Molecular Evolution of the *Ac/ Ds* **Transposable-Element Family in Pearl Millet and Other Grasses**

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ABSTRACT

We report an Aclike sequence from pearl millet *(Pennisetum glaucum)* and deletion derivative Aclike sequences from pearl millet and another grass species, *Bambusa multiplex.* Sequence relationships between the pearl millet and maize Ac elements suggest the Ac/Ds transposable-element family is ancient. Further, the sequence identity between the Bambusa Ac-like sequence and maize Ac implies that the Ac/Ds transposable-element family has been in the grass family since its inception. The Ac -like sequences reported from pearl millet and maize Ac are statistically heterogeneous in pair-wise distance comparisons to each other. Yet, we are unable to discriminate between differential selection or ectopic exchange (recombination and conversion) between nonidentical transposable element homologues, as the cause of the heterogeneity. However, the more extreme heterogeneity exhibited between the previously described pearl millet element and maize $A\epsilon$ seems likely to derive from ectopic exchange between elements with different levels of divergence.

T RANSPOSABLE elements occupy a significant pro-portion of virtually all eukaryotic genomes. These mobile genetic elements were first detected as a consequence of their ability to disrupt the expression of genes and produce unstable phenotypes (**MCCLINTOCK** 1948) . It was subsequently discovered that transposable elements are also capable of independent replication and insertion (for reviews see BERG and HOWE 1989). These observations have prompted considerable efforts to determine the principal forces responsible for the maintenance of transposable elements in natural populations.

It is currently believed that transposable elements are maintained in populations as a balance between transposition-related copy number increase and some opposing force *(s)* (**CHARLESWORTH** and **LANGLEY** 1989) . **A** possible mechanism for containing transposable element copy number is ectopic recombination where, in some circumstances, recombination between transposable elements at nonhomologous genomic locations gives rise to deleterious chromosomal rearrangements (**LANGLEY** *et al.* 1988). The hypothesis assumes that the frequency of deleterious rearrangements increases with increasing copy number, resulting in selection against individuals with high copy number. This hypothesis predicts a specific genomic distribution of transposable elements and has been partially supported by observations in Drosophila (**LANGLEY** *et al.* 1988; **CHARLESWORTH** and LAPID 1989; **MONTGOMERY** *et*

al. 1991) and in mice **(BAKER** and **WICHMAN** 1990). Importantly, if the elements involved in ectopic recombination events are nonidentical homologues, then the recombinant transposable elements will contain regions with different genealogies. Therefore, because not all ectopic recombination events will be deleterious, the occurrence of ectopic recombination can be as sayed by sequence comparisons between transposableelement family members. The implication of detecting nondeleterious rearrangements **is** that they support the potential involvement of ectopic recombination in limiting the spread of the transposable element family under study. It is noteworthy, however, that gene conversion during ectopic pairing will also generate elements with genealogically distinct regions. Although the potential evolutionary consequences of gene conversion versus ectopic recombination are obviously different, a mechanism whereby gene conversion may also serve to limit the spread of transposable elements is outlined below. Events that could occur by either ectopic recombination or gene conversion will hereafter be referred to as ectopic exchange events.

Not all members of a transposable-element family are autonomous. For example, *Ds* elements in the maize genome are capable of transposition only in the pres ence of a putative transposase provided by an autonomous *Ac* element. *Ds* elements are therefore referred to as nonautonomous. Interestingly, almost all eukaryotic transposable-element families described have nonautonomous members (HARTL et al. 1992). Ectopic exchange is a potential mechanism by which nonautonomous elements may originate from autonomous ones. For example, ectopic exchange between autonomous and nonau-

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tonomous elements might result in two nonautonomous elements, depending on the location of the exchange and the number of mutations defining the nonautonomous element. Eliminating autonomous elements presumably serves to decrease the ability of a transposable element family to increase in copy number.

