TSFl **to** *TSFG,* **Required for Silencing the** *Saccharomyces cerevisiae GAL* **Genes, Are Global Regulatory Genes**

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ABSTRACT

The *Saccharomyces cerevisiae GALl* and *GAL10* genes are controlled in response to the availability of galactose and glucose by multiple activating and repressing proteins bound at adjacent or overlapping sites in UASG. Negative control elements in UASG, designated *GAL* operators *GALOl* to *GAL06,* are required to silence basal level transcription of *GALl* and *GALlO* when galactose is absent. We isolated and characterized recessive mutations in six nuclear genes, *TSFl* to *TSF6,* that impair silencing of *GALl* and *GALlO* gene expression. Surprisingly, the results of several experiments suggest that the *TSF* genes encode global regulatory factors. *tsfl* to *tsfb* mutations derepressed expression from yeast *CYC-GAL* hybrid promoters (fused to *lad)* that harbor a variety of operator sequences, and caused pleiotropic defects in cell growth, mating, and sporulation. **S1** mapping and Northern blot results for *tsf3* suggest that the molecular defect is at the transcriptional level. Mutant phenotypes were additive in certain combinations **of** *tsf* double mutants, implying that more than one silencing pathway is involved in *TSFl* to *TSF6* function. Most significantly, mutations in all six *TSFl* to *TSFB* genes activated expression from *GAL1* and *CYC1* promoters (fused to *lacZ*) lacking upstream activating sequences. Combined, the simplest interpretation of these results is that *TSF1* to *TSF6* encode factors that control the function of the basic RNA polymerase **I1** transcriptional machinery.

R **EGULATION** of transcription of eukaryotic genes is a very complex process. Transcriptional control of the *Saccharomyces cerevisiae GALl* and *GALlO* genes, for example, relies on the coordinate interactions of multiple regulatory proteins that recognize activating and silencing elements in a 365-bp control region designated **UASG,** shown in Figure **1 (GUARENTE, YOCUM** and **GIFFORD 1982; STRUHL 1985; JoHNsToN 1987; FINLEY** *et al.* **1990; FLICK** and **JOHNSTON 1990, 1992; NEHLIN, CARLBERG** and **RONNE 1991). A** gene-specific activator protein, **GAL4,** binds as a dimer molecule cooperatively to four adjacent sites within **UASG (GINIGER** and **PTASHNE 1988; CAREY** *et al.* **1989).** Six repression elements, designated *GAL* operators *GALO*¹ to *GALO6,* reside adjacent to or overlap the **GAL4** binding sites **(WEST** *et al.* **1987; FINLEY** and **WEST 1989; FINLEY** *et al.* **1990; NEHLIN, CARLBERG** and **RONNE 1991** ; **FLICK** and **JOHNSTON 1992).** Finally, two general activating elements, GAE₁ and GAE₂ (GAL4/ galactose-independent activating elements), are present at positions adjacent to or overlapping the **GAL4** sites and *GAL* operators **(FINLEY** and **WEST 1989; FINLEY** *et al.* **1990).** Regulatory proteins that recognize these various elements control the amount of *GALl* and *GALlO* transcription over a range of four orders **of** magnitude **(YOCUM** *et al.* **1984; WEST, YOCUM** and **PTASHNE 1984; FINLEY** *et al.* **1990).**

The regulatory model in Figure **1** suggests that the role of the *GAL* operators is to prevent general regulatory proteins bound at GAE_1 and GAE_2 from activating *GALl* and *GALlO* transcription in the absence of galactose *(i.e.,* noninducing conditions) **(WEST, YOCUM** and **PTASHNE 1984; FINLEY** and **WEST 1989; FINLEY** *et al.* **1990; FINLEY 1990).** Two general regulatory proteins that bind **GAEl** *(GAL02)* are **REBl** *(Y* **factor/GRF2/QBP; BRANDL** and **STRUHL 1990; CHAS-MAN** *et al.* **1990; Ju, MORROW** and **WARNER 1990; WANG, NICHOLSON** and **STILLMAN 1990)** and **BUF** (binding **URSl** protein) **(LUCHE, SMART** and **COOPER 1992; T.G. COOPER,** personal communication; see also **LUCHE, SUMRADA** and **COOPER 1990; HOLLING-SWORTH, GOETSCH** and **BYERS 1990; BUCKINCHAM** *et al.* **1990).** Both proteins have been demonstrated to play positive as well as negative transcriptional regulatory roles in *S. cerevisiae* dependent on promoter context, coinciding with the positive and negative regulatory functions previously ascribed to GAE₁ and *GAL02,* respectively **(FINLEY** and **WEST 1989; FINLEY** *et al.* **1990). A** third general regulatory protein, **GCR 1** (BAKER 1991), also may bind to GAE₁ since a recog-

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FIGURE 1.-Model of *GAL1* **and** *GAL10* **promoter structure and function. (A) The intact** *GALI-CALI0* **divergent promoter region is** shown, denoting UAS_G (nomenclature of GUARENTE, YOCUM and GIFFORD 1982), activating elements GAE₁, GAE₂ and the four *GAL4* **binding sites (rectangles),** *GAL* **operators 01 to** *Os* **(ovals), and the regulatory proteins GAL4 ("4") and GAL80** ("80"; **open ovals). T, TATA box. 11, RNA polymerase I1 basal transcription apparatus. Regulatory proteins BUF (binding URSI factor), REBl, GCRl and MIGl are** described in the text. (B) Regulation of *GAL1* transcription: β -galactosidase activities from *GAL1*-lacZ fusions (on 2-µm plasmids) in a *GAL4*⁺ **yeast strain grown on Glu (2% glucose), Glu** + **Gal (2% glucose plus 2% galactose), Gly (3% glycerol plus 2% lactate), or Gal (2% galactose) medium. "Wild-type," normal** *GAL1* **promoter activity (plasmid PRY 131) in a wild-type yeast strain; "Derepressed," abnormal** *GAL1* **promoter activity due to cis-acting (FINLEY** *et al.* **1990) or trans-acting (this report) mutations.**

nition sequence for this protein (5'-CTTCC-3') is present adjacent to the REBl site, overlapping GAL4 binding site 3 (Figure 1). The repressor protein MIG 1, which recognizes and appears to be responsible for the activity of operator $GALO_6$ (NEHLIN, CARL-BERG and RONNE 1991), together with DNA-binding proteins that recognize other GAL operators (identified in partially purified yeast crude extracts; FINLEY 1990), are likely to be responsible for inhibiting transcriptional activation by REBl, BUF, and other CAE, and GAE₂-binding proteins.

The repressing activity of the GAL operators is constitutive, and though sufficient when galactose is absent to block the relatively weak activating potential of the general regulatory proteins bound to CAE, and GAE_2 , is insufficient when galactose is present to inhibit the strong activity of GAL4 dimers bound to the four GAL4 sites. Interestingly, the $MAT\alpha$ 2 operator, a well characterized, efficient yeast operator which represses $MATa$ -specific genes in $MAT\alpha$ cells (JOHNSON and HERSKOWITZ 1985; KELEHER, PASS-MORE and JOHNSON 1989), is likewise insufficient to block strong GAL4 activity when substituted for GAL operators in single or multiple copies in UAS_G (R. W. WEST, JR., unpublished data). To prevent GAL4 from activating transcription of GAL1 and GAL10 when galactose is absent, a specific inhibitory protein, GAL80, is obligatory. Galactose or a metabolic derivative releases the GAL80 block on GAL4 activity, thereby allowing induction of GALl and GALIO transcription (JOHNSTON 1987; OSHIMA 1991; LEUTHER and JOHNSTON 1992). Inhibition of GAL4 by GAL80 coupled with GAL operator-mediated silencing of GAE_1 and GAE_2 activity creates an extraordinarily effective genetic "off switch." Neither the mechanism of GAL4-GAL80 interaction, nor transcriptional silencing by the GAL operators, is well understood.

