# Histone Regulatory (hir) Mutations Suppress δ Insertion Alleles in Saccharomyces cerevisiae

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#### ABSTRACT

Changes in histone gene dosage as well as mutations within some histone genes suppress  $\delta$  insertion mutations in the HIS4 and LYS2 loci of Saccharomyces cerevisiae by altering the site of transcription initiation. We have found that three histone regulatory (hir) mutations, identified by their effects on the regulation of histone gene expression, suppress the same insertion mutations. In addition, we have examined whether any previously identified spt (suppressor of Ty) mutations might suppress the  $\delta$  insertion alleles because of effects on histone gene regulation. Our results demonstrate that mutations in the histone genes SPT11/HTA1 and SPT12/HTB1 and in three other SPT genes, SPT1, SPT10 and SPT21, confer Hir<sup>-</sup> phenotypes. The spt1 mutation was found to be an allele of HIR2 while the spt10 and spt21 mutations are not in any of the known HIR genes.

I N the yeast Saccharomyces cerevisiae, the expression of all four histone gene loci is linked to the cell cycle, with maximal accumulation of histone mRNAs occuring during the period of DNA replication (HEREFORD et al. 1981; HEREFORD, BROMLEY and OSLEY 1982; CROSS and SMITH 1988). Activation of histone gene transcription in late  $G_1$  requires a functional CDC4 gene product (WHITE et al. 1987), while subsequent repression of transcription in early S phase is dependent on the product of the CDC7 gene (HERE-FORD, BROMLEY and OSLEY 1982). Transcription of the histone genes is also prematurely repressed in S phase when DNA replication is inhibited with a drug such as hydroxyurea (LYCAN, OSLEY and HEREFORD 1987).

In addition to temporal regulation, some of the yeast histone genes are autogenously regulated. Transcription of the HTA1-HTB1 locus is regulated in response to changes in the copy number of either of the two loci encoding H2A and H2B, while transcription of the HTA2-HTB2 locus is only subject to temporal regulation (MORAN, NORRIS and OSLEY 1990). Since autogenous regulation of the HTA1-HTB1 locus is mediated by the levels of H2A and H2B protein in the cell (MORAN, NORRIS and OSLEY 1990), it has been suggested that this form of regulation represents a mechanism by which the stoichiometric balance of the core histones is maintained. This may be important to the cell's physiology since imbalances in core histone stoichiometry produced by alterations in histone gene dosage result in pleiotropic effects on cellular processes as diverse as mitotic chromosome segregation (MEEKS-WAGNER and HARTWELL 1986), induction of the stress response (NORRIS and OSLEY

1987), transcriptional regulation (NORRIS and OSLEY 1987; CLARK-ADAMS *et al.* 1988; HAN and GRUNSTEIN 1988), and assembly or maintenance of chromatin structure (HAN *et al.* 1987, 1988; NORRIS, DUNN and OSLEY 1988).

We previously used a yeast strain containing an HTA1-lacZ fusion gene to screen for trans-acting mutations that affected the regulation of histone gene transcription (hir mutations, OSLEY and LYCAN 1987). We isolated five recessive mutants (hir1-1, hir2-1, hir2-2, hir3-1, hir3-2) representing three complementation groups and one dominant mutant (HIR9-1) representing a fourth complementation group (M. SPEC-TOR, unpublished results) based on their elevated transcription of the fusion gene. Three distinct phenotypes, representing effects on both temporal and autogenous regulation, have been associated with mutations in the HIR1, HIR2 and HIR3 genes. Each results from a loss of transcriptional repression, which in the case of the HTA1-HTB1 locus, is conferred by a unique negative site in the promoter (OSLEY et al. 1986; OSLEY and LYCAN 1987; MORAN, NORRIS and OSLEY 1990). First, transcription of the HTA1-HTB1, HHT1-HHF1 and HHT2-HHF2 loci occurs at an elevated level throughout the cell cycle. In contrast, neither the periodicity nor the level of expression of the HTA2-HTB2 locus is affected in the mutant strains. Second, transcription of all four of the histone gene loci is no longer repressed when DNA replication is inhibited. We have made use of this second phenotype in the present study to distinguish Hir<sup>+</sup> from Hir<sup>-</sup> strains. Finally, autogenous regulation of the HTA1-HTB1 locus is abolished. This is manifest as the failure of this locus to be repressed in response to elevated

