

Inactivation of Sterol $\Delta^{5,6}$ -Desaturase Attenuates Virulence in *Candida albicans*

Andrew S. Chau,¹ Maya Gurnani,¹ Robyn Hawkinson,¹ Michel Laverdiere,²
Anthony Cacciapuoti,¹ and Paul M. McNicholas^{1*}

Schering Plough Research Institute, 2015 Galloping Hill Road, K15-4-4700, Kenilworth, New Jersey 07033,¹
and Department of Microbiology-Infectious Diseases, Hospital Maisonneuve-Rosemont,
Montreal, Quebec, Canada²

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Two clinical *Candida albicans* isolates that exhibited high-level resistance to azoles and modest decreases in susceptibility to amphotericin B were cultured from unrelated patients. Both isolates harbored homozygous nonsense mutations in *ERG3*, which encodes an enzyme, sterol $\Delta^{5,6}$ -desaturase, involved in ergosterol synthesis. Extraction and analysis of the sterols from both isolates confirmed the absence of sterol $\Delta^{5,6}$ -desaturase activity. Although the loss of sterol $\Delta^{5,6}$ -desaturase activity is known to confer resistance to azoles, this mechanism of resistance has rarely been seen in clinical isolates, suggesting that such mutants are at a competitive disadvantage. To test this hypothesis, the virulence of the *erg3* mutants was assayed by using a mouse systemic infection model. The mutants were significantly less virulent than the wild-type comparator strains. However, the kidney fungal burdens in mice infected with the *erg3* mutants were similar to those in mice infected with the wild-type strains. Similar results were obtained by using a laboratory-generated homozygous *erg3* deletion mutant (D. Sanglard et al., *Antimicrob. Agents Chemother.* 47:2404–2412, 2003). Reintroduction of a wild-type *ERG3* allele into the homozygous deletion mutant restored virulence, ergosterol synthesis, and susceptibility to azoles, confirming that these phenotypic changes were solely due to the inactivation of *Erg3p*.

Although *Candida albicans* is a commensal organism, in immunocompromised hosts it can cause a number of infections, many of which are fatal. There are several therapeutic options for the treatment of *Candida* infections; most currently available drugs target either the fungal cell wall or the membrane. For example, the azoles block ergosterol synthesis through inhibition of lanosterol 14 α -demethylase (CYP51), the echinocandins disrupt cell wall synthesis through inhibition of β -glucan synthesis, and the polyenes bind to ergosterol and disrupt the fungal cell membrane (14).

Resistance to antifungal drugs and, in particular, the azoles has been the subject of numerous studies (for a review, see reference 17). In *Candida* the most prevalent mechanisms of azole resistance appear to be decreased intracellular drug accumulation, which results from increased expression of efflux pump genes, and mutations in the drug target CYP51 (encoded by *ERG11*), which are proposed to impair drug binding. A third, less frequently observed mechanism involves inactivation of the sterol $\Delta^{5,6}$ -desaturase (encoded by *ERG3*), a non-essential enzyme involved in the late stages of ergosterol synthesis (Fig. 1). Ordinarily, exposure of *C. albicans* to azoles results in the accumulation of 14 α -methylfecosterol, which is subsequently converted by the sterol $\Delta^{5,6}$ -desaturase to 14 α -methylergosta-8,24 (28)dien-3 β ,6 α -diol (14 α -methyl-3,6-diol). Since 14 α -methylfecosterol is capable of supporting fungal growth and 14 α -methyl-3,6-diol is toxic to the cell, inactivation

of the sterol $\Delta^{5,6}$ -desaturase renders the cells resistant to azoles (6).

In this study we characterized two clinical *C. albicans* isolates that exhibited resistance to a wide range of azoles; both isolates were found to harbor homozygous nonsense mutations in *ERG3*. The loss of *ERG3* appeared to attenuate virulence and the ability of the organism to undergo the yeast phase-mycelial phase transition, findings that may explain why this mechanism of resistance is rarely seen in clinical isolates.

