

Spt16–Pob3 and the HMG protein Nhp6 combine to form the nucleosome-binding factor SPN

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Yeast Spt16/Cdc68 and Pob3 form a heterodimer that acts in both DNA replication and transcription. This is supported by studies of new alleles of *SPT16* described here. We show that Spt16–Pob3 enhances *HO* transcription through a mechanism that is affected by chromatin modification, since some of the defects caused by mutations can be suppressed by deleting the histone deacetylase Rpd3. While otherwise conserved among many eukaryotes, Pob3 lacks the HMG1 DNA-binding motif found in similar proteins such as the SSRP1 subunit of human FACT. *SPT16* and *POB3* display strong genetic interactions with *NHP6A/B*, which encodes an HMG1 motif, suggesting that these gene products function coordinately *in vivo*. While Spt16–Pob3 and Nhp6 do not appear to form stable heterotrimers, Nhp6 binds to nucleosomes and these Nhp6–nucleosomes can recruit Spt16–Pob3 to form SPN–nucleosomes. These complexes have altered electrophoretic mobility and a distinct pattern of enhanced sensitivity to DNase I. These results suggest that Spt16–Pob3 and Nhp6 cooperate to function as a novel nucleosome reorganizing factor.

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Introduction

SPT16/CDC68 was identified in several genetic screens in *Saccharomyces cerevisiae* as a factor that affects transcription initiation globally (Prendergast *et al.*, 1990; Malone *et al.*, 1991; Rowley *et al.*, 1991; Lycan *et al.*, 1994), possibly by altering some property of chromatin (Schnell *et al.*, 1989; Winston and Carlson, 1992; Xu *et al.*, 1993; Wittmeyer *et al.*, 1999). Pob3 forms a stable heterodimer with Spt16, and both proteins are localized to the nucleus (Xu *et al.*, 1995; Wittmeyer and Formosa, 1997; Brewster *et al.*, 1998) and partially associated with chromatin (Wittmeyer *et al.*, 1999). *pob3* mutations also cause defects in transcription, and display severe synthetic defects with *spt16* mutations (Costa and Arndt, 2000; Schlesinger and Formosa, 2000). Along with a role in transcription, Spt16–Pob3 also appears to act in DNA replication since it binds to DNA polymerase α (Miles and Formosa, 1992; Wittmeyer and Formosa, 1997; Wittmeyer *et al.*, 1999). Additionally, *pob3* mutants are sensitive to

the dNTP synthesis inhibitor HU, they are dependent on the Mec1 S phase checkpoint and *spt16* or *pob3* mutations interact genetically with DNA replication factors such as Pol1, Ctf4, Dna2 and Ctf18 (Wittmeyer and Formosa, 1997; Formosa and Nittis, 1999; Wittmeyer *et al.*, 1999; Schlesinger and Formosa, 2000). Taken together, these results indicate that Spt16–Pob3 promotes both replication and transcription, perhaps by altering chromatin, the template for both processes.

Both *SPT16* and *POB3* are essential for viability in yeast, and are highly conserved among eukaryotes (Wittmeyer and Formosa, 1997; Evans *et al.*, 1998). The formation of heterodimers is also conserved, since such complexes have been purified from both human (FACT; Orphanides *et al.*, 1999) and frog (DUF1; Okuhara *et al.*, 1999) cells. FACT allows RNA polymerase II to elongate past template sites incorporated into nucleosomes (Orphanides *et al.*, 1998), suggesting a role in transcription elongation on chromatin. However, the Spt⁻ phenotype, which arises from altered start site selection, suggests that Spt16 and Pob3 affect transcription initiation (Winston and Sudarsanam, 1998). Spt16–Pob3 associates with the histone acetyltransferase complex NuA3 (John *et al.*, 2000) and human FACT interacts with the transcription initiation factor TFIIE (Kang *et al.*, 2000). Spt16–Pob3/FACT therefore appears to have a complex role in transcription, which includes both initiation and elongation functions. In addition, depletion of DUF1 from frog oocyte extracts blocked DNA synthesis (Okuhara *et al.*, 1999), indicating that the role in replication observed in yeast is also conserved. The processes affected by Spt16–Pob3/FACT/DUF1 all involve chromatin, and these diverse observations could all be explained by a single activity modulating the properties of nucleosomes.

Pob3 is a member of a conserved family, but it and the two other currently known proteins from this family that are encoded by yeasts lack a DNA-binding motif found in other homologs. This motif was first noted in the high mobility group (HMG)1/2 chromatin proteins, and confers DNA binding, unwinding and bending properties (Bustin, 1999) on proteins such as SSRP1 and DUF87 (the Pob3 homologs in FACT and DUF1). Since this feature is broadly conserved in this family outside of yeasts and seems likely to provide an important activity for a chromatin-associated factor, we considered the possibility that some other protein with an HMG1 motif acts with Spt16–Pob3. *S.cerevisiae* has several candidates, including Nhp6A, Nhp6B, Hmo1, Hmo2, Abf2 and Ixr1. Abf2 is localized to mitochondria, and null mutants cause phenotypes consistent with a role limited to these organelles (Diffley and Stillman, 1991). Ixr1 assists the repression of *COX5b* transcription under aerobic conditions and also enhances the damage caused by *cis*-platin (Brown *et al.*, 1993; Lambert *et al.*, 1994), phenotypes that