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# TABLE 1

## Yeast Strains

Strain	Genotype	Source			
PS24-2A	MATaura3-52	This study			
PS21-2A	MATahir 1-1HTA1-HTB1::HTA1-lacZ::LEU2ura3-52	This study			
PS21-9A	MATαhir1-1HTA1-HTB1::HTA1-lacZ::LEU2ura3-52	This study			
PS2138-16B	MATahir1-1his4-9128lys2-1288HTA1-HTB1::HTA1-lacZ::LEU2ura3-52	This study			
PS1-10A	MATahir1-1his4-9128lys2-1288HTA1-HTB1::HTA1-lacZ::LEU2ura3-52	This study			
PS24-8A	MATahir2-1ura3-52	This study			
PS208-3C	MATahir2-1HTA1-HTB1::HTA1-lacZ::LEU2ura3-52ade2	This study			
PS24-10D	MATahir2-1HTA1-HTB1::HTA1-lacZ::LEU2ura3-52ade2	This study			
PS2-7A	MATahir2-1his4-9128lys2-1288HTA1-HTB1::HTA1-lacZ::LEU2ura3-52	This study			
PS2438-2A	MATahir2-1his4-9128lys2-1288ura3-52	This study			
PSMC-26A	MATαhir2-1his4-912δlys2-128δura3-52	This study			
PS3037-12B	MATahir 3-1 his 4-912 blys 2-128 bura 3-52	This study			
PS30-2A	MATahir3-1HTA1-HTB1::HTA1-lacZ::LEU2ura3-52	This study			
PS3-11A	MAT αhir 3-1 his 4-912 δlys 2-128 δtrp1∆1 ura 3-52	This study			
PS2D38-6A	MATahir 3-1 his 4-9128 lys 2-128 bura 3-52	This study			
PSEM13	MATαhir2-1pet17his4-912δade6arg4leu2-3,112lys2-1trp1	This study			
PSMC-38C	MATahis4-9128lys2-128bura3-52	This study			
FW1237	MAT <b>a</b> his4-9128lys2-1288ura3-52	CLARK-ADAMS et al.			
FW1238	MATahis4-9128lys2-1288ura3-52	(1988) CLARK-ADAMS <i>et al.</i> (1988)			
CC204	MATahis4-9128lys2-1288htb1-5::Tn10LUK	CLARK-ADAMS et al. (1988)			
CC268	$MAT lpha his$ 4-912 $\delta$ lys2-128 $\delta \Delta$ hta1-htb1::URA3ura3-52	CLARK-ÁDAMS et al. (1988)			
DN106	$MAT \alpha \Delta hta 1$ -htb1::URA3his3ura3-52	NORRIS and OSLEY (1987)			
8499-9C	MAT <b>a</b> spt1-1his4-912leu2-3	G. FINK			
FW236	MATaspt2-150his4-9128leu2-3lys1-1ura3-52	WINSTON et al. (1984)			
FW506	MAT aspt 3-101 his 4-917 bleu 2-3, 112 ura 3-52	F. WINSTON			
FW251	MATaspt4-3his4-912leu2-3lys1-1	F. WINSTON			
FW225	MATaspt5-194his4-9128leu2-3ura3-52ade2-1c <sup>s</sup>	F. WINSTON			
FW247	MATaspt6-140his4-9128leu2-3	F. WINSTON			
FW1113	MATaspt7-217his4-9178lys2-173R2ura3-52	F. WINSTON			
FW1136	MATaspt8-113his4-917leu2-1lys2-173R2ura3-52	F. WINSTON			
L210	MATaspt10-118his4-917lys2-128δtrp1∆1leu2-3,112	F. WINSTON			
FW1764	MATaspt 10::TRP 1 his 4-912 blys 2-128 bsuc 2 DUAS leu 2 D1 trp 1 ura 3-52	F. WINSTON			
JF277	$MAT \alpha spt 11 - 120 lys 2 - 128 \delta leu 2 - 3 trp 1 \Delta 1$	J. FASSLER			
FW1349	MATaspt12-19his4-917lys2-1288leu2-3ura3-52	J. FASSLER			
JF916	MATaspt13-101his4-917lys2-1288leu2ura3-52	J. FASSLER			
L215	MATaspt14-1his4-917lys2-128ôleu2-3,112trp1∆1	J. FASSLER			
FW1476	MATaspt15-21his4-917δlys2-173R2leu2-3,112trp1Δ1ura3-52	J. FASSLER			
FW1619	MATaspt21-1his4-917lys2-1288leu2ura3-52	J. FASSLER			
K381-10A	MATapet17ura3-1ade6arg4aro7-1asp5met14lys2-1trp1	KLAPHOLZ and ESPOSITO (1982)			
PSD1	MATahir1-1HTA1-HTB1::HTA1-lacZ::LEU2ura3-52	This study			
2020	MATahis4-9120lys2-1280ura3-52	This study.			
PSD2	<u>MAI αhir2-IHIAI-HIBI::HIAI-lacL::LEU2ura3-52ade2</u> MATahis4-912δlys2-128δura3-52	i nis study			
PSD3	<u>MATαhir3-1HTA1-HTB1::HTA1-lacZ::LEU2ura3-52</u> MAT <b>a</b> his4-912δlys2-128δura3-52	This study			
PSD4	MATahir 1-1 his 4-912 blys 2-128 bHTA 1-HTB 1::HTA 1-lacZ::LEU2ura 3-52 MATchis 4-912 blys 2-128 burg 3-52	This study			
PSD5	MATahir2-1his4-9128lys2-1288HTA1-HTB1::HTA1-lacZ::LEU2ura3-52	This study			
	MATαhis4-912δlys2-128δura3-52				
PSD6	MATαhir3-1his4-912δlys2-128δtrp1Δ1ura3-52 MATahis4-912δlys2-128δtra3-52	This study			

#### hir Mutations Suppress $\delta$ Insertions

#### TABLE 1-Continued

#### Yeast Strains

Strain	Genotype	Source	
PSD7	This study		
PSD8	<u>MATαhir2-1his4-9128lys2-1288ura3-52</u> MAT <b>a</b> spt1-1his4-912leu2-3	This study	
PSD9	<u>MATαhir 3-1 his 4-912 δlys 2-128 δtrp1 Δ1 ura 3-52</u> MATaspt1-1 his 4-912 leu 2-3	This study	
PSD10	MATαhir 1-1his4-912δlys2-128δHTA1-HTB1::HTA1-lacZ::LEU2ura3-52 MATaspt10-118his4-917lys2-128δtrp1Δ1leu2-3,112	This study	
PSD11	MAT αhir 2-1 his 4-912 δlys 2-128 δura 3-52 MAT <b>a</b> spt 10-118 his 4-917 lys 2-128 δtrp1 Δ1 leu 2-3,112	This study	
PSD12	MATαhir 3-1his 4-912δlys2-128δtrp1Δ1ura 3-52 MATaspt10-118his 4-917lys2-128δtrp1Δ1leu2-3,112	This study	
PSD13	<u>ΜΑΤαhir 1-1his4-912δlys2-128δΗΤΑ1-ΗΤΒ1::ΗΤΑ1-lacZ::LEU2ura3-52</u> MAT <b>a</b> spt21-1his4-917lys2-128δleu2ura3-52	This study	
PSD14	<u>MATαhir2-1his4-912δlys2-128δura3-52</u> MAT <b>a</b> spt21-1his4-917lys2-128δleu2ura3-52	This study	
PSD15	<u>MATαhir3-1his4-912δlys2-128δtrp1Δ1ura3-52</u> MAT <b>a</b> spt21-1his4-917lys2-128δleu2ura3-52	This study	

*HTA-HTB* copy number (MORAN, NORRIS and OSLEY 1990).