We wished to further characterize the mechanism of transcriptional silencing of GALl and GALIO by the GAL operators. For this purpose, we employed a genetic selection scheme where hybrid yeast promoters that harbor specific subsets of GAL operators were used to identify mutants having lesions in genes required for GAL operator activity. Subsets of GAL operators were inserted between UAS_C , from the *CYCl* (iso-l-cytochrome c; GUARENTE et *al.* 1984) promoter, and a UAS-less GALI, GALlO or *CYCI* promoter fused to the Escherichia coli lacZ gene. The GAL operators prevent activator proteins HAP1 to HAP4 that bind UAS_C (FORSBURG and GUARENTE 1989) from fully inducing promoter activity, *so* yeast cells containing these hybrid promoters form white instead of blue colonies on X-gal indicator plates (WEST et *al.* 1987; FINLEY and WEST 1989; FINLEY et *al.* 1990). Mutants having lesions in genes required for silencing should be detectable by the appearance of blue colonies. In this fashion, we identified six nuclear genes, TSFI to TSF6, which appear to encode factors that are required to silence expression of GALI- and GAL10-lacZ fusions when galactose is absent. Mutations in two of these genes, TSF3 and TSF6, individually increased expression substantially of a wild-type GALI-lacZ fusion in the absence of galactose or GAL4. Studies with various combinations of tsf double mutants showed that the effects of the mutations are additive, suggesting that more than one regulatory pathway is operative. Results are presented which suggest that rather than encoding GAL operator-binding proteins, however, TSF1 to TSF6 encode global regulatory factors. For mutations in at least one of the six genes, TSF3, the molecular defect appears to be solely or primarily transcriptional, and the combined

data suggest that the target of **TSF3** function is likely to be the activity of the basic **RNA** polymerase **I1** basal transcriptional machinery. This view is supported by the fact that *TSF3* was recently shown to be identical to *SIN4* **(JIANG** and **STILLMAN** 1992; **CHEN** *et al.* 1993), a negative transcriptional regulator of mating type function in *S. cerevisiae* **(NASMYTH, STILLMAN** and **KIPLINC** 1987; **STERNBERC** *et al.* 1987; **NASMYTH** and **SHORE** 1987; **HERSKOWITZ** 1989).

(TSFI to *TSF6* were formerly designated *GAL20* to *GAL25,* respectively; **CHEN** *et al.* 199 1 .)

MATERIALS AND METHODS

Strains and plasmids: S. cerevisiae strains used in this study are listed in Table **1.** YM335 (JOHNSTON and DAVIS 1984) and the congenic $GAL4^+$ strain YM256 were provided by MARK JOHNSTON. E. coli strain $DH5\alpha$ was used for routine cloning work. pLG Δ -312, a URA 3 ⁺ 2- μ m plasmid containing a wild-type CYCI-lacZ fusion (GUARENTE et *al.* 1984), and $pLG670Z$ (same as above but lacking UAS_c), were gifts of LENNY GUARENTE. Plasmids pRY131 (wild-type GALI-lacZ fusion), pRY133 (wild-type GAL10-lacZ fusion), 121-593, $pLR1\Delta1$, $pLR1\Delta23B$ (each containing the GALI-lacZ fusion harboring a respective deletion of UAS_G), 121-632 (GAL1lacZ fusion completely lacking UAS_G), 121-688 (GAL1-lacZ fusion lacking $\dot{U}AS_G$ and the GAL1 TATA box), and 632- $37a-1$ (single copy of $GAE₂$ upstream of the TATA box in 121-632) were described previously (YOCUM *et al.* 1984; WEST, YOCUM and PTASHNE 1984; FINLEY *et al.* 1990). Plasmid $1\Delta1-37a$ contains a single copy of $GAE₂$ (oligonucleotide 37a; FINLEY et *al.* 1990) upstream of the GALI-lacZ fusion in pLR1 Δ 1, and was a gift of RUSSELL FINLEY. UAS_C- $GAL1$, UAS_C-GAL10 and UAS_G-CYC1 hybrid promoters (fused to lacZ) were described previously (WEST et *al.* 1987; FINLEY and WEST 1989; FINLEY et *al.* 1990). Plasmid $pRY131-I$ was constructed from $pRY131$ by deleting the 2241-bp EcoRI fragment harboring the yeast 2m origin of replication, and was used to construct strains BWY19 and BWY20 (Table 1) by integrating it into the *URA3* locus of strains YM335 and BWYl15, respectively. pBD6 (a gift of JIM YARGER) is a GAL7-lacZ fusion plasmid that harbors the GAL7-GAL10 intergenic region and adjacent sequences (YARGER, GORMAN and POLAZZI 1985). $MAT\alpha$ derivatives of YM335 and YM256, BWY3 and BWYG (Table **I),** respectively, were obtained following transformation of each strain with YCp-HO-12 (a gift of TOM Fox), as described previously (JENSEN, SPRAGUE and HERSKOWITZ 1983). A PDCI-lacZ fusion plasmid (pyruvate decarboxylase; BUTLER, DAWES and MCCONNELL 1990) was obtained from GERAL-DINE BUTLER and DAVID McCONNELL. An SSN20-containing plasmid and an ssn6 strain were obtained from JANET SCHULTZ and MARIAN CARLSON. A GAL11/SPT13-containing plasmid, and an *spt13* strain, were provided by JAN FASSLER. Plasmids containing the *SINl,* SIN2, *SWII, SWI2* (SNF2) and *SWI3* genes were provided by CRAIG PETERSON, WARREN KRUGER and IRA HERSKOWITZ. A cyc9 *(tup])* strain was obtained from FRED SHERMAN. A SIN3-containing plasmid, and sdi4 and *sdi2* strains, were obtained from DAVID STILLMAN. SSN6- and TUP1-containing plasmids were obtained from BOB TRUMBLY. SPT5-, SPT10- and SPT11/ SPT12-containing plasmids were provided by FRED WIN-STON. A SIT3-containing plasmid was provided by ANNE SUTTON and KIM ARNDT.

Media and chemicals: S. cerevisiae cells were routinely grown in YEP (1% yeast extract, 2% peptone) medium containing 2% glucose (YEP-D). Selection media for yeast transformation or for assaying β -galactosidase activity were synthetic complete **(SC)** medium (0.67% yeast nitrogen base without amino acids) containing either **3%** glycerol and 2% lactate (Gly medium), 2% galactose plus 3% glycerol and 2% lactate (Gal medium), or 2% glucose (Glu medium). Ethyl methanesulfonate (EMS) and \overline{O} -nitrophenyl- β -D-galactopyranoside (ONPG) were obtained from Sigma. The chromogenic dye 5'-bromo-4'-chloro-3'-indolyl-β-D-galactoside (X-gal) was purchased from Boehringer-Mannheim.

Yeast transformation and @-galactosidase assays: Yeast were transformed using the spheroplast technique (SHER-MAN, FINK and HICKS 1986). Transformants were selected on **SC** (lacking uracil) agar plates containing 2% glucose. Determination of β -galactosidase activity in individual transformants was performed as described previously (FINLEY et *al.* 1990) and values are given in units, according to MILLER (1 972). Multiple identical constructs were tested simultaneously, individual samples were analyzed in duplicate in each experiment, and the results of multiple independent determinations (at least four) performed on different days were averaged. Error was less than 20%. Values lower than 0.02 unit were not determined with precision.

Mutant isolation: For mutant isolation, YM335 cells containing $CYCI-UAS_G-110A, CYCI-UAS_G-150, UAS_G-GAL1-1$ and UASc-GALIO-6 were used (WEST et *al.* 1987; FINLEY and WEST 1989; FINLEY et *al.* 1990; see Figure 2). YM335 cells harboring $CYCI-UAS_G-110A$ or $CYCI-UAS_G-150$ and grown in Glu medium are repressed 30-fold and 100-fold, respectively, compared to the wild-type CYCI promoter, and thus produce white colonies on X-gal Glu plates (corresponding to 1.5-5 units of β -galactosidase activity). YM335 cells harboring plasmid UASc-GAL1-1 or UASc-GAL10-6 and grown in Gly medium are repressed 2300-fold and 450 fold, respectively, compared to the analogous hybrid promoters lacking GAL operators, $\mathrm{UAS_{C^-}}GAL1\text{-}8$ and $\mathrm{UAS_{C^-}}$ GALIO-8 (WEST *et al.* 1987), and thus produce white colonies on X-gal Gly plates (0.6 and 0.4 unit of β -galactosidase activity, respectively; WEST *et al.* 1987). The respective strains containing plasmids were mutagenized with EMS to about 75% survival (LOWRY and ZITOMER 1984; SHERMAN, FINK and HICKS 1986), and plated directly onto Glu (CYCI-UAS_G-110A and CYC1-UAS_G-150) or Gly (UAS_G-GAL1-1 and $UAS_C-GAL10-6$) minimal selective agar plates containing X-gal. Seventy blue colonies were picked after screening a total of approximately 100,000 colonies, streak purified at least twice on selective medium and tested on two or more separate occasions for production of β -galactosidase. Colonies whose production of β -galactosidase was reproducibly derepressed by a factor of at least 2-fold compared to wild-type were characterized further. Mutations were identified as acting in trans after segregating the original plasmids (strains were grown in the nonselective medium YEP-D for approximately 20 generations) followed by transforming the segregants with the respective unmutagenized plasmids. tsfl mutants were obtained using $\mathrm{UAS}_{\mathrm{C}}\text{-GAL10-6}$, tsf2, tsf3, and tsf4 mutants using CYC1-UAS_G-110A, tsf5 and tsf6-*I* using CYC1-UAS_{G} -150, and tsf6-2 using UAS_C-GALI-1 (see Table 2).