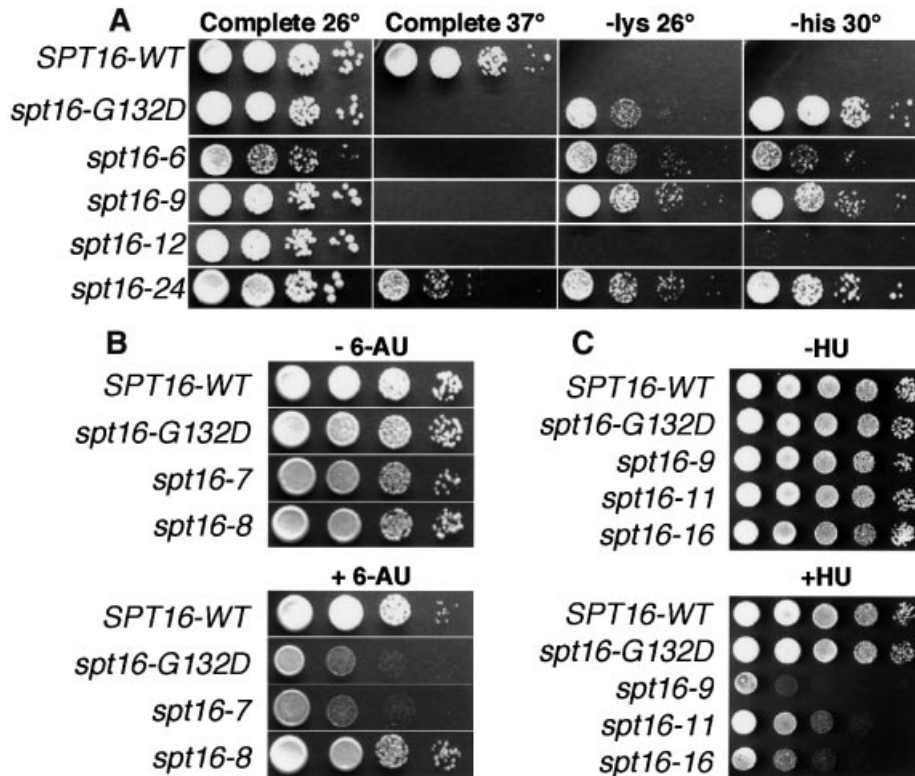


Fig. 1. Alleles of *SPT16* cause variable effects. (A) Strain 7784-1-1 (*his4-912 δ lys2-128 δ spt16- Δ*) carrying pTF128 or its derivatives with the alleles shown were grown and aliquots of 10-fold dilutions were placed on complete synthetic medium or medium lacking lysine or histidine and incubated at the temperature indicated. (B) Strains 4053-5-2 URA⁺ (*SPT16-WT*) and the isogenic 7782 URA⁺ set with the *spt16* alleles indicated were diluted as in (A) and incubated at 30°C on synthetic medium lacking uracil and either without (–6-AU) or with (+6-AU) 75 μ g/ml 6-AU. (C) As in (A), except that cells were incubated at 26°C on rich medium without (–HU) or with (+HU) 90 mM HU.

do not match the profile expected for an Spt16–Pob3 partner. Our initial characterization of genetic interactions between Spt16–Pob3 and Hmo1 or Hmo2 (Lu *et al.*, 1996) indicated only minor effects (T.Formosa, unpublished data). However, we report here that Nhp6A/B (Kolodrubetz and Burgum, 1990) displays strong genetic interactions with Spt16–Pob3, suggesting that Nhp6 could provide HMG1-motif function for Spt16–Pob3. Results consistent with this interpretation have also recently been reported by Brewster *et al.* (2001).

Nhp6A and Nhp6B are 92- and 98-residue proteins representing single HMG1 motifs. They are 88% identical and are functionally redundant since only deletion of both genes causes significant phenotypes, which include aberrant transcription, slow growth and temperature sensitivity (Costigan *et al.*, 1994; Paull *et al.*, 1996; Sidorova and Breeden, 1999; Yu *et al.*, 2000). We find that purified Nhp6 binds nucleosomes, and this structure can then bind Spt16–Pob3. The complex of Spt16–Pob3 and Nhp6 (SPN) with nucleosomes causes changes in the electrophoretic mobility and nuclease sensitivity of the nucleosomes. We therefore propose that SPN is a novel nucleosome modifying factor.

Results

A screen for new *spt16* alleles

spt16 mutations were isolated previously in three independent screens (Malone *et al.*, 1991; Rowley *et al.*, 1991;

Lycan *et al.*, 1994). Remarkably, all three obtained the same mutation, a G132D substitution (Evans *et al.*, 1998). To examine a broader range of alleles, we isolated additional *spt16* mutants. The G132D mutation was found in four of 18 temperature-sensitive isolates (Figure 1A and Table I). While many of the remaining alleles were found to have multiple mutations, tight Ts[–] alleles with single substitutions were found (e.g. *spt16-6*).

