

Anterior tooth growth periods in Neandertals were comparable to those of modern humans

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A longstanding controversy in paleoanthropology surrounds the question of whether Neandertals shared the prolonged growth periods of modern humans. To address this question, this investigation compares the duration of enamel formation in Neandertals with that of three comparative modern human groups. Because dental and somatic growth are correlated with each other, dental growth periods are indicative of overall periods of growth. Growth increments on the anterior teeth of Neandertals, modern Inuit, and modern people from Newcastle and southern Africa were counted and their means compared. In addition, potential variation in the time spans represented by growth increments was considered and incorporated into the analysis of enamel formation times. These analyses show that Neandertal imbricational enamel formation times, although likely to have been faster than those of the Inuit, are not likely to have been faster than those of the Newcastle sample and for some teeth are clearly slower than those of the southern African sample. Thus, Neandertal tooth growth and, by extension, somatic growth, appears to be encompassed within the modern human range of interpopulation variation.

perikymata | enamel | evolution | hominid

The prolonged period of infant and childhood growth in modern humans is unique among modern primates (1–3). Our extended growth periods appear to result from investment in rapid postnatal brain growth at the expense of somatic growth (1, 2, 4, 5) and the time required for extensive learning before attaining reproductive age (3, 6, 7). Large brains allow complex behavior, the selective advantages of which accrue over the extended human lifespan (8). Thus, a reduction of adult mortality rates may have been a precondition for the evolution of the human combination of prolonged childhood growth and large brains (1, 9, 10). While investigations into the evolutionary conditions and causes of the human life history pattern continue (1–3, 9), studies of dental development in fossil humans are providing insight into when this pattern emerged during human evolutionary history.

Across the primate order, aspects of dental development are highly correlated with the length of growth periods, as well as with brain size (11, 12). Dental and somatic development are closely linked, because teeth develop as part of growing organisms. For example, weaning cannot take place until teeth erupt, and molars can erupt only when the jaw has grown large enough to accommodate them (11, 12). Relative to other primates, modern humans erupt their first molars later, have larger brains, and experience extended periods of somatic growth (8, 11, 12). Given the relationship of somatic and dental development to brain size across the primate order, it is not surprising that the small-brained Plio-Pleistocene australopiths erupted their first molars 2.5–3 years earlier than modern humans and formed their anterior tooth crowns in significantly shorter periods of time (13).

Yet, the length of childhood growth periods in our more recent human ancestors and close relatives, whose brain sizes were comparable to those of modern humans, remains unclear. Trinkaus and Tompkins (10) suggested that Neandertals may have had high young adult mortality, which might suggest

selection for more rapid growth relative to modern humans. However, Trinkaus (14) noted that the Neandertal mortality profile, which appears to be biased toward younger individuals, is an artifact of multiple factors, including population fluctuation. Most recently, Ramirez-Rozzi and Bermúdez de Castro (15) presented evidence that Neandertal anterior teeth grew in 15% less time than those of Upper Paleolithic–Mesolithic *Homo sapiens*, a finding they interpret to mean that Neandertals grew up significantly more quickly than modern humans. These authors (15) claim that this “surprisingly rapid growth” was an autapomorphic feature of Neandertals relative to modern humans. Clearly, however, to determine whether Neandertal dental growth periods were indeed abbreviated with respect to those of modern humans, the range of dental growth period variation in modern human populations must be known. To this end, the present investigation compares Neandertal anterior tooth growth with that of dental samples from three modern human populations from disparate regions (England, Alaska, and southern Africa).

Tooth Growth

Enamel grows in an incremental manner from the cusp of a tooth to its cervix (16, 17) (Fig. 1). These incremental growth layers are visible as dark lines, or striae of Retzius (more simply, striae), in transmitted light microscopy of thin sections (11, 16, 17). The exact period of growth represented by each stria can be determined by counting the daily growth increments, or cross striations, that lie between them (Fig. 1) (16–19). The number of days represented by each stria, its periodicity, is constant within the teeth of an individual (18). In the cuspal region of the tooth, the enamel growth layers cover each other in a series of domes. However, on the sides of the tooth, in the imbricational enamel, they outcrop onto the enamel surface as perikymata (Fig. 1). Because determining the formation of cuspal enamel requires sectioning teeth and is therefore rarely possible on fossil teeth, most studies of tooth formation in fossil humans focus only on imbricational enamel formation (12, 13, 19). Anterior teeth are preferred for these studies, because the majority of their enamel is imbricational rather than cuspal (15, 16).

