

# The biosynthetic gene cluster of the maytansinoid antitumor agent ansamitocin from *Actinosynnema pretiosum*

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Maytansinoids are potent antitumor agents found in plants and microorganisms. To elucidate their biosynthesis at the biochemical and genetic level and to set the stage for their structure modification through genetic engineering, we have cloned two gene clusters required for the biosynthesis of the maytansinoid, ansamitocin, from a cosmid library of *Actinosynnema pretiosum* ssp. *auranticum* ATCC 31565. This is a rare case in which the genes involved in the formation of a secondary metabolite are dispersed in separate regions in an Actinomycete. A set of genes, *asm22–24*, *asm43–45*, and *asm47*, was identified for the biosynthesis of the starter unit, 3-amino-5-hydroxybenzoic acid (AHBA). Remarkably, there are two AHBA synthase gene homologues, which may have different functions in AHBA formation. Four type I polyketide synthase genes, *asmA–D*, followed by the downstream *asm9*, together encode eight homologous sets of enzyme activities (modules), each catalyzing a specific round of chain initiation, elongation, or termination steps, which assemble the ansamitocin polyketide backbone. Another set of genes, *asm13–17*, encodes the formation of an unusual “methoxymalonate” polyketide chain extension unit that, notably, seems to be synthesized on a dedicated acyl carrier protein rather than as a CoA thioester. Additional ORFs are involved in postsynthetic modifications of the initial polyketide synthase product, which include methylations, an epoxidation, an aromatic chlorination, and the introduction of acyl and carbamoyl groups. Tentative functions of several *asm* genes were confirmed by inactivation and heterologous expression.

The maytansinoids (Fig. 1) are extraordinarily potent antitumor agents that were originally isolated from members of the higher plant families *Celastraceae*, *Rhamnaceae*, and *Euphorbiaceae*, as well as some mosses, under the auspices of the U.S. National Cancer Institute (1–5). They are 19-membered macrocyclic lactams related to ansamycin antibiotics of microbial origin, such as rifamycin B and geldanamycin (6). The similarity stimulated a search for maytansinoid-producing microorganisms, leading to the isolation of the ansamitocins (Fig. 1) from the Actinomycete *Actinosynnema pretiosum* ssp. *pretiosum* and a mutant strain *Actinosynnema pretiosum* ssp. *auranticum* (7, 8). Both the structures and antitumor activity of the ansamitocins are similar to those of the maytansinoids from plant sources. A number of structural variations are encountered naturally, including different ester side chains at C-3, and the presence or absence of the 4,5-epoxide, the *N*-methyl group, the halogen, and oxygens at C-15 and at the C-14 methyl group (9).

Efforts to develop maytansine into a clinically useful anticancer drug proved disappointing in phase II clinical trials (10), probably because of dose-limiting toxicity in humans, but there is continuing interest in the development of conjugates of maytansinoids for targeted delivery (11, 12). Extensive work by Kupchan *et al.* (13) and at the Takeda Company (9, 10, 14, 15) to define structure-activity relationships among maytansinoids relied entirely on semisynthesis from the available natural products. For this reason, many backbone alterations of the parent molecular structure could thus far not be explored.

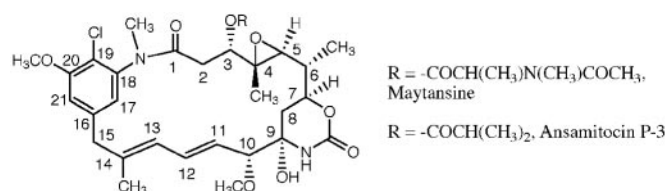


Fig. 1. Structures of maytansinoids.

Based on the results of feeding experiments with <sup>13</sup>C- and <sup>14</sup>C-labeled precursors (16, 17), the biosynthesis of the maytansinoids can be predicted to involve the assembly of the carbon framework on a type I modular polyketide synthase (PKS) from 3-amino-5-hydroxybenzoic acid (AHBA) as the starter unit. Chain extension proceeds by incorporation of three propionates, three acetates, and an unusual hydroxylated two-carbon extender unit (“glycolate” unit) to give a 19-membered macrocyclic lactam. This initial proansamitocin then undergoes a series of post-PKS modifications, which introduce three methyl groups, a halogen, a carbamoyl group, an epoxide, and an ester side chain. In the present article, we report the cloning, sequencing, and characterization of the ansamitocin biosynthetic gene cluster (*asm*) from *A. pretiosum*. This work opens the way for a detailed analysis of ansamitocin biosynthesis at the genetic and biochemical level and sets the stage for the genetic engineering of chemically inaccessible, backbone-modified maytansinoid analogs.