Genetic analysis: Genetic procedures were performed as described by SHERMAN, FINK and HICKS (1986) and GUTH-**RIE** and FINK (1 991). For determining complementation, it was first necessary to obtain isogenic MATa *leu2* derivatives **of** each mutant strain. This was achieved by crossing each mutant strain with wild-type strain BWY6 ($\dot{M}AT\alpha$ leu2::HIS3 $GAL4^+$; see Table 1), and dissecting tetrads from the respective heterozygous diploids. BWY6, in turn, was obtained from YM256 by transforming the latter with YCp- $HO-12$ to

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TABLE 1

List of S. *cerevisiae strains*

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Continued

YM and BWY strains are isogenic, except **for** BWY515 to 543, and BWY7001. **All** strains, except YM and DBY strains, originated with this study.

Construction **of** strains BWY54, 55, 400, 402 and 403 was described in CHEN *et al.* (1993).

tsj3-lj was isolated in an independent screen **(S.** JOHNSON and B. BYERS, unpublished data).

switch the mating type, and by inserting a 1.8-kb BamHI fragment containing the *HIS3* gene into the LEU2 locus. Thereafter, each original mutant was crossed in pairwise combinations with various other *tsfl* to *tsf6* mutants (see Table 2). Resulting diploids were examined for the characteristic mutant phenotype (derepression of the hybrid promoters, temperature sensitivity, flocculence and abnormal cell morphology). Complementation was manifest as a repressed phenotype *(e.g.,* white colony, non-clumpy, nontemperature sensitive) in a diploid strain derived by mating two derepressed (blue colonies) haploid strains (liquid assays of β -galactosidase activity were performed to corroborate X-gal plate results). Complementation was scored as "+," wild-type phenotype "-," mutant phenotype (Table 2). Genetic linkage was determined by performing tetrad analysis with sporulation products of representative diploids from each complementation set. Parental ditype (PD), non-parental ditype (NPD) and tetratype (T) asci were ascertained by the presence of either 4 out of 4 (PD), 2 out of **4** (NPD), or 3 out of 4 (T) spores (respectively) giving rise to blue colonies on X-gal plates. X-gal plate results were always confirmed by assays for β -galactosidase activity. Linkage was ascertained if the PD:NPD was >1:<1 (SHERMAN, FINK and HICKS 1986).

GAL4+ and *Aga14* derivatives of *tsfl* to *tsf6* mutants were distinguished following outcrossing by the growth on SC agar plates containing galactose **as** sole carbon source. Plate results were confirmed by testing for the presence of a 815bp fragment on a 1% agarose gel following polymerase chain reaction (PCR) amplification corresponding to the N terminus of the GAL4 gene, using the protocol described in AUSUBEL *et al.* (1990). Two synthetic oligonucleotides of 18 bp (see below) derived from the GAL4 sequence (LAUGHON and GESTELAND 1984) were used as primers for PCR amplification. Primer **I** corresponds to nucleotides 880-897 of the GAL4 sense strand, overlapping the ClalI site (LAUGHON and GESTELAND 1984), primer **I1** corresponds to nucleotides 1695–1678 of the $GAL4$ nonsense strand, overlapping the *Sal1* site.

Primer **I** (sense strand): 5' GTATCGATT-GACTCGGCA 3'

Primer II (nonsense strand): 5' CTGCACATC<u>GTCGA-C</u>AGA 3'

Mating and sporulation efficiency: Quantitative mating

assays were performed according to the method of WIL-LIAMS and TRUMBLY (1 990). Cells (1 *06)* from a given MATa mutant strain or its isogenic $MAT\alpha$ derivative were mixed with 10^7 cells from the wild-type strains BWY6 (MAT α) or YM256 (MATa), respectively. Diploids were selected on minimal diploid selection plates (SC medium lacking histidine and leucine) and counted. Mating efficiency was expressed as the fraction of diploids obtained from mutants *us.* wild-type strains. To measure sporulation efficiency, we obtained homozygous diploids for each representative mutant by crossing each original mutant with its corresponding isogenic MATa-derivative. Approximately 1 **O4** homozygous diploid cells subjected to sporulation conditions for 7 days were examined (500 per ocular field), and the number of asci present was calculated. Sporulation efficiency was expressed as the fraction of sporulated cells present in mutant *vs.* wild-type strains (see Table *5).* Subsequent revival of sporulation products on rich medium plates showed that the number of colonies formed was proportionate to the fraction of tetrads observed by microscopy.

Methionine feeding indicator assay: The procedure to test for excretion of methionine (and/or a biosynthetic intermediate) from *tsfl* to *tsf6* strains was adapted from the method of KLINE (1972). Briefly, a 5-ml culture of YM335 *(met)* cells grown to a density of about 10' per ml was added to 250 ml of molten (42°) SC agar medium containing glucose and lacking methionine. The seeded medium was poured onto Petri plates and allowed to harden. Thereafter, inocula of fresh *tsfl* to *tsf6* cultures were patched onto the seeded agar plates. The plates were incubated for **3** days at 30" prior to scoring. Feeding was determined by the presence of a halo of growth surrounding a given patch of *tsfl* to *tsf6* inoculum.

Poly(A)+ RNA isolation and Northern blot analysis: Total cellular RNA was prepared by the method of SHER-MAN, FINK and HICKS (1986). Poly $(A)^+$ RNA was selected on an oligo(dT) column (Boehringer Mannheim), prepared and used in accordance with the manufacturer's instructions. Other procedures were performed as described in CHEN *et al.* (1993). ³²P-Radiolabeled DNA probes were prepared using a random primer labeling kit (Boehringer Mannheim, Inc.) according to the manufacturer's instructions. The GAL1 probe was a 600-bp *XhoI-BamHI* DNA fragment obtained from plasmid 121-330 (WEST, YOCUM and PTASHNE 1984). The GAL7 and GAL10 probe was a 1.6-kb BamHI-Sal1 fragment obtained from plasmid pBD6 (Yarger, Gorman and POLAZZI 1985). ACT1 and $MAT\alpha2$ gene probes were described previously (CHEN *et al.* 1993).

FIGURE 2.-Reporter plasmids that harbor *CYC-GAL* hybrid pro**nloters.** CYCI-UASG-l **1** OA **is a** *CYCl-lac2* **fusion that contains a** 110 bp fragment of UAS_G, harboring operators $GALO₃$ and $GALO₅$, **inserted into the unique** *Xhol* **site between** UASc **and the** CYCl TATA **box (WEST** *et al.* 1987; **FINLEY and WEST** 1989). UASc-*GALI-1* **is a** *CALI-lacZ* **fusion that harbors the six operators** *GAL* 01 to *GAL06* **between** UASc **and the** *GAL1* TATA **box (WEST** *et al.* 1987).