In a thin section of a tooth, it is possible to determine the time taken for the tooth’s imbricational enamel to form by multiplying the stria periodicity by the total number (or count) of striae on that tooth. However, because periodicities cannot be directly determined from enamel surfaces, the total length of time for the imbricational enamel to form in fossil humans is usually estimated by counting the total number of perikymata on a tooth and multiplying by a periodicity of 9 (13, 15, 20), because this was the mean and modal periodicity found in a combined sample of 184 African apes and humans (20). More recently, Smith *et al.* (21) found a mean and modal periodicity of 8 in 365 modern human teeth (in a combined sample of diverse origins). Reid and

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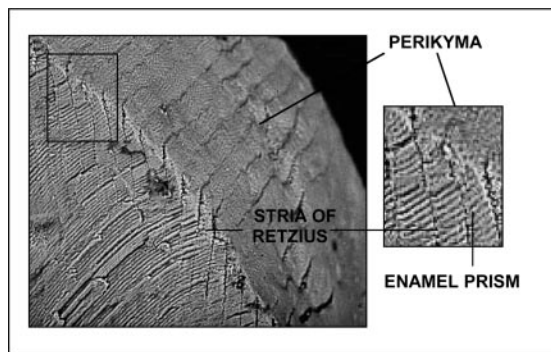


Fig. 1. Relationship between perikymata and striae of Retzius. Cross striations appear at higher magnifications as varicosities and constrictions along the enamel prisms. Images courtesy of Jay Kelley and Tanya Smith (35).

Ferrell (22) found a modal periodicity of 8 and a mean periodicity of 9 in a sample of 49 Danish canines. Continuing histological work on a small sample of fossil human specimens indicates that they also had mean and modal periodicities of 8 or 9 (23). D.G.-S. has observed a histological section from the Tabun II Neandertal (courtesy of M. C. Dean and the Natural History Museum of London), which appears to have a periodicity of 8. The totality of this evidence suggests that Neandertals would have had mean and modal periodicities similar to those of modern and fossil humans.

However, periodicities within modern human populations are highly variable, exhibiting a range of 6–12 days (present study and refs. 20–22 and 24). The greatest source of variation in periodicities in two different hominin samples is therefore expected to come from variation introduced by sampling error rather than from any fundamental difference in mean periodicities between populations or species. Because of the wide variation in periodicities within human populations, any analysis of crown formation times based solely on perikymata counts with unknown periodicities must consider the potential range of periodicities that might exist in the sample. Unlike any previous study of crown formation times in hominin fossils, our analysis considers this range by taking advantage of a recently discovered relationship between total perikymata counts and periodicity (22, 24), as we describe below.

Samples

Table 1 lists the Neandertal teeth and sample sizes. The Neandertal sample spans $\approx 150,000$ –40,000 years. The sample from England derives from a single living population from Newcastle-

upon-Tyne. The southern African sample derives from several indigenous populations, with a mixture of ethnic backgrounds. The Alaskan sample is an archaeological one of Point Hope Inuit, spanning six culture periods: the Near Ipiutak (500–100 B.C.), Ipiutak (100 B.C. to A.D. 500), Birnirk (A.D. 500–900), Western Thule (A.D. 900–1300), Tigara (A.D. 1300–1700), and Recent (A.D. 1700–present) (25).

Materials and Methods

In this study, only one tooth (right or left) was used from each individual for each tooth type. The choice of right or left teeth was made on the basis of which antimer was most complete. Only teeth estimated to have 80% or more of their crown heights intact (i.e., minimally worn teeth) were selected for analysis. Crown heights were measured by using a reticule calibrated to a magnification of $\times 50$. Original crown heights were reconstructed by following the contour of each side of the tooth cusp and projecting it until the sides meet. Both measured and reconstructed crown heights were recorded.

For the Neandertal and Inuit samples, high-resolution polyvinyl siloxanes (Coltene's President Jet and Struer's RepliSet) were used to make dental impressions from the buccal surfaces of anterior teeth. These were cast in high-resolution epoxy (Struer's Epofix) and were coated with a gold-palladium alloy. Perikymata were counted under a light microscope, and a scanning electron microscope was used to create a micrographic record of tooth surfaces. Each replica was oriented orthogonally to the microscope's optical axis.

The samples from Newcastle and southern Africa are thin sections on which striae of Retzius were counted under transmitted light microscopy. Only striae clearly outcropping onto the surface as perikymata were counted. For this reason, we refer to the striae counts in our histological sample as perikymata counts. For the Newcastle and southern African samples, it was possible to count cross striations to determine the periodicity for each tooth. Hence the number of days it took to form the imbricational enamel in each of these teeth could be calculated directly by multiplying the tooth's periodicity by the total number of perikymata on the tooth.