MATERIALS AND METHODS

***C. albicans* strains.** C43, C655, C673, and C410 are clinical isolates from the Schering-Plough Research Institute (SPRI; Kenilworth, NJ) culture collection. DSY1751 (*erg3* Δ ::*hisG/erg3* Δ ::*hisG-URA3-hisG erg11* Δ ::*hisG/ERG11*), a homozygous *erg3* deletion mutant, and its progenitor, DSY1336 (*erg3* Δ ::*hisG-URA3-hisG/ERG3 erg11* Δ ::*hisG/ERG11*), were provided by Dominique Sanglard (18). ASC99 is a *ura3* derivative of DSY1751 that was generated by plating DSY1751 on yeast extract-peptone-dextrose (YPD; QBiogene, Carlsbad, CA) agar supplemented with 0.01% (wt/vol) 5-fluoroorotic acid and 10 mM uridine (Sigma Chemical Co., St. Louis, MO) to select for cells that had lost *URA3* through genetic recombination between the *hisG* repeats. Strains were routinely cultured in either Sabouraud dextrose (QBiogene) or YPD broth. The identities of the clinical isolates were confirmed by using the Vitek identification system with the Yeast Biochemical Card (bioMérieux Vitek Inc., Hazelwood, MO).

Antifungal agents and susceptibility testing. Posaconazole was prepared at SPRI as a micronized powder. Itraconazole and amphotericin B powders were obtained from Janssen Pharmaceutica Inc. (Beerse, Belgium) and Sigma Chemical Co., respectively. Voriconazole and fluconazole were obtained from Pfizer Inc. (New York, N.Y.). All drugs except voriconazole were dissolved in dimethyl sulfoxide; voriconazole was dissolved in water. Broth microdilution MIC testing in RPMI 1640 medium (BioWhittaker, Walkersville, MD) was performed as described in the CSLI (formerly the National Committee for Clinical Laboratory Standards) document M27-A2, *Reference Method for Broth Dilution Susceptibility Testing of Yeasts* (12). Agar-based MIC testing was performed by using Etest strips (AB Biodisk, Solna, Sweden) on RPMI 1640 agar plates supplemented with 2% glucose, as directed by the manufacturer.

* Corresponding author. Mailing address: Schering Plough Research Institute, K15-4-4700, 2015 Galloping Hill Road, Kenilworth, NJ 07033. Phone: (908) 740-7644. Fax: (908) 740-3918. E-mail: paul.mcnicholas@spcorp.com.

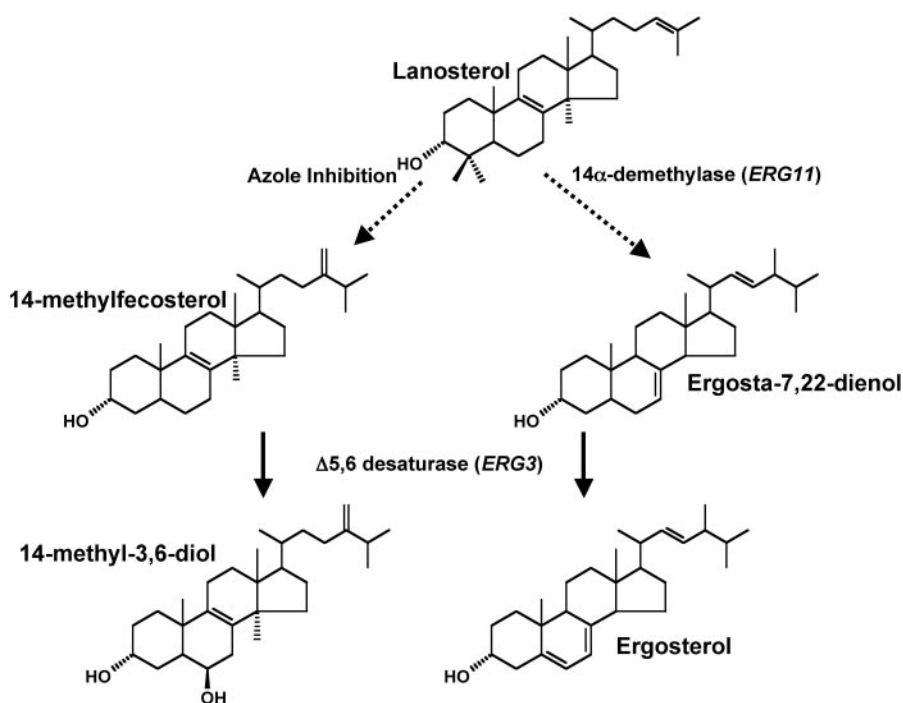


FIG. 1. Schematic representation of a part of the ergosterol synthesis pathway highlighting the role of the $\Delta^{5,6}$ -desaturase in conferring reduced susceptibility to azoles.

