

A Superfamily of *Arabidopsis thaliana* Retrotransposons

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ABSTRACT

We describe a superfamily of *Arabidopsis thaliana* retrotransposable elements that consists of at least ten related families designated Ta1–Ta10. The Ta1 family has been described previously. Two genomic clones representing the Ta2 and Ta3 elements were isolated from an *A. thaliana* (race Landsberg *erecta*) λ library using sequences derived from the reverse transcriptase region of Ta1 as hybridization probes. Nucleotide sequence analysis showed that the Ta1, Ta2 and Ta3 families share >75% amino acid identity in pairwise comparisons of their reverse transcriptase and RNase H genes. In addition to Ta1, Ta2 and Ta3, we identified seven other related retrotransposon families in Landsberg *erecta*, Ta4–Ta10, using degenerate primers and the polymerase chain reaction to amplify a highly conserved region of retrotransposon-encoded reverse transcriptase. One to two copies of elements Ta2–Ta10 are present in the genomes of the *A. thaliana* races Landsberg *erecta* and Columbia indicating that the superfamily comprises at least 0.1% of the *A. thaliana* genome. The nucleotide sequences of the reverse transcriptase regions of the ten element families place them in the category of copia-like retrotransposons and phylogenetic analysis of the amino acid sequences suggests that horizontal transfer may have played a role in their evolution.

MOBILE genetic elements that proliferate by reverse transcription comprise a substantial fraction of eukaryotic genomic DNA. For mice, humans and *Drosophila melanogaster*, it has been estimated that as much as 10% of the genome consists of reverse transcribing elements (*i.e.*, retrotransposons and endogenous retroviruses, TEMIN 1985; BINGHAM and ZACHAR 1989). In plants, retrotransposable elements have only recently been described, but the rapidly growing catalog of plant retrotransposons suggests that these elements may be as commonplace in plant genomes as they are in the genomes of other higher eukaryotes (VOYTAS and AUSUBEL 1988; GRANDBASTIEN, SPIELMANN and CABOCHE 1989; JIN and BENNETZEN 1989; JOHNS *et al.* 1989; LUCAS, MOORE and FLAVELL 1989; SMYTH *et al.* 1989).

Most eukaryotic reverse transcribing elements (retroelements) identified thus far can be divided in two major groups with respect to their structural similarities (TEMIN 1985). Retroviruses and some transposable elements containing long terminal direct repeats (LTRs) make up the first group. A second group consisting of fungal mitochondrial introns and a variety of retrotransposons that lack LTRs has been referred to as non-LTR retrotransposons (XIONG and EICKBUSH 1988).

Like retroviruses, LTR retrotransposons consist of a large internal domain (3–5 kbp) flanked by LTRs

(300–500 bp). Transcription initiation and termination signals are carried within the LTRs and direct the synthesis of RNA transcripts that encode the protein products of the *pol* gene which complete the transposition process. The *pol* gene encodes several enzymatic activities including protease, RNase H, reverse transcriptase (RT) and integrase. The reverse transcriptase region of the *pol* gene is the most highly conserved sequence of the retroelements (DOOLITTLE *et al.* 1989).

Within the class of LTR retrotransposons, two major lineages can be distinguished which differ in the linear arrangement of the putative enzymatic functions encoded by the *pol* gene. In one lineage, the order is: protease, reverse transcriptase, RNase H; integrase. Elements in this lineage, which are more closely related to the retroviruses than to other classes of retrotransposons, include the *gypsy*, 17.6, 297 and 412 elements from *D. melanogaster* (MARLOR, PARKHURST and CORCES 1986; SAIGO *et al.* 1984; INOUE, YUKI and SAIGO 1986; YUKI, ISHIMARU and SAIGO 1986), the Ty3 elements of yeast (HANSEN, CHALKER and SANDMAYER 1988), and the *del* elements of lily (SMYTH *et al.* 1989). In the second lineage the order of enzymatic activities encoded by *pol* is: protease; integrase; reverse transcriptase; RNase H. The second lineage includes the *copia* and 1731 elements from *D. melanogaster* (MOUNT and RUBIN 1985; FOURCADE-PERONNET *et al.* 1988); the Ty1 and Ty2 elements from yeast (CLARE and FARABAUGH 1985; WARMING-

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TON *et al.* 1985); the Tnt1 element from *Nicotiana tabacum* (GRANDBASTIEN, SPIELMANN and CABOCHÉ 1989) as well as by Ta1 elements from *Arabidopsis thaliana* (VOYTAS and AUSUBEL 1988; VOYTAS *et al.* 1990). We refer to this latter lineage as "copia-like" retrotransposons.

The present study was motivated by our finding that probes derived from the reverse transcriptase region of Ta1 hybridize weakly to non-Ta1 *A. thaliana* sequences. We report here the identification of ten families of copia-like elements which represent most, if not all, of the members of a superfamily of copia-like retrotransposable elements in *A. thaliana*. This study is the first comprehensive analysis of an entire superfamily of transposable elements within the genome of a single species.

MATERIALS AND METHODS

Plant material: Seeds of *A. thaliana* races Landsberg, Columbia and Kashmir were obtained from the *Arabidopsis* Information Service (KRANZ and KIRCHHEIM 1987). Landsberg carries the recessive mutation *erecta*.