As phylogenetic surveys have become available, observations of horizontal transfer in Drosophila and other taxa (MARWAMA and HARTL 1991; PASCUAL and PE-RIQUET 1991; FLAVELL 1992) have become common. It has been suggested that the loss of autonomous elements is inevitable in a finite population, implying that horizontal transfer may be the only force preventing the ultimate death of a transposable-element family (HARTL *et al.* 1992). Consequently, transposable-element families are seen as transient members of eukaryotic genomes that are dependent on horizontal transfer for replenishment. The alternative view is that transposable elements are stable residents of eukaryotic genomes and are only transmitted vertically. The vertical transmission of a transposable-element family can be evaluated by sampling from a distantly related species and confirming the presence of the element family in that species. If the sequence relationships between the elements from the different taxa are consistent with the phylogenetic distance between those taxa, then this may be interpreted as support for the vertical transmission of the transposable-element family.

Most research aimed at elucidating the forces that influence eukaryotic transposable element evolution has been conducted in animal and fungal systems (see BERG and HOWE 1989). At present comparable studies of plant transposable elements are lacking, despite the fact that the first transposable-element family described was the Ac/ *Ds* family **of** *Zea mays* (MCCLINTOCK 1948) . Although the Ac/Ds family is perhaps the best characterized plant transposable-element family at the molecular level, evolutionary analysis of this family has **re**ceived little attention (GERLACH et al. 1987; MACRAE and CLEGG 1992). Furthermore, few reports exist of Ac like sequences in other plant taxa (CHERNYSHEV et al. 1988; MACRAE et al. 1994).

In this study we sample from a representative of the Bambusa genus, *Bambusa multiplex,* that is a very distant relative of Zea and Pennisetum (MORTON and CLEGG, 1994) and ask if there are Ac-like sequences in the genome of *B. multiplex?* Evidence for the *Ac/ Ds* transposable-element family in Bambusa is described, implying a long evolutionary history of Ac/Ds transposable elements in the grass family. Furthermore, we sequence three additional pearl millet elements to address the following questions: **(1) Is** there evidence of ectopic exchange in comparisons between the different pearl millet elements? (2) What are the relationships between the pearl millet elements? Evidence from sequences **of** pearl millet elements consistent with the occurrence of ectopic exchange is presented.

MATERIALS AND METHODS

Plant materials, library construction and screening: The *B.* multiplex and Pennisetum glaucum genomic DNA samples prepared are described elsewhere (GEPTS and CLECC 1989; DWALL *et* al. 1993). The genomic library was made from P. glaucum, strain Tifton, GA DB. The construction and screening of this library is described in MACRAE and CLEGG (1992).

Nomenclature: To distinguish between the previously reported Ac-like sequence from pearl millet (MAcRAE *et* al. 1994) and the additional Ac-like sequences characterized in this paper, we will use the abbreviations described in Table 1. In summary, the first letters of the genus and species names, Pg representing P. glaucum, and Zm representing Z. mays, will precede the element class designation. The Ac-L class refers to these sequences being Ac -like. All elements amplified by PCR using the ZmAcF and ZmAcR primers (described below) are referred to as PgDs elements. Although the PgDs elements are also considered Ac-like, the distinction between these two classes of elements is made to reflect the fact that PgAc-L1 (MAcRAE *et* al. 1994), and possibly PgAc-L2, do not have inverted terminal repeats. The high stringency PCR conditions and primer design suggest that the PgDs elements do have these terminal repeats.

Cloning and sequencing of the PgAoL2 element: Two primers designed for sequencing of the previously reported Aclike element (MAcRAE *et* al. 1994) were used in PCR experiments to determine whether related sequences existed in previously-isolated putative positive genomic clones. The reaction profile used is described below. **A** product from these reactions was cloned using the TA cloning kit from Invitrogen (San Diego, CA) according to manufacturers specifications. Additional regions of this element were obtained by inverse PCR (OCHMAN et al. 1990) from an NdeI digest of the original genomic clone. The single inverse PCR product was cloned as above. Both strands of these clones were sequenced only to the point at which they overlapped the central region of identity between $PgAc-L1$ and $ZmAc$. The sequence was obtained using both universal primers and primers designed from the element. All sequencing was performed on plasmid DNA using either standard procedures (**SANCER** et al. 1977) or the fmol kit from Promega (Madison, WI) according to manufacturers specifications.