S1 mapping: S1 mapping analysis was performed as described previously (WEST, YOCUM and PTASHNE 1984; WEST *et al.* **1987). The single stranded probe extends from position 688 to 930 of the** GAL1 **promoter (YOCUM** *et al.* **1984; WEST** *et al.* **1987), and was obtained as a 240-bp** *BamHI-Xhol* **fragment from plasmid pLRlA24 (WEST, YOCUM and PTASHNE 1984).**

RESULTS

Genetic screen for silencing mutants: Reporter plasmids that contain CYC-GAL hybrid promoters harboring specific subsets of the GAL operators $GALO₁$ to GAL06 (WEST et *al.* 1987; FINLEY and WEST 1989; FINLEY *et al.* 1990; CHEN 1992) were employed to isolate mutations in trans-acting regulatory genes required for GAL operator activity. Figure 2 shows that restriction fragments containing various parts of UASG that harbored combinations of operators that would repress promoter activity sufficiently (from 3 to 2500-fold, see MATERIALS AND METHODS), were placed between UAS_C and the TATA box of a CYC1 or GAL1 (or GALIO, see MATERIALS AND METHODS) promoter fused to *lacZ. CYC1*-UAS_G-110A (Figure 2; WEST et *al.* 1987; FINLEY and WEST 1989) contains operators $GALO₃$ and $GALO₅$ inserted between UAS_C and the TATA box of a CYCI-lacZ fusion. CYCI-UASG-1 50 (FINLEY et *al.* 1990) is similar, but contains operators $GALO₅$ and $GALO₆$ (refer to Figure 1). UAS_C-GAL1-1 (Figure 2; WEST et al. 1987) harbors all **six** GAL operators between UASc and the TATA box of CALI-lacZ fusion. UASc-GAL10-6 (WEST et *al.*

1987) contains operators $GALO₁$, $GALO₂$ and $GALO₄$ between UAS_c and the TATA box of GAL10-lacZ fusion. YM335 cells containing CYC1-UAS_G-110A, $C Y C I$ -UAS_G-150, UAS_G-GALI-1, or UAS_G-GAL10-6 were treated with EMS (see MATERIALS AND METH-ODS), and approximately 70 blue (derepressed) colonies were isolated from over 100,000 colonies examined. Quantitative β -galactosidase activities indicated that expression from the hybrid promoters was derepressed by 2-140-fold (data not shown; see below). Thirty mutants were chosen for further characterization. Segregation analysis showed that the mutation in each of the 30 strains was unlinked to the plasmid and therefore was acting in trans. Heterozygous diploid strains derived by crossing each original mutant strain containing the respective reporter plasmid with wild-type strain BWY3 or DBY745 showed amounts of β -galactosidase only slightly higher than the homozygous diploid wild-type control strains BWY500 1 and BWY7001, suggesting that each mutation was recessive. Tetrad analysis (6- 10 asci tested per cross) following sporulation of representative diploids showed each mutation segregated 2:2, demonstrating that each resides in a single nuclear gene.

Six genes designated *TSFl* **to** *TSF6:* Pairwise crosses were made to determine the number of complementation groups represented by the 30 mutants. Subsequently, allelism was determined upon sporulating representative heterozygous diploids and performing tetrad analysis (5-12 asci dissected per cross). Table 2 shows that the 30 mutant alleles comprise 6 different genes, arbitrarily designated TSF1 to TSF6 (Transcriptional Silencing Factor). The reporter plasmids used to select mutations in each gene are shown in Table 2.

Several other findings are noteworthy. First, mutations in TSF3, TSF4 and TSF6 caused prominent growth defects which segregated 2:2 with derepression of the hybrid promoter (discussed below). Second, heterozygous diploids derived from crosses between $tsf2$ and $tsf4$ strains, and $tsf4-1$ and $tsf5-1$ strains, showed only partial complementation (Table **2),** suggesting possible functional interactions between the TSF2, TSF4, and TSF5 gene products (STEARNS and BOTSTEIN 1988; see DISCUSSION). Third, diploids derived by crossing t sfl to various t sfl to t sfb strains showed exceptionally poor sporulation $\langle 0.1\% \rangle$ and poor spore viability, thereby precluding a complete allelism assignment, and indicating that in combination certain tsf mutations behave in a semidominant fashion.

TSFl **to** *TSF6* **effects are not** *GAL* **operator-specific:** Mutations in each TSFl to TSF6 gene had a general effect on expression of CYC-GAL-lacZ fusions on reporter plasmids. In Table **3** is shown the amount of β -galactosidase synthesized from the reporter vec-

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Thirty mutations comprising the six genes *TSFl* **to** *TSFC*

Thirty original mutants (MATa) containing the indicated reporter plasmids were crossed to the respective *MAT*_a derivatives and the **resulting diploids tested for complementation (see MATERIALS AND METHODS). Complementation was scored as** "+." **wild-type phenotype,** "-," **Inutant phenotype.**

Unliked noncomplementation (see RESULTS).

tsf3-lj **was isolated from an independent screen (S. JOHNSON and** B. **BYERS).**

tors $C Y C I$ -UAS_G-110A, $C Y C I$ -UAS_G-150 and UAS_G-GALI-I in *tsfl* to *tsf6* strains grown under inducing (glycerol and lactate, or "Gly" medium) *us.* repressing (glucose, or "Glu" medium) conditions (with respect to the activity of **UASc;** see MATERIALS AND METHODS; GUARENTE *et* al. 1984). First, each *tsfl* to *tsf6* mutation derepressed expression of all three CYC-GAL-lacZ fusions rather than one containing a given subset of GAL operators. This reduces the likelihood that the mutations are in genes encoding operator-specific binding proteins. Second, hybrid promoter activity in the mutant strains was generally derepressed when **UASc** was maximally active (Gly medium) as well as repressed (Glu medium), indicating that the *tsfl* to *tsf6* mutations are not involved in a specific repression pathway like catabolite repression. Third, none of the *tsf* mutations individually fully derepressed expression of the CYC- GAL-lacZ fusions relative to the wild-type CYCI-lacZ fusion, suggesting that more than one silencing mechanism is operative.

tsf3 **and** *tsf6* **mutations allow wild-type** *GALZ-lac2* **expression in the absence of galactose and GAL4:** Transcription from the wild-type GAL1 and GALlO promoters ordinarily cannot occur in the absence of galactose and GAL4 (see Introduction; Figure 1). We found upon examining expression of the wild-type GALl-lacZ fusion that this requirement was relaxed in strains that harbor *tsf3* or *tsf6* mutations. *tsfl* to *tsf6* strains were transformed with multicopy plasmids that harbor the wild-type GAL1 (pRY131) or GAL10 promoter (pRY133) fused to $lacZ$, and expression was measured after growing the cells in the absence (Gly medium) or presence of galactose (galactose plus glycerol and lactate or "Gal" medium). Table 4 shows that

TABLE 3

Effects of *tsfl* **to** *tsf6* **on expression from** *CYC-GAL* **hybrid promoters on reporter plasmids**

		Hybrid promoter						
Strain	Allele	Growth medium	$CYCI$ -UAS $_{c}$ -110A (CVYCI; O ₃ , O ₅)			$CTC1-UASc-150$ $(CYC1; O_5, O_6)$		$UAS_C-GAL1-1$ $(GAL1; O1-O6)$
YM335	TSF^+	G _V	200 $(1\times)$			150 $(1\times)$		$0.6(1\times)$
		Glu	5 $(1\times)$			$1.5(1\times)$		$0.1(1\times)$
BWY124	$tsf1-1$	Gly	375	$(1.9\times)$		220 (1.5 \times)		15 (25 \times)
		Glu	9	$(1.8\times)$		4.5 $(3.0x)$		0.4 (≥ 4.0 X)
BWY104	$tsf2-5$	Gly	360	$(1.8\times)$		150 $(1.0x)$		$4.5(7.5\times)$
		Glu	20	$(4.0\times)$		5.0(3.3x)		$0.2 \, (\geq 2.0 \times)$
BWY118	$tsf3-13$	Gly	345	$(1.7\times)$		228 (1.5 \times)	72	(120x)
		Glu	50 $(10\times)$			$9.0(6.0\times)$	14	(\geq) 40 \times)
BWY102	$tsf4-1$	Gly	300	$(1.5\times)$		160 $(1.1\times)$	20	(33x)
		Glu	30	$(6.0\times)$		7.0 $(5.0\times)$		$1.0 \, (\geq 10 \times)$
BWY132	$tsf5-1$	G _l	340 $(1.7\times)$		160	$(1.0\times)$	16	(27x)
		Glu	$7.0(1.4\times)$		11	$(7.0\times)$		$1.8 \, (\geq 18)$
BWY133	$tsf6-I$	Gly	$(2.4\times)$ 480		600	$(4.0\times)$	174	(290x)
		Glu	16 $(3.2\times)$		38	$(25\times)$	30	$(\geq 300\times)$

Numbers represent units of *ß*-galactosidase activity in the indicated strains (harboring the corresponding hybrid promoters) grown in Glu **(repressed) or Gly (derepressed) medium. UASc, common to all promoters, is 4-25-fold less active in** Glu *us.* Gly **medium (GUARENTE** *et al.* **1984; WEST** *et al.* **1987). Numbers in parentheses indicate fold derepression relative to the wild-type strain for the strains grown in the corresponding medium. Units of @-galactosidase activity derived from the corresponding wild-type** *(CYCI)* **or hybrid** *(UASc-GAL1* **or** *CYCI-UASG)* **promoters lacking** *GAL* **operators (WEST** *et a/.* **1987) were as follows:** *CYCI,* **600 (Gly) and 150** (Glu); *UASc-GALI-8,* **1380 (Gly) and 235** (Glu).