## Materials and Methods

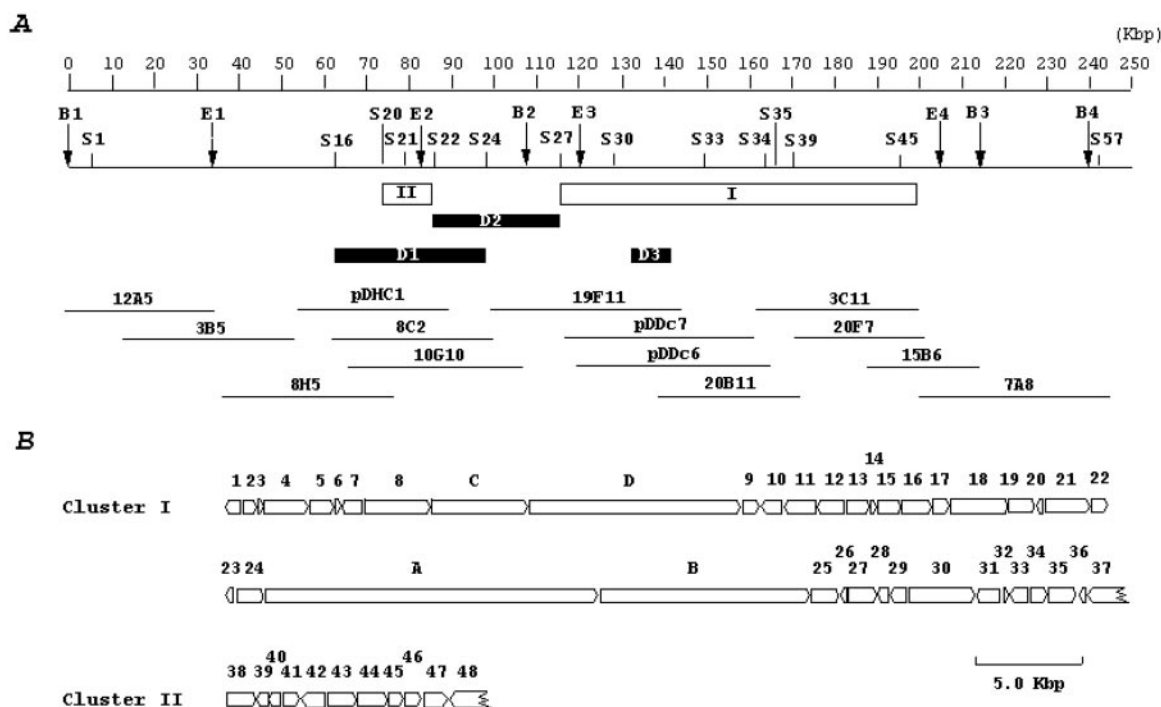
**Materials and General Methods.** *A. pretiosum* ssp. *auranticum* 31565 was obtained from the American Type Culture Collection. For ansamitocin production, the strain was cultivated in yeast/malt/glucose (YMG) medium containing 0.4% yeast extract, 1% malt extract, and 0.4% glucose at pH 7.3. *Escherichia coli* strains XL1-Blue MRF' (Stratagene) and ET12567/pUZ8002 (18) were used throughout the study as a cloning host and transient host for conjugation sets, respectively. Conjugations between *E. coli* and *A. pretiosum* were performed as described by Kieser *et al.* (19). The freshly cultured *A. pretiosum* mycelia and the overnight-grown *E. coli* cells were mixed and plated on YMG agar plates supplemented with 10 mM MgCl<sub>2</sub>. After incubation at 37°C for 16 h, the plates were overlaid with 1 ml of deionized water containing 1 mg of nalidixic acid (Sigma) and 10 μl of a dimethyl sulfoxide solution of

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Abbreviations: PKS, polyketide synthase; AHBA, 3-amino-5-hydroxybenzoic acid; ACP, acyl carrier protein; AT, acyltransferase; DAHP, 3,4-dideoxy-4-amino-D-arabino-heptulosonate 7-phosphates.

Data deposition: The sequences reported in this paper have been deposited in the GenBank database (accession nos. AF453501 and U33059).

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**Fig. 2.** Organization of the ansamitocin biosynthetic gene cluster. (A) Restriction map of the genomic region and overlapping inserts of 14 cosmid clones used for mapping and sequencing. *Bgl*II (B1–4), *Eco*RI (E1–4), and *Sac*I (S1–57) restriction sites are indicated on the map. Clusters I and II, which have been completely sequenced, are indicated by rectangles. The regions D1, D2, and D3 were deleted in the mutants HGF056, HGF057, and HGF051, respectively. (B) The direction of transcription and the relative sizes of the ORFs deduced from analysis of the nucleotide sequence in clusters I and II. Letters or numbers above the arrows relate to ORFs and *asm* gene products listed in Table 2.

thiostrepton (50 mg/ml; Sigma). *A. pretiosum* conjugant colonies were selected by further incubation at 28°C for 5–7 days. *A. pretiosum* protoplasts were prepared in P buffer (19) containing lysozyme (10 mg/ml; Sigma) at 37°C for 1 h. The polyethylene glycol-based transformation (19) was carried out with denatured plasmid DNA. Additional details regarding methods are published as supporting information on the PNAS web site, www.pnas.org.

**Vectors, DNA Manipulation, and Cosmid Library Construction.** *A. pretiosum* ssp. *auranticum* ATCC 31565 chromosomal DNA was partially digested with *Sau*3AI, dephosphorylated and ligated into SuperCos 1 (Stratagene), pretreated with *Xba*I, dephosphorylated, and restricted with *Bam*HI. Packaging the ligated mixture with Gigapack III Gold (Stratagene) and transducing into *E. coli* SURE (Stratagene) generated the genomic library. The entire *asm* gene cluster was obtained through sequential chromosome walking to extend the primary cosmid clones containing the *rifK* homologues.