PCR reactions, cloning and sequencing of Ac-like sequences: PCR reactions were performed using primers designed from the reported sequence of the Ac element of Zea mays (KUNZE *et* al. 1987). The 25-mer primers include the 11-bp inverted terminal repeats and an additional 14 bp of internal **ZmAc** sequence. These primers will be subsequently referred to as ZmAcF and ZmAcR. Reaction conditions for all amplifications (except for Bambusa) were as follows: 30 cycles of 94" for 1 min, 60" for 1 min, 72" for 5 min; 1 cycle of 72" for 15 min. The Bambusa products were obtained as above except the annealing temperature was 50". The amount of genomic DNA used for the amplifications was $0.15-1$ μ g. Negative controls were run with each PCR reaction, and all reactions were set up using aerosol resistant tips. All PCR products were cloned as described above.

The PgDs elements whose sequences we report here were obtained from a wild-type individual from Sudan (85-2) . Both strands of the clones were sequenced as described above, with the exception that elements from Bambusa were only partially characterized at the sequence level for direct confirmation that they were Ac -like sequences.

Sequence alignments and relationships between the pearl millet elements: Sequences were aligned using either the BE-STFIT or GAP algorithms (DEVEREUX et al. 1984) or the CLUSTAL. alignment procedure from the Genetic Data Environment package version 2.0 (SMITH 1993). The region se-

The division of the pearl millet elements into two different classes (PgAc-L, PgDs) was based on the presence/ absence of the 11-bp inverted terminal repeats (both repeats are probably present in the *PgDs* elements).

quenced from the PgAc-L2 element did not overlap with either of the *PgDs* elements. Consequently, alignments between the *PgDsl, PgDs2,* PgAc-L1 **(MAcRAE** *et al.* 1994) and *ZmAc* elements (KUNZE *et al.* 1987) were generated. Given the constraints imposed by the degree to which these sequences have diverged, the alignments that involved all elements were limited to sequences that spanned 203 bp of the 5'-untranslated regions *(ZmAc* coordinates 35-232, see Appendix, Figure 2) and 130 bp of the 3'-untranslated regions $(ZmAc$ coordinates 4326–4445, see Appendix, Figure 3). The sequences of $PgAc-$ L1 and $ZmAc$ were trimmed to the size of $PgAc$ -L2 for comparisons involving these elements *(ZmAc* coordinates 1328-3708, see Appendix, Figure 4). The resulting alignments were used to determine relationships between the various elements and in the statistical analyses described below.

Evaluating the relationships between the different elements from pearl millet was based on pair-wise distances calculated between the elements from each class *(i.e., PgAc-L* and *PgDs)* and with the *ZmAc* element. Kimura's two-parameter distance measure was used for these calculations (KIMURA 1980). For determining distances between the PgAc-L elements and *ZmAc,* only the central region was used *(ZmAc* coordinates 1587-3438). Synonymous distances were calculated between the PgAc-L elements and *ZmAc.* The *ZmAc* sequence was used as the reading frame reference, and the region compared between the elements lay within the central region defined above (see Appendix, Figure 4). For comparisons to *ZmAc,* the second intron was deleted. A small section that contained frameshift mutations was deleted from the center of this region. Distances were calculated using MEGA version 1 **.0** (KU-MAR *et al.* 1993). Divergence times were calculated using a substitution rate of 7.9 \times 10 $^{-9}$ synonymous substitutions per site per year estimated from *ADHl* comparisons between maize and pearl millet (GAUT and CLEGG 1991).

Statistics: A log likelihood heterogeneity test was used to determine whether there are differences in substitution rates along the sequences (GAUT and CLEGG 1993). For the comparisons involving both the PgAc-L elements and *ZmAc,* the test was performed by dividing the sequences that could be unambiguously aligned into three unequally sized regions: a 305-bp block representing *ZmAc* coordinates 1328-1594 (referred to as region **A),** a block of 1830 bp representing *ZmAc* coordinates 1595-3408 (referred to as region B) and a 305 bp block representing ZmAccoordinates 3409-3708 (referred to as region C) (see Figure 1). All three possible pair-wise comparisons were performed.