DNA-typing techniques for strain identification. Repetitive element PCR was performed by using a DiversiLab Candida kit, and the PCR was run on a Caliper 1000 analyzer, as described by the manufacturer (Bacterial BarCodes, Houston, TX). The resultant data were analyzed with DiversiLab System software.

Sterol identification. Test strains were labeled with [14 C]acetate, and nonsaponifiable lipids were extracted and resolved by high-pressure liquid chromatography as described previously (11). Sterol identity was confirmed by gas chromatography-mass spectroscopy (GC-MS).

DNA sequencing and quantitation of gene expression levels. The *ERG3*- and *ERG11*-coding regions were amplified by PCR from purified chromosomal DNA as overlapping 500-bp fragments and were sequenced by GeneWiz, Inc. (North Brunswick, NJ). The sequences were compared to the following GenBank sequences: the *ERG3* sequence with accession number AF069752 and the *ERG11* sequence with accession number X13296. Gene expression levels were measured by a real-time quantitative multiplex PCR, as described previously (1).

Germ tube formation. Cells were grown overnight in YPD at 30°C with shaking. Germ tube formation was induced by subculturing the cells into fresh YPD supplemented with 10% (vol/vol) serum (Serum Supreme; Biowhittaker) and incubating the cells at 37°C without shaking. Control cultures were grown at 30°C without serum or shaking.

Reversion of Δ erg3 in *C. albicans* strain DSY1751. A linear PCR product that comprised the *URA3* marker flanked on the 5' side by the intact *ERG3* gene and on the 3' side by the sequences normally found downstream of *ERG3* was generated as follows. Chromosomal DNA extracted from *C. albicans* C43 was used as the template for the PCRs. The open reading frames for *ERG3* (5'-AG CATCCCTCTAATCTAAGAAATACTTTGT-3' and 5'-CAGCTCTTTTTTTT GTTCCGTTTATACCATCCAATCATTGTTCAACATATTCTCTA-3') and *URA3* (5'-GTTGACGATAGAGAATATGTTGAACAATGATTGGATGGTA TAAACGGAAACA-3' and 5'-AGTCAATGGTCCAAAACAAAGATGTACC AATCTAGAAGGACCACCTTTGATTG-3'), plus the region downstream of *ERG3* (5'-CTATTTACAATCAAAGGTGGTCTTCTAGATTGGTACATCT TTGTTTTGGACCA-3' and 5'-TCTACTTCTAAACAACTGAAATGGCTA ACAC-3'), were amplified by PCR with the indicated primers. The primers were designed to provide 20 bp of overlapping homology between each fragment; subsequently, all three fragments were fused together in a single PCR by using flanking primers (5'-AGACTACGCGAGACCACACTTGCAT-3' and 5'-AAG AAAGAAAGGTGATTTAAAGTTCGATT-3'). The 3.97-kb product was

used to transform ASC99 to prototrophy by using the Frozen-EZ Yeast Transformation II kit (Zymo Research, Orange, CA).

Mouse virulence models. The virulence of the *C. albicans* strains was assayed by injecting 5×10^6 CFU into the tail vein of groups of 10 male CR-CF1 mice; survival was monitored for 10 days. For measurement of both survival and kidney fungal burdens, groups of 20 mice were inoculated as described above and then randomly assorted into two groups; one group was monitored for survival. Half the mice from the second group were euthanized after 24 h, and the kidney fungal burdens were determined by plating serial dilutions of homogenized tissue. Samples from the remaining five mice were cultured as they died; the survivors were euthanized on day 10 and samples were cultured. Differences in survival were analyzed for statistical significance by using the log-rank test.