TABLE 4

Expression of wild-type *GALI-* **and** *CYCI-lac2* **fusions in** *tsfl* **to** *tsf6* **strains**

	Allele			Promoter		
Strain	TSF	GAL4	Growth condition ^a	GAL 1	CYCT	
YM256	TSF^+	GAL4	Induced	4400	565	
			Noninduced	< 0.1	165	
BWY124	tsf1-1	$\Delta gal4$	Induced	1.0	602	
			Noninduced	0.8	164	
BWY155	$tsf2-5$	GAL4	Induced	2600	670	
			Noninduced	0.4	205	
BWY136	$tsf3-10$	GAL4	Induced	3700	475	
			Noninduced	35	178	
BWY115	$tsf3-10$	$\Delta gal4$	Induced	60	600	
			Noninduced	35	150	
BWY161	t sf4-1	GAL4	Induced	3300	805	
			Noninduced	0.6	210	
BWY162	$tsf5-1$	GAL4	Induced	2500	390	
			Noninduced	0.2	290	
BWY178	$tsf6-2$	GAL4	Induced	2490	853	
			Noninduced	7.0	238	

Numbers represent units of @-galactosidase activity produced in the indicated strains harboring the wild-type *GALl* **(PRY 13 1) or** *CYCI* **(pLGA-3 12) promoter fused to** *lacZ.*

a For *GALI,* **induced is Gal and noninduced is Gly. For** *CYCI,* **induced is** Gly **and noninduced is Glu.**

in the absence of galactose, β -galactosidase activity derived from a wild-type *CALI-lacZ* fusion rose well above background in *tsf3* and *tsf6* strains, and increased in other *tsfl* **to** *tsf6* strains as well. This was not an effect of increased reporter plasmid copy number, since proportionate derepression was observed in a *tsf3-IO* strain that harbors an integrated copy of the

wild-type *CALI-1acZ* fusion [7.0 units in strain BWY20 (Table 1) $vs. 35$ units in BWY136, compared to ≤ 0.1 unit in BWY19, a $TSF⁺$ control strain harboring an integrated wild-type *GALI-lac2* fusion (Table 1); see obtained in isogenic *tsfl* to *tsf6* strains that lacked *GAL4* (see for example BWYll5, Table **4;** data not shown). When the respective strains were grown under catabolite repressing conditions (Glu medium), expression in the absence of galactose and GAL4 was reduced by about 10-fold with respect to noninducing conditions (Gly medium). This may largely be due to increased repression by operator *GALO6,* which is at least partly controlled by glucose (FINLEY *et al.* 1990; NEHLIN, CARLBERG and RONNE 1991; FLICK and JOHNSTON 1992). GAE_1 and GAE_2 activity, which likely contributes substantially to high basal level *GALl* expression observed in *tsf* strains, can be repressed by *GAL06* alone (see below; FINLEY *et al.* 1990; **R.** FINLEY and R. **WEST,** unpublished data; CHEN 1992). For reasons that are unclear, the wildtype *GAL10* promoter was derepressed to a detectable level in only one tsf strain examined [BWY143 *(tsf3-*27, Table **l),** data not shown], though allele *tsf3-Ij* (SJ103 **1-7b,** Table 1) was originally isolated using a *GALIO-lacZ* reporter plasmid. MATERIALS AND METHODS]. Equivalent results were

Fully induced expression of a wild-type *GALI-lacZ* fusion (presence of galactose or Gal medium; Table **4)** or wild-type *GALIO-* or *GAL7-lacZ* fusions (data not shown) was marginally lower in *tsf2* to *tsf6* strains $(GAL4^+)$ than in the isogenic TSF^+ control strain. Figure 3 shows that consistent results were obtained

FIGURE 3.—Northern blot analysis of *GAL1*, *GAL7* and *GAL10* chromosomal transcripts in *tsj3* and *tsj6* strains. RNA was prepared for Northern blot analysis from strains **YM256** (lanes *1* and **4). BWY 136** *(tsj3-10;* lanes **2** and **5).** and **BWY 178** *(tsf6-2;* lanes **3** and **6).** Strains were grown under noninducing (Gly medium, lanes **1- 3)** *us.* inducing (Gal medium, lanes **4-6)** conditions. Approximately **10 ug** of poly(A)+ RNA was loaded per lane, blotted, and probed with ³²P-radiolabeled DNA fragments corresponding to *GALI* and *ACT1* (see **MATERIALS AND METHODS).** The membrane was stripped and re-probed with a ³²P-radiolabeled DNA fragment that harbors both *GAL7* and *GAL10* sequences.

by Northern blot analysis of *GALI, GAL7* and *GALIO* chromosomal transcripts in *tsf3* and *tsf6* strains *(GAL4+)* grown under fully inducing conditions. Notwithstanding, expression of *GALI, GAL7* and *GALIO* was not impaired significantly enough to prevent growth on galactose as a sole carbon source (Table 5). *GALI, GAL7* and *GAL10* chromosomal transcripts were not detectable in *tsf3* and *tsf6* strains grown under noninducing conditions (Fig. 3), since the amount of β -galactosidase activity derived from a wildtype *GALI-lac2* fusion under noninducing conditions was at least 100-fold lower than under inducing conditions (Table 4) and β -galactosidase activities were derived from strains harboring multicopy plasmids.

Expression of a wild-type *CYCI-lac2* fusion was not appreciably altered in fully induced *tsfl* to *tsf6* strains (Gly medium), consistent with the fact that *tsf1* to *tsf6* strains grow at a normal rate in media containing glycerol as sole carbon source. Induced expression of at least two other genes, *PDCI* (encoding pyruvate decarboxylase; BUTLER, DAWES and MCCONNELL 1990) and *ACTI,* appeared to be unaffected by *tsfl* to *tsf6* mutations (CHEN 1992; J. MA and R. W. WEST, unpublished results).

tsfl **to** *tsf6* **cause pleiotropic growth defects:** *tsfl* to *tsf6* mutations did not significantly affect cellular growth rate at 30" in rich medium or in minimal medium containing any of the following sugars as sole carbon source(s): glucose, galactose, sucrose, raffinose, or glycerol plus lactate. Table 5 shows, however, that *tsf3, tsf4* and *tsf6* mutations caused both flocculence (dispersible by EDTA or dithiothreitol, reversible by $MgSO_4$ or $CaCl₂$) and temperature-sensitive lethality (36" was the nonpermissive temperature) which segregated 2:2 with derepressed promoter activity upon outcrossing. Additionally, *tsf3, tsf4* and *tsf6* mutations caused aberrant cell morphology (2-4-fold greater cell size and occasional schmooing; not shown). *tsf3* mutations furthermore significantly reduced the mating efficiency of both *MATa* and *MATa* cell types, the severity of which was allele-dependent and ranged from 4- to 5-fold for *tsf3-14,* to 50-100 fold for *tsf3-7* and *tsf3-l0* (Table 5). Consistent with this finding, the results of Northern blot analysis, shown in Figure 4, indicated that the silent mating type locus HML α is expressed in strain BWY115 (MATa *\$3-10).* Homozygous diploid *tsf3* strains further exhibited a significantly reduced sporulation efficiency, the severity of which was likewise alleledependent (Table 5).

tsfl, tsj2 and *tsf5* mutants were not as severely growth defective as *tsf3, tsf4* and *tsf6* mutants (Table 5). Surprisingly, however, while the parental strain YM335 requires methionine for growth, *tsj2, tsf4* and *tsf5* mutants reverted to Met⁺ (Table 5) which cosegregated with transcriptional derepression upon outcrossing. Using a feeding-indicator plate assay (see MATERIALS AND METHODS), we observed that methionine and/or a metabolic precursor was excreted by *tsj2, tsf4* and *tsf5* strains, though not by YM335, *tsf3* or *tsf6* strains (data not shown). The respective *met* mutation in YM335 has not been characterized but was derived from a genetic screen to obtain mercuryresistant mutants of *S. cerevisiae* (SINGH and SHERMAN 1974; M. JOHNSTON, personal communication). One interesting possibility is that the defect lies in a regulatory gene required for transcription of *MET* structural genes (see, for example, THOMAS, JACQUEMIN and SURDIN-KERJAN 1992), and that *tsj2, tsf4* and *tsf5* mutations circumvent this requirement. Post-transcriptional roles, however, for Met⁺ phenotypic reversion in *tsf2*, *tsf4* and *tsf5* mutants cannot be excluded.