DNA manipulations: *A. thaliana* DNA was isolated from whole plants using a standard procedure (AUSUBEL *et al.* 1990). The clones, λ 31-3 and λ 31-5, were isolated from a genomic library of Landsberg *erecta* DNA constructed in lambda FIX (Stratagene) according to manufacturer's instructions. Recombinant phage were plated on the *Escherichia coli mcrA mcrB* strain ER1458 (RALEIGH and WILSON 1986). Plaque hybridizations were conducted as previously described using a Ta1 element reverse transcriptase probe (INT, Figure 1, VOYTAS *et al.* 1990).

For Southern blot analyses, 5 μ g of *A. thaliana* genomic DNA were digested with restriction endonucleases listed in the legend to Figure 4, subjected to electrophoresis in 0.8% agarose gels, and transferred to Gene Screen Plus nylon membranes (New England Nuclear). DNA probes (Figure 1) were labeled by random priming (AUSUBEL *et al.* 1990) and hybridized to the filters using conditions recommended by the manufacturer. Filters were washed at 65° in 0.2 \times SSC.

DNA sequences were obtained using the dideoxy method (AUSUBEL *et al.* 1990) with Sequenase (U.S. Biochemical Corp.) and both single- and double-stranded DNA templates. Nested deletions were generated with Bal31 nuclease and cloned into M13 phage vectors (AUSUBEL *et al.* 1990). DNA sequences were assembled on a VAX computer (Digital Equipment Corporation) using the Multiple Sequence Editor (W. GILBERT, unpublished). Amino acid alignments were performed with ALIGN (DAYHOFF, BARKER and HUNT 1983). Other DNA and protein sequence data analyses were performed with the programs of the University of Wisconsin Genetics Computer Group (DEVEREUX, HAEBERLI and SMITHIES 1984). The DNA sequences of Ta2 and Ta3 and the partial sequences of Ta4-Ta10 have been submitted to Genbank.

The polymerase chain reaction (PCR) was used to clone putative reverse transcriptase regions from *A. thaliana* Landsberg *erecta* DNA using 1 μ g of DNA as the template for the reactions. The oligonucleotide primers were synthesized based on the consensus sequence for the reverse transcriptase region of the *pol* gene shown in Figure 3: Primer 1: 5'AYRTCRTCNACRTANAGNAG-3'; primer 2: 5'AARACNGCNTTYTTRMAYGG-3', where M = A +

C, N = A + C + G + T, R = A + G and Y = T + C. The primers are oriented to amplify a 268-bp fragment of reverse transcriptase. PCR was performed using reagents provided in the GenAmp DNA Amplification Kit (Perkin Elmer-Cetus). Conditions for the reaction were: denaturation for 30 sec at 93°; annealing for 30 sec at 50°; polymerization for 3 min at 72°. The cycle was repeated 30 times. The amplification products were gel purified and cloned in the vectors M13mp18 (YANISCH-PERRON, VIEIRA and MESSING 1985) or pUC13 (NORRANDER, KEMPE and MESSING 1983) digested with *Sma*I. DNA sequence data were obtained for 34 independent clones.

Phylogenetic analysis: DNA sequences were assembled and translated using the programs of the University of Wisconsin Genetics Computer Group (DEVEREUX, HAEBERLI and SMITHIES 1984). Derived amino acid sequences were chosen from 11 clones thought to represent distinct elements in the Landsberg *erecta* race based on Southern blot hybridizations and preliminary sequence comparisons. These sequences, along with the corresponding sequences from other available related retrotransposons, were aligned using the computer program TreeAlign (HEIN, 1989a,b). The gap penalty used was $g_k = 10 + (3 \times k)$.

The TreeAlign program simultaneously aligns a set of sequences and reconstructs a phylogenetic tree using nearest neighbor interchanges and the parsimony criterion (HEIN, 1989a,b). However, it is known that nearest neighbor interchanges is not the most effective method of finding the most parsimonious phylogeny (SWOFFORD 1990). Therefore the aligned sequences were entered into a prerelease version of the computer program MacClade (MADDISON and MADDISON 1991), and the TreeAlign tree was reconstructed using the tree manipulation features of the MacClade program. This tree was then used as the initial tree for further phylogenetic analysis using the computer program PAUP, version 3.0g (SWOFFORD 1990). Each amino acid position was scored as an unordered character and regions representing the amino acids coded for by the PCR primers were eliminated from the analysis. Both subtree pruning-regrafting and tree bisection-reconnection branch-swapping algorithms were used. The phylogenetic tree was rooted using the outgroup method with the Ty1 retrotransposon of yeast as the outgroup.

RESULTS

Identification of two *A. thaliana* retrotransposable elements related to Ta1: Reverse transcriptase is the most highly conserved protein encoded by retroviruses and retrotransposons (DOOLITTLE *et al.* 1989). In Southern blot analyses used to characterize the *A. thaliana* Ta1 retrotransposable element family, we typically observed a number of sequences which hybridized weakly to Ta1 reverse transcriptase probes (VOYTAS *et al.* 1990). To clone these cross-hybridizing sequences, we screened an *A. thaliana* (Landsberg *erecta*) genomic library constructed in λ FIX using the Ta1 reverse transcriptase probes INT and INT3 shown in Figure 1. As shown in Figure 1, two clones, λ 31-3 and λ 31-5, were identified and then characterized by restriction endonuclease and Southern blot hybridization analysis to delimit the regions that hybridized to the Ta1 reverse transcriptase probes (data not shown).