Each tooth was divided into 10% increments (deciles) of the reconstructed crown height, and perikymata were counted within the increments. For teeth missing up to 20% of their crowns because of wear, estimates of perikymata were made for the first two deciles. Perikymata counts within the first two deciles of complete crowns used in this study have very low standard deviations, ranging from one to two perikymata for each tooth type within each population. Such low variation within the first two deciles of growth makes it possible to

Table 1. Sample composition

Tooth type	Neandertal specimens	Neandertal, <i>n</i> *	Inuit, <i>n</i>	Southern African, <i>n</i>	Newcastle, <i>n</i>
UI1	Krapina 91, 93, 94, 123, 126, 155, 194, 195; Devil's Tower 1, Le Moustier 1	10	10	20	19
UI2	Krapina 122, 128, 130, 131, 148, 156, 160, 196; Le Moustier 1	9	10	21	16
UC	Krapina Maxilla E, 37, 56, 76, 102, 103, 141, 142, 144, 146, 191; Kůlna, Le Moustier 1, La Quina 5	14	9	26	39
LI1	Krapina Mandible E, 73; Ochoz, Tabun II, Le Moustier 1	5	12	20	15
LI2	Krapina Mandible C, Mandible D, Mandible E, 71, 90; Ochoz, Tabun II, Le Moustier 1	8	14	23	13
LC	Krapina Mandible D, Mandible E, 75, 119, 120, 121, 145; Ochoz, Tabun II, Le Moustier 1	10	10	24	13
Total teeth		55	65	134	115
Total individuals		30	17	114	115

*Total number of Krapina Neandertals based on the designation of associated teeth as "Krapina Dental People" (34).

accurately estimate growth in slightly worn teeth based on the perikymata counts in the first and second deciles of complete crowns for each tooth type and each population sample. Teeth were excluded from the study if more than one decile beyond the first two deciles contained indistinct perikymata. For teeth in which a single decile contained indistinct perikymata, counts were estimated from adjacent deciles. To eliminate interobserver error, only counts by D.J.R. were used in the statistical analysis. Intraobserver error for D.J.R. has been calculated at <5% for perikymata counts (13).

We test the hypothesis that Neandertals grew their teeth in shorter time periods than the modern human comparative samples in two ways. First, using the same method of Ramirez-Rozzi and Bermúdez de Castro (15), we compare the means of the total perikymata counts per tooth of the Neandertal sample with each of the comparative samples. Under the assumption that the mean periodicity of the Neandertal sample is equivalent to that of the modern human comparative samples, Neandertals can be inferred to be forming their imbricational enamel in shorter periods of time only if they have significantly lower mean perikymata counts than the comparative samples. We first analyze differences in perikymata count means across population samples for all anterior teeth combined, so that our results can be compared with those of Ramirez-Rozzi and Bermúdez de Castro (15). On the combined sample, we used a general linear model and performed an ANOVA in which the factors were tooth type, population, and the interaction of tooth type and population. We conducted an additional ANOVA using reconstructed crown height as a covariate, because we found that, within populations, crown heights are generally positively correlated with the total number of perikymata on a tooth. We performed pair-wise contrasts of total perikymata count means between Neandertals and each comparative sample using Dunnett's simultaneous *t* tests for significant differences (26). Then, for each tooth type, we conducted one-way ANOVAs of total perikymata counts and Dunnett's simultaneous *t* tests to determine whether there were significant differences in mean perikymata counts between Neandertals and each comparative sample.

The second way we test the hypothesis of abbreviated growth in Neandertal teeth takes into account the unknown periodicities in our Neandertal sample. For each tooth type from a given population, there is a strong negative correlation (*r* from -0.90 to -0.99) between total perikymata counts on teeth and their periodicities (22, 24). Because the time it takes for imbricational enamel to form is equal to the total number of perikymata on a tooth multiplied by its periodicity, the strong negative correlation between periodicity and total perikymata counts means that crown formation times are fairly constant for each tooth type in a population, with only small standard deviations from the mean (22, 24). Regression equations of the following form can be used to describe this relationship for each tooth type in the Newcastle and southern African samples: Periodicity = $\alpha + \beta(\text{perikymata count})$. For each population (Newcastle and southern Africa), every point on the regression line for a particular tooth type represents a value for periodicity and total perikymata count, which, when multiplied together, result in that tooth type's average crown formation time. The *r*² values for these 12 regression equations (two populations, each with six tooth types) range from 0.828 to 0.949, all with *P* values = <0.001. They are therefore highly predictive of the relationship between the total number of perikymata and periodicity for a given tooth type, a relationship that is determined by the time it takes for a particular tooth type to form (22, 24).

