dawn for 15–20 min, and were killed 7–15 min after they stopped singing and at least 30 min after the onset of singing. (ii) Four males held in this socially interactive manner were killed between 0930 and 1200 hours, 3.5–6 h after the onset of daytime singing. (iii) Three “social isolates” were housed singly, each in a separate cage, from where they could not see or hear other birds. (iv) Three birds in a “silent control group” were killed at dawn at about the same time as those in the wild; they were discouraged from singing by one of the researchers being in the room with them. We included this fourth group to test whether the ZENK expression that occurs in song nuclei at dawn is associated with the time of day (waking up) or with singing. Birds in all groups were killed by decapitation. The brain was removed and stored in a -80°C freezer. Procedures were approved by the The Rockefeller University’s Animal Care committee.

Song Analysis. In the laboratory, the song of each male was recorded and analyzed by using automatic computer recording software produced by T. J. Gardner in our laboratory. Song recordings made in the wild with a Marantz tape recorder were digitized and analyzed with the same software.

Song quantification used each bird’s last 15 min of recorded song because one of the free-ranging wild birds recorded and collected at dawn produced only 15 min of song. We believe that there would be no difference in song features between the last 15 min of daytime singing and singing before that period because a previous study showed that after sunrise, the song rate and song length of chipping

sparrows remain constant (9) and they were constant during the last hour of recording (W.-c.L., unpublished data).

Quantified song features: (i) duration, in seconds, of each song; (ii) variability in song duration, defined as: coefficient of variance = $(\text{SD}/\text{mean}) \times 100$; (iii) mean song rate per minute, obtained by counting all songs produced during the last 15 min during which the bird was recorded and dividing by 15; (iv) variability (coefficient of variance, as defined for feature ii) in song rate during this 15-min period, this value is the SD for the 15 entries, one for each minute; (v) Amount of singing (number of songs \times mean song duration per unit time); and (vi) stability of song syllables. Syllable data included duration, pitch, entropy, and frequency modulation. Data for all of the above features were obtained from 800 to 1,000 syllables drawn from dawn and daytime songs. We used SOUND ANALYSIS PRO (12) for analyzing the acoustic features of syllables.

Choice of Immediate Early Gene. We chose to focus on ZENK because prior normative studies conducted on songbirds focused on this gene. These studies showed ZENK expression to be quickly up-regulated in song nuclei when a bird sings and just as quickly down-regulated when singing stops. For example, ZENK expression in Area X is already maximal 30 min after the onset of singing and remains at that level if the bird continues to sing for another 30 min; however, if a 30-min period of silence follows the initial 30 min of singing and the bird is then killed, ZENK expression levels return to the baseline (3). Birds killed after dawn singing had engaged in this behavior for 15–28 min, and for them at least 30 min had elapsed between the onset of singing and when they were killed. Birds killed after daytime singing had, by then, engaged in this behavior for 3.5–6.5 h.

In Situ Hybridization. After a bird was killed, its brain was removed and processed for *in situ* hybridization (13, 14) by using ^{33}P -labeled riboprobes. Serial sagittal 10- μm sections were cut throughout the entire brain. Zebra finch ZENK and glutamate receptor NR2A riboprobes were made from T7 and SP6 promoter sites of pGEMTeasy with Promega RNA polymerases. Frozen sections were fixed in 3% paraformaldehyde in PBS (pH 7.0), acetylated, dehydrated in an ascending ethanol series, and air dried. The hybridization solution was then placed on each slide. This hybridization solution was made as follows: 50% formamide/ $2\times$ standard saline phosphate (SSPE)/EDTA/ $2\ \mu\text{g}/\text{ul}$ yeast tRNA/ $1\ \mu\text{g}/\text{ul}$ polyA/ $0.4\ \mu\text{g}/\text{ul}$ BSA/ $100\ \text{mM}$ DTT. We then added to the hybridization solution with ^{33}P -labeled

Table 1. ZENK gene expression in four-song nuclei among six experimental groups

	Wild		Captive				Two-way ANOVA, <i>P</i>
	Dawn	Day	Dawn* (silent)	Dawn (sing)	Day (social)	Day (isolate)	
HVC	149.1 ± 14	131.5 ± 10	78.3 ± 6	141 ± 17	135 ± 14	137 ± 16	NS
RA	131.4 ± 20	110.8 ± 15	76.5 ± 7	125 ± 22	119 ± 21	117 ± 17	NS
IMAN	171.1 ± 16	101.9 ± 7	81.2 ± 4	154 ± 11	140 ± 19	135 ± 22	<0.01
Area X	195.3 ± 11	91.2 ± 6	77.7 ± 5	171 ± 16	165 ± 24	172 ± 20	<0.01
HVC/cN	2.0 ± 0.4	1.6 ± 0.5	1 ± 0.1	2.2 ± 0.2	1.9 ± 0.3	1.8 ± 0.5	NS
RA/A	1.9 ± 0.4	1.3 ± 0.2	1 ± 0.2	2.1 ± 0.2	1.7 ± 0.4	1.6 ± 0.4	<0.05
IMAN/rN	2.2 ± 0.3	0.9 ± 0.3	0.9 ± 0.1	2.1 ± 0.3	1.8 ± 0.5	1.8 ± 0.4	<0.01
X/St	2.4 ± 0.7	0.8 ± 0.2	0.9 ± 0.3	2.6 ± 0.3	1.9 ± 0.3	2.0 ± 0.4	<0.01
X/HVC	1.5 ± 0.1	0.6 ± 0.2	1 ± 0.1	1.2 ± 0.2	1.2 ± 0.1	1.3 ± 0.2	<0.01
IMAN/HVC	1.2 ± 0.2	0.7 ± 0.2	1 ± 0.2	1.1 ± 0.2	1 ± 0.1	1 ± 0.1	<0.025