In this study, we have examined the effects of histone regulatory (hir) mutations (OSLEY and LYCAN 1987) on the transcription of two well characterized genes that contain insertions of solo  $\delta$  elements: his4-9128 (FARBAUGH and FINK 1980; ROEDER and FINK 1980) and lys2-1288 (CLARK-ADAMS and WINSTON 1987). The effect of the  $\delta$  insertions in these genes is to alter the site at which transcription is initiated or terminated; in the his4-9128 allele, transcription initiates exclusively within the upstream  $\delta$  element (SIL-VERMAN and FINK 1984), and in the lys2-1288 allele, transcription initiated at the normal LYS2 start site prematurely terminates within the  $\delta$  element (CLARK-ADAMS and WINSTON 1987). In both cases, the consequences of the transcriptional alterations are to make cells phenotypically His<sup>-</sup> or Lys<sup>-</sup>.

Mutations in numerous trans-acting SPT (suppressor of Ty) genes that suppress both Ty and solo  $\delta$  insertion alleles of HIS4 and LYS2 have been characterized (WINSTON et al. 1984, 1987; FASSLER and WINSTON 1988). Many of the SPT genes encode products with genetically or biochemically defined roles as transcription factors. One of these genes has a general role in transcription (SPT15 [encoding TFIID], EISENMANN, DOLLARD and WINSTON 1989; HAHN et al. 1989), while others have more specific roles in the transcription of several different loci (GAL11/SPT13, FASSLER and WINSTON 1989; NISHIZAWA et al. 1990; SIN1/SPT2, ROEDER et al. 1985; W. KRUGER and I.

HERSKOWITZ, personal communication; CRE1/ SPT10, FASSLER and WINSTON 1988; DENIS and MAL-VAR 1990; SSN20/CRE2/SPT6, NEIGEBORN, RUBIN and CARLSON 1984; NEIGEBORN, CALENZA and CARL-SON 1987; CLARK-ADAMS and WINSTON 1987; DENIS and MALVAR 1990). Another class of SPT genes encodes integral chromosomal proteins. This class was first identified in a screen for high copy number suppressors of the his4-9128 and lys2-1288 mutations (CLARK-ADAMS et al. 1988). One such suppressor contained two genes previously identified by the mutations spt11 and spt12 (FASSLER and WINSTON 1988). Subsequent analysis established that SPT11 and SPT12 were the HTA1 and HTB1 histone genes, and that another high copy number suppressor contained the intact HTA2-HTB2 locus (CLARK-ADAMS et al. 1988). Other changes in histone gene dosage, including deletion of the HTA1-HTB1 locus or expression of any one of the four histone gene loci on a high copy number plasmid, were also found to suppress  $\delta$  insertion mutations (CLARK-ADAMS et al. 1988).

The observation that  $\delta$  insertion alleles are suppressed by changes in histone gene dosage suggested that the same mutations might be suppressed by *trans*-acting mutations that altered the level of histone gene expression. The results of this study establish that mutations in three *HIR* genes can suppress both the *his4-912b* and *lys2-128b* mutations by altering the site of transcription initiation. In addition, we demonstrate that mutations in five *SPT* genes have effects on histone gene regulation.

### MATERIALS AND METHODS

Yeast strains and genetic methods: The yeast strains used in this study are listed in Table 1. Standard yeast genetic procedures and media were used (SHERMAN, FINK and HICKS 1982). The Spt phenotype of strains was determined by replica plating onto SD complete media lacking histidine or lysine followed by incubation at 23° for 3–5 days (WINSTON *et al.* 1984). Occasional ambiguity in determining Spt phenotypes due to background growth associated with replica plating was resolved by spotting serial dilutions of cells onto SD complete media lacking histidine or lysine, followed by incubation at 23° for 3–6 days.

Histone gene regulation assay: The Hir phenotype of strains was determined as previously described (OSLEY and LYCAN 1987), except that YPD medium was used in place of YM-1 medium in some instances, and treatment of cultures with sodium azide prior to freezing was omitted. Cultures (50 ml) were grown at 23° or 30° to a density of  $5 \times 10^6$  cells/ml. The cultures were split, hydroxyurea (Sigma) was added to one half of each culture to a concentration of 0.2 M, and incubation was continued for an additional 30 min. Cells were harvested by centrifugation and cell pellets were frozen at -80° prior to RNA isolation. Total RNA was isolated (TRECO 1989) and 10  $\mu$ g were analyzed by formaldehyde-agarose gel electrophoresis and Northern blotting (SAMBROOK, FRITSCH and MANIATIS 1989). The probe used to detect HTA1 transcripts was a 2.4-kb SacI fragment from the HTA1-HTB1 locus (HERE-FORD et al. 1979). This fragment contains the entire HTA1 gene, as well as a region of the constituitively transcribed AKY2 (PRT1) gene (HEREFORD, BROMLEY and OSLEY 1982; OECHSNER et al. 1988), which serves as an internal control for the amount of RNA loaded. This probe does not detect the HTA2 transcript.