We tested the new alleles to see whether different mutations impact different processes. *spt16-G132D* causes the Spt[–] phenotype, which is suppression of the lysine and histidine auxotrophies caused by insertion of *Ty1* δ elements into *LYS2* and *HIS4* (Malone *et al.*, 1991). This phenotype is associated with relaxation of the specificity of transcription start site selection (Winston and Sudarsanam, 1998). Most of the *spt16* alleles cause a strong Spt[–] phenotype, as indicated by growth on media lacking lysine or histidine (Figure 1A and Table I). Since most mutants identified as Ts[–] are also Spt[–], we conclude that full Spt16 activity is needed for normal transcription initiation, as was the case with Pob3 (Schlesinger and Formosa, 2000).

spt16-G132D also causes sensitivity to 6-azauracil (6-AU; Figure 1B and Orphanides *et al.*, 1999), which alters rNTP pools in yeast (Exinger and Lacroute, 1992), and is therefore considered to reveal defects in transcription elongation (Powell and Reines, 1996). The sensitivity of *spt16* alleles to 6-AU varied considerably, suggesting that different mutations affect elongation to different

Table I. Amino acid changes in *spt16* alleles

Allele	Amino acid changes	Phenotypes				Relative <i>HO</i> expression (% WT)		
		37°C	Spt	6-AU	HU	A364a (genomic)	A364a (plasmid)	W303 (genomic)
<i>SPT16-WT</i>		++	++	++	++	100	100	100
<i>spt16-1, -2, -10</i>	G132D	--	--	-	++	100	96	36
<i>spt16-4</i>	P565S P570L	--	--	-	++	100		
<i>spt16-6</i>	P920L	--	-		++			
<i>spt16-7</i>	T848I T849I D850Y	--	--	-	++	86		
<i>spt16-8</i>	G369D R373T	--	--	++	++	85		
<i>spt16-9</i>	G132D G836S P838S	--	--		--		40	
<i>spt16-9a</i>	G836S P838S	++			--			
<i>spt16-9b</i>	G836S	++			++			
<i>spt16-9c</i>	P838S	++			++			
<i>spt16-11</i>	T828I P859S	--	--	+/-	-	114		
<i>spt16-12</i>	A417T G568S R569K P599L	--	++	++/-	++	107		
<i>spt16-16</i>	R204W A273V C290V D318N R801Q A802T	--	--		- -/+		50	
<i>spt16-16a</i>	R204W A273V C290V D318N	--	--		- -/+			
<i>spt16-16b</i>	R801Q A802T	++	++		++			
<i>spt16-24</i>	T434I	-	--	++	++	63		

Sequencing of the *SPT16* ORF from mutants revealed the predicted amino acid changes shown. Phenotypes were tested either in strains lacking the genomic *SPT16* and containing a mutant allele on a plasmid (for growth at 37°C, the Spt⁻ phenotype and sensitivity to HU) or in strains in which the *spt16* allele was integrated into the genome (for testing sensitivity to 6-AU). Effects were scored from ++ (WT) to - (mutant). *HO* mRNA was measured as in Figure 2.

Table II. Properties of *spt16* mutants

<i>spt16</i> allele	MPT (°C)	Change in MPT (°C) when combined with a second mutation			
		<i>pob3-L78R</i>	<i>nhp6-Δ</i>	<i>dna2-2</i>	<i>ctf18-Δ</i>
<i>SPT16</i>	>37	0	0	0	0
<i>spt16-G132D</i>	34	-3	-1	-2	-2
<i>spt16-6</i>	33.5	SL	-3.5	-2.5	-2.5
<i>spt16-7</i>	34	SL	-3	-1	-2
<i>spt16-8</i>	35.5	SL	-3.5	-3.5	-2
<i>spt16-9</i>	32	SL	-2	-2	-2
<i>spt16-9a</i>	>37		0		
<i>spt16-11</i>	34.5	SL	-5.5	-2.5	-2.5
<i>spt16-16</i>	36.5	SL	SL	SL	-2.5
<i>spt16-16a</i>	<37		SL		
<i>spt16-24</i>	37	SL	-6	-3	-3

7737-3-2 (*spt16-Δ*) with pTF128 (*SPT16-WT*) or derivatives with the alleles shown were used to test the MPT. Congenic strains 7810-4-3 (*spt16-Δ pob3-L78R*), 7847-2-4 (*spt16-Δ, nhp6a-Δ, nhp6b-Δ*), 7800-3-2 (*spt16-Δ, dna2-2*) and 7806-2-3 (*spt16-Δ, ctf18-Δ*) carrying the same *SPT16* alleles on plasmids were also tested and the difference between the MPT for the double mutant and the MPT for the single mutant with the lower restrictive temperature is shown. SL indicates that the combination was synthetically lethal.

extents (Figure 1B and Table I; compare *spt16-7* with *spt16-8*). Since none of the alleles of *pob3* tested (*pob3-L78R*, -2 and -7) displayed any 6-AU sensitivity, this phenotype might reveal a unique function of Spt16.