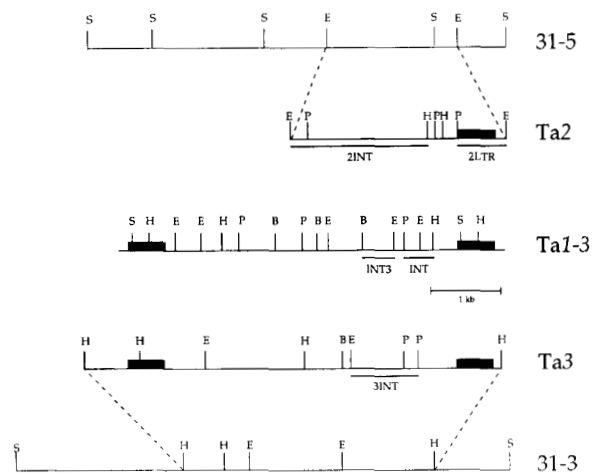


FIGURE 1.—Restriction maps of the *Ta1-3*, *Ta2* and *Ta3* retrotransposable elements. Black boxes represent the LTRs for each of the elements. INT and INT3 are restriction fragments used as hybridization probes for identification and initial characterization of *Ta2* and *Ta3*. 2LTR is the hybridization probe used to look for a second *Ta2* LTR. 2INT is the hybridization probe used for determination of copy number of *Ta2* and 3INT is the probe used for determination of *Ta3* copy number. Restriction endonuclease sites are as follows: B = *Bgl*II; E = *Eco*RI; H = *Hind*III; P = *Pst*I; S = *Sal*I. A description of *Ta1-3* has been previously reported (VOYTAS and AUSUBEL 1988).

***Ta2*:** The DNA sequence of a 3-kbp *Eco*RI fragment from λ 31-5 that hybridized to the *Ta1* probes was determined and shown to contain three short reading frames (Figure 2) which share a high degree of amino acid sequence similarity with the terminal portion of the *Ta1* open reading frame: 84.5% similarity with part of the *Ta1* INT protein (104 of 123 residues) and 86.6% similarity with the *Ta1* reverse transcriptase and RNase H (252 of 299 residues, Table 1). In contrast, members of the *Ta1* element family all share >96% nucleotide identity at both the nucleotide and amino acid level (VOYTAS *et al.* 1990). We therefore concluded that λ 31-5 carried a retrotransposon that we named *Ta2* that was related to but distinct from *Ta1*.

Because copia-like retrotransposons are flanked by

LTRs, we expected that the sequences downstream of the *Ta2* open reading frames would be repeated upstream of the element. However, when sequences encompassing the putative *Ta2* 3' LTR were used as hybridization probes (2LTR, Figure 1) to localize a λ 31-5 restriction fragment containing the 5' LTR, no hybridizing sequences were found. Because λ 31-5 contains at least 6.5 kbp of DNA upstream of the putative *Ta2* 3' LTR which is sufficient to encode a complete retrotransposon, we concluded that the 5' LTR of *Ta2* had been deleted. A member of *Ta1* element family, *Ta1-2*, has undergone a similar deletion of its 5' LTR (VOYTAS *et al.* 1990).

The following observations suggest that the 3' LTR of *Ta2* is intact. First, a polypurine tract (PPT), the putative primer for second strand DNA synthesis during reverse transcription, is invariantly present at the junction of the internal sequence domain and the 3' LTR of retroviruses and LTR-retrotransposons (VARMUS and BROWN 1989). Sixty-six base pairs downstream of the third *Ta2* ORF (*Ta2c*, Figure 2) is a 17-bp purine-rich sequence (Figure 3). This sequence is identical to the PPT of *Ta1*, and 16 of 17 nucleotides are identical to the PPT of *Ta3* (see below). Second, immediately downstream of the *Ta2* polypurine tract is the dinucleotide TG and 520 nucleotides downstream of this is the dinucleotide CA (the invariant LTR end-sequences of retroviruses and retrotransposons; VARMUS and BROWN 1989) (Figure 3). *Ta1* and *Ta3* LTRs also begin and end with these same dinucleotides (see below). Third, the putative *Ta2* LTR shares 60.4% nucleotide identity with *Ta1* LTRs and 55.6% nucleotide identity with the LTRs of the *Ta3* element. In addition, a stretch of 70 nucleotides within the putative *Ta2* LTR shares 91.4% identity (64 out of 70 residues) with the *Ta1* LTRs (data not shown).

***Ta3*:** A 6.0-kbp region of λ 31-3, the second clone that hybridized to the *Ta1* reverse transcriptase probes, was sequenced and revealed a single 4139 bp open reading frame that shares ~75% nucleotide and

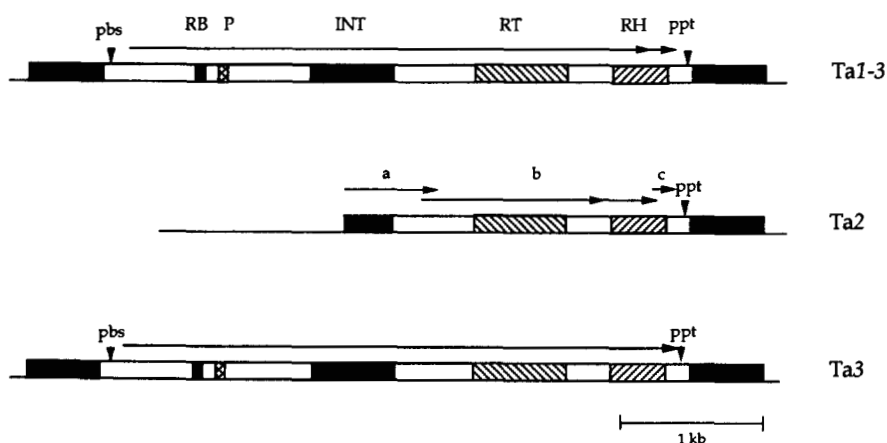


FIGURE 2.—Structural features of *Ta1-3*, *Ta2* and *Ta3*. Black boxes represent LTRs. Shaded boxes represent conserved amino acid sequence domains common among retrotransposons and retroviruses: RB = RNA binding domain, P = protease, INT = integrase, RT = reverse transcriptase, RH = RNase H, PBS = primer binding site, and PPT = polypurine tract. Arrows over the elements represent open reading frames and arrowheads represent stop codons.