***asm* Gene Inactivations.** A 1.1-kbp DNA fragment of pIJ101 carrying the *tsr* gene for thiostrepton resistance (21) and the 0.7-kbp RK2 replication *oriT* origin (22) were routinely used as the selection marker and for gene-disruption constructs. The target genes or DNA fragments containing the regions to be deleted (Fig. 2A) were D1 deletion (S16–24)—the cosmid 8C2 was cut with *Sac*I and ligated, followed by insertion of the *tsr-oriT* cassette from pHGF9027 (L.B., T.-W.Y., and H.G.F., unpublished data) to create pHGF9029; D2 deletion (S22–27)—the 4.6-kbp *Eco*RI (no. 2)-*Sac*I (no. 22) and 5.2-kbp *Sac*I (no. 27)-*Eco*RI (no. 3) DNA fragments were ligated with the *Eco*RI-pretreated pDH5 (23) to create pHGF9011; and D3 deletion (*asmB*)—to truncate *asmB*, the 2.5-kbp *Kpn*I-*Eco*RI and 3.5-kbp *Eco*RI-*Hind*III inserts that contain the 5' and 3' end sequences of *asmB* (Fig. 4, which is published as supporting information on the PNAS web site, www.pnas.org), which were recovered from a set of subclones of pDDc7, religated

to generate a 6.0-kbp *Kpn*I-*Hind*III fragment carrying the truncated *asmB* in which the internal 2,923-aa residues were deleted and replaced by five amino acids, P-S-N-S-I, which were introduced with the cloning linker, 5'-CCA-TCG-AAT-TCG-ATC-3' and cloned into pDH5 pretreated with *Kpn*I/*Hind*III. The *oriT* fragment was further added to the resulting clone to create pHGF9001. All of the constructs, pHGF9001, -9027, and -9029 were delivered into *A. pretiosum* by either polyethylene glycol-based transformation or conjugation with *E. coli*. The thiostrepton-resistant recombinants resulting from the homologous recombination between the delivered DNA vector and the wild-type *A. pretiosum* were selected, transferred to TSB broth (Difco) for three more rounds of relaxed cultivation, and screened for thiostrepton-sensitive recombinants derived from a second crossover event. Plasmid integrations into the chromosome as well as the thiostrepton-sensitive recombinants were confirmed by Southern blot analysis of total genomic DNA.

**Constructs for Expression of the *asmA* and *asmAB* Genes in *Streptomyces coelicolor*.** The N-terminal 383 amino acids of the *asmA*-coding region were PCR-amplified with sense primer MAY003*Pac*I, 5'-CATCGATTAATTAACGGAGAGGC-CATATGCTGCGAAGCGACCTGA TCCGTC-3', and anti-sense primer MAY004*Kpn*I, 5'-TGCGGTACCAGCCGTC-GCGCA GC-3' (restriction sites are underlined in the primers), using pDDc7 as a template. The entire *asmA* was reassembled by ligating the 1.2-kbp *Pac*I/*Kpn*I-restricted PCR product with the 12.9-kbp *Kpn*I/*Eco*RI fragment carrying the C-terminal *asmA* from pDDc7 and cloned downstream of the *pacI* promoter in *Pac*I/*Eco*RI-restricted pHGF7505 (24) to yield pHGF7544. The 15.8-kbp *Hind*III/*Eco*RI insert carrying the *asmA* and *actII-orf4* regulatory genes from pHGF7544 was relocated and replaced the 9.4-kbp *Hind*III/*Eco*RI insert carrying the AHBA synthesis genes in the *E. coli-Streptomyces* bifunctional plasmid pHGF7543, a pHGF7612 (24) derivative in which the *tsr* gene has been inactivated

**Table 1. *A. pretiosum* mutants**

Strain	Mutant characteristic	Ansamitocin P-3 production	Ansamitocin derivatives accumulated	Ref.
HGF051	<i>asmB</i> deletion	No	No	This study
HGF052	<i>asm19</i> deletion	No	<i>N</i> -desmethyl-desepoxy-maytansinol	28
HGF053	<i>asm15: aac(3)IV</i>	No	10-Desmethoxyansamitocin P-3	44
HGF054	<i>asm12: aac(3)IV</i>	No	19-Deschloro-ansamitocins	*
HGF056	Region D1 deletion	No	No	This study
HGF057	Region D2 deletion	Yes	Yes	This study

\*L.B., P. Spittel, S.J.M., S.T., T.-W.Y., and H.G.F., unpublished data.

by the insertion of an apramycin-resistance *aac(3)IV* gene (25) to yield pHGF7545. With the same strategy, pHGF7547 was constructed to assemble the entire *asmAB* by replacing the 12.9-kbp *KpnI/EcoRI* insert of pHGF7545 with the 22.1-kbp *KpnI/EcoRI* fragment carrying the C-terminal *asmA* and *asmB* from pDDc7.

**Ansamitocin Detection and Analysis.** Ansamitocins and related compounds were extracted from the culture broth with ethyl acetate and analyzed by bioassay or isolated by HPLC (Beckman System Gold, C<sub>18</sub> reverse-phase column, MeOH/water gradient, diode array detector at  $\lambda = 234$  and 280 nm) and analyzed by electrospray MS (Bruker Esquire ion trap mass spectrometer, positive ion mode). Inhibitory activity was assayed against the growth of *Penicillium avellaneum*. Liquid chromatography (LC) MS analyses were carried out with a Shimadzu LC-10AD pump connected to a Micromass (Manchester, U.K.) Quattro II mass spectrometer.