Defects in *POB3* lead to hydroxyurea (HU) sensitivity (Schlesinger and Formosa, 2000). HU inhibits ribonucleotide reductase, which is required for dNTP production (Kornberg and Baker, 1992), so this phenotype suggests a role in a process that requires dNTPs, presumably DNA synthesis. Some *spt16* alleles also cause sensitivity to HU (Figure 1C and Table I; *spt16-9a* shows that the HUs phenotype is separable from the Ts⁻), suggesting that *SPT16* also functions in DNA synthesis. However, while *pob3* mutations were found to cause dependence on the S-phase checkpoint promoted by *MEC1* (Schlesinger and Formosa, 2000), *spt16* mutations did not have this effect. Strains with various *spt16* alleles (including those causing

HU sensitivity) died at 37°C at the same rates whether or not the *MEC1* checkpoint was functional (our unpublished data). The nature or consequences of the defects caused by *spt16* and *pob3* mutations therefore appear to be different, at least with respect to this checkpoint.

We showed previously that *spt16-G132D* displays a strong synthetic defect (a decrease in the maximal temperature permissive for growth) with all *pob3* alleles tested, and that *spt16-4* is lethal when combined with most *pob3* mutations (Schlesinger and Formosa, 2000). Table II extends these results to show that *pob3-L78R* is lethal when combined with all *spt16* alleles tested with the notable exception of the original *spt16-G132D*, underscoring the importance of examining additional *spt16* alleles. We also showed previously that *spt16-G132D* displays synthetic defects with mutations in the nuclease-helicase encoded by *DNA2*, the catalytic subunit of DNA