Quantitative S1 nuclease protection assay: S1 nuclease protection assays were carried out using conditions previously described (OSLEY et al. 1986). A 1.18-kb PvuII-ClaI fragment from the H1S4 gene (-650 to +533) and a 580bp Sall-AvaII fragment from the RP51A gene (spanning 340 bp of intron and 240 bp of exon sequences, ТЕЕМ and ROSBASH 1983) were end-labeled using  $[\gamma^{-32}P]ATP$  and polynucleotide kinase (New England Biolabs). Ten nanograms of each probe were hybridized to 20 µg of total RNA isolated from cells grown at 23° in SD complete medium. Hybridization reactions contained 80% formamide, 0.04% PIPES (pH 6.8), 0.4 M NaCl, 0.1 M EDTA, and were carried out for 12-16 hr at 47°. Following digestion with 1200 units of S1 nuclease per ml (Bethesda Research Laboratories), protected fragments were separated by 8 M urea-4% polyacrylamide gel electrophoresis, and visualized by autoradiography.

## RESULTS

Mutations in HIR genes suppress  $\delta$  insertion alleles: Changes in histone gene dosage in a wild-type strain suppress  $\delta$  insertion mutations in the HIS4 and LYS2 loci presumably by altering the stoichiometric balance of the four core histones (CLARK-ADAMS *et al.* 1988). We reasoned that *trans*-acting mutations which derepress transcription of only three of the four histone loci might also alter the stoichiometric balance of histones and thus suppress the same insertion alleles. We therefore crossed HIS4LYS2 strains that contained a mutation in the HIR1, HIR2 or HIR3 gene to a  $HIR^+his4-912\delta lys2-128\delta$  strain. In each of these crosses, we found that the His and Lys phenotypes did not always segregate 2<sup>+</sup>: 2<sup>-</sup> and that an excess of His<sup>+</sup> and Lys<sup>+</sup> spore colonies arose. Some of the segregants showed fast growth on media lacking histidine or lysine and were presumed to carry the wild-type HIS4 or LYS2 gene. Other His<sup>+</sup> or Lys<sup>+</sup> segregants were slow growing and were predicted to result from suppression of the his4-912 $\delta$  or lys2-128 $\delta$ allele. His<sup>+</sup> or Lys<sup>+</sup> segregants in this latter class were also Hir<sup>-</sup> as determined by their failure to repress HTA1 transcription in response to the inhibition of DNA replication. These results suggested that each of the hir mutations tested (hir1-1, hir2-1 and hir3-1) could suppress the two  $\delta$  insertion alleles.

Segregants that were hir-his4-9128lys2-1288 were isolated and backcrossed to the original HIR<sup>+</sup>his4-9128lys2-1288 strain to constuct diploids homozygous for the suppressible  $\delta$  insertion alleles. These diploids were phenotypically Hir<sup>+</sup>His<sup>-</sup>Lys<sup>-</sup>, indicating that both the Hir<sup>-</sup> and Spt<sup>-</sup> phenotypes of the hir strains were the result of a recessive mutation. Tetrad analysis was then performed to confirm that suppression was due exclusively to the presence of a particular hir mutation. The phenotypes of two representative tetrads resulting from the sporulation of a hir2-1his4-9128lys2-1288/HIR2+his4-9128lys2-1288 diploid are shown in Figure 1. In this cross, the His, Lys and Hir phenotypes segregated 2+:2-. In addition, every His<sup>+</sup>Lys<sup>+</sup> segregant was Hir<sup>-</sup>, and all His<sup>-</sup>Lys<sup>-</sup> segregants were Hir<sup>+</sup> (n = 11), consistent with the prediction that the hir2 mutation could suppress both insertion alleles. Table 2 summarizes more extensive results obtained from backcrosses with hir1-1, hir2-1 and hir3-1 mutant strains. From these data, we conclude that the *hir1* and *hir2* mutations can suppress both the his4-9125 and the lys2-1285 alleles. The results with the hir3 mutant are more complex, and suggest that the original hir3 strain harbored a second mutation that affected the Spt phenotypes since a significant number of Hir<sup>+</sup> segregants were Lys<sup>+</sup> (15) or His<sup>+</sup> (3). Moreover, the hir3-1 mutation appeared to suppress the his4-9128 and lys2-1288 alleles differentially; many Hir<sup>-</sup>His<sup>+</sup> segregants were Lys<sup>-</sup> (14 of 32). Both conclusions have been confirmed by further backcrosses of hir3his4-9128lys2-1288 strains to a HIR<sup>+</sup>his4-9128lys2-1288 strain. When the putative second mutation was segregated away from the hir3-1 mutation, the His phenotype now segregated  $2\text{His}^+:2\text{His}^-$  in all tetrads (n = 14). Only ten segregants were Lys<sup>+</sup>, but the Lys<sup>+</sup> phenotype always segregated with the His<sup>+</sup> phenotype (data not shown). These results suggest that while suppression of his4-9128 in hir3 mutants is fully penetrant, suppression of lys2-1288 in hir3 mutants is partially impenetrant.