TABLE 1
Amino acid similarities within internal domains of *A. thaliana* retrotransposons

Elements compared	RNA binding domain (%)	Protease (%)	Integrase (%)	Tether (%)	Reverse transcriptase (%)	RNase H (%)
Ta1-3 vs. Ta2	N/A ^a	N/A	84.5 (123) ^b	70.8 (182)	86.6 (299)	78.2 (138)
Ta1-3 vs. Ta3	66.0 (30)	83.0 (30)	82.1 (241)	51.3 (195)	81.4 (216)	75.5 (92)
Ta2 vs. Ta3	N/A	N/A	78.6 (122)	52.8 (195)	82.8 (216)	81.5 (92)
Ta3 vs. Tnt1	45.4 (33)	33.0 (12)	43.9 (241)	30.7 (195)	55.0 (216)	56.0 (92)

The alignment was obtained using PIRALIGN program. Tether = a nonconserved region between integrase and reverse transcriptase domains.

^a N/A = not applicable.

^b Numbers in parentheses represent the number of amino acids compared.

PRIMER BINDING SITE

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5' LTR ───┐
Ta1-3 AAGGTTTAAAGGTTCGTTTGGTAACA AG TGGTATCAGAGCCATTGGTTCTTGCCGAGCTATG
Ta3 TCGTATTGGGATCTGTTTTTACAACA AG TGGTATCAGAGCCGAGGCTTACTCGTTTTCTTGAT
Tnt1 TTTGGTAAGGGGTTTATTCCAACA AC TGGTATCAGAGCACAGGTTCTGCTCGTTCACTG

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POLYPURINE TRACT

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┌─── 3' LTR
Ta1-3 AGTAAATCACGGTTGGAATAGGATCAAGGTGGAGAT TGGTGAGTTATGATCCAATTCCCTA
Ta2 GGTATGAAAGAGGAATAGGATCAAGGTGGAGAT TGTTAAGAAGTGATCCTATTCCGGTT
Ta3 ATGATATTGAGATGGGAATGGGATCAAGGTGGAGAT TGTTATGATTATGATCCAATTCCGGG
Tnt1 TACCTCCTCTGGATGAATGAGACTGGAGGGGGAGAT TGATGATGTCCATCTCATTGAAAGAA

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FIGURE 3.—Nucleotide sequence comparisons of the primer binding sites and polypurine tracts of the *A. thaliana* retrotransposons and the Tnt1 elements of *N. tabacum*. Consensus sequences are in plain type. The 12 bp of the primer binding site which are identical to plant tRNA are underlined. The differences from the consensus are in bold.

~72% amino acid identity to both the Ta1 and Ta2 open reading frames. We designated this element Ta3 since it is equally distinct from both the Ta1 and Ta2 elements. Unlike Ta2, Ta3 appears to be a structurally complete element, with an internal domain consisting of a single ORF flanked by two LTRs. The 3' LTR (499 bp) and the 5' LTR (485 bp) share 96.1% identity and differ by a short 14 bp insertion/deletion and several nucleotide substitutions (data not shown). The percent identity between the Ta3 LTRs (96.1%) is similar to that observed between the LTRs of a given Ta1 element copy (e.g. 98.4%, for Ta1-3; VOYTAS *et al.* 1990).

The Ta3 internal domain contains two sites that most likely serve to prime DNA synthesis by reverse transcription. Adjacent to the Ta3 5' LTR is a 12 bp sequence identical to plant tRNA_{met}ⁱ (GAUSS and SPRINZL 1983) (Figure 3); analogous sequences are found in most retrotransposons (including Ta1) and retroviruses and prime first strand DNA synthesis. Like Ta1 and Ta2, Ta3 also has a polypurine tract adjacent to the 3' LTR (Figure 3) for priming second strand DNA synthesis. Immediately flanking Ta3 are two 5-bp direct repeats (ATCTC), most probably target site duplications generated upon integration of the element into the genome as was shown for Ta1 (VOYTAS and AUSUBEL 1988).

Copy numbers of the Ta2 and Ta3 element families: The copy number of the Ta2 and Ta3 element families was determined by Southern blot analysis. Southern filters were prepared with DNA isolated from three *A. thaliana* races digested separately with EcoRI and HindIII. Based on the restriction maps of the cloned elements, each element copy should be visual-