## Results

**Identification and Cloning of the Ansamitocin Biosynthetic Gene Cluster.** Heterologous hybridization was used to identify genes for AHBA and ansamitocin biosynthesis in *A. pretiosum* ssp. *auranticum* ATCC 31565. Initial Southern blot analysis with the *rifK* gene, encoding the rifamycin AHBA synthase from *Amycolatopsis mediterranei* S699 (26), revealed two separate *rifK* homologous DNA fragments in the genome. A total of 250 kbp of contiguous DNA was cloned and mapped with restriction enzymes *Bgl*II, *Eco*RI, and *Sac*I (S), locating the *rifK* homologues at two regions, S20–22 and S33–34 respectively (Fig. 2A), 68 kbp apart from each other. Homologues of five other AHBA biosynthesis genes from the rifamycin gene cluster (*rif*) (24, 27) were also present and mapped at fragments S20–21 (*rifG*, *-L*, and *-M* homologues) and S33–34 (*rifJ* and *-N* homologues).

Two gene disruptions were carried out to address the essential role of the AHBA gene homologues and to define the boundary of the biosynthetic gene cluster. The mutant strain HGF056, in which a 35.3-kbp *Sac*I DNA fragment (S16–24) carrying the *rifK-M* and *-G* homologues had been deleted from the genome (Fig. 2A), was no longer able to produce any ansamitocins, but production could be restored by supplementation with AHBA (Table 1). There was no significant effect on ansamitocin production in the mutant HGF057, which carried a 30.2-kbp *Sac*I DNA fragment deletion (S22–27) in the region between the two *rifK* homologues (Fig. 2A). Flanking the second *rifK* homologue and its associated AHBA synthesis genes (S33–34), two large contiguous regions, spanning S30–35 and S39–45, showed strong signals of hybridization to type I PKS probes. Between the two PKS regions, random sequencing detected homologues of a carbamoyl transferase (29), an acyltransferase (AT) (30), and a halogenase (31).

The complete nucleotide sequence of two clusters within the mapped 250-kbp region was then determined and revealed the ansamitocin biosynthetic genes. Clusters I (GenBank accession no. AF453501) and II (GenBank accession no. U33059) were shown to contain between them 50 complete and two partial ORFs that span 96 kbp (Fig. 2A and B). The genes sequenced and deduced functions of their products are listed in Table 2.

**The *asm* PKS Genes.** Four large ORFs, *asmA–D*, encode the loading domain and seven chain-extension modules of a multifunctional PKS. *AsmA* (483.1 kDa) contains a chain-initiation domain, consisting of an acyl carrier protein (ACP) and an adenyltransferase (32), presumably required for the activation of the starter unit, AHBA, and the first two expected modules for ansamitocin polyketide chain extension. *AsmB* (313.2 kDa), *AsmC* (164.6 kDa), and *AsmD* (339.3 kDa) contain the next five modules required to complete the polyketide portion of ansamitocin. The AT domain of module 3 of *AsmB*, which is predicted to recognize the “glycolate” extender unit, shows no unusual sequence signature that would distinguish it from other AT domains. Located immediately downstream of *asmD* is *asm9*, which encodes a protein with a high degree of similarity to *RifF*, the chain-terminating, cyclizing enzyme of rifamycin biosynthesis (33, 34). It is proposed that the C terminus of the fully extended polyketide chain is transferred from *AsmD* to the conserved Cys-73 on the *Asm9* protein, followed by intramolecular amide bond formation with the aromatic amino group to release a 19-membered macrocyclic lactam, proansamitocin (Fig. 3).

To probe the function of the identified PKS genes in ansamitocin biosynthesis, we constructed the mutant HGF051, in which 2,923 amino acid residues were deleted from the coding region of *asmB* and replaced by five amino acids, P-S-N-S-I (see *Materials and Methods*). The mutant HGF051 failed to produce ansamitocin P-3 or any known related compounds based on bioassay, HPLC, and liquid chromatography MS analysis. The functional competence of the *asm* PKS genes was probed further by heterologous expression under the control of the bidirectional *actI/actIII* promoters and the *actII-ORF4* regulator in *S. coelicolor* YU105 (24). The cotransformants of pHGF7612 (24), carrying all of the AHBA synthesis genes from the *rif* cluster, and pHGF7545, carrying *asmA*, accumulated no polyketide-related intermediate. Notably, however, coexpression of the AHBA genes with the *asmAB* cassette, pHGF7547, gave a triketide, isolated as its *N*-acetyl metabolite, with a structure matching that expected for the product assembled by the *AsmA* protein alone (Fig. 3).

**The *asm* AHBA Biosynthesis Genes.** Seven AHBA biosynthetic genes similar to those cloned from the rifamycin, mitomycin, ansatrienin, and naphthomycin clusters (27, 35, 36) were identified in two groups (Fig. 2B). *asm22*, *asm23*, and *asm24* were found in close proximity to *asmAB*. *asm24*, one of the two *rifK* homologues, is located upstream of *asmA* and may be cotranscribed with the *asmAB* PKS genes. Its product presumably catalyzes the dehydration of 5-deoxy-5-amino-3-dehydroshikimate (aminoDHS) to AHBA (26). *asm23*, located upstream of *asm24* and transcribed in the opposite direction, encodes a type II dehydroquinone dehydratase homologous to *RifJ*, which catalyzes the dehydration of 5-deoxy-5-amino-3-dehydroquinone (aminoDHQ) to aminoDHS (24). *Asm22*, related to *MitS* and *RifN* (24, 27, 35), shows significant homology with a bacterial glucose kinase involved in glucose repression (37, 38). The genes *asm43–47* are apparently organized into a single operon. With the exception of *asm46*, which shows no sequence similarity with any known gene, their deduced proteins exhibit high homology