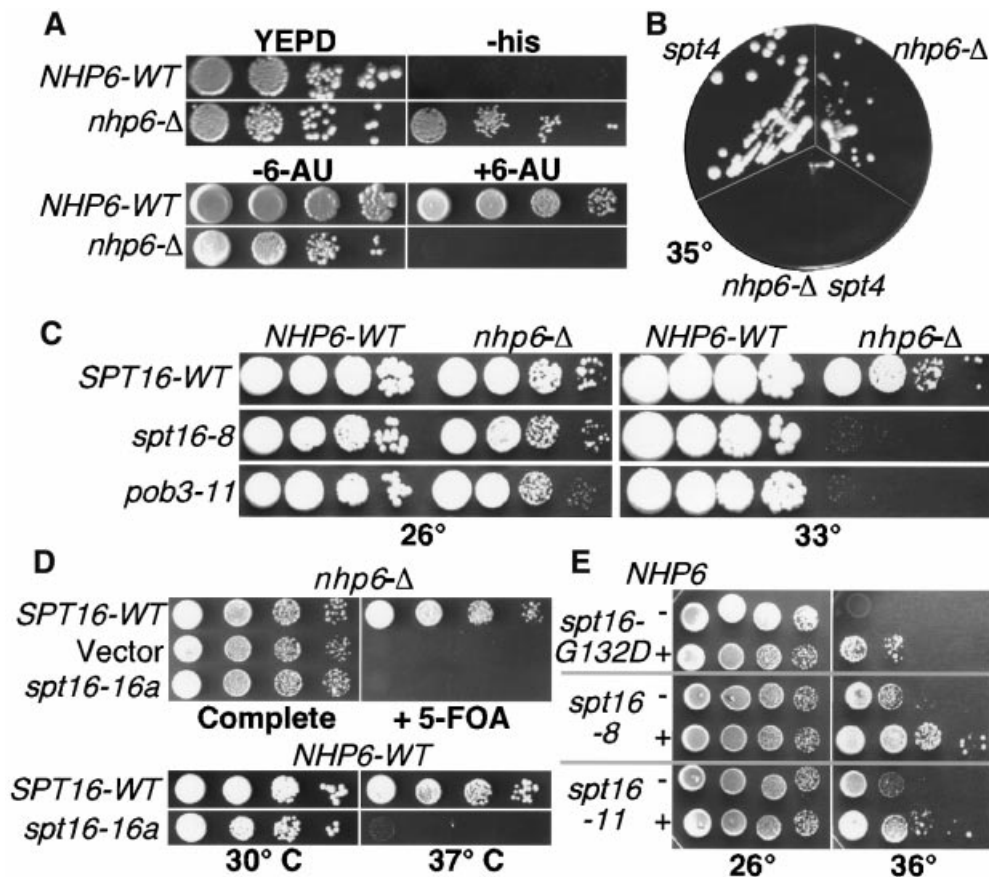


Fig. 3. Genetic effects of deleting or overexpressing *NHP6*. (A) DY2623 (*his4-912δ*) and DY6863 (*his4-912δ nhp6a/b-Δ*) were grown to saturation, diluted and placed on either rich medium (YEPD) or medium lacking histidine (-his). DY150 URA⁺ (*NHP6*-WT) and DY2382 (*nhp6-Δ*) were tested on 6-AU as in Figure 1B. (B) Congenic W303 strains lacking *SPT4*, both copies of *NHP6* or all three genes were placed on rich medium at 35°C. (C) 7737-3-2 (*spt16-Δ NHP6*-WT) and 7847-2-4 (*spt16-Δ, nhp6a-Δ, nhp6b-Δ*) carrying pTF128 (YCp *LEU2 SPT16*) with the *SPT16* alleles indicated, or 7697 (*pob3-Δ*) and 7746-5-4 (*pob3-Δ, nhp6a-Δ, nhp6b-Δ*) carrying pTF139-11 (YCp *LEU2 pob3-11*), were diluted and placed on rich medium at 26 or 33°C. (D) 7847-2-4 (*spt16-Δ*) with pCDC68 (YEplac *URA3 SPT16*) was transformed with pTF128, YCplac111 (Gietz and Sugino, 1988; vector), or pTF128-16a, grown in rich medium, washed and dilutions were placed on complete synthetic medium or medium containing 5-FOA. In the bottom panel, dilutions of 7737-3-2 (*spt16-Δ*) with pTF128 or pTF128-16a were placed at the temperatures shown. (E) 7737-3-2 carrying pTF128 with the *SPT16* alleles shown was transformed with YEplac195 (Gietz and Sugino, 1988, -*NHP6*) or pTF146 (+*NHP6*), and dilutions were placed on medium lacking uracil at the temperatures indicated.

spt16-16a (Table II and Figure 3D). In this case, a strain was constructed that had deletions of *spt16* and *nhp6a/b*, and carried a plasmid with both *URA3* and *SPT16*. Since strains with *URA3* cannot grow on media containing 5-FOA (Boeke *et al.*, 1987), and the plasmid supplies the essential *SPT16* function, this strain cannot grow on 5-FOA. Introducing a *LEU2 SPT16* plasmid allows loss of the *URA3* plasmid and growth on 5-FOA (Figure 3D, top line). However, a plasmid with *spt16-16a* did not allow growth on 5-FOA, indicating that *spt16-16a* cannot support growth in a cell lacking Nhp6. The same plasmid supported growth in a strain with Nhp6 (Figure 3D, bottom line; the strain is Ts⁻ as expected), demonstrating that *spt16-16a* is synthetically lethal with the *nhp6* deletion.

The strong, allele-specific, synthetic defects caused by removal of Nhp6 from *spt16* and *pob3* mutants suggest that these proteins function together *in vivo*. In this case, some Spt16-Pob3 defects might be ameliorated by increasing the amount of Nhp6. While most alleles were

not affected, the Ts⁻ phenotypes of three *spt16* alleles were partially suppressed by a high copy *NHP6B* plasmid (Figure 3E). Increased expression of Spt16-Pob3 did not affect the Ts⁻ phenotype of an *nhp6a/b* deletion strain (our unpublished data). This pattern is consistent with formation of a complex; some *spt16* or *pob3* mutations cause diminished stability of this complex, but this can be suppressed by increasing the level of the binding partner Nhp6.

Weak physical interactions suggest that Nhp6 is not usually in stable complexes with Spt16-Pob3

Nhp6 does not copurify with Spt16-Pob3 (J.Wittmeyer, unpublished data), so these proteins do not appear to form a stable heterotrimer fully analogous to FACT or DUF1. To see whether Spt16-Pob3 and Nhp6 form less stable complexes, we fused the myc epitope to the C-terminus of Spt16 or Pob3 (Longtine *et al.*, 1998; expression is from the native promoters) and performed immunoprecipitations from lysates. Spt16-Pob3 did not coprecipitate with Nhp6 using standard conditions (P.Eriksson, unpublished