RESULTS

Source of clinical *C. albicans* isolates. Fourteen days after receiving hematopoietic stem cell transplantation, a patient was diagnosed with candidemia and was given fluconazole. *C. albicans* strains C673 and C410 were isolated 15 and 17 days posttransplantation, respectively. After 13 days of fluconazole therapy there was no response and the patient was switched to liposomal amphotericin B; seven days later the patient was culture negative. However, the patient died 4 days later, 38 days after receiving the transplant. Strain C655 was isolated from a second patient at a different hospital; no further details are available.

Susceptibility profiles of the clinical *C. albicans* isolates. Strains C410 and C655 exhibited significant reductions in susceptibility to azoles and a modest reduction in susceptibility to amphotericin B (Table 1). Strains C673 and C43 (strain C43 is a clinical isolate from the SPRI culture collection and was included for comparative purposes) were susceptible to all

TABLE 1. Antifungal susceptibilities of *C. albicans* isolates

Strains	<i>ERG3</i> genotype	MIC ($\mu\text{g/ml}$)				
		Posaconazole	Itraconazole	Fluconazole	Voriconazole	Amphotericin B
C43	<i>ERG3/ERG3</i>	<0.03	0.06	0.25	0.03	0.5
C673	<i>ERG3/ERG3</i>	0.016	0.03	0.25	0.016	0.5
C410	<i>erg3/erg3</i>	>8	>16	>256	>16	1
C655	<i>erg3/erg3</i>	>8	>8	>256	>16	2
DSY1366 ^a	<i>ERG3/erg3</i>	0.003	0.006	0.75	0.012	NT ^b
DSY1751 ^a	<i>erg3/erg3</i>	>32	>32	>256	>32	NT
ASC100 ^a	<i>ERG3/erg3</i>	0.002	0.003	0.5	0.008	NT

^a MIC values were obtained by using Etest strips.

^b NT, not tested.

drugs tested. DNA fingerprinting confirmed that the isolates from the patient who had received a hematopoietic stem cell transplant (C410 and C673) were closely related to each other but distinct from C43 and C655 (data not shown).

Azole-resistant clinical *C. albicans* isolates harbor homozygous nonsense mutations in *ERG3*. Previously, we used real-time quantitative PCR to measure the levels of expression of genes encoding efflux pumps (*CDR1*, *CDR2*, and *MDR1*), *ERG11*, and two control genes (*ACT1* and *PMA1*) in a collection of 14 fluconazole-susceptible *C. albicans* isolates (1). For each gene, the average expression levels and the variations within the population were determined. The azole-resistant strains (C410 and C655) were analyzed in the same fashion. The expression levels of *CDR1*, *CDR2*, *MDR1*, and *ERG11* in both strains were comparable to those found in the azole-susceptible isolates (data not shown). In the absence of changes in the levels of expression of efflux pumps, we screened for mutations in *ERG11* and *ERG3*. There were no missense mutations in *ERG11* in strains C410 and C673. Strain C655 harbored a homozygous mutation in *ERG11* that resulted in the substitution of glutamate for aspartate at residue 116. However, this mutation has been found in several azole-susceptible isolates and is not thought to play a role in conferring azole resistance (16). There were no mutations in *ERG3* in azole-susceptible strains C673 and C43. In contrast, strains C410 and C655 harbored homozygous nonsense mutations in *ERG3*: in C410, codon 266 was mutated from leucine to an opal stop codon; in isolate C655, codon 228 was mutated from tryptophan to an opal stop codon.

Sterol analysis confirms the absence of sterol $\Delta^{5,6}$ -desaturase activity in the *erg3* mutants. The predominant sterol extracted from 24-h cultures of azole-susceptible strains C43 and C673 was ergosterol (data not shown). The major sterol extracted from the *erg3* mutants (C410 and C655) eluted approximately 1 min earlier than ergosterol. This was confirmed by coinjecting the sterols isolated from C673 and C410; there was a clear separation of the two sterols (Fig. 2). GC-MS analysis confirmed that the sterol extracted from both *erg3* mutants was ergosta-7,22-dien-3-ol, an ergosterol precursor that retains a saturated bond between C-5 and C-6. Consistent with the loss of $\Delta^{5,6}$ -desaturase activity, exposure of strain C655 to sub-MICs of posaconazole resulted in the accumulation of 14-methylfecosterol, as well as smaller amounts of eburicol, obtusifoliol, and lanosterol (data not shown).