The first four measurements are based on the absolute expression value (i.e., mean pixel density) in each song nucleus. The next four measurements are the ratio of ZENK expression in each song nucleus relative to that in its surrounding area. The last two measurements correspond to ZENK expression in Area X and IMAN relative to that in HVC. All three types of measurements revealed that in the free-ranging wild birds, singing at dawn ZENK expression in Area X and IMAN was, in absolute or relative terms, higher than that in wild birds singing during the day, but these dawn/day differences did not occur among singing captive birds in the laboratory. We used two-way ANOVA to test the difference of gene expression level in four song nuclei among five experimental groups, but we excluded the silent control birds because ZENK expression in them was always very low. NS, not significant.

*Dawn silent group (captive birds) was not included in two-way ANOVA analysis.

probes (80 cpm per slide) and then the solution was incubated at 62°C for 13–15 h under mineral oil. Excess probe was removed by washing in 2× SSPE at room temperature for 1 h, then washed with 2× SSPE for 30 min. The next wash was for 1 h at 65°C in a solution of 50% formamide with 0.1× 2-mercaptoethanol; the last wash was in 0.1× SSPE twice at 65°C for 30 min each. Slides were then dehydrated in an ascending ethanol series and exposed to x-ray film (Bio-Max, Eastman Kodak, Rochester, NY) for 2 days.

To quantify gene expression, we first identified the region of interest by using adjacent sections stained with cresyl violet or reacted with the glutamate receptor gene, NR2A (15). We used unsaturated x-ray film (exposed only for 2 days) and quantified outcomes by using published procedures in refs. 15 and 16. Song nuclei and adjacent nonvocal areas, nidopallium adjacent to ventral HVC; nonauditory arcopallium abutting RA; nidopallium rostral to IMAN; and striatum immediately caudal to Area X (17), were outlined with a selection tool, and the average pixel density was calculated in the vocal and adjacent nonvocal tissue by using the PHOTOSHOP (Adobe Systems, San Jose, CA) histogram function. Results from each nucleus were the average of five to six sections from the right half of the brain in each bird.

Pixel density (shade of gray) was quantified in three ways: as an absolute value, as the ratio of pixel density in each nucleus/adjacent area, and as the ratio of pixel density in that nucleus divided by that found in HVC (e.g., Area X/HVC). We used comparably sized areas adjacent to each nucleus for the ZENK expression ratio.

Statistical Analysis. One-way ANOVAs tested for differences in ZENK expression among the groups of free and captive birds singing at dawn or during the day. We used the Wilcoxon two-tailed

matched-pairs signed-ranks test when comparing dawn and daytime singing. We used two-way ANOVA to test the effect of time of day and housing (free or captive) and their interaction on ZENK expression. Analysis of syllable variability was used for each bird in two-way ANOVA paired comparisons of dawn and day singing.

Results

Anatomy. The relative sizes of HVC, RA, Area X, and IMAN are shown schematically in Fig. 1. Compared with the relative sizes of these nuclei in canaries or zebra finches (18–20), with more complex learned songs, the song nuclei of chipping sparrows are relatively smaller, except for Area X that is disproportionately large when compared with the other song nuclei of the chipping sparrow.

The Song of Wild and Captive Birds. Male captive chipping sparrows, like free-ranging wild birds, sang at dawn while standing on the ground; in this case, the floor of their cage. During the daytime, the wild birds sang from a higher elevation, perched in a bush or tree, and captive chipping sparrows perched while singing in their cages (Table 1). Captive birds kept in a same room and interacting socially showed a similar behavior, with one male consistently being the first one to start singing at dawn; captive isolates did not engage in dawn singing, perhaps because at that time of day (dawn), song is an interactive behavior or because they didn't perceive a gradual change in illumination, i.e., no twilight. Finally, the song syllables that any one bird, captive or wild, used in dawn and daytime singing were the same, so that this part of their behavior did not differ between these two contexts. In these ways, there are marked similarities between singing behavior in the wild and under captivity (Fig. 2).