As shown in *Results*, perikymata counts in our Neandertal sample are higher than those of the southern African sample; nevertheless, it could be argued that if the Neandertals in our sample had lower periodicities than southern Africans, they might be growing their teeth in equivalent periods of time. It

Table 2. Descriptive statistics for periodicities: Range, mode, and mean for two population samples

Population	Range	Mode	Mean	SD
Southern African	6–12	9	9.097	1.207
Newcastle	7–11	9	8.748	1.042

could further be argued that the Neandertals in our sample might be forming their teeth in shorter periods than southern Africans, even 15% shorter, if the Neandertals in our sample had still lower periodicities. Therefore, we use the southern African regression equations for the six anterior teeth relating periodicity to perikymata counts to determine what the periodicities in our Neandertal samples would have to be if their imbricational enamel formation times were equivalent to or 15% shorter than those of southern Africans. We refer to these conditional periodicities as “hypothetical periodicities.”

The key to our analysis is that the known lower limit of periodicities in modern humans and African apes is 6. In fact, there are only 2 individuals of 184 in Dean and Reid's (20) combined African ape and human sample, exhibiting periodicities of 6. In the combined Newcastle and southern African samples used in our study, there is only one case of 249 teeth in which the periodicity was 6. Smith *et al.* (21) have found no chimpanzee with periodicities of <6. Thus we reject the hypothesis that Neandertals grew their teeth in the same period, or in 15% less time, than southern Africans if the only way for these hypotheses to be true is to assume that any of our Neandertal specimens had periodicities <6.

We obtain hypothetical periodicities for Neandertals under the assumption of equivalence to southern African crown formation times by inserting Neandertal perikymata counts into the southern African regression equations. We determine what the periodicities for the Neandertals would be if their teeth grew in 15% less time than those of the southern African sample in the following way. We first multiply the hypothetical Neandertal periodicities based on southern African regression equations by the number of perikymata. This gives an approximate time in days for Neandertal enamel formation that is comparable to that of a southern African tooth with the same number of perikymata. We then multiply this approximate enamel formation time by 0.85 and divide it by the number of perikymata for each Neandertal tooth to obtain a second set of hypothetical periodicities for Neandertals.

Results

Table 2 contains basic descriptive statistics on periodicity for the southern African and Newcastle samples, the two samples for

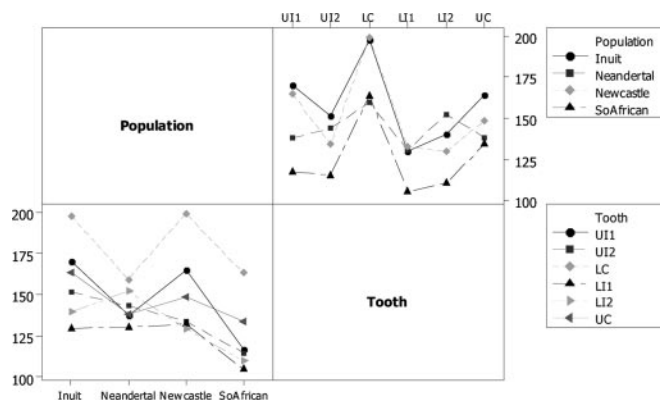


Fig. 2. Plot of the interaction of tooth type by population for total perikymata counts.

Table 3. ANOVA results for total perikymata counts

Factors	Without crown height as covariate, $R^2 = 66.39\%$			With crown height as covariate, $R^2 = 70.82\%$		
	df	F	P	df	F	P
Population	3	78.74	0.000	3	90.59	0.000
Tooth type	5	62.19	0.000	5	31.20	0.000
Population by tooth type	15	5.14	0.000	15	5.46	0.000
Crown height				1	52.08	0.000

which we could directly determine periodicity. Note that when rounded to the nearest whole number, mean, and modal periodicities for both samples are nine.

Table 3 contains the results of two ANOVAs of perikymata counts in the combined sample of anterior teeth, one ANOVA without and one with reconstructed crown height as a covariate. The population factor has four levels: Neandertal, Inuit, Newcastle, and southern African. The tooth type factor has six levels: upper and lower first incisors, second incisors, and canines. Although the inclusion of crown height as a covariate causes the *F* value for the population main effect to increase and the *F* value for the tooth type main effect to decrease, both main effects and the interaction between them remain as significant sources of variation. Fig. 2 shows the graphs of the interaction plots from the first ANOVA (without adjustment for crown height). Fig. 2 shows that Neandertal mean perikymata counts are generally lower than the mean perikymata counts of the Inuit, variable with respect to those of the sample from Newcastle, and generally higher than those of southern Africans.