***C. albicans erg3* mutants exhibit reduced virulence.** The virulence of strains C43, C410, C673, and C655 was assayed in a

mouse systemic infection model using survival as an end point. At comparable inoculation levels, azole-susceptible wild-type strains C43 and C673 were equally virulent; in two independent tests, all mice succumbed by days 6 to 10 (Fig. 3A). In contrast, mice infected with the *erg3* mutants (C410 and C655) survived longer than those infected with the wild-type strains (Fig. 3A and B).

The virulence assay was repeated using a matched pair of laboratory strains; either one or both copies of *ERG3* were deleted from DSY1336 and DSY1751, respectively (note both strains have a deletion of one copy of *ERG11*). Consistent with previous results, the homozygous *erg3* deletion mutant, but not the heterozygous progenitor, exhibited reduced susceptibility to azoles (note that these strains gave inconsistent MICs when they were tested by the broth microdilution methodology; consequently, the values reported in Table 1 were obtained with Etest strips). Similarly, in the mouse model, DSY1751 was significantly less virulent than DSY1336 (Fig. 3C). To confirm that both the virulence and resistance phenotypes were a direct result of the loss of $\Delta^{5,6}$ -desaturase activity a wild-type *ERG3*

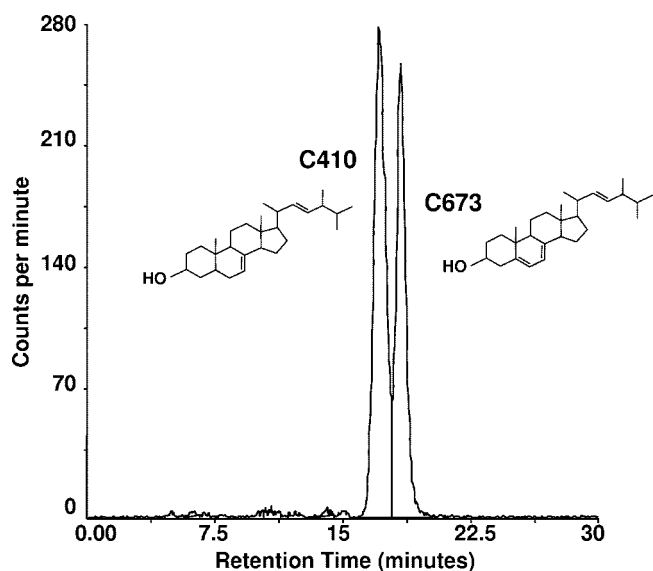
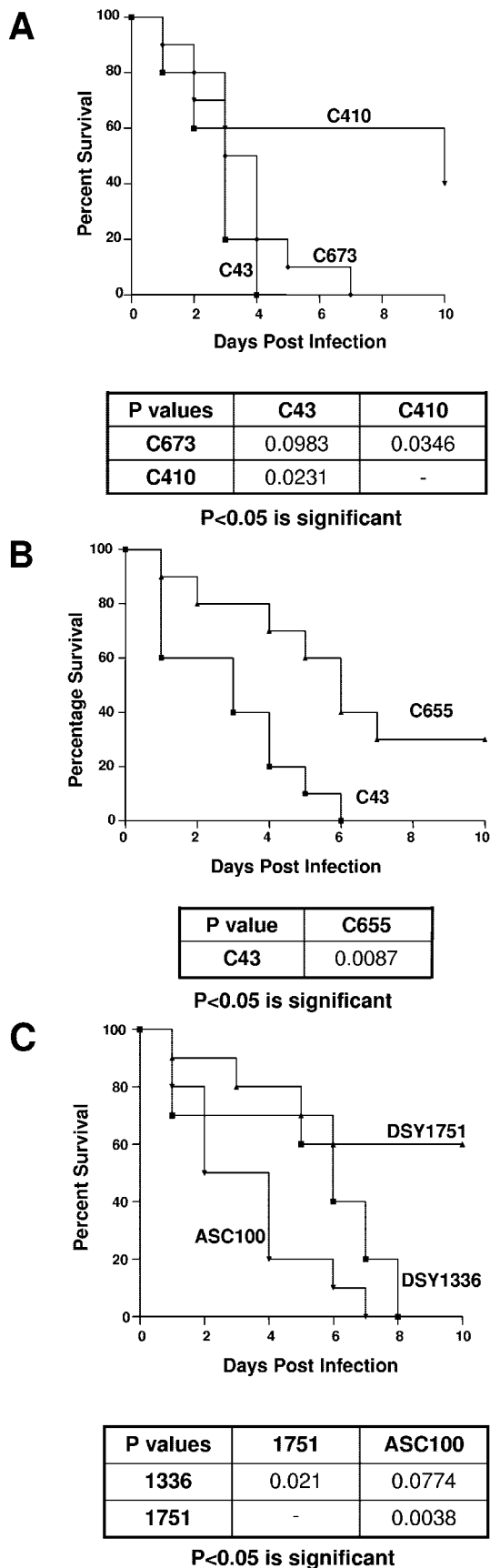