tsfl **to** *tsf6* **activate expression of UAS-less** *GALl***and** *CYCl-lacZ* **fusions:** Unexpectedly, yeast *GALl* and *CYC1* promoters (fused to *lacZ*) lacking their upstream activating elements were expressed at unusually high basal levels in *tsfl* to *tsf6* strains, a phenomenon reported previously for $ssn20$ (SPT6/CRE2; NEIGEBORN, CELENZA and CARLSON 1987; DENIS and MALVAR 1990) and *sptlO S. cerevisiae* mutants *(CREI;* DENIS and MALVAR 1990). Figure 5 shows that the amount of β -galactosidase activity derived from a UAS-less *GALI-lac2* fusion (plasmid 121-632 in Figure 6) ranged from 4 to 26 units in *tsf* strains grown in Glu medium, or 20-130-fold higher than in the isogenic wild-type *(TSP)* control strain. A UAS-less *CYCI-lac2* fusion (plasmid pLG670Z, Figure 6) was expressed at levels ranging from 0.3 to 32 units, or 1.5-1 60-fold higher than in the wild-type strain. In *tsf3* strains, UAS-less promoter activity reached as high as 13% of the fully derepressed *(i.e.,* GAE-dependent; Figure 1) wild-type *GALl* promoter activity,

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TABLE 5

Effects of *tsfl* **to** *tsj6* **on cellular growth, mating and sporulation**

						Mating efficiency	
Allele	Temperature sensitivity	Flocculence	Gal	Met	MATa	$MAT\alpha$	Sporulation efficiency
TSF^+			$\pmb{+}$				
$tsf1-I$			$_{\rm ND}$		0.8	$_{\rm ND}$	ND
$tsf1-2$			ND		0.8	$_{\rm ND}$	ND
$tsf2-5$			$^{+}$	$^{+}$	0.6	0.5	
$tsf2-23$	-		$^{+}$	$^{+}$		0.7	0.6
$tsf3-7$	$^{+}$	$^{++}$	$_{\rm ND}$		0.02	0.01	0.01
$tsf3-10$	$+^a$	$^{++}$	$\ddot{}$	-	0.02	0.01	
$tsf3 - 13$	$+^a$	$^{++}$	ND		ND	ND	ND
$tsf3-14$	$^{+}$	$^{+}$	$\ddot{}$	-	0.25	0.20	< 0.0001
$tsf4-1$	$+^a$	$^{+}$	$\ddot{}$	$\ddot{}$		0.7	0.4
$tsf5-I$			$^{+}$	$^{+}$	0.5	0.6	0.2
$tsf6-1$	$+^a$	$^{++}$	$_{\rm ND}$	-	0.2	0.5	0.5
$tsf6-2$	$+^a$	$^{++}$	$^{+}$		$_{\rm ND}$	ND	ND

Respective isogenic **MATa** and **MATa** deriytives of *tsfl* to *tsf6* strains are from Table **1.** The *TSF'* control strain was YM256. Temperature sensitivity was tested on YEP-D medium at 36". Flocculence was detected in liquid YEP-D medium at 30". Gal (galactose utilization) phenotype was determined using **SC** medium containing galactose as sole carbon source. Met (methionine prototrophy/auxotrophy) phenotype was determined using SC medium lacking methionine. Mating and sporulation efficiency were determined as described in MATERIALS AND METHODS. ND. not determined.

^a Leaky growth.

FIGURE $4. -tsf3$ derepresses the silent mating-type locus $HML\alpha$ in a *MAT***a** strain. Approximately 15 μ g of poly(A)⁺ RNA prepared from strains YM335 **(MATa TSF3)** and BWY115 **(MATa** *tsf3-IO)* grown in **Glu** medium was fractionated on a denaturing **1** % agarose gel, transferred to a nylon membrane following electrophoresis, and probed with a "P-radiolabeled 1.12-kb *Eagl-NdeI* fragment of **MATa2.** A 52P-radiolabeled 0.6-kb EcoRI-Hind111 fragment of the yeast **ACT1** (actin) gene was used as an internal standard for RNA concentration. A faint transcript in YM335 migrating ahead of the $MAT\alpha2$ position likely corresponds to $MATa$, which cross-hybridizes with the *MAT*_a probe (FASSLER and WINSTON 1989).

and **17%** of the wild-type *CYCl* promoter activity (Figures *5* and 6). Comparable results were obtained with *tsfl* to tsf6 strains that harbored **a** UAS-less *CALIO-lacZ* fusion, and comparable levels of expression were observed in the respective tsf strains grown in Gly medium (data not shown). It is noteworthy that *tsf3* was more effective than other tsfl to tsf6 mutations in activating expression of the UAS-less *CALI-lacZ* fusion and, surprisingly, considerably more effective in activating the UAS-less *CYCI-lacZ* fusion (Figure 5). This and other results in Figure 5 denote a certain degree of promoter specificity to TSF1 to TSF6 function. Combined with the fact that tsf mutations cause

FIGURE *5.-tsfl* to *tsf6* activate expression of UAS-less **CALI-** or $CYCI$ -lacZ fusions. Bars show levels of β -galactosidase activity in the respective strains harboring a UAS-less **CALI** (plasmid 121-632; see MATERIALS AND METHODS) or UAS-less *CYCl* (plasmid pLG670Z) promoter fused to *lacZ* and grown in Glu medium. The corresponding amount of β -galactosidase activity for UAS-less GALI- or *CYCI-lacZ* fusions in the wild-type strain (YM335) was 0.2 unit for both. Under fully derepressing (but noninducing; see Figure **I,** Table **4** and text) conditions, wild-type (YM335) cells harboring the respective wild-type **CALI-lacZ** (plasmid PRY **13 1)** or *CYCI-lacZ* (pLG Δ -312) fusions produced 200 and 150 units of β galactosidase, respectively.

pleiotropic growth defects, these results suggest that TSFI to TSF6 are global regulatory genes, and that their respective gene products act independently of specific upstream promoter elements.

tsj3 **appears to cause a specific defect in transcrip tion:** Figure **6** shows that expression of the UAS-less

pRY131	0_4 0_1 0_2 05 05 $\mathbf{0}_{6}$ $ G_1 $ 哅 GAL1 [126] IJ	B-Gal activity TSF3* ≤0.1	$tsf3 - 13$ 2.5
$121 - 688$	GAL1	$≤0.1$	≤0.1
121-632	GAL ₁	0.2	26
121-593	$\frac{a}{\Box}$ GAL ₁	≤0.1	0.3
$141 - 37a$	$\frac{a_2}{2}$ $\frac{a_6}{2}$ GAL1	2.5	7.5
632-37a	風 GAL ₇ 01 01 02	25	50
LR1423B	同 同 GAL1 1251	80	150
pLG4-312	UAS _C L CYCT	150	180
pLG670Z	CVCT	0.2	32

FIGURE $6.$ *-tsf3* removes a block on the function of upstream as **well as downstream promoter elements. Presence or absence of** *GAL* **operators (horizontal bars),** *GAL4* **sites (open boxes I-4), CAE,** (stippled box G_1), GAE_2 (stippled box G_2), $TATA$ box (T box), and **UASc (large open box) in the respective promoter construct is denoted. Units** of **@-galactosidase activity from the respective** *GALI*or *CYCZ-lac2* **fusion in strains YM335** *(TSF3+)* **and BWYll8** *(tsf3- I3),* **grown in Glu medium, are shown at right. Expression of PRY 13 1 in the** *tsf3-I3* **strain grown in Clu medium is repressed 14 fold with respect to Gly medium (Table 4), due to catabolite repression acting via the** *GAL* **operators, in particular** *GAL06* **(FIN-LEY** *et al.* **1990; NEHLIN, CARLBERC and RONNE 1991; FLICK and JOHNSTON 1992).**

CALI-lac2 fusion in strain BWY118 (tsf3-13 *Agul4)* requires the normal CALI TATA box (compare plasmids 121-688 *us.* 121-632, Figure 6). Figure 7 shows **SI** mapping results which demonstrate that the amount of CALI-lac2 specific mRNA in strains BWY118 and BWY115 (tsf3-10 Δgal 4) harboring plasmid LR1 Δ 23B, grown in the absence of galactose and GAL4, is substantially greater than in the isogenic *TSF⁺* control strain YM335 (see also WEST, YOCUM and PTASHNE 1984). Figure 7 further shows that mRNA derived from a UAS-less CALI-lac2 fusion (plasmid 12 1-632), though in lower abundance than that derived from LR1 Δ 23B (see Figure 6), is detectable in strains BWY 1 18 and BWY 1 15 but not in the isogenic *TSF+* control strain YM335. The *5'* CALI mRNA start sites for each construct appear to be unaltered in tsf3 strains relative to *TSF*⁺ strains (Figure 7). These results in conjunction with those above suggest that $tsf3$ causes a defect in transcriptional efficiency.