The distribution of substitutions among synonymous and nonsynonymous sites between the $PgAcL$ elements was evaluated to test the hypothesis that their most recent common ancestor was nonautonomous. The region compared corresponds to that used to calculate synonymous distances described above (see Appendix, Figure 4). Stop codons that occurred were not considered in the calculations. Estimates of the numbers of synonymous and nonsynonymous sites were obtained, and substitutions were classified using the unweighted pathways method (NEI and GOJOBORI 1986).

RESULTS

Partial characterization of PgAc-L2: The PgAc-L2 sequence has been submitted to GenBank (accession number U17068). Although we present only a partial characterization of this element, it is apparent that it shares some striking similarities to the *PgAc*-L1 element. The sequenced region **of** PgAc-L2 is 2337 bp long. The relationships between $PgAc-L2$, $PgAc-L1$ and $ZmAc$ are shown in Figure 1 and the Appendix (Figure **4).** The regions **of** identity between PgAc-L2 and ZmAc designated in Figure 1 are based on a BESTFIT alignment. The region **of** identity shared between these sequences is almost equivalent to that shared between $PgAc-L1$ and **ZmAc,** with the exception that PgAc-L2 has an additional 26 bp of identity at the 5"end and an additional 143 bp of identity at the 3"end. The percent identity

FIGURE 1.-Relationships between the *PgAc-L*, *PgDs* and $ZmAc$ elements. The presence and size of the regions of identity were determined using the BESTFIT algorithm. Regions A, B and C indicate partitions used in the heterogeneity analyses. Start, major transcription start site; PolyA, poly(A) addition site; **B**, $ZmAc$ exons; \Box , $ZmAc$ introns; \Box , $>60\%$ identity to $ZmAc$; \mathbb{Z}_2 , $>60\%$ identity to $PgAc-L1$; \mathbb{Z}_2 , $>64\%$ identity to $PgDsI$; \rightarrow , <42% identity; $\blacktriangleright \blacktriangleleft$, inverted terminal repeats.

to $ZmAc$ for this region is $\sim 70\%$, an almost identical value to that between PgAc-L1 and ZmAc.

The identity between the **two** PgAc-L elements is \sim 78%, which spans the entire sequence of PgAc-L2. There are a total of seven insertion or deletion events, six of which occur within 150 bp of the limits of the PgAc-L2 sequence. The likelihood that the PgAc-L2 element might be functional is precluded by the occurrence of several stop codons and a frameshift mutation in the region that corresponds to the ZmAc open reading frame. In addition, a cluster of deletions relative to ZmAc occurs around the second intron. The deletions are similar to those reported for PgAc-L1 (MACRAE *et al.* 1994).

Features of the *PgDs* **elements:** The sequences for PgDs1 and PgDs2 have been submitted to GenBank (accession numbers U17069-U17070). The stringent amplification conditions strongly suggest that the PgDs elements have relatively intact inverted terminal repeats and thus may retain the potential for transposition when catalyzed by the transposase from an autonomous element. The PgDsl element is 868 bp in length, and PgDs2 is 719 bp in length (these lengths do not include the primers). The relationships between these elements and ZmAc are illustrated in Figure 1. Although the length of the regions assumed to be homologous and the degree of sequence identity relative to ZmAc differs among PgDs elements, the terminal regions of both of these elements are similar to the flanking regions of ZmAc. The latter result is not surprising because the ZmAcF and ZmAcR primers would not amplify all possible types of elements equally, given the inclusion of internal ZmAcsequence in these primers. The central regions of the PgDs elements have very low identity to $ZmAc$ or to each other. The presence of regions with no obvious relationship to ZmAcin nonautonomous elements has been previously reported (see FEDOROFF 1989; MACRAE et al. 1994). The relationships between the PgDs and $ZmAc$ elements indicate that these elements are probably deletion-derivatives of Ac .

An Ac-like sequence from *B. multiplex:* Amplified products were obtained from *B. multiplex.* One of the products (data available on request) had regions of identity to ZmAc that were consistent with those observed for the *PgDs* elements. The total size of the latter product was \sim 760 bp. The region directly internal to the 5'-inverted terminal repeat had $\sim 63.8\%$ identity for 108 bp, whereas the region directly internal to the 3'-inverted terminal repeat had \sim 55.2% identity for 211 bp. The genetic distance between these two combined regions to the $ZmAc$ element is ~ 0.7204 . The size and pattern of sequence identity to ZmAc suggest that this element is a deletion-derivative of Ac .