**Table 2. Deduced functions of ORFs in the ansamitocin biosynthetic gene cluster**

Polypeptide	Amino acids	Sequence similarity	Proposed function [Function of homologue]	Polypeptide	Amino acids	Sequence similarity	Proposed function [Function of homologue]
AsmA	4684		Polyketide synthase	Asm19	378	MdmB <i>S. mycarofaciens</i>	3-O-acyltransferase
Loading		RifA <i>A. mediterranei</i> S699	ADE ACP	Asm20	115	ORFX <i>S. meliloti</i>	Unknown
Module 1		RapA <i>S. hygroscopicus</i> NRRL 5491	KS AT DH ER KR ACP	Asm21	668	NolN <i>Rhizobium</i> sp. NGR234	O-carbamoyltransferase
Module 2		PimS1 <i>S. natalensis</i>	KS AT DH KR ACP	Asm22	251	MtS <i>S. lavandulae</i>	Kinase
AsmB	3073			Asm23	144	RifJ <i>A. mediterranei</i> S699	aDHO dehydratase
Module 3		RifB <i>A. mediterranei</i> S699	KS AT DH KR ACP	Asm24	388	RifK <i>A. mediterranei</i> S699	AHBA synthase
Module 4		PimS1 <i>S. natalensis</i>	KS AT ACP	Asm25	402	OleG2 <i>S. antibioticus</i>	Glycosyltransferase
AsmC	1589			Asm26	80	NcnC <i>S. arenae</i>	[Acyl carrier protein]
Module 5		RifA <i>A. mediterranei</i> S699	KS AT KR ACP	Asm27	341	YxmA <i>B. subtilis</i>	[Glucose 1-dehydrogenase]
AsmD	3324			Asm28	154		Unknown
Module 6		RifB <i>A. mediterranei</i> S699	KS AT DH KR ACP	Asm29	193	Bell1 <i>S. meliloti</i> 102F34	[Transcriptional regulator]
Module 7		PimS1 <i>S. natalensis</i>	KS AT KR ACP	Asm30	1005	YruJ <i>B. subtilis</i>	Cytochrome P450
Asm01	241	ORF4 <i>S. coelicolor</i> A3(2)	[Quinone oxidoreductase]	Asm31	348	RpoT <i>M. leprae</i>	[Sigma factor]
Asm02	214	NonG <i>S. griseus</i> subsp. <i>griseus</i>	[Transcriptional repressor]	Asm32	67		Unknown
Asm03	88		Unknown	Asm33	190	EC 1.5.1.3 <i>T. maritima</i>	[Dihydrofolate reductase]
Asm04	748	Slr2019 <i>Synechocystis</i> sp.	[ABC transporter]	Asm34	204	SCD95A.38c <i>S. coelicolor</i> A3(2)	[Transcriptional regulator]
Asm05	416	PatA <i>Synechocystis</i> sp.	[Na/H antiporter]	Asm35	392	RP698 <i>R. prowazekii</i>	[Bicyclomycin resistance]
Asm06	97		Unknown	Asm36	105		Unknown
Asm07	348	EC 2.1.1.38 <i>S. arulatus</i>	Methyltransferase	Asm37	558partial		Unknown
Asm08	1117		Transcriptional regulator	Asm38	426	LysA <i>M. tuberculosis</i>	[DAP-decarboxylase]
Asm09	259	RifF <i>A. mediterranei</i> S699	Amide synthase	Asm39	144	AbaA-ORFA <i>S. coelicolor</i>	[Regulator]
Asm10	294	TcmP <i>S. glaucoscens</i>	Methyltransferase	Asm40	128	SCH5.12c <i>S. coelicolor</i> A3(2)	[Sigma factor antagonist]
Asm11	480	MtmOII <i>S. argillaceus</i>	Oxygenase	Asm41	190	SCJ1.02c <i>S. coelicolor</i> A3(2)	Glycosyl hydrolase
Asm12	441	PtA <i>P. fluorescens</i>	Halogenase	Asm42	336	EpiH <i>S. epidermidis</i>	[Transmembrane protein]
Asm13	341	EC 1.1.1.157 <i>E. coli</i>	[3-Hydroxyacyl-CoA Dehydrogenase]	Asm43	388	RifK <i>A. mediterranei</i> S699	Transaminase [AHBA synthase]
Asm14	90	Dlc <i>S. mutans</i>	ACP [D-alanyl carrier protein]	Asm44	387	RifL <i>A. mediterranei</i> S699	Oxidoreductase
Asm15	357	I30A.22c <i>S. coelicolor</i> A3(2)	[Acyl-CoA dehydrogenase]	Asm45	212	RifM <i>A. mediterranei</i> S699	Phosphatase
Asm16	437	EC 1.2.99.2 <i>C. thermoceticum</i>	Unknown	Asm46	266		Unknown
Asm17	167	MdmC <i>S. mycarofaciens</i>	[O dehydrogenase]	Asm47	342	RifG <i>A. mediterranei</i> S699	aDHO synthase
Asm18	913	SnoA <i>S. nogalater</i>	[Transcriptional activator]	Asm48	518partial	MalT <i>E. coli</i>	[Transcriptional activator]