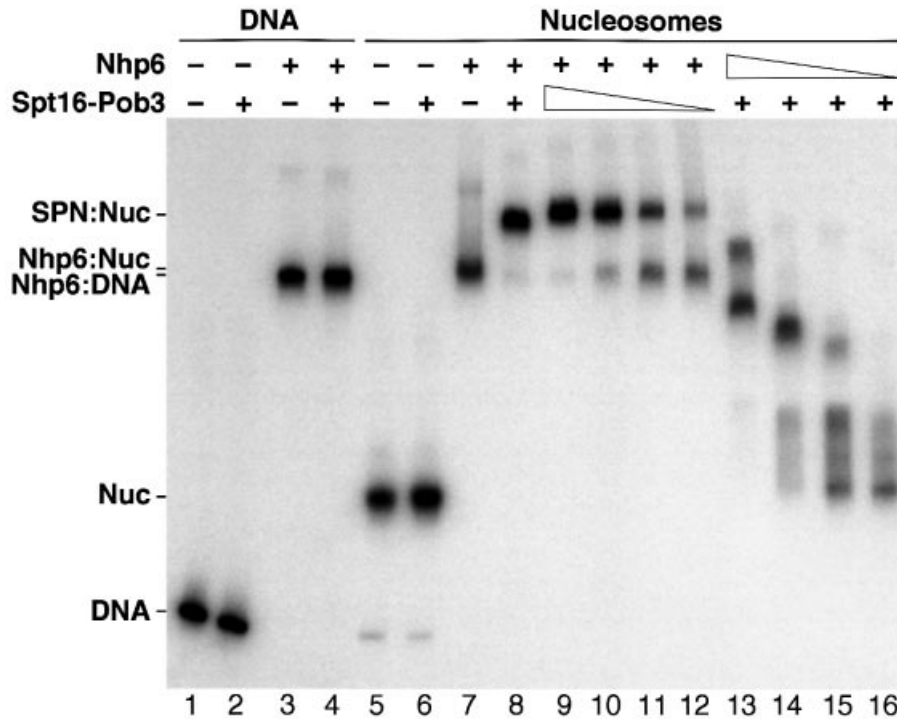


Fig. 4. Nhp6 interacts with nucleosomes to form a binding site for Spt16-Pob3. Purified His₁₀-Nhp6 (+ = 30 pmol, lanes 13–16 are 15, 7.5, 3.8 and 1.9 pmol) and Spt16-Pob3 (+ = 1.5 pmol, lanes 9–12 are 0.75, 0.38, 0.19 and 0.09 pmol) were mixed with 0.1 pmol of a 200 bp DNA fragment (lanes 1–4) or the same fragment incorporated into nucleosomes (lanes 5–16). After native PAGE, the DNA was detected by autoradiography. The positions of the free DNA (DNA), nucleosomes (Nuc) and complexes described in the text are indicated.

data; Brewster *et al.*, 2001 have also recently reported that these proteins coprecipitate only under conditions of relaxed stringency). To test for even weak interactions, we next added purified His₁₀-Nhp6A to lysates and recovered the Nhp6 using antisera against the histidine tag. With this approach, Spt16 and Pob3 were enriched in the precipitated material only when His₁₀-Nhp6 was added (P.Eriksson, unpublished data). No similar enrichment was observed when a protein that was not expected to interact with Spt16-Pob3 (His₁₀-Swi5) was used, so the enhanced recovery of Spt16-Pob3 with His₁₀-Nhp6 is not due to a spurious association with other components of the assay. We also tested various combinations of fusions in a two-hybrid assay (Bartel and Fields, 1995), and obtained a weak but reproducible signal with Spt16-DBD-Nhp6-AD fusions relative to controls (P.Eriksson, unpublished data). We conclude that most of the Spt16-Pob3 and Nhp6 molecules in a cell are not stably associated with one another, but some complexes containing these three proteins do form. Such complexes could include additional proteins that mediate the interaction; for example, Spt16-Pob3 and Nhp6 could interact indirectly with one another by associating with chromatin. The genetic data therefore indicate that Spt16-Pob3 acts with Nhp6, but the physical data suggest that these proteins are not usually together in a free, stable heterotrimeric complex.

The migration of Nhp6-nucleosome complexes is altered by Spt16-Pob3

Our results lead to the hypothesis that the DNA-binding protein Nhp6 (Yen *et al.*, 1998) assists binding of

Spt16-Pob3 to chromatin without first forming a free heterotrimeric complex. We tested this by examining the migration of DNA or nucleosomes on native polyacrylamide gels in the presence of purified His₁₀-Nhp6 and Spt16-Pob3. Spt16-Pob3 alone had no effect on DNA or nucleosomes (Figure 4, lanes 2 and 6), whereas addition of Nhp6 significantly decreased the mobility of both (Figure 4, lanes 3 and 7). Addition of both Spt16-Pob3 and Nhp6 to DNA had about the same effect as Nhp6 alone (Figure 4, lane 4). In contrast, when both Spt16-Pob3 and Nhp6 were added to nucleosomes, a complex was formed with mobility different from that of the Nhp6-Nuc complex (Figure 4, compare lanes 7 and 8). Therefore, Spt16-Pob3 binds to Nhp6-Nuc complexes to form SPN-Nuc complexes with properties distinct from those of the Nhp6-Nuc form. Optimal complex formation required a ~4-fold molar excess of Spt16-Pob3 over nucleosomes but a ~200-fold excess of Nhp6 (Figure 4, lanes 9–16). Similar concentrations of Nhp6 were needed whether or not the protein was affinity tagged, purified from yeast or bacteria, or purified using trichloroacetic acid (TCA) precipitation or standard chromatographic methods (our unpublished data). Most of the Nhp6 is not associated with complexes in these experiments (see below), so we conclude that high concentrations of Nhp6 are needed because Nhp6 interacts weakly with nucleosomes (perhaps due to suboptimal reaction conditions, but we note that the concentrations of Spt16-Pob3 and Nhp6 in these assays are lower than *in vivo*).