Fig. 3 gives 95% confidence intervals of the means for all anterior teeth combined, and Table 4 contains Dunnett's simultaneous *t* test results of mean differences in perikymata counts between Neandertals and each comparative modern human sample. Both the 95% confidence intervals and Dunnett's simultaneous *t* tests show that Neandertals have lower means than the Inuit, higher means than the southern Africans, and means that are not significantly different from those of the sample from Newcastle. Thus, assuming equivalent mean and modal periodicities across the samples, Neandertals form their teeth more quickly than the Inuit and more slowly than southern Africans and cannot be distinguished in their imbricational enamel formation times from the Newcastle sample.

All one-way ANOVAs for difference in mean perikymata counts across populations for each tooth type were statistically significant, with *P* values <0.001 (results not shown). Table 5 shows Dunnett's simultaneous *t* tests by tooth type for mean

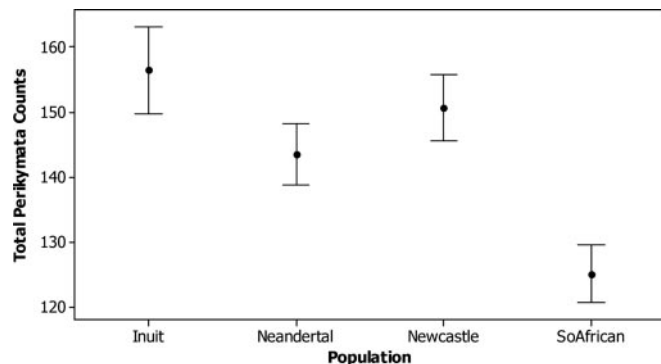


Fig. 3. Ninety-five percent confidence intervals for mean perikymata counts (all anterior teeth combined).

Table 4. Dunnett's *t* tests for differences in perikymata count means across populations, all tooth types combined

Comparison, Neandertal subtracted from	Difference of means	<i>t</i> value	<i>P</i> value
Inuit	15.24	4.753	0.0000
Newcastle	7.94	2.706	0.0175
Southern African	-19.50	-6.945	0.0000

differences in perikymata counts between Neandertals and the modern human samples. The Inuit have statistically significantly higher counts than Neandertals on UI1, UC, and LC, whereas the two populations do not show a statistically significant difference in UI2, LI1, and LI2. The Newcastle sample has statistically significantly higher counts than Neandertals on UI1 and LC but statistically significantly lower counts on LI2, and these two populations do not show statistically significantly different counts on UI2, UC, and LI1. Last, the southern African sample shows statistically significantly lower counts than Neandertals on all incisors, with statistically insignificant differences on both canines. In some cases, the comparisons at the level of tooth type are made with small sample sizes, potentially contributing to nonsignificant results. However, when the differences are significant, they confirm that Neandertal mean perikymata counts are lower than the mean perikymata counts of the Inuit, variable with respect to those of the sample from Newcastle, and higher than those of southern Africans.

Hypothetical periodicities calculated for Neandertal teeth using regression equations relating periodicity to the total number of striae in the southern African sample reveal distributions for two tooth types (LI1 and LI2) that include periodicities <6, the lower limit of the known range of periodicities in African apes and humans. Neandertal LI1 and LI2 must therefore have grown more slowly than the LI1 and LI2 of southern

Table 5. Dunnett's *t* tests for differences in perikymata count means across populations, separated by tooth type

Tooth type	Difference of means	<i>t</i> value	<i>P</i> value
UI1: Neandertal subtracted from			
Inuit	32.30	4.259	0.0002
Newcastle	27.18	4.103	0.0004
Southern African	-20.60	-3.137	0.0073
UI2: Neandertal subtracted from			
Inuit	7.96	1.148	0.4936
Newcastle	-9.69	-1.542	0.2729
Southern African	-28.63	-4.765	0.0001
UC: Neandertal subtracted from			
Inuit	25.738	3.0512	0.0082
Newcastle	10.584	1.7206	0.2007
Southern African	-4.121	-0.6296	0.8481
LI1: Neandertal subtracted from			
Inuit	-0.67	-0.105	0.9986
Newcastle	2.10	0.344	0.9591
Southern African	-25.22	-4.286	0.0002
LI2: Neandertal subtracted from			
Inuit	-12.36	-1.763	0.1743
Newcastle	-22.60	-3.185	0.0061
Southern African	-42.23	-6.461	0.0000
LC: Neandertal subtracted from			
Inuit	38.500	4.2728	0.0002
Newcastle	40.085	4.7299	0.0001
Southern African	3.825	0.5044	0.9128

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