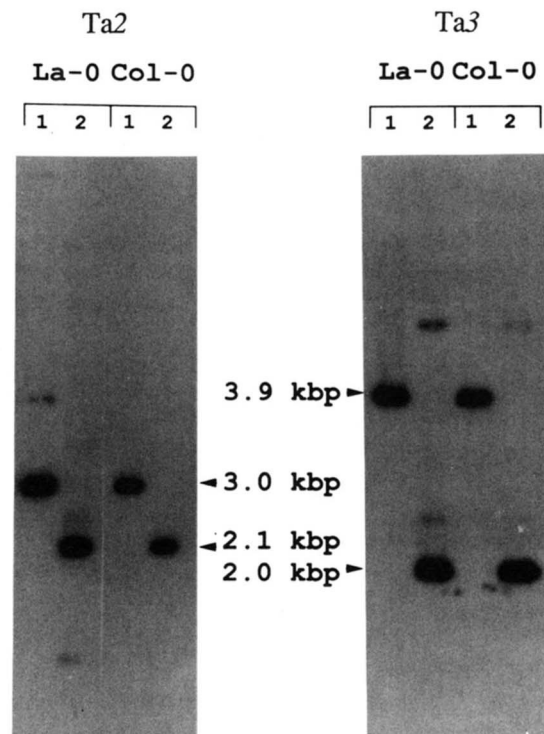


FIGURE 4.—Southern blot analysis of Ta2 and Ta3 elements within the Landsberg *erecta* and Columbia races. DNAs were digested with EcoRI (1) and HindIII (2) and hybridization was performed as described in MATERIALS AND METHODS using 2INT and 3INT probes specific for internal domains of Ta2 and Ta3 respectively (see Figure 1 for probes).

ized as a uniquely sized restriction fragment when hybridized with appropriate internal domain probes (2INT and 3INT, Figure 1). Both the Ta2 and Ta3 elements are present as a single copy within the Columbia, Landsberg *erecta* (Figure 4) and Kashmir (data

	~80 aa																									
Ty1	L	D	I	S	S	A	Y	L	Y	A	D	I	.	.	.	I	C	L	F	V	D	D	M	V	L	F
1731	M	D	V	C	T	A	Y	L	N	S	E	L	.	.	.	I	L	V	Y	V	D	D	L	I	L	A
<i>copla</i>	M	D	V	K	T	A	F	L	N	G	T	L	.	.	.	V	L	L	Y	V	D	D	V	V	I	A
Tnt1	L	D	V	K	T	A	F	L	H	G	D	L	.	.	.	L	L	L	Y	V	D	D	M	L	I	V
Ta1	M	D	V	K	T	A	F	L	H	G	E	L	.	.	.	L	L	L	Y	V	D	D	M	L	I	A
Ta2	M	D	V	K	T	A	F	L	H	G	D	L	.	.	.	L	L	L	Y	V	D	D	M	L	I	A
Ta3	M	D	V	K	T	T	F	L	H	G	D	L	.	.	.	L	L	L	Y	V	D	D	M	L	I	A
Consensus	K T A F L H N G										L L Y V D D M V															

FIGURE 5.—Schematic alignment of reverse transcriptase sequences of seven members of the “*copla*-like” class of retrotransposons. The primers for PCR were synthesized based upon the consensus amino acid sequence (see MATERIALS AND METHODS).

not shown) geographical races. Although these elements exist as single insertions, we refer to Ta2 and Ta3 as element families.

Identification and sequence analysis of other *A. thaliana copla*-like elements: We used the PCR to amplify the reverse transcriptase region of presumptive retroelements from *A. thaliana* Landsberg *erecta* genomic DNA by utilizing a pair of degenerate oligonucleotide primers that correspond to highly conserved regions of the reverse transcriptase region of the *pol* gene (Figure 5). The products of amplification migrated on acrylamide gels as a major band of 268 bp which was the expected size (data not shown). The fragments representing the 268-bp band were cloned and a total of 34 independent clones were sequenced as described in MATERIALS AND METHODS.

Sequence analysis: When the putative amino acid sequences encoded by the 34 clones were compared with those of other transposable elements, we found that the elements could be divided into ten distinct families (Table 2). The criterion for assignment to a family was >90% amino acid identity in pairwise comparisons. The alignment of amino acid sequence of one member from each of the ten families (including Ta1, Ta2 and Ta3) is presented in Figure 6. Figure 6 also shows the corresponding regions of the *D. melanogaster* elements *copla* (MOUNT and RUBIN 1985) and 1731 (FOURCADE-PERONNET *et al.* 1988), the tobacco Tnt1 element (GRANDBASTIEN, SPIELMANN and CABOCHE 1989), and the yeast Ty1 element (CLARE and FARABAUGH 1985). The alignment includes three regions (boxed and numbered 1–3) that are highly conserved among all of the sequences shown in Figure 6; a similar pattern of conserved sequences is observed when all known reverse transcriptases are compared (XIONG and EICKBUSH 1988). The *A. thaliana* reverse transcriptase sequences obtained by PCR are similar to those of other retrotransposons; the level of amino acid similarity between the families extends from 37% (between Ta8 and Ta1–3) to 85% (between Ta8 and Ta9). This level of amino acid similarity for elements of the same class is considered high (*e.g.*, Visna and MuLV show 25% similarity; XIONG and EICKBUSH 1988). These data indicate that the products of am-

TABLE 2
Products of PCR arranged in families based on amino acid similarity

Element family	No. of clones recovered from PRC products	Average nucleotide divergence between clones based on pairwise comparisons (%)	No. of copies
Ta1	11	<1.0	1–3 ^a
Ta2	2	1.7	1 ^b
Ta3	0	N/A ^c	1 ^b
Ta4	3	4.2	1
Ta5	2	0	1
Ta6	1	N/A	2
Ta7	4	<1.0	1
Ta8	2	0	1
Ta9	1	N/A	1
Ta10	9	1.3	1

^a From VOYTAS *et al.* (1990).

^b Determined as described in the section on copy number of Ta2 and Ta3.

^c N/A = not applicable.

plification are not likely to be artifactual but represent true *A. thaliana* retrotransposons.