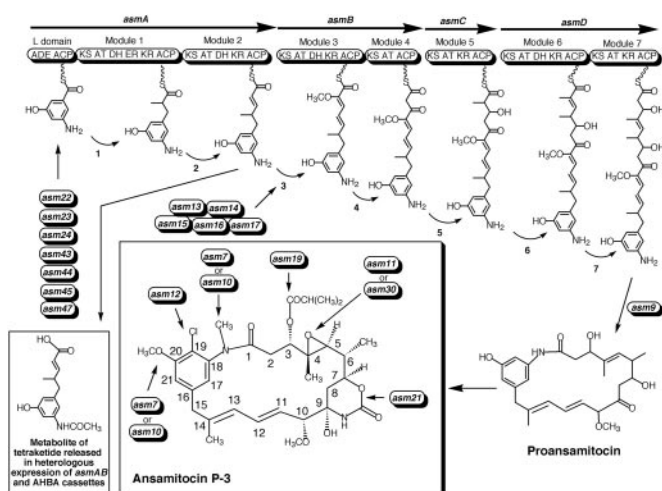
ADE, carboxylic acid: ACP ligase; KS,  $\beta$ -ketoacyl-ACP synthase; DH,  $\beta$ -hydroxyacyl-thioester dehydratase; ER, enoyl reductase; KR,  $\beta$ -ketoacyl-ACP reductase.

with known products of AHBA biosynthesis genes. In addition to the second AHBA synthase, Asm43 ~ RifK, they include Asm44 ~ RifL, related to a class of sugar oxidoreductases (39), Asm45 ~ RifM, similar to a phosphoglycolate phosphatase involved in glycolate oxidation (40), and Asm47 ~ RifG, homologous to dehydroquinone synthases of the shikimate pathway, respectively. Interestingly, both AHBA synthase genes were shown to be functionally competent: *asm43* by expression in *E. coli* and demonstration of AHBA synthase activity and *asm24* by complementation of a *rifK* mutant of *A. mediterranei* to restore rifamycin production (D.H., T.-W.Y., E.L. and H.G.F., unpublished data.). Surprisingly, despite extensive sequencing and Southern hybridization analysis, the 3,4-dideoxy-4-amino-D-arabino-heptulosonate 7-phosphate

(aminoDAHP) synthase gene corresponding to *rifH* required in the *de novo* AHBA biosynthesis (24) was not found in the cloned 250-kbp DNA region containing the *asm* cluster.

**Genes Involved in the Biosynthesis of the Unusual "Glycolate" Extender Unit.** Four contiguous genes, *asm13–16*, were identified 4.3 kbp downstream of *asmCD-9*. The deduced products of *asm13* and *asm15* show similarities to an NAD<sup>+</sup>-dependent 3-hydroxybutyryl-CoA dehydrogenase and an acyl-CoA dehydrogenase, respectively (41, 42). *asm13* and *asm14* may be translationally coupled as the putative ATG start codon of *asm14* overlaps with the TGA stop codon of *asm13*. The *asm14* product (9.7 kDa) resembles acyl carrier proteins, particularly *D*-alanyl carrier proteins operating in *D*-alanyl-lipoteichoic acid synthesis (43), and has been shown by gene inactivation to be essential for ansamitocin biosynthesis (44). Asm16 is related only to a protein of unknown function encoded by a gene in the fatty acid biosynthetic gene cluster of *Bacillus halodurans* (45).

A mutant, HGF053, in which the *asm15* gene was interrupted by inserting the *aac(3)IV* gene, showed no ansamitocin production, even in the presence of the side chain precursor, isobutyrate (44), ruling out the involvement of *asm15* in the formation of the C-3 ester side chain. However, HPLC, electrospray MS, and NMR analysis revealed the presence of a small amount of 10-desmethoxyansamitocin P-3 in the fermentation of HGF053 (44). Therefore, the *asm13–16* subcluster, possibly additionally including *asm17*, may be responsible for generating the "glycolate" extender unit, which is incorporated at C-9 and C-10 of ansamitocin. *asm17* is probably cotranscribed with *asm13–16* and encodes an *O*-methyltransferase. The biosynthetic gene cluster for FK520, a macrolide also involving "glycolate" extender units, contains a set of five genes, *fkbgHIJK*, which are homologous to *asm13–17* (46). This fact further supports the notion that *asm13–17* are involved in the synthesis of this unusual polyketide chain extension unit.



**Fig. 3.** Domain organization of the *asm* PKS and biosynthetic model for ansamitocin P-3 formation. Each module incorporates the essential KS, AT, and ACP domains, whereas all but one include optional modifying activities (KR, DH, ER). The abbreviations of domain designations are ADE, carboxylic acid: ACP ligase; KS,  $\beta$ -ketoacyl-ACP synthase; DH,  $\beta$ -hydroxyacyl-thioester dehydratase; KR,  $\beta$ -ketoacyl-ACP reductase; ER, enoyl reductase. The putative intermediates in chain-extension cycles and the *asm* genes involved in the various biosynthetic steps are indicated.

**Genes for the Postsynthetic Modification of Proansamitocin.** The *asm* cluster contains candidate genes for all of the steps required to elaborate the final ansamitocin structure from proansamitocin. *asm19* is related to *mdmB* from *Streptomyces mycarofaciens* and *acyA* from *Streptomyces thermotolerans*, which encode macrolide *O*-acyltransferases (30, 47). The functional assignment as an acyl-

transferase attaching the C-3 ester group of ansamitocin was verified by inactivating *asm19* through an internal 549-bp in frame deletion. The mutant, HGF052, produced neither ansamitocin P-3 nor the corresponding alcohol, maytansinol. Instead, it synthesized a compound identified as *N*-desmethyl-desepoxymaytansinol (28), indicating that acylation is not the final step in ansamitocin biosynthesis.