FIG. 2. High-pressure liquid chromatography chromatogram of the sterols extracted from *C. albicans* strains C673 (wild type) and C410 (homozygous *erg3* mutant) run on the same column; the major peaks were identified by GC-MS as ergosterol and ergosta-7,22-dien-3-ol, respectively.



gene was reintroduced into a *ura3* derivative of DSY1751, ASC99 (see the Materials and Methods section for a description of ASC99). The resultant strain, ASC100, was susceptible to azoles (Table 1), synthesized ergosterol (data not shown), and was significantly more virulent than the homozygous *erg3* mutant (Fig. 3C).

Reduced virulence is not accompanied by a reduction in kidney fungal burdens. To determine if the observed reduction in virulence reflected an inability of the *erg3* mutants to exit the bloodstream and enter tissues, we examined the kidney fungal burdens 24 h after infection. There were no significant differences ($P \geq 0.05$) in the number of cells recovered from the kidneys of mice infected with either wild-type or the mutant strains (Table 2). The fungal burdens in mice infected with an *erg3* mutant were also measured at day 10 to determine if cell numbers changed over time. At day 10 there appeared to be a 10-fold increase in the number of colonies recovered; however, the increase was not statistically significant ($P = 0.051$).

***C. albicans erg3* mutants are impaired in their ability to filament.** The ability of *C. albicans* to transition from the yeast form to a filamentous form is essential for virulence (19). To determine if the *erg3* mutants retained the ability to form filaments they were exposed to serum at 37°C. Wild-type strains C43 and C673 readily formed filaments within 2 to 3 h of being exposed to serum; over the same time period, the *erg3* mutants C655 and C410 did not elaborate hyphae (Fig. 4).

DISCUSSION

Therapeutic intervention inevitably selects for those microorganisms with mutations that confer reduced susceptibility to the challenge drug. In the case of azoles, reduced susceptibility has primarily been associated with either alterations in the drug target site or increased efflux resulting from the overexpression of the genes encoding efflux pumps. In rare instances, isolates with nonsense mutations in *ERG3* have been recovered (5, 6, 13). In an effort to combat resistance, as well as to improve the spectrum of activity, a new group of triazoles (e.g., posaconazole, itraconazole, voriconazole, and ravuconazole) has been developed. Despite the increased potencies of these new drugs, *C. albicans* isolates with reduced susceptibility to the newer azoles have been described. In the case of voriconazole and itraconazole, at least two substitutions in CYP51 were required to confer resistance (D. Sanglard, F. Ischer, and J. Bille, Abstr. 42nd Intersci. Conf. Antimicrob. Agents Chemother., abstr. M-221, 2002). For posaconazole, multiple (up to five) substitutions in CYP51 were associated with significant reductions in susceptibility (1, 7). Given the rarity with which

FIG. 3. Impact of nonsense mutations in *ERG3* on the virulence of *C. albicans*. Azole-resistant clinical isolates C410 and C655 (both isolates harbored homozygous nonsense mutations in *ERG3*) and azole-susceptible isolates C43 and C673 were tested in a murine systemic infection model. For all strains, 5×10^6 CFU was injected into the tail vein. Statistical differences in virulence, as measured by survival, are shown under each Kaplan-Meier plot (A and B). A laboratory-generated homozygous *erg3* deletion mutant (DSY1751) along with its heterozygous progenitor (DSY1366) and an engineered revertant (ASC100) were similarly tested (C).