Table 2. Song features and behavioral correlates of dawn and daytime singing between wild (free-ranging) and captive birds

	Wild birds		Captive birds		
	Dawn (<i>n</i> = 5 males)	Day (<i>n</i> = 4)	Dawn (<i>n</i> = 3)	Day-social (<i>n</i> = 4)	Day-isolate (<i>n</i> = 3)
Perch height, m	0.5 ± 0.3	7.4 ± 1.6	On the floor	On the perch	On the perch
Amount of singing, sec	353.5 ± 24.3	232.8 ± 27.6	321.7 ± 19.7	249.3 ± 26.5	244 ± 33.9
Song rate, songs/min	16.8 ± 3.8	7.5 ± 0.8	15.2 ± 3.1	7.8 ± 1.9	8.3 ± 2.3
CV of song rate, %	31.5	10.3	28.4	22.7	20.3
Song duration, s	1.4 ± 0.5	2.5 ± 0.3	1.6 ± 0.4	2.2 ± 0.4	2.3 ± 0.4
CV of song duration, %	30.3	12	24.2	19.3	18.5

typed (23, 24). Apparently, whereas the HVC to RA descending pathway (Fig. 1) provides the pattern of learned song (18, 25, 26), the IMAN → RA connection regulates the variability in execution that, during development, is necessary for vocal learning to occur. It follows that higher levels of ZENK expression in IMAN, driven by the increased firing of IMAN neurons, will be associated with greater variability in song delivery, which is what we observed. This rationale fully accounts for the fact that ZENK expression in IMAN is equally low in adult chipping sparrows prevented from singing and in free birds producing highly stereotyped day song.

The neurophysiological observations on zebra finches that related the firing of IMAN neurons to song variability focused on variability in syllable structure and serial order of syllables, both important for reinforcement learning and song imitation (23, 24). However, when our free chipping sparrows delivered their song in a variable temporal manner at dawn, they maintained throughout high syllable stereotypy and the invariant serial order of a monotonous repetition. In these birds, IMAN involvement, as inferred from ZENK expression, was related to the flexible temporal execution of the behavior. RA neurons that project to medullary relays involved with song production receive, in their dendrites, interspersed inputs from HVC and IMAN; although the IMAN inputs are numerically smaller than those from HVC, they may nonetheless regulate the firing of their target RA neurons (27). Thus, increased activity in IMAN may occur in either of two contexts: (i) stochastic jitter associated with learning (23, 24) and (ii) more flexible temporal delivery, which occurs when birds counter-singing. It would be of interest, to know whether the IMAN firing pattern is the same in both cases, vested on the same IMAN neurons, and distributed to the same RA recipients. In our minds, both kinds of IMAN involvement in behavioral plasticity could point to very different anterior forebrain pathway roles.

There is a precedent for linking ZENK expression in IMAN and Area X with manner of song delivery. In zebra finch males, ZENK expression in Area X and IMAN is high when the birds sing undirected song but very low when they direct the same song at a female (3). Behavioral observations indicate that the directed song is more narrowly stereotyped than the undirected one (23). Although there are no electrophysiological recordings from chipping sparrows, we suspect that the diurnal changes in ZENK expression seen in the IMAN and Area X of free chipping sparrows can be accounted for by underlying differences in neural activity.

The cortical-basal ganglia-thalamus-cortical loop of mammals is strikingly similar to the anterior forebrain pathway of songbirds, of which Area X (homologous to the striatum) and IMAN are part (28–31). In the mammalian circuit, the basal ganglia are involved with the sequencing of behavior, fine motor control, and associated

cognitive functions (32–36). It seems possible that in the free chipping sparrows, the anterior forebrain pathway is similarly engaged during dawn singing but less so during the remainder of the day. If this interpretation is correct then, we must suppose that, for reasons unknown, this function remains more engaged during the day in the captive birds. It could be that this result is a way in which the birds were housed and reared in the laboratory, which may be accompanied by hormonal changes, to which the song system, including nucleus IMAN, is sensitive (37, 38). Because the neurons of IMAN receive input, through the thalamus, from Area X and send input to Area X and RA, these circuit relations may provide the mechanism for the similar changes in ZENK expression observed in Area X and IMAN and, to a lesser extent, in RA.

Taken together, our observations suggest that the more variable delivery of song is the one that involves Area X and IMAN to a greater extent, as suggested by the high levels of ZENK expression there, whereas the more invariant (and possibly “automatic”) rendering of the song involves this anterior pathway less. Although the involvement of Area X and IMAN in song learning has been known for some years, we now suspect that this involvement is needed, too, for the subtle differences in song variability and timing that occur during vocal interactions after the song has been mastered. As an aside, it is tempting to speculate that female zebra finches and chipping sparrows, the apparent target of very stereotyped singing, prefer stereotyped over variable song, whereas variable song is reserved for the more contentious interactions among males.

Important brain functions might be blurred in studies that draw all their data from captive animals reared and housed under unnatural conditions. In an earlier study that looked at new neuron recruitment in the brain of captive and free songbirds, this concern proved justified (39). The insights offered in this article could not have been gleaned from captive or free individuals alone, but we found a comparison of the two most helpful.

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