Among the 34 clones sequenced that were obtained by PCR, we identified 11 clones that correspond to Ta1 and 2 clones that correspond to Ta2 (Table 2), but did not find any sequences representing Ta3. This may be due to the fact that the conserved amino acid domain of Ta3 that was used as the basis for the lefthand primer differs by one amino acid from the consensus sequence (KTTFL *vs.* KTAFL; Figure 5). Another possibility for not finding sequences corresponding to Ta3 is that we found that some reverse transcriptase sequences were preferentially amplified compared to others; 11 Ta1 clones were obtained but only one each for Ta6 and Ta9 (Table 2).

DNA sequences of clones within a given family showed a variation ranging from no differences (Ta5 and Ta8) to 4.2% (Ta4 family) (Table 2). Some variation in the sequences may be due to replication errors which occurred during amplification. To quantify the level of PCR-generated errors, the reverse transcriptase nucleotide sequences of Ta1 and Ta2 obtained from PCR clones were compared with the sequences obtained from lambda clones (see above and VOYTAS *et al.* 1990). A mean variation of 3.7% (standard deviation = 1.1%) was observed indicating that the sequence divergence among clones of a given family is most likely due to amplification errors, whereas divergence between families most likely reflects evolutionary change. Another piece of evidence suggesting that amplification errors were responsible for most of the variation within a given element family is that each family, with the exception of Ta1, has only one or two members in both the Landsberg *erecta* and Columbia ecotypes as determined by Southern blot hybridization (Table 2; Figure 4; data not shown).

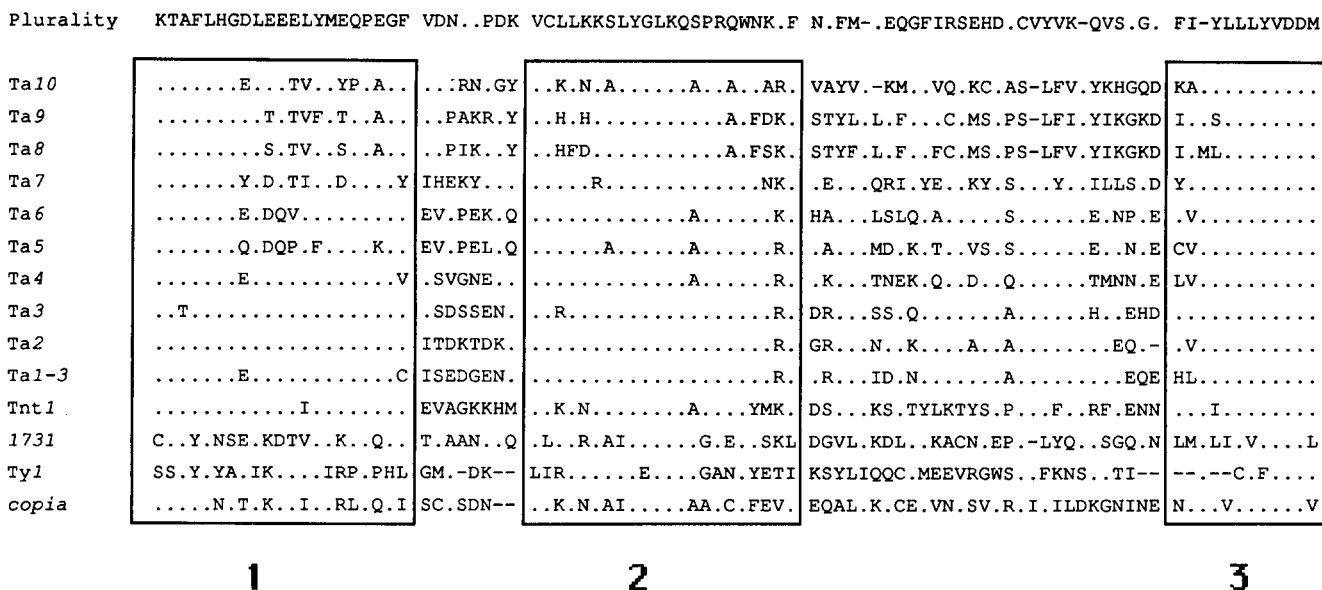


FIGURE 6.—Amino acid sequence alignment of the reverse transcriptase regions of the *A. thaliana* Ta1-Ta10 superfamily of retrotransposons. Each family is represented by one sequence. Dots represent amino acids identical to the consensus sequence (top line). Related elements (*copia* and 1731 of *D. melanogaster*, *Tnt1* of *N. tabacum* and *Ty1* of yeast) are included in the alignment.

TABLE 3

Nucleotide and amino acid sequence comparisons of the Ta1-3, Ta2 and Ta3 reverse transcriptase and RNase H gene

	Nucleotides compared	Changes observed	Percent nucleotide identity	Percent amino acid identity	Percent silent changes	Percent replacement changes
Ta1-3 vs. Ta2 ^a	1265	323	74.5	78.1	60.0	40.0
Ta1-3 vs. Ta3	1269	328	74.2	78.2	59.8	40.2
Ta2 vs. Ta3	1265	328	74.1	77.2	58.4	41.6

^a One gap of three nucleotides and one gap of one nucleotide was inserted for alignment.