Some *spt* mutations suppress not only solo  $\delta$  inser-

hir Mutations Suppress δ Insertions



FIGURE 1.—Suppression of *his4-912b* and *lys2-128b* mutations in *hir2* mutants. *Top row:* segregants from four tetrads of a cross between a *HIR*<sup>+</sup>*his4-912blys2-128b* strain (FW1238) and a *hir2-1his4-912blys2-128b* strain (PS2-7A) were treated with hydroxyurea and analyzed by Northern blot analysis to determine their Hir phenotype as described in MATERIALS AND METHODS. The H2A1 and PRT1 transcripts were identified by hybridization with a 2.4-kb SacI fragment that contains the *HTA1* and *AKY2* genes. A Hir<sup>-</sup> phenotype is manifest as the persistence of the H2A1 transcript following a block in chromosome replication. *Bottom three rows:* the same segregants (aligned in columns) from the cross described above were replica printed to SD complete, SD-histidine and SD-lysine media to determine suppression of the *his4-912b* and *lys2-128b* alleles as described in MATERIALS AND METHODS. A Spt<sup>-</sup> phenotype is seen as growth on all three media.

tions in H1S4 or LYS2, but also insertions of an entire Ty element (see below). We tested whether one hir mutation, hir2-1, could suppress a mutation in HIS4 (his4-917; ROEDER et al. 1980) that is caused by insertion of a Ty element in an orientation opposite to that of his4-912 (from which the solo  $\delta$  insertion allele his4-912 $\delta$  was derived). Suppression of his4-917 by hir2-1 was not detected (data not shown).

Suppression of his4-912 $\delta$  by mutations in HIR genes occurs at the level of transcription: In nonsuppressing  $(SPT^+)$  strains that contain the his4-912 $\delta$  allele, transcription of the HIS4 gene initiates within the  $\delta$  element (upstream of the normal HIS4 start site), giving rise to a longer, nonfunctional transcript (see Figure 2) (SILVERMAN and FINK 1984). In all cases that have been examined, suppression of  $\delta$  insertions occurs at the transcriptional level (SILVERMAN and FINK 1984; WINSTON, DURBIN and FINK 1984; CLARK-ADAMS and WINSTON 1987; FASSLER and WINSTON 1988; CLARK-ADAMS et al. 1988; HIRSCHMAN, DURBIN and WINSTON 1988). These studies have shown that the suppressors of the his4-912δ mutation fall into two general classes with respect to their pattern of transcription (CLARK-ADAMS and WINSTON 1987). In one class, transcription that initiates within the  $\delta$  element is abolished, and instead initiates exclusively from the normal HIS4 start site. Mutations in the SPT3, SPT7 and SPT8 genes-which strongly suppress Ty insertions in addition to solo  $\delta$  insertions-represent this first class of suppressors. In a second class, transcription initiating within the  $\delta$  element continues to occur, but transcription initiating from the normal *HIS4*<sup>+</sup> start site now reappears. Mutations in this class–represented by alleles of *SPT4*, *SPT5* and *SPT6*–strongly suppress solo  $\delta$  insertions, but in general do not suppress Ty insertions. Deletion of the *HTA1-HTB1* locus or expression of any one of the four histone gene loci on a high copy number plasmid suppress *his4-912* $\delta$  by a mechanism consistent with this second class of suppressors (CLARK-ADAMS *et al.* 1988). It was therefore of interest to determine how the *hir1*, *hir2* and *hir3* mutations suppress the *his4-912* $\delta$  mutation at the molecular level.

Total RNA was isolated from HIR<sup>+</sup> and hir<sup>-</sup> strains that contained either a wild-type HIS4 gene or the his4-9128 allele, and transcription of the HIS4 locus was examined by a quantitative S1 nuclease protection assay (Figure 2). In a HIR+his4-9128 strain, most transcription initiated within the  $\delta$  element (Figure 2, lane 1). The small amount of transcription initiating from the normal HIS4 start site in this strain is insufficient to support growth at 23° on media lacking histidine. In all *hir<sup>-</sup>his4-912δ* strains, a significantly greater level of transcription initiated from the normal HIS4 start site, and transcription still continued to initiate within the  $\delta$  element, although at a somewhat reduced level (Figure 2, lanes 3, 5 and 7). This phenotype was identical to that of a  $\Delta hta1-htb1his4-912\delta$  strain (Figure 2, lane 9). The hir mutations also did not significantly affect the levels of HIS4+ transcripts in hir-HIS4 strains (Figure 2, lanes 4, 6 and 8).

# P. W. Sherwood and M. A. Osley TABLE 2

						No. of t	etrads				
			His <sup>+</sup> :His <sup>-</sup>				Lys <sup>+</sup> :Lys <sup>-</sup>				
Strain <sup>a</sup>	Parental genotype	0:4	1:3	2:2	3:1	4:0	0:4	1:3	2:2	3:1	4:0
PSD4	hir 1-1his4-9128lys2-1288 HIR 1his4-9128lys2-1288	0	0	10	0	0	0	1	9	0	0
PSD5	hir2-1his4-9128lys2-1288 HIR2his4-9128lys2-1288	0	0	11	0	0	0	0	11	0	0
PSD6	hir 3-1his 4-9128lys2-1288 HIR 3his 4-9128lys2-1288	0	1	11	4	0	2	9	5	0	0
						No.	of segre	gants			
				Hir <sup>-</sup> His <sup>+</sup>		Hir <sup>-</sup> His <sup>-</sup>		Hir <sup>+</sup> His <sup>+</sup>		Hir <sup>+</sup> His	-
PSD4	4 hir 1-1 his 4-912 blys 2-1 HIR 1 his 4-912 blys 2-1	1288 288		20		0		0		20	
PSD	5 hir2-1his4-9128lys2-1 HIR2his4-9128lys2-1	288 288		22		0		0		22	
PSD	6 hir 3-1 his 4-912 & bir 2-1 HIR 3 his 4-912 & bir 2-1	288 288		28		0		3		33	
				No. of segregants							
				Hir <sup>-</sup> Lys*		Hir <sup>-</sup> Lys <sup>-</sup>		Hir <sup>+</sup> Lys <sup>+</sup>		Hir <sup>+</sup> Lys	-
PSD4	hir 1-1his 4-9128lys2-128 HIR 1his 4-9128lys2-128	$\frac{\delta}{\delta}$		18		1		1		20	
PSD5	hir2-1his4-9128lys2-128 HIR2his4-9128lys2-128	$\frac{\delta}{\delta}$		22		0		0		20	
PSD6	hir 3-1 his 4-912 blys 2-128 HIR 3 his 4-912 blys 2-128	$\frac{\delta}{\delta}$		16		9		15		31	

The His and Lys phenotypes were determined by replica printing onto SD complete and SD-histidine or SD-lysine media, followed by incubation at 23°. The Hir phenotype was determined by analyzing the transcription of the *HTA1* gene following a block in DNA replication as described in MATERIALS AND METHODS.