UAS-dependent *us.* **UAS-independent promoter activity in tsf strains:** The amount of β -galactosidase activity derived from the wild-type CALI-lacZ fusion or from CYC-GAL-lac2 fusions in tsfl to *tsf6* strains grown under noninducing **or** repressing conditions (Tables 3 and **4)** is roughly equivalent to that derived from UAS-less CALI- **or** CYC-lac2 fusions (Figure 5). In the former instances, however, expression likely derives from activation mediated by UAS-binding proteins (for example, GAE_1 - and GAE_2 -binding proteins for UAS_G , and HAP proteins for UAS_C) as well as from the removal of a constraint on the basal activity of the RNA polymerase **I1** transcriptional machinery. Figure **6** shows, for example, that GAL06 present upstream of the UAS-less CALI promoter (plasmid 121-593) blocked derepression by $tsf3$. A similar result occurred when $GALO₃$ was placed upstream (data not shown). By contrast, GAE_1 and/or $GAE₂$ upstream of the UAS-less $GALI$ promoter (632- $37a$ and LR1 Δ 23B) increased the amount of transcription above that derived from derepression by $tsf3$ (Figure **6).** Similar results were observed in other tsfl to *tsf6* strains (data not shown). These results are consistent with the notion that the products of the *TSFl* to *TSF6* genes act independently of specific upstream promoter elements, and that net CALI promoter activity is commensurate to the number and strength of positive *us.* negative control elements upstream $(1\Delta1-37a,$ Figure 6; see also FINLEY *et al.* 1990).

TSF genes may comprise more than one repression pathway: Availability of the cloned *TSF3* gene (CHEN et *al.* 1993) made it possible to analyze expression in tsf double mutant strains, by constructing a tsf3 null mutation in other tsfl to *tsf6* strains. Table 6 shows that the amount of expression in $\Delta t s f \partial t s f2$, Atsf3tsf4, **or** Atsf3tsf5 double mutant strains from a wild-type GAL1-lacZ fusion (repressing conditions), a UAS-less CALI- **or** CYCI-lac2 fusion, or the CYC-GAL hybrid promoter $CYCI-UAS_G$ -110A was greater than the sum of the amount of expression in the two corresponding single mutant strains. The double mutants also were more growth defective, exhibiting increased flocculence, greater sensitivity to high temperature, and in some instances, reduced growth rate at 30° in glucose medium (i.e., non-mitochondrial growth defect). These results are consistent with the fact that $tsf3$ mutations caused different growth and/ or regulatory defects than tsf2, tsf4 or tsf5 single mutations (Table 5). Interestingly, even in tsf double mutant strains expression **of** CYCI-UASG-l 10A remained beneath that derived from the wild-type $CYCI$ promoter (Table 6), raising the possibility that an additional repression pathway(s) **is** involved.

DISCUSSION

Our studies suggest that a silencing mechanism(s) dependent on *TSF1* to *TSF6* represses basal level expression of the GAL structural genes (*i.e.*, noninducing conditions) and that this comprises an important pathway(s) in addition to GAL4/GAL80-mediated control for regulating GAL gene expression. Our results suggest that, rather than encoding GAL operator-specific binding proteins, *TSFl* to *TSF6* en-

FIGURE 7.-CALI-lacZ mRNA abundance and *5'* start sites in tsf3 *vs.* TSF3+ strains. S1 mapping of RNA made *in vivo* from wild-type or mutant (for example, UAS-less) GAL1-lacZ fusions in TSF⁺ vs. tsf3 strains. Total cellular RNA was isolated from the respective strains harboring one of the plasmids shown in Figure **6.** following growth in Gal medium (lane **1).** Gly medium (lane 2) or Glu medium (lanes **3- 9).** The single-stranded CALI-facZ probe was derived from a 240-bp Xhol-BamHI fragment of pLRlA24. Lane **1** (25 *pg* RNA) pRYI3I (wild-type CALI-lacZ fusion plasmid)/YM256 *(TSP* GAL4+); lane 2 (50 *pg* RNA) pLRlA23B (mutant CALI-facZ fusion plasmid)/YM256; lane **3** (100 *pg* RNA) pLRlA23B/YM335 *(TSP* Agu14); lane **4** (50 pg RNA) pLRlA23B/BWYl15 (tsf3-l0 *Aga14);* lane *5* (50 *pg* RNA) pLRIA23B/BWYl18 (tsf3-13 Aga14); lane 6 (50 *pg* RNA) 121-632/YM335; lane 7 (50 *pg* RNA) 121-632/BWY115; lane 8 (50 pp RNA) 121-632/BWYI 18; lane **9** (50 *pg* RNA) 121-593/BWY118. Other lanes: (M) molecular size markers (Hpoll-digested pBR327 DNA); (P) undigested probe. Further details are provided in Figure 6 and MATERIALS AND METHODS (see also WEST, YOCUM and PTASHNE 1984; WEST *et al.* 1987).

TABLE 6

TSFI **to** *TSF6* **genes comprise more than one silencing pathway**

Numbers represent units of β -galactosidase activity measured from the respective strains harboring the indicated promoter (fused to *lacZ).* grown in Glu medium (for further details see Tables 2 and 3, and Figures 3 and 4). Strains used were YM256 *(TSP),* BWY 155 BWY402 ($\Delta t s f$ 3-2tsf 2-5), BWY400 ($\Delta t s f$ 3-2tsf4-1), and BWY403 (Atsf3 tsf5-1). For CYC1-UAS_G-110A, the number in parentheses indicates units of β -galactosidase activity obtained from the respective wild-type CYCI-lacZ fusion (lacking GAL operators), provided for comparison. (tsf2-5), BWY54 (Atsf3-2), BWYI6O *(tsf4-l),* BWY163 *(tsfT-I),*

code regulatory proteins that function in a more global manner. This perspective derives from the fact that one or more *tsfl* to *tsf6* mutations: **(1)** derepressed expression of a variety of *CYC-GAL-lacZ* fusions, *(2)* activated high basal level expression of UAS-less *GALI-* and *CYCI-lacZ* fusions and **(3)** caused pleiotropic defects in cell growth and development. These results are consistent with our previous finding that *\$3* partially suppresses repression in a *MATa* strain of *CYC-GAL* hybrid promoters that contain single or multiple copies of the *MATa2* operator **(CHEN** *et al.* **1993).** At least in the case of *\$3* mutations, **S1** mapping and Northern blot analysis in conjunction with activation of UAS-less *GALl-* and *CYCl-lacZ* fusions suggest that the molecular basis of the defect is transcriptional. Failure to obtain mutations in genes encoding *GAL* operator-specific binding proteins might be due to a failure to saturate our genetic screen with respect to the number of genes that can be identified (since for several of the *TSF* complementation groups only one or two alleles were identified, Table *2),* or might instead suggest that such genes are either essential, repeated or functionally redundant, and therefore the corresponding mutations could not be detected by our genetic screen. Success in obtaining *tsfl* to *tsf6* mutations suggests that equally important silencing components act in conjunction with repressor proteins bound upstream to control *GALl* and *GAL10* expression. We cannot rule out the possibility, of course, that one or more *TSFI* to *TSF6* genes encodes a "general" repressor protein having degenerate sequence specificity, capable of binding to a broad number of *S. cerevisiae* sequences including one or more *GAL* operators as well as downstream promoter sites.