TABLE 2. Kidney fungal burdens in mice 24 h after infection with *C. albicans* isolates

Strain	<i>ERG3</i> genotype	Average fungal burden (log ₁₀ CFU)	Variance	Comparison of fungal burdens (<i>P</i> values)
C43	<i>ERG3/ERG3</i>	4.9	0.23	C43 vs C673 (0.28)
C673	<i>ERG3/ERG3</i>	5.2	0.17	C43 vs C410 (0.05)
C410	<i>erg3/erg3</i>	5.8	0.57	C410 vs C673 (0.16)
DSY1336	<i>ERG3/erg3</i>	4.4	0.08	DSY1336 vs DSY1751 (0.16)
DSY1751	<i>erg3/erg3</i>	4.0	0.37	

isolates with multiple mutations might be expected to occur, it is curious that such isolates appear to be recovered both from patients (3, 4, 8, 15, 16, 21) and from in vitro experiments (2) more frequently than isolates with homozygous nonsense mutations in *ERG3* (6, 13). One explanation for this apparent anomaly is that *erg3* mutants are at a competitive disadvantage, possibly due to a significant reduction in either growth rate or virulence. To address this issue we analyzed two unrelated clinical *C. albicans* isolates with homozygous nonsense mutations in *ERG3*. Growth rate measurements did not reveal any significant differences between wild-type strains and *erg3* mu-

tants (data not shown). However, in a mouse model both *erg3* mutants were significantly less virulent than the wild-type comparator strains. Similar findings were obtained by using matched laboratory-generated deletion mutants; in this case, the homozygous *erg3* deletion mutant was significantly less virulent than its heterozygous progenitor. Reintroduction of a wild-type *ERG3* gene into the homozygous *erg3* deletion mutant restored both virulence and sensitivity to azoles, confirming that these phenotypic changes were due solely to the loss of sterol $\Delta^{5,6}$ -desaturase activity.