" The diploid strains used in this analysis are listed in Table 1.

In addition to analyzing the effects of hir mutations on transcription of the solo  $\delta$  insertion allele, his4-912 $\delta$ , we tested whether these mutations altered the transcription of intact Ty1 elements. Transcription of Tyl elements normally initiates in the 5' $\delta$  element and terminates within the 3' $\delta$  element, giving rise to a 5.7-kb  $\delta$ - $\delta$  transcript (ELDER et al. 1983). Both the length and level of Ty1 transcripts are unaffected in spt4, spt5 and spt6 mutants (CLARK-ADAMS and WIN-STON 1987). In spt3, spt7 and spt8 strains, however, full length  $\delta$ - $\delta$  transcription is abolished and a novel 4.9-kb transcript is produced at a low level (WINSTON et al. 1987). Northern blot analysis of RNA extracted from hir1-1, hir2-1 and hir3-1 mutants indicated that both the length and level of  $\delta$ - $\delta$  transcripts were unaffected in these strains (data not shown). Together with the results shown in Figure 2, these data suggest that the hir1, hir2 and hir3 mutations suppress his4-912 $\delta$  by a mechanism similar to that conferred by mutations in SPT4, SPT5 and SPT6 or by altered histone gene dosage (class 2 above).

Five spt strains have a Hir<sup>-</sup> phenotype: The observation that mutations in three HIR genes conferred an Spt<sup>-</sup> phenotype raised the possibility that some spt mutations suppress  $\delta$  insertion alleles because of their effects on histone gene expression. To test this possibility, we determined the Hir phenotype of 15 different spt strains (see Table 1). Five mutants, spt1-1, spt10::TRP1, spt11-120, spt12-19 and spt21-1, were found to have a Hir<sup>-</sup> phenotype (Figure 3). The spt11 and spt12 mutations (FASSLER and WINSTON 1988) have been previously mapped to the HTA1-HTB1 locus (CLARK-ADAMS et al. 1988). Repression of the HTA1-HTB1 locus after a replication block has been shown to be at least partially mediated by the autogenous regulation of this locus by histones H2A and H2B (MORAN, NORRIS and OSLEY 1990). It was therefore not unexpected that mutations within the locus itself might abolish this form of transcriptional repression.

We next asked whether the *spt1*, *spt10* or *spt21* mutations were alleles of any of the three *HIR* genes.



FIGURE 2.—Transcription of the his4-9128 locus in hir mutants. A: schematic diagram of the 5' region of the his4-9128 locus, indicating the sites where transcription is initiated in the  $\delta$  element (I<sub>b</sub>) and in a wild-type HIS4 (I<sub>HIS4</sub>) gene. A 1.18-kb ClaI (+533)-PvuII (-650) fragment from the HIS4<sup>+</sup> gene was used to detect transcripts initiating from both the  $\delta$  element and HIS4<sup>+</sup> initiation sites. B: S1 nuclease protection assay of total RNA from strains that contain the his4-9128 allele or HIS4<sup>+</sup> gene. Lane 1: HIR<sup>+</sup>his4-9128 (FW1237); lane 2: HIR+HIS4+ (PS24-2A); lane 3: hir1-1his4-9128 (PS2138-6B); lane 4: hir1-1H1S4+ (PS21-9A), lane 5: hir2-1his4-9126 (PS2-7A); lane 6: hir2-1HIS4+ (PS24-8A); lane 7: hir3-1his4-9128 (PS3037-12B); lane 8: hir3-1HIS4+ (PS30-2A); lane 9: Ahta1htb1his4-9128 (CC268); lane 10: Ahta1-htb1HIS4+ (DN106). Bands labeled Is and IHIS4 represent protected transcripts initiating from the  $\delta$  element and wild-type HIS4 transcription initiation site, respectively. The band labeled RP51A represents RNA produced by the RP51A gene (TEEM and ROSBASH 1983) and serves as a control for the amount of RNA analyzed in each assay.



FIGURE 3.—Five *spt* mutants have a Hir<sup>-</sup> phenotype. Total RNA was extracted from a *SPT*<sup>+</sup> (FW1237) strain and five *spt* mutants*spt1-1* (8499-9C), *spt10::TRP1* (FW1764), *spt11-120* (JF277), *spt12-19* (FW1349) and *spt21-1* (FW1619)–that were grown exponentially (–) or treated with hydroxyurea (+) to block DNA replication. RNA was analyzed by Northern blot analysis using a 2.4-kb *SacI* fragment from the *HTA1-HTB1* locus as a hybridization probe. This fragment detects both H2A1 RNA and PRT1 RNA, which serves as an internal control. A Hir<sup>-</sup> phenotype is manifest as the continued production of H2A1 RNA in the presence of hydroxyurea.