Spt16 has an acidic C-terminal domain and Nhp6 is basic. Increasing the NaCl concentration in the binding

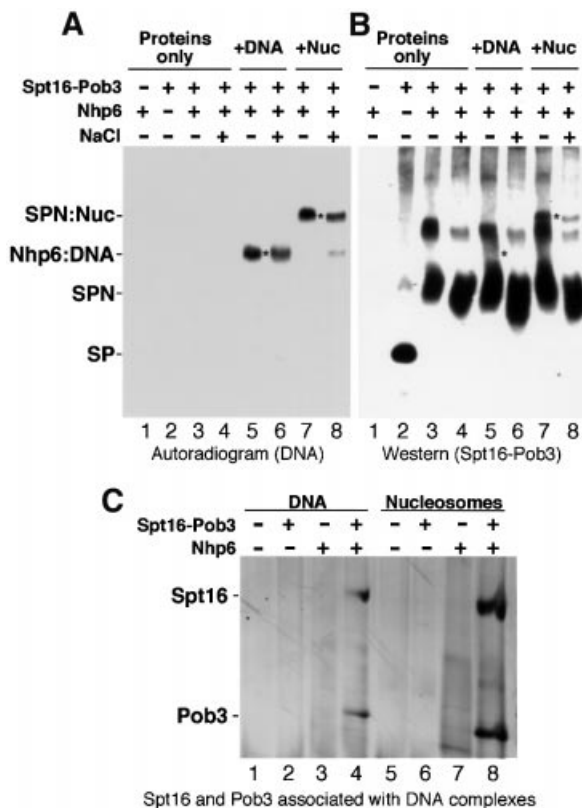


Fig. 5. Spt16-Pob3 binds specifically to Nhp6-nucleosome complexes. (A) and (B) Nhp6 (lane 1), Spt16-Pob3 (lane 2) or both (lanes 3–8) were incubated alone (lanes 1–4) or with either DNA (lanes 5 and 6) or nucleosomes (lanes 7 and 8) at 30 (–) or 350 mM (+) NaCl, and electrophoresed as in Figure 4. DNA (A) or Spt16-Pob3 (B) were then detected in duplicate gels by autoradiography or with antisera. Asterisks mark equivalent positions in the two panels, and complexes are labeled as described in the text. (C) Samples in 30 mM NaCl were prepared as in Figure 4 except that 0.6 pmol of DNA or nucleosomes, 2 pmol of Spt16-Pob3 and 40 pmol of Nhp6 were mixed in 11 μ l. After electrophoresis, regions containing DNA were excised and subjected to SDS-PAGE, then stained with silver (Ausubel *et al.*, 1994). Lanes 1–8 are as in Figure 4, and the forms excised were those labeled in that figure as DNA (lanes 1 and 2), Nhp6:DNA (lanes 3 and 4), Nuc (lanes 5 and 6), Nhp6:Nuc (lane 7) and SPN:Nuc (lane 8).

mixture from 30 to 380 mM (Figure 5A, lanes 7 and 8) or 600 mM (our unpublished data) caused minimal loss of the SPN-Nuc form. This stability at high ionic strengths suggests that SPN-Nuc complexes are not simply aggregates.

Nhp6 loads Spt16-Pob3 specifically to nucleosomes

Spt16-Pob3 migrates in a discrete band on a native gel in the absence of Nhp6 (Figure 5B, lane 2, 'SP'), and in two slower-migrating bands when Nhp6 is added (Figure 5B, lane 3), with only the faster form being stable in high salt (Figure 5B, lane 4). This pattern was also observed when either DNA or nucleosomes were added (Figure 5B, lanes 5–8), and suggests that Spt16-Pob3 can interact with Nhp6 during native gel electrophoresis. Since the results above indicate that Spt16-Pob3 and Nhp6 do not form stable complexes in cell extracts, this interaction is likely to be non-physiological. Very little Spt16-Pob3 comigrates with the Nhp6-DNA complexes, especially under high

salt conditions (compare the regions near the asterisks in lanes 5 and 6 in Figure 5A and B), suggesting that this form contains only Nhp6 and DNA. In contrast, the SPN-Nuc form is associated with a salt-stable band of Spt16-Pob3 protein (see lanes 7 and 8 in Figure 5A and B). Therefore, Spt16-Pob3 does not stably interact with Nhp6-DNA complexes, but it does bind to Nhp6-Nuc complexes. We conclude that Spt16-Pob3 does not simply bind to Nhp6 or even to Nhp6 bound to DNA, but instead specifically recognizes Nhp6-nucleosome complexes.

SPN-Nuc complexes contain about one molecule of Spt16-Pob3 per nucleosome

To test the stoichiometry of Spt16-Pob3 in SPN-Nuc complexes, we excised the regions of the native gels containing labeled DNA and subjected them to SDS-PAGE (Figure 5C). A small amount of Spt16-Pob3 was recovered from bands containing Nhp6-DNA complexes (Figure 5C, lane 4). We attribute this to contamination by protein that coincidentally migrates with the Nhp6-DNA complexes (see Figure 5B, lane 5; Nhp6-DNA partially comigrates with the trailing edge of the Spt16-Pob3 band). Excision of SPN-Nuc forms consistently yielded larger amounts of Spt16-Pob3 (Figure 5C, lane 8). Quantitation from several experiments revealed ~ 0.93 molecules of Spt16 and 1.2 molecules of Pob3 per molecule of DNA at the SPN-Nuc position. While some of this material could also be due to spurious comigration, we conclude that each nucleosome is associated with no more than one heterodimer of Spt16-Pob3. This low stoichiometry is further evidence that Spt16-Pob3 does not simply aggregate with Nhp6 in this experiment. It was not possible to estimate the Nhp6 content of complexes using a similar approach since free Nhp6 was found to migrate throughout the region containing complexes both by examination of gel slices and by western blots (our unpublished data).