Previously, it was found that *C. albicans* strains that were

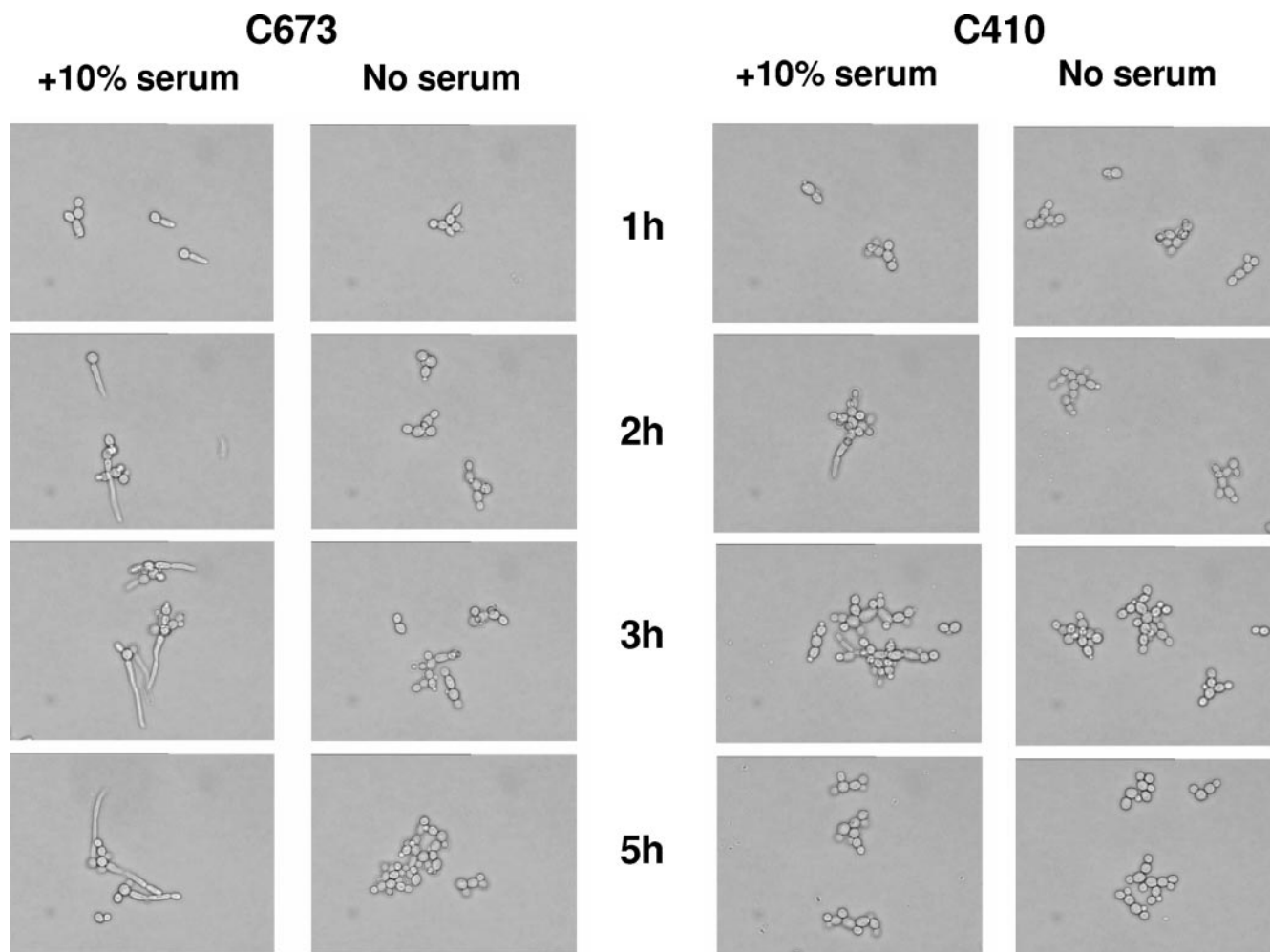


FIG. 4. Impact of nonsense mutations in *ERG3* on the ability of *C. albicans* to transition to the hyphal form. Strains C673 (wild type) and C410 (homozygous *erg3* mutant) were subcultured into medium supplemented with serum and incubated at 37°C. At the indicated time points, they were examined microscopically for filament formation.

unable to transition from the yeast to the hyphal form exhibited reduced virulence in mice (19). Interestingly, despite their lack of virulence, the strains were able to leave the blood vessels and establish infections in organs. Both the clinical and the laboratory-generated *erg3* mutants described above exhibited a similar phenotype: they were impaired in their ability to form hyphae in the presence of serum *in vitro*, and they exhibited reduced virulence in mice. Yet, there were no significant differences in the kidney fungal burdens in mice infected with wild-type and mutant strains. The molecular basis for these observations remains to be determined. Previously, azoles (including posaconazole; data not shown) were shown to inhibit hyphal formation (4). A subsequent study suggested that by blocking ergosterol synthesis, the azoles inhibited the formation of ergosterol-rich "rafts" that are associated with the leading edge of the developing hyphae (9). It is conceivable that the loss of sterol $\Delta^{5,6}$ -desaturase activity and, as a consequence, the replacement of ergosterol by ergosta-7,22-dien-3-ol also affect raft formation. However, it should be noted that inhibition of hyphal formation was not caused by the accumulation of ergosta-7,22-dien-3-ol *per se*. Exposure of the *erg3* mutants to azoles, which, as described above, results in the synthesis of 14-methylfecosterol rather than ergosta-7,22-dien-3-ol, did not restore the ability of the mutants to grow as hyphae (data not shown). Alternatively, the change in sterol composition may negatively affect one (or more) of the membrane-localized components of the signal transduction pathway(s) that regulate the change in cell morphology. Prior work highlighted the sensitivity of membrane-localized proteins to changes in membrane composition (10); for example, treatment of *C. albicans* with azoles resulted in uncoordinated activation of chitin synthase (20). The recent construction of *C. albicans* strains that are able to grow as filaments in the absence of serum (19), presumably bypassing the signal transduction pathway(s), may allow testing of this hypothesis.

In summary, we have shown that although nonsense mutations in *ERG3* confer high-level resistance to azoles and a moderate decrease in susceptibility to AMB, they also attenuate virulence in *C. albicans*. The loss of virulence most likely appears to be a consequence of the *erg3* mutant's inability to form hyphae and may go some way to explaining why such mutants are rarely recovered from patients.

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