Diploids were constructed between each *hir* and *spt* mutant strain and analyzed initially for their Spt phenotypes (Figure 4). The Spt<sup>-</sup> (His<sup>+</sup>) phenotype of individual haploid strains was complemented in diploids formed from pairwise combinations of *spt10::TRP1* or *spt21-1* and *hir1-1*, *hir2-1* and *hir3-1* strains. In every case, the Hir<sup>-</sup> phenotype was also

complemented (data not shown). SPT10 and SPT21 thus represent previously unidentified HIR genes. In contrast, the spt1-1 mutation failed to complement either the Spt<sup>-</sup> (Figure 4) or Hir<sup>-</sup> phenotype (data not shown) of a hir2-1 mutant, suggesting that the spt1-1 mutation is an allele of HIR2. Our attempt to demonstrate allelism by analyzing segregants from crosses between hir2-1 and spt1-1 strains was confounded by an extremely low sporulation frequency of the diploid strain. Additional data, however, support the view that HIR2 and SPT1 are the same gene. First, all Spt<sup>-</sup> segregants from crosses between spt1-1 and SPT1+ strains were also Hir<sup>-</sup>, and no Spt<sup>+</sup> segregants were Hir-. Second, all Hir- segregants from crosses between hir2-1 and HIR<sup>+</sup> strains were Spt<sup>-</sup>, and no Hir<sup>+</sup> segregants were Spt<sup>-</sup>. Finally, a cloned copy of the HIR2 gene can complement the Spt<sup>-</sup> and Hir<sup>-</sup> phenotypes of both spt1-1 and hir2-1 strains (P. SHERwood, unpublished results).

The *HIR2* locus is centromere linked and maps near *PET17* on chromosome *XV*: The analysis of tetrads resulting from crosses in which both the *HIR2* and *TRP1* loci were heterozygous indicated that the *H1R2* locus was centromere linked (PD = 11, NPD = 16, T = 14). Yeast chromosomal blots hybridized with a fragment of the cloned *HIR2* gene localized *HIR2* to chromosome *XV* (data not shown). *HIR2* was subsequently mapped to within 10 cM of *PET17* on the right arm of chromosome *XV* (PD = 18, NPD = 0, T = 4).

Effects of a change in HTA1-HTB1 copy number on suppression of  $\delta$  insertion alleles in *hir* and *spt* strains: The introduction of any one of the four histone gene loci on a high copy number plasmid into a wild-type strain leads to suppression of  $\delta$  insertion alleles of HIS4 and LYS2 (CLARK-ADAMS et al. 1988). Suppression is thought to occur because the production of histones has become unbalanced. It was therefore possible that the same insertion alleles are suppressed in the three hir mutants and in spt10 and spt21 strains through a similar imbalance in histones, which would be the consequence of an altered regulation of histone gene expression. Several lines of evidence suggest that there may be a net deficit of H2A and H2B in each of these mutants relative to a wild-type strain. The three hir mutations, for example, cause derepressed transcription of each of the histone loci except for the HTA2-HTB2 locus (OSLEY and LYCAN 1987), and the spt10 and spt21 mutations decrease expression of the HTA2-HTB2 locus (C. DOLLARD, G. NATSOULIS, J. BOEKE and F. WINSTON, personal communication). We reasoned that the Spt<sup>-</sup> phenotype of these strains might therefore be reversed by increasing expression of the HTA-HTB genes. We introduced a centromere plasmid that carried the HTA1-HTB1 locus into the five mutant strains. Because transcrip-



# SD+HISTIDINE

# **SD - HISTIDINE**

FIGURE 4.—Complementation analysis of *hir* and *spt* mutants. In each plate, the top two rows represent the haploid parents of the diploid strains shown in the bottom three rows. The His phenotype of these strains was determined by replica plating onto SD + Histidine and SD - Histidine media. A Spt<sup>-</sup> phenotype is manifest as growth on both media. Strains left to right, top to bottom: *spt21-1* (FW1619); *spt10::TRP1* (FW1764); *spt1-1* (8499-9C); *SPT*<sup>+</sup> (FW1237); *hir3-1* (PS3-11A); *hir2-1* (PS2-7A); (PS2138-16B); *spt1-1* × *hir3-1* (PSD9); *spt1-1* × *hir2-1* (PSD7); *spt1-1* × *SPT*<sup>+</sup> (PSD16); *spt10::TRP1* × *hir3-1* (PSD12); *spt10::TRP1* × *hir3-1* (PSD11); *spt10::TRP1* × *hir3-1* (PSD15); *spt21-1* × *hir2-1* (PSD14); *spt21-1* × *hir1-1* (PSD13). The complete genotypes of these strains are listed in Table 1.

tion of this locus is derepressed in each of these backgrounds (OSLEY and LYCAN 1987; Figure 3), we predicted that the mutants might now produce more wild-type levels of H2A and H2B. We found that the His<sup>+</sup> phenotype of hir2-1 and spt10:TRP1 strains became very weak, and that the Lys<sup>+</sup> phenotype of spt10::TRP1 and spt21-1 mutants was either severly reduced (spt10) or abolished (spt21). In contrast, the HTA1-HTB1 plasmid had no effect on either the His<sup>+</sup> or Lys<sup>+</sup> phenotype of a *hir1-1* mutant or on the His<sup>+</sup> phenotype of a hir3-1 mutant. We noted in the latter mutant, however, that the Lys<sup>-</sup> phenotype shown by some hir3 strains (see Table 2) was now suppressed so that the cells became Lys<sup>+</sup>. These data suggest that the suppression of  $\delta$  insertions in *HIS4* and *LYS2* by mutations in HIR2, HIR3, SPT10 and SPT21 may therefore be the direct consequence of the effects of these mutations on the regulation of histone gene expression. Suppression of these same insertion alleles by the *hir1-1* mutation, however, is either independent of the effects of this mutation on histone gene expression, or insensitive to the changes in histone gene dosage tested in this study.