Phylogenetic analysis: The alignment of the derived amino acid sequences for the copia-like retrotransposons shown in Figure 5 formed the basis of the character state matrix used in the phylogenetic analysis. The phylogenetic analysis used 57 informative characters and resulted in a single most parsimonious tree of length 306. The consistency index excluding autapomorphies was 0.79. An interesting feature of the tree is that the Ta elements do not form a monophyletic assemblage, but rather fall into two general groups. One group appears to have shared a more recent common ancestor with the *Tnt1* retrotransposon of tobacco; a relationship supported by six unambiguous character state changes. The other group appears to have shared a more recent common ancestor with the retrotransposons from *D. melanogaster*. This group is represented by Ta8, Ta9 and Ta10. These elements are joined with 1731 of *D. melanogaster* by four unambiguous character state changes, and together with 1731 share two character state changes with *copia*.

DISCUSSION

Ta2 and Ta3 are copia-like A. thaliana retrotransposons: The partial nucleotide sequence of Ta2 and

the complete sequence of Ta3 indicate that these elements have an overall structure typical of retrotransposable elements (Figure 2). The 5' LTR and part of the internal domain of Ta2 have been deleted. Ta3 is bounded by two LTRs that are terminated by the consensus sequence 5'TG ... CA 3' (Figure 3) found in other retrotransposons (TEMIN 1985). The internal sequence of Ta3 includes most of the *cis*- and *trans*-acting elements found in retrotransposons and retroviruses. A single large Ta3 ORF encodes 1355 amino acids of putative Gag and Pol proteins (Figure 2). *Cis* sequences necessary to prime first (primer binding site, PBS) and second (polypurine tract, PPT) strand DNA synthesis were found at the 5' and 3' ends of the internal domain of Ta3, respectively (Figures 2 and 3).

The 3-kbp *EcoRI* fragment of Ta2, and the entire sequence of Ta3, encode open reading frames which share significant amino acid sequence identity to each other and to the polyprotein of another *A. thaliana* retrotransposon family, Ta1. The amino acid sequence similarity to Ta1 occurs across a single reading frame for Ta3, and across three short overlapping

reading frames for Ta2, one of which carries a stop codon (Figure 2). The amino acid sequences of the Ta1, Ta2 and Ta3 families are colinear. Only a single gap must be inserted into the Ta2 ORF and two gaps into Ta3 ORF in order to optimize alignment.

For most retrotransposons and retroviruses, the reverse transcriptase gene precedes the integrase gene (DOOLITTLE *et al.* 1989). A distinct lineage of retrotransposon is comprised of "copia-like" elements for which the order of these coding regions is reversed (XIONG and EICKBUSH 1988; DOOLITTLE *et al.* 1989). Like Ta1, the integrase region of both Ta2 and Ta3 lies upstream of the reverse transcriptase region, clearly placing them among the copia-like group of retrotransposons.

Activity of Ta2 and Ta3: We have previously demonstrated that the Ta1 elements are likely incapable of transposition due to deletions and nucleotide changes which have occurred among the various element copies (VOYTAS *et al.* 1990). The deletion of the 5' LTR suffered by Ta2 and the organization of the Ta2 ORF indicate that this element is also nonfunctional. The Ta3 element appears to be structurally intact and the encoded protein product does not carry any frameshifts or premature termination codons. However, because the mechanism of transposition results in identical LTRs upon integration (VARMUS and BROWN 1989), the nucleotide differences between the 3' and 5' LTRs of Ta3 indicate that this element has accumulated mutations subsequent to its insertion in the genome. The fact that Ta3 is also present in the genome as a single copy (Table 2) makes it likely that Ta3, like Ta1 and Ta2, is nonfunctional.

The majority of the nucleotide differences between Ta1, Ta2 and Ta3 are not due to random mutational events incurred since the loss of function. Several lines of evidence indicate that these elements have evolved independently under functional constraints for significant periods of time before insertion into their present sites within the *A. thaliana* genome. First, the protein coding regions and the *cis* sequences required for retrotransposition of the three element families have evolved much slower than the non-protein-coding regions of the internal element domain and the LTRs. The LTRs only share 50–60% nucleotide identity whereas the internal domains are approximately 74% similar (over the entire length). The noncoding regions of the internal domain (excluding the priming sites) also show only between 50% and 60% nucleotide identity (data not shown). Blocks of similarity between the Ta1 and Ta2 LTRs suggest that these may be *cis* sequences which correspond to transcription initiation and termination regions (VARMUS and BROWN 1989; BOEKE 1989). The sequences which precede and follow the open reading frame that most likely serve as

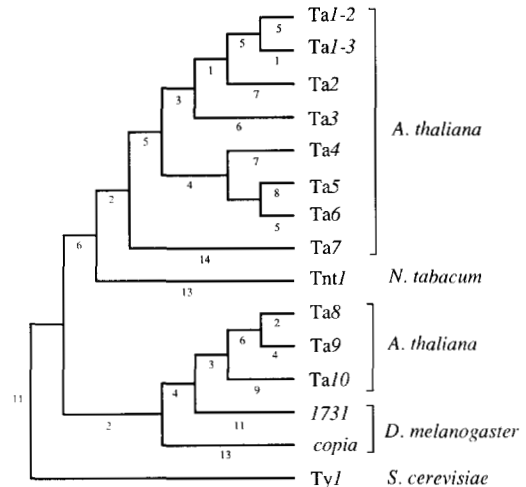


FIGURE 7.—Most parsimonious phylogenetic tree of the *A. thaliana* copia-like retrotransposons. Ty1 was used as the outgroup for rooting. Tree length = 306; consistency index (excluding autapomorphies) = 0.79. Numerals adjacent to branches represent the number of unambiguous character state changes that can be assigned to that branch.

priming sites for reverse transcription are also highly conserved.