Asm21 is highly similar to the nodulation proteins of *Rhizobium* sp. (29) and *Bradyrhizobium japonicum* (48), as well as the products of *cmcH* of *Streptomyces clavuligerus* and *novN* of *Streptomyces spheroides*, which carry out the *O*-carbamoylation steps in cephamycin and novobiocin biosynthesis (49, 50). Therefore, *asm21* is assigned the putative function of introducing the cyclic carbinolamide group of ansamitocin.

The *asm30* gene product strongly resembles the bifunctional P450-NADPH:P450 reductase P450BM-3, a fatty acid monooxygenase from *Bradyrhizobium megaterium* (51, 52). The heme-P450 and the FMN/FAD-containing reductase domains are linked together on a single 108.6-kDa polypeptide. P450BM-3 performs as a self-sufficient fatty acid hydroxylase, converting lauric, myristic, and palmitic acids to omega-1, -2, and -3 hydroxy analogs. By mechanistic analogy, Asm30 is considered to be responsible for the epoxidation step in ansamitocin formation.

Ansamitocin biosynthesis requires three methylations, of the nitrogen and of the oxygens at C-10 and C-20, all using *S*-adenosyl-L-methionine (AdoMet) as the methyl donor (17). Three AdoMet-dependent methyltransferase genes, *asm7*, *asm10*, and *asm17*, were identified in the *asm* cluster. *asm17*, which is homologous to several *O*-methyltransferase genes (30, 47, 53), because of its linkage to *asm13–16*, is assigned to the *O*-methylation at C-10. Asm7 is related to *Streptomyces* antibiotic biosynthetic *O*-methyltransferases (35, 54, 55) and may be responsible for either the *N*-methylation or the C-20 *O*-methylation. Asm10 has significant similarity to proteins of unknown function from *Mycobacterium tuberculosis* and *S. coelicolor* and shares weak similarity with TcmP, an *O*-methyltransferase involved in tetracenomycin C synthesis in *Streptomyces glaucescens* (56).

The *asm10* gene seems to be polycistronically transcribed with *asm11* and *asm12*. The deduced products of *asm11* and *asm12* show similarity to Cts8 and Cts4 of *Streptomyces aureofaciens*, responsible for tetracycline 6-hydroxylation and ring chlorination, respectively (31). The function of Asm11 is unclear, but it may be an alternative candidate to Asm30 to catalyze the epoxidation at C-4/C-5. The assignment of Asm12 as a halogenase introducing the chlorine at C-19 was confirmed by inactivation of *asm12* to give a mutant, HGF054, which no longer produces ansamitocin P-3 (L.B., P. Spittler, S.J.M., S.T., T.-W.Y., and H.G.F., unpublished work). HGF054 accumulates a series of 19-deschloro-ansamitocin derivatives, rather than a single compound, suggesting that the presence of the halogen is not an absolute requirement for some of the other post-PKS modification reactions.

## Discussion

The strategy for cloning the *asm* gene cluster from *A. pretiosum* relied on earlier work on rifamycin biosynthesis, using the unique *rifK* and other AHBA synthesis genes as probes to identify the *asm* genes. Surprisingly, two *rifK* homologues, located 68 kbp apart, were found in *A. pretiosum*, neither one accompanied by a full set of the genes required for AHBA formation (24). The *rifK* homologue in cluster I is associated with an almost full complement of genes expected for ansamitocin biosynthesis (Fig. 2). These include genes for a complete type I PKS (*asmA–D*) and its downloading enzyme (*asm9*) and candidate genes for all of the predicted downstream processing reactions. In addition, there are several possible regulatory and transport genes, and a glycosyltransferase gene (*asm25*), which together with *asm41* may be part of a glycosylation/deglycosylation excretion system (57).

Absent from cluster I are the *rifL* and *rifM* homologues, *asm44* and *asm45*, encoding an oxidoreductase and a phosphatase, respectively, which are essential for AHBA formation (24), as well as a 5-deoxy-5-amino-3-dehydroquinone synthase gene (*asm47*); these are associated with the second *rifK* homologue in cluster II. It follows that both clusters I and II must be required for ansamitocin formation, and this was confirmed by deletions of the *asmB* gene from cluster I and of a large DNA fragment carrying cluster II, respectively. Because AHBA restores ansamitocin production in the cluster II deletion mutant, HGF056, cluster II contains genes required only for the formation of the starter unit, AHBA. Apparently, the 30 kbp of DNA located between the two clusters carry no genes essential for either ansamitocin formation or growth, because deletion of this region, including parts of *asm37* and *asm38*, in mutant HGF057 caused no discernible phenotypic changes. It is not clear why the *asm* genes are split into two separate clusters; possibly they were at one time present as a single cluster and were later separated by a reorganization of the genome.