Ac-lie sequences from pearl millet are statistically heterogeneous: Ectopic exchange between nonidentical transposable-element homologues may result in elements with genealogically distinct regions. The poten-

TABLE **2**

Log-likelihood ratio heterogeneity test for distance heterogeneity between three unequal regions in the PgAeL1, PgAeL2 and ZmAc comparisons

Pair-wise comparison	p per region			
	A	в	C	LR-statistic
$PgAc-L1$ vs. $PgAc-L2$	0.4127	0.2686	0.3989	$20.80*$
$PgAc-L1$ vs. $ZmAc$	0.5818	0.4202	0.7054	53.69*
$PgAc-L2$ vs. $ZmAc$	0.5254	0.4000	0.6791	44.86*

Regions A and C are the terminal 305 bp **of** the compared aligned sequences. Region A has $ZmAc$ coordinates of 1328-1594, and region **C** has ZmAcccordinates of 3409-3708. The central region B is 1830 bp (ZmAc coordinates 1595-3408). *p* is an estimate of the number of substitutions per site; LRstatistic is distributed chi square with 2 d.f. * Significant at the 1% level.

tial occurrence of ectopic exchange can therefore be evaluated by testing for distance heterogeneity among regions between elements.

The aligned sequences in the comparisons involving PgAc-L1, PgAc-L2 and ZmAc were partitioned into three unequal regions: region **A** of 305 bp, region B of 1830 bp and region C of 305 bp. The results of the likelihood ratio heterogeneity tests (Table 2) indicate that all three pair-wise comparisons are significant. lnterestingly, there is no significant difference between the distances for regions **A** and **C** in the PgAc-L1 to PgAc-L2 comparison (result not shown). The results from these tests indicate that the PgAc-L elements have a nonuniform distribution of substitutions relative both to each other and to *ZmAc.* Major heterogeneity is also obvious from the comparison between $PgAc-L1$ and $ZmAc$, as indicated by the regions of very low identity.

Relationships between the pearl millet elements: The alignments between the $PgAc-L$ elements and between the PgDs elements indicate that the pairs of elements within these groups are most closely related to each other. The distances between these elements based on the 5'-region are presented in Table 3. Synonymous distances calculated from the central regions in the PgAc-L to ZmAc comparisons are shown in Table **4.**

A test was performed to determine whether the most recent common ancestor of region B from the PgAc-L elements was nonautonomous. Because we expect

TABLE **3**

DNA distance matrix between the aligned *5'* **ends of PgDs, PgAc-L1 and ZmAc elements**

	PgDs1	PgDs2	$PgAc-L1$	ZmAc
PgDs1		0.4660	0.7937	0.6357
PgDs2			0.6867	0.5104
$PgAc-L1$				0.7278
ZmAc				

Matrix **of synonymous distance estimates (above the diagonal) and their standard errors (below the diagonal) between the PgAc-L and** *ZmAc* **elements**

The region tested corresponds roughly to the central region (see text and **APPENDIX,** Figure **4).**

replacement substitutions to be unconstrained between nonautonomous elements, substitutions should be uniformly distributed between synonymous and nonsynonymous sites. Alternatively, an excess of synonymous substitutions implies that the coding regions of the most recent common ancestor were subject to selective constraint and that the ancestor may have been autonomous for a significant period of time before becoming nonautonomous. When a Chi-square test is performed on the occurrence of substitutions between synonymous and nonsynonymous sites for region B of the *PgAc-L* elements, there is a highly significant excess, roughly fivefold, of synonymous substitutions (result not shown).

DISCUSSION

The pattern of relationships revealed through the analyses of the pearl millet and maize elements show that there is significant distance heterogeneity within individual pearl millet elements. Heterogeneity among regions is consistent with the occurrence of ectopic exchange. However, the data do not exclude several alternative mechanisms that may also have contributed to the observed heterogeneity in some of these comparisons.