A second line of evidence that Ta1, Ta2 and Ta3 have evolved independently in *A. thaliana* is that the regions which encode putative enzymatic functions have evolved much slower than the amino acids which separate these regions. This is particularly evident for the "tether" region between the integrase and reverse transcriptase of Ta1 and Ta2 (Table 1). The amino acid similarity between these two elements falls off dramatically in this region. This holds true for comparisons of these elements with the related retrotransposon Tnt1 (Table 1).

Third, nucleotide substitutions which occur between element copies show a bias for silent amino acid changes. Random mutations in a coding sequence would be expected to result in $\sim 3/4$ amino acid replacements and $\sim 1/4$ silent substitutions (LEWONTIN 1989). Comparisons between the element families indicate that this trend is almost reversed. The three elements show approximately 60% silent changes and 40% amino acid replacements (Table 3). While the proteins encoded by these elements may no longer be functional, they appear to have been significantly constrained during at least part of their evolutionary history.

Origins of the Ta retrotransposons: Two contrasting, but not mutually exclusive mechanisms are thought to be responsible for the distribution of retrotransposons: vertical inheritance and horizontal transfer. If retrotransposons are ancestral and predate the origin of lineages that contain them, then the pattern of their inheritance would be expected to be vertical. This is the case for many genes, such as those coding for rRNA and histones. The observation that

the retrotransposon families Ta1, Ta2 and Ta3 are present in widely dispersed geographical races suggests that the transposition events associated with these elements may predate the speciation of *A. thaliana*. These data are consistent with vertical inheritance. Vertical inheritance combined with the processes of replicative transposition and random sequence loss should lead to a pattern in which element families are more closely related to each other within an organism than between widely divergent organisms. The most parsimonious phylogeny of the *A. thaliana* copia-like elements (Figure 7) suggests that Ta1-Ta7 share a common ancestor and, together with Tnt1, form a monophyletic clade that is composed exclusively of plant retrotransposons, a pattern consistent with vertical inheritance.

Structural similarities among retrotransposons from widely diverged species have led to speculation that these elements have also been transferred horizontally (e.g., DOOLITTLE *et al.* 1989; SMYTH *et al.* 1989). Among the closely related elements found in different species are the gypsy group of elements (*gypsy*, 17.6, 297 and 412 of *D. melanogaster*; the Ty3 element of yeast; and the *del* elements of lily) and the copia group of elements (*copia* and 1731 of *D. melanogaster*; Ty1 of yeast; Tnt1 of *N. tabacum*; and the Ta1-Ta10 superfamily of *A. thaliana*). Two additional lines of evidence have been cited for horizontal transfer of the *D. melanogaster* copia elements in particular: First, the codon usage of these elements is distinctly different from *D. melanogaster* cellular genes (MOUNT and RUBIN 1985) and, second, the apparent absence of copia from some species of *Drosophila* (RUBIN 1983; STACEY *et al.* 1986).

Phylogenetic analysis of retrotransposon sequence data has previously been interpreted as evidence for horizontal transfer of the copia group of elements between *Drosophila* and yeast (XIONG and EICKBUSH 1988; DOOLITTLE *et al.* 1989). However, one limitation of these previous studies is that it was only possible to examine single transposable elements from widely diverged organisms (*Drosophila* and yeast). A more comprehensive study of horizontal transfer would involve sequence analysis of several elements from a single organism in comparison to those of other organisms.

Our present study provides evidence for horizontal transfer based on a comparison of a superfamily of copia-like elements composed of ten different families. The most parsimonious tree for the entire copia-like group of retrotransposons in *A. thaliana* (Figure 7) shows a clade that is composed of both *A. thaliana* (Ta8-Ta10) and *D. melanogaster* (*copia* and 1731) retrotransposons, a pattern consistent with horizontal transfer. This implies that Ta8-Ta10 share a more recent common ancestor with *D. melanogaster* retro-

transposons than they do with other *A. thaliana* retrotransposons. Additional evidence that supports the notion of horizontal transfer is the observation that the different retrotransposon families are represented in most cases by a single distinct element. This suggests that much of the evolution of these elements occurred independently and outside the genome of *A. thaliana*. Since resident in the *A. thaliana* genome, it appears that most of these elements have failed to proliferate.

How common are retrotransposable elements within the *A. thaliana* genome? Because we initially identified the Ta1 retrotransposable element family by analyzing restriction fragment length polymorphisms across a small fraction (~0.14%) of the *A. thaliana* genome, we wanted to determine if retrotransposable elements were commonplace in this species. Using PCR and a set of degenerate primers (Figure 5), we amplified and cloned highly conserved reverse transcriptase sequences of *A. thaliana* copia-like elements. Among 34 clones sequenced, we identified nine distinct families of elements. A tenth family (Ta3) was identified on the basis of cross-hybridization to reverse transcriptase probes derived from Ta1. Most of these ten element families that we studied exist as single copy insertions (Table 2). Based on these data and the size of the *A. thaliana* genome (100 Mb; HAUGE *et al.* 1991) we estimate that the Ta1-Ta10 superfamily consists of ~0.1% of the *A. thaliana* genome.

It is possible that additional copia-like retrotransposons could be detected by the polymerase chain reaction using different sets of primers. This seems likely due to the fact that we did not clone any PCR amplified sequences corresponding to Ta3. The most likely reason is that the Ta3 reverse transcriptase contains an amino acid substitution in the highly conserved sequence KTAFLHG used as the basis for synthesizing one of the PCR primers. If this is true, the superfamily of copia-like elements in *A. thaliana* may be larger than suggested by our experiments. On the other hand, it is also possible that Ta3 was not identified simply because only 34 clones were sequenced.

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