A number of yeast transcriptional regulatory genes have been characterized in which mutations cause pleiotropic defects in cell growth and development, and which likewise appear to affect the expression of many genes. They include *SIT* genes *(HIS4* promoter; **ARNDT, STYLES** and **FINK** 1989), *SIN, SDI* and *SWI* genes *(NO* promoter; **STERN, JENSEN** and **HERSKOWITZ** 1984; **BREEDEN** and **NASMYTH** 1985), *SNF* and *SSN* genes *(SUC2* promoter; **CARLSON** 1987; **SCHULTZ** and **CARLSON** 1987; **SCHULTZ, MARSHALL-CARLSON** and **CARLSON** 1990), *CRE* genes *(ADH2* promoter; **DENIS** and **MALVAR** 1990), *UME* genes *(SPO* promoter; **STRICH, SLATER** and **ESPOSITO** 1989), and *SPT* genes (Ty element promoter; **WINSTON** *et al.* 1984; **FASSLER** and **WINSTON** 1988). Follow-up studies have revealed that such genes encode a variety of proteins having a general role in transcription, including histone **H2A** *(SPTll;* **CLARK-ADAMS** *et al.* 1988), histone **H2B** *(SPTl2;* **CLARK-ADAMS** *et al.* 1988), histone **H3** *(SIN2;* **KRUGER** and **HERSKOWITZ** 1991), an HMG-like protein *(SPT2/SINl;* **KRUGER** and **HERSKOWITZ** 1991) and other proteins affecting the structure/function of chromatin *(SNF2/SWI2* and *SNFS;* **HIRSCHHORN** *et al.* 1992), transcription factor **TFIID** *(SPT15;* **EISEN-MANN, DOLLARD** and **WINSTON** 1989), the protein kinase **SNFl (CARLSON** 1987), the protein phosphatase **SIT4 (ARNDT, STYLES** and **FINK** 1989; **SUTTON, IMMANUEL and ARNDT 1991), the putative "mediator"** or "potentiator" protein **GALl** 1 **(SUZUKI** *et al.* 1988; **FASSLER** and **WINSTON** 1989; **NISHIZAWA** *et al.* 1990; **HIMMELFARB** *et al.* 1990) and the general repressor protein complex **SSNG-TUP1 (TRUMBLY** 1992; **KE-LEHER** *et al.* 1992). Results of complementation tests, in most cases involving transformation of *tsf* strains with cloned *S. cerevisiae* genes (see **MATERIALS AND METHODS)** and analysis of reversion to wild-type transcriptional (using UAS-less promoters and *CYC-GAL* hybrid promoters fused to *lacZ)* and cell growth phenotypes, suggest that none of the *TSFl* to *TSFG* genes is allelic to the following genes: *SIN1 (SPT2,* **ROEDER** *et al.* 1985; **KRUGER** and **HERSKOWITZ** 1991), *SIN2 (HHTl;* **KRUGER** and **HERSKOWITZ** 1991), *SIN3 (SDIl/UME4/RPDl;* **NASMYTH, STILLMAN** and **KIP-LING** 1987; **STRICH, SLATER** and **ESPOSITO** 1989; **WANG** and **STILLMAN** 1990; **VIDAL** *et al.* 1991), *SDI2* and *SDI4* **(NASMYTH, STILLMAN** and **KIPLING** 1987), *SWIl, SWI2 (SNF2)* or *SW13* **(PETERSON** and **HER-SKOWITZ** 1992), *SSN6* **(SCHULTZ** and **CARLSON** 1987; SHULTZ, MARSHALL-CARLSON and CARLSON 1990), *SSN2U (SPT6ICRE2;* **NEIGHEBORN, CELENZA** and **CARLSON** 1987; **SWANSON, CARLSON** and **WINSTON** 1990; **DENIS** and **MALVAR** 1990), *TUP1* **(WILLIAMS** and **TRUMBLY** 1990), *GALl 1 (SPT13;* **SUZUKI** *et al.* 1988; **FASSLER** and **WINSTON** 1989), *SIT3 (GCRl;* **ARNDT, STYLES** and **FINK** 1989; **BAKER** 1991 ; **DEVLIN** *et al.* 1991), *SPT5* **(SWANSON, MALONE** and **WINSTON**

1991), *SPTlU (CREl;* **FASSLER** and **WINSTON** 1988; **DENIS** and **MALVAR** 1990), or *SPT11/12 (HTAl/ HTBl;* **CLARK-ADAMS** *et al.* 1988). Recently, *TSF3* was found to map to a new chromosomal location, and **DNA** cloning and sequencing revealed that *TSF3* and *SIN4,* a negative regulator of yeast *HO* (homothallism) gene transcription **(NASMYTH, STILLMAN** and **KIPLINC** 1987; **STERNBERG** *et al.* 1987; **NASMYTH** and **SHORE** 1987; **HERSKOWITZ** 1989), are allelic **UIANG** and **STILLMAN** 1992; **CHEN** *et al.* 1993). This indicates that the promoters for the *GAL* genes and mating-type genes share certain transcriptional silencing as well as activating (see **PETERSON** and **HERSKOWITZ** 1992) components and mechanisms.

Several results are compatible with the possibility that the *TSFl* to *TSFG* gene products comprise more than one silencing pathway. This is primarily suggested by the finding that the *tsfl* to *tsf6* mutations cause different categories of cell growth defects. **For** instance, *tsj2, tsf4* and *tsf5* each results in phenotypic suppression of the methionine auxotrophy in the genetic background used. Though the mechanism of suppression has not been worked out in this case, the simplest explanation is that these mutants either relieve transcriptional silencing at some uncharacterized *met* locus, or allow for overexpression of its gene product. This raises the possibility that of the six *TSFl* to *TSF6* genes, only these three are involved in this mechanism of transcriptional control. Consistent with the idea that *TSF2, TSF4* and *TSF5* are involved in a single pathway is the finding of unlinked non-complementation among *tsf2, tsf4* and *tsf5* mutations, which suggests direct protein-protein interaction among their gene products **(STEARNS** and **BOTSTEIN** 1988; **SWANSON** and **WINSTON** 1992). By contrast, only *tsf3* mutations caused severe defects in mating and sporulation, implying that *TSF3* is involved in a distinct pathway from the other *TSF* genes. Consistent with the above findings, double mutants between the *tsf3* deletion allele and *tsj2, tsf4,* or *tsf5* mutations exhibit additive effects on derepression of repressed promoters, lending further support to the possibility that more than one silencing mechanism has been identified by the *tsfl* to *tsf6* mutations. Multiple silencing mechanisms would ensure that expression of the *GAL* structural genes would remain at a very low basal level when galactose is not present.

It remains to be determined how the *TSFl* to *TSF6* gene products modulate gene expression. One possibility is that they directly mediate the interaction between upstream regulatory proteins and the basic transcription apparatus. According to this type of model, the respective proteins would function similarly to the yeast Gall 1 protein, which is postulated to assist GAL4 in inducing transcription of the *GAL* structural genes **(HIMMELFARB** *et al.* 1990; **NISHIZAWA** *et al.* 1990). While in this regard Gall 1 has been viewed as a positive regulator, it also has been shown to act as a negative transcriptional regulator (FASSLER and WINSTON 1989), and a gal11 mutation like tsf mutations activates constitutive expression from UASless *GALl* or *CYCl* promoters and derepresses the uninduced level of transcription from the wild-type *GAL1* promoter, implying that the respective proteins share certain functional properties (CHEN *et al.* 1993). Several of our present findings, however, are difficult to reconcile by any simple mediator/potentiator model of *TSF* gene product function. Alternatively, the *TSF* genes may affect the structure or assembly of chromatin (see, for example, HIRSCHHORN *et al.* 1992; WINSTON and CARLSON 1992), which in turn mediates the selective activation of promoters. Consistent with this possibility, JIANC and STILLMAN (1992) determined that a *tsf3/sin4* mutation alters the superhelical density of circular DNA molecules, implying that *TSF3/SIN4* affects the structure/function of chromatin. Further suggestive evidence for a chromatin role is provided by the fact that depletion **of** histone H4 activates expression from UAS-less promoters, similarly to *tsf* mutations (HAN and GRUNSTEIN 1988; GRUNSTEIN 1990). A third model can be envisioned where one or more *TSFl* to *TSFG* gene products comprises or regulates the activity of some component(s) of the basal transcription apparatus *(e.g.,* a subunit of RNA polymerase **I1** or one of the general transcription factors TFIIA to TFIIH). A general negative regulatory factor designated NC2 has been characterized (MEISTERERNST and ROEDER 1991) which has a regulatory effect compatible with this model of *TSFl* to *TSF6* function. NC2 appears to compete with TFIIA, a general factor required for the establishment of a productive initiation complex, for binding to TFIID (MEISTERERNST and ROEDER 1991). Distinguishing among these models is now underway. We expect further studies on *TSFl* to *TSF6* will enhance our understanding of the mechanisms by which gene transcription may be controlled by complex upstream regulatory regions.

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