One alternative mechanism that could contribute to heterogeneity among regions of the *PgAc-L/ZmAc* elements is selection. For example, the large proportion of intron sites ($\approx 60\%$) in region C may account for the higher divergence of this region in some comparisons, owing to weak selective constraints in intron regions. In contrast, region **A** is exclusively coding but has the same level of divergence as region C between the *PgAc-L* elements. Assuming that the *PgAc-L* elements diverged from an autonomous *Ac* element, as suggested by the constraint on missense substitutions, we expect regions **A** and B to have equivalent divergence. This expectation is not supported by the data (Table 2). This result could arise from either reduced selective constraint for region **A** in autonomous elements or ectopic exchange between elements with different levels of divergence. We are unable to eliminate either of these hypotheses as a partial explanation for the heterogeneity across regions.

There is a more extreme form of heterogeneity represented by the very low sequence identity in the intervals separating the 5 '- and **3** "blocks from the central block in *PgAc-L1* depicted in Figure 1. The remarkable feature of this pattern is the positional conservation of the *⁵*'- and **3** "blocks relative *to ZmAc.* Such conservation requires either an insertion of precisely the correct length, or more likely, an ectopic exchange event. Ectopic exchange between elements of different levels of divergence appear to be the most likely cause of the extreme heterogeneity of *PgAc-L1.*

It is of interest to estimate the age of the relationships between the pearl millet and *ZmAc* elements. The estimation of divergence time is complicated by the heterogeneity discussed above, however, using the synonymous substitution rate estimated from *ADHl* comparisons (**GAUT** and CLECG 1991) and the synonymous distances calculated for the *PgAc-L,* it appears that region B of the two *PgAc-L* elements diverged from each other \sim 55 mya (\pm 5 my). This result dates their most recent common ancestor as occurring before the divergence of the Zea and Pennisetum lineages (about 25- **30** mya) . The divergence of the *PgAc-L* elements from *ZmAc* cannot be estimated with any confidence because all synonymous sites are saturated. In addition, divergence times between the *PgDs* and other elements are unreliable given the small regions of identity. Nevertheless, the data suggest that the *Ac/ Ds* family is an ancient component of plant genomes.

The finding of an Ac-like element in Bambusa further supports the ancient origins of the *Ac/Ds* family. **An** estimate of the specific divergence time between this element and *ZmAc* would be unreliable because of the small regions of identity. Yet, it is clear that the Bambusa sequence is considerably diverged from *ZmAc* and to a greater extent than either of the *PgDs* elements.

The evidence from the *PgAc-L1 /ZmAc* comparison, with equivocal support from the remaining *PgAc-L/ ZmAc* comparisons, suggests that recombination or gene conversion can take place between nonidentical transposable-element homologues. This is consistent with the hypothesis that ectopic exchange can generate nonautonomous elements. The data also suggest that blocks of very low identity found in nonautonomous elements may be the "bones" of ancient ectopic exchange events.

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APPENDIX

Alignments Between Ac-like Elements

Bequencee

FIGURE 2,"Alignment **of** 5"regions **of** the *PgDs,* **PgAc-L1** and **ZmAc** elements. **ZmAc** coordinates **35-232.** Nucleotides that differ from **ZmAc** are given. **A** period indicates identity to **ZmAc,** a dash indicates a gap in the alignment.

Evolution of Ac/ Ds Transposons

FIGURE 3.-Alignment of 3"regions of the PgDs, PgAc-L1 and *ZmAc* **elements.** *ZmAc* **coordinates 4326-4445. Nucleotides that differ from** *ZmAc* **are given. A period indicates identity to** *ZmAc;* **a dash indicates a gap in the alignment.**

FIGURE 4. \rightarrow Alignment of PgAc-L1, PgAc-L2 and ZmAc elements. ¹, partition boundaries; ⁰, region selected for reading frame $\emph{comparison.}$ The partitions correspond to regions A, B and C with $ZmAc$ coordinates, respectively: $1328-1594$, $1595-3408$, $3409-$ 3708. Nucleotides that differ from *ZmAc* are given. A period indicates identity to *ZmAc*; a dash indicates a gap in the alignment.

FIGURE $4.$ *-Continued*

FIGURE 4.- *Continued*