There are indications that RifK may have two enzymatic activities, the well-characterized dehydratase activity aromatizing 5-deoxy-5-amino-3-dehydroshikimate (26) and an aminotransferase activity introducing the nitrogen into a carbohydrate precursor of aminoDAHP (24). The presence of two *rifK* homologues in the *asm* gene cluster is consistent with this notion. Although both gene products are functionally competent as AHBA synthases, they may be optimized for different reactions in AHBA biosynthesis, one for introducing the nitrogen and the other for the later aromatization reaction. Interestingly, no *rifH* homologue is present in the *asm* gene cluster. The absence of an aminoDAHP synthase gene has also been reported for the mitomycin C biosynthetic gene cluster from *Streptomyces lavendulae* (35). These findings suggest that the corresponding shikimate pathway enzyme, DAHP synthase, may participate in the formation of aminoDAHP. Indeed, one plant-type DAHP synthase gene has been identified in *A. pretiosum* and shown to not be linked to the *asm* cluster (T.-W.Y. and H.G.F., unpublished data).

The formation of the polyketide backbone of the ansamitocins is catalyzed by four genes of 38 kbp encoding a type I PKS with an aromatic loading domain closely resembling that of the *rif* cluster (27, 58) and seven chain-extension modules. Each module contains the predicted functional domains for chain extension and chain modification, in an arrangement matching that in other type I PKSs analyzed (59), and the modules are arranged colinear with their function in the biosynthetic assembly process (Fig. 3). The PKS genes *asmAB* are separated from *asmCD* by a set of other *asm* genes involved in substrate supply for chain initiation and extension and in downstream processing. The last PKS gene is followed by a gene encoding an amide synthase that is recognized from work on rifamycin as the “downloading” enzyme (33, 36), catalyzing the release of the completed polyketide chain from the PKS and cyclizing it to a macrocyclic lactam. Therefore, AsmABCD + 9 constitute the minimal enzymatic machinery required to catalyze the formation of a hypothetical proansamitocin, the first complete, cyclic polyketide precursor of ansamitocin.

The polyketide synthesized by the *asm* PKS shows two unusual features. One is the incorporation of a rare hydroxylated 2-carbon extender unit in the third chain-extension step. Such “glycolate” units are found in a number of other antibiotics, such as geldanamycin (60), leucomycin (61), soraphen (62), FK520 and FK506 (63), and concanamycin A (64). Their origin is not clear from the various feeding experiments reported (17, 60–65). The C-2 hydroxy group of this extender unit is usually but not always (65) methylated. A subcluster of five genes, *asm13–17*, which form an operon, is evidently responsible for the formation of the substrate for this particular chain-extension step, as has been proposed earlier for the homologous genes *fkbg–k* in the FK520 cluster (46). In recent work, coexpression in *Streptomyces lividans* of *asm13–17* with a cassette of *eryABC*, encoding the erythromycin PKS, in which the



AT6 had been replaced with the hydroxymalonate-specifying AT8 from the FK520 PKS, resulted in the formation of 2-desmethyl-2-methoxy-6-deoxyerythronolide B by incorporation of a methoxymalonate instead of a methylmalonate unit, whereas *asm13-16* in place of *asm13-17* gave no new product (66). Based on the available evidence, it is proposed that the substrate for this unusual chain-extension reaction is 2-methoxymalonyl-ACP, which is synthesized by the *asm13,15-17* gene products on the activated (20) ACP, Asm14. This ACP, which is essential for ansamitocin formation (44), shows greater sequence similarity with ACPs in nonribosomal peptide synthases than in PKSs.

A second unusual feature of the ansamitocin structure is the position of the double bonds in the ansa ring, at the C-11 and C-13 positions rather than at C-10 and C-12 where normal PKS-processing would place them. This double-bond shift may result from an isomerization of the completed polyketide or it may be a part of the polyketide assembly process. The modifying domains in modules 2 and 3 of the *asm* PKS, or in any module, show no evidence for unusual catalytic functions. In particular, DH3 has the sequence signature of a normal dehydratase domain. There are also no obvious candidate genes for such a double-bond isomerization outside the PKS region. The possibility that the double-bond migration is related to the presence of the extra oxygen function at C-10 is ruled out by the formation of 10-desmethoxyansamitocin, with the double bonds in the “abnormal” position, in the *asm15* mutant (44).

The precise structure of the first cyclic product of the *asm* PKS, the hypothetical proansamitocin (Fig. 3), is not certain. It depends on the timing of the double-bond migration and on the substrate for

the “glycolate” chain-extension step. We have embarked on a strategy to express the *asm* PKS genes, together with gene cassettes ensuring precursor supply, in the heterologous host *S. coelicolor*. A first step is the synthesis of a triketide by a transformant expressing an AHBA synthesis cassette together with *asmAB*, but not with *asmA*. The requirement for the presence of the AsmB protein suggests that the product of AsmA can be released from its cognate ACP2 only when it can be transferred to the next  $\beta$ -ketoacyl-ACP synthase but not be processed further because of a lack of substrate (see Fig. 5, which is published as supporting information on the PNAS web site, www.pnas.org). Linking AsmA to a thioesterase domain eliminates the need for AsmB for product release (T.-W.Y. and H.G.F., unpublished data).

The work reported here sets the stage for the detailed biochemical and genetic analysis of the ansamitocin biosynthetic pathway as a step toward genetic engineering of structurally modified maytansinoids. It also provides tools for the study of the intriguing question of the biosynthetic source of the maytansinoids found in higher plants, i.e., whether the polyketide backbone is synthesized by the plant itself, possibly because of a lateral gene transfer from a microorganism, or whether the plants acquire it from an associated microorganism.

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