## DISCUSSION

The results of this study demonstrate that mutations which alter the regulation of histone gene expression (*hir* mutations) are able to suppress mutations caused by the insertion of a  $\delta$  element in the *H1S4* and *LYS2* loci. The *hir* mutations thus confer an Spt<sup>-</sup> (Suppressor of Ty) phenotype (WINSTON *et al.* 1984). Suppression of the *his4-912* $\delta$  allele in *hir1*, *hir2* and *hir3* mutants occurs at the level of transcription, and by a mechanism similar to that observed in *spt4*, *spt5*, *spt6* and  $\Delta$ *hta1-htb1* mutants. In each of these strains, a new transcript is initiated from the normal *HIS4*<sup>+</sup> start site while transcription continues to initiate within the  $\delta$  element.

We have also found that five previously isolated spt mutants-spt1, spt10, spt11, spt12 and spt21-have a Hir<sup>-</sup> phenotype because they fail to repress transcription of the HTA1-HTB1 locus when DNA replication is inhibited. The *spt11* and *spt12* mutations have been mapped to the HTA1-HTB1 locus (CLARK-ADAMS et al. 1988). The spt1-1 mutation is an allele of HIR2, while the spt10 and spt21 mutations are not in any previously identified HIR genes. Mutations in SPT10 and SPT21 result in reduced expression of the HTA2-HTB2 locus (C. DOLLARD, G. NATSOULIS, J. BOEKE and F. WINSTON, personal communication), suggesting that the SPT10 and SPT21 gene products are involved in the activation of this histone gene locus. Since transcription of the HTA1-HTB1 locus is partially dependent on the intracellular levels of H2A and H2B (MORAN, NORRIS and OSLEY 1990), the derepressed transcription of this locus in spt10 and spt21 mutants may therefore be an indirect consequence of an intracellular deficit in these histones.

The finding that mutations in *HIR1*, *HIR2*(*SPT1*), *HIR3*, *SPT10*, *SPT11*, *SPT12* and *SPT21* all alter the

regulation of histone genes suggests that it is their effects on the expression of these genes which is the basis for their common suppression of  $\delta$  insertion alleles. Several arguments indicate that as the result of such altered transcription, core histone stoichiometry may have become unbalanced. Mutations in HIR1, HIR2 and HIR3, for example, derepress transcription of the HTA1-HTB1, HHT1-HHF1 and HHT2-HHF2 loci, but do not affect expression of the HTA2-HTB2 locus (OSLEY and LYCAN 1987). The derepressed transcription of three of the four histone gene loci may therefore cause a net decrease in the level of H2A-H2B dimers relative to H3-H4 tetramers in these mutant backgrounds. By a similar line of reasoning, spt10 and spt21 mutants may also contain a deficit in H2A and H2B relative to H3 and H4 because of their decreased expression of the HTA2-HTB2 locus. Both situations potentially mimic the deletion of the HTA1-HTB1 locus or overexpression of the HHT-HHF loci in wild type cells, two conditions that produce the same pattern of suppression (CLARK-ADAMS et al. 1988). This view is consistent with the observation that the Spt<sup>-</sup> phenotype of hir2, spt10 and spt21 strains can be reduced or abolished by increased expression of the HTA1-HTB1 locus.

Numerous studies have shown that DNA-dependent processes as diverse as transcription (CLARK-AD-AMS et al. 1988; HAN et al. 1988; HAN and GRUNSTEIN 1988: KAYNE et al. 1988; KIM et al. 1988) and mitotic chromosome transmission (MEEKS-WAGNER and HARTWELL 1986; HAN et al. 1987; KIM et al. 1988) can be influenced by alterations in core histone stoichiometry. Since the consequence of such altered stoichiometry is often a general perturbation in the structure of yeast chromatin (HAN et al. 1987; KIM et al. 1988; NORRIS, DUNN and OSLEY 1988), it is likely that the physiological effects are related to these structural changes. In one example, altered histone stoichiometry has been directly correlated with a specific effect on transcription; depletion of histone H4 causes a local loss of nucleosomes from the PHO5 promoter and the concommitant activation of PHO5 transcription (HAN et al. 1988). It is therefore probable that the role of unbalanced histone synthesis in the regulation of the Spt<sup>-</sup> phenotype is also manifest through specific alteration of chromatin structure. There are two ways that altered chromatin structure might affect transcription of the his4-912 $\delta$  allele. First, alteration of chromatin structure around a regulatory element in the promoter of this gene, e.g., the TATA element, could allow utilization of the wild-type transcription initiation site. Alternatively, the expression of a gene that affects suppression of the  $\delta$  insertion allele could be influenced by altered chromatin structure.

Is the transcription of other yeast genes also altered by the unbalanced production of histones? One wellcharacterized example of such an effect is the HTA1-HTB1 locus, whose transcription is regulated by changes in the copy number of HTA-HTB genes (MORAN, NORRIS and OSLEY 1990). Other examples may include the heat shock gene, UB14, whose transcription is derepressed when the HTA1-HTB1 locus is deleted (NORRIS and OSLEY 1987), the ADH2 gene, which is regulated in part by the SPT10 (CRE1) gene (DENIS and MALVAR 1990), and the STE6 and PHO5 genes, whose transcription is altered in both spt10 and spt21 mutants (G. NATSOULSIS, personal communication).

Although the unbalanced production of histones in *hir* mutants has the potential to alter chromatin structure and result in specific effects on transcription, these alterations are not sufficient to cause gross alterations in cell physiology. Strains with a deletion of the *HTA1-HTB1* locus have several mutant phenotypes in addition to their suppression of  $\delta$  insertion alleles, including cold sensitivity, slow growth, and constitutive induction of the stress response, but *hir* mutant strains are neither heat nor cold sensitive, nor do they exhibit any gross alterations in mitotic growth or mating efficiency.

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