SPN causes changes in the organization of nucleosomes

The differing electrophoretic migration rates of Nhp6-Nuc and SPN-Nuc complexes suggest that these forms have altered properties compared with nucleosomes. We treated complexes with the nuclease DNase I to see if these alterations result in a different presentation of the DNA component. The 167 bp fragment of 5S DNA used for the nucleosome assembly is able to position histone octamers uniformly such that the unique label is near the entry point of the nucleosome, with a short duplex tail extending from the distal end. DNase I preferentially digested nucleosomes alone to produce a ~ 143 nucleotide fragment, indicating removal of the unprotected tail (marked with a spot in Figure 6). Addition of Spt16-Pob3 alone did not affect the pattern significantly, but digestion at this exit point was diminished when Nhp6 was added. Since Nhp6 binds to DNA, it could simply inhibit digestion by limiting overall access. However, most sites were not affected by Nhp6 addition, so the entire nucleosome is not protected. Nhp6 alone also enhanced digestion somewhat at several sites (for example, at ~ 110 nucleotides in Figure 6).

Addition of both Nhp6 and Spt16-Pob3 caused a more dramatic enhancement of DNase I digestion, particularly in the region proximal to the double-stranded tail of these nucleosomes (marked with stars in Figure 6). Use of

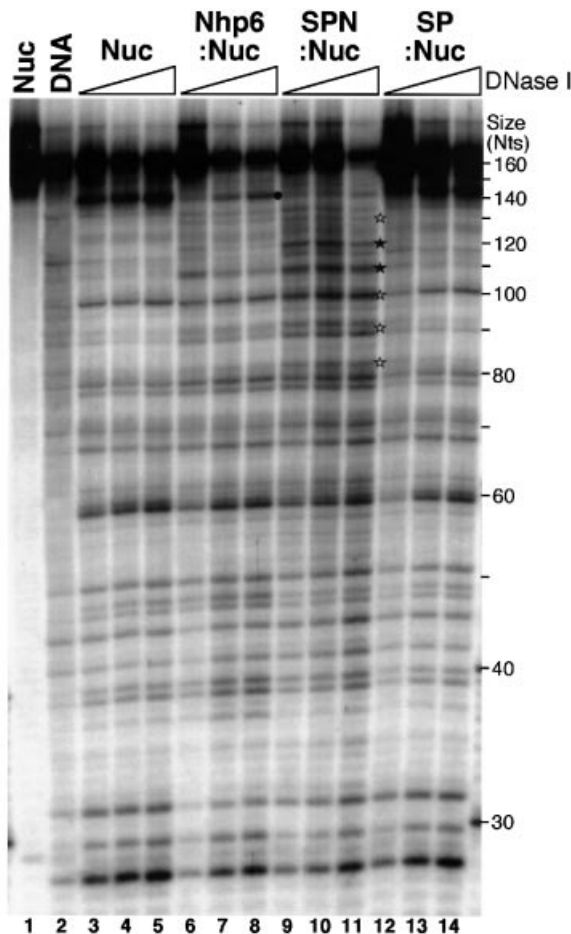


Fig. 6. Sensitivity of nucleosome complexes to DNase I. Nucleosomes (Nuc) were mixed with Nhp6 (Nhp6:Nuc), SPN (SPN:Nuc) or Spt16–Pob3 (SP:Nuc) as in Figure 4, except the DNA was a restriction fragment with 167- (labeled) and 163-nucleotide strands (see Materials and methods), and the Nhp6 was purified from yeast cells. Complexes were treated with DNase I (none in lane 1, 1 arbitrary unit in lane 2, then sets of 8, 16 and 32 units), then separated by denaturing PAGE. The symbols indicate digestion sites; a black spot denotes the strong cut site corresponding to the exit of the DNA from the nucleosome, and stars indicate sites where digestion is enhanced by addition of Nhp6 and SPN, with filled symbols corresponding to more pronounced effects. Size is shown in nucleotides (Nts).

nucleosomes reconstituted with different DNA molecules (our unpublished data) revealed that the enhanced sites appear at a constant distance from the cut marking the exit of the DNA from the nucleosome (if the black spot is considered to be 0, the enhanced cuts are at about –13, –23, –33 and –42 nucleotides, with the central sites being the most pronounced). The ~10 nucleotide periodicity of the enhanced sites indicates that the DNA is still associated with the nucleosome (this pattern is not observed with free DNA; see Figure 6), but in a more accessible form. Since many sites remain unaffected in the SPN–Nuc form, including sites an equivalent distance from the other end of the nucleosome, the alteration appears to be localized to the DNA near the extended tail. We conclude that Nhp6 binds to nucleosomes, probably through the duplex tail, causing a large change in electrophoretic mobility and a small change in accessibility of the nucleosomal DNA. This allows binding of Spt16–Pob3, which then causes a

further reorganization of the nucleosome, changing the electrophoretic migration and altering the organization of the adjacent DNA within the nucleosome.

Discussion

We present genetic and physical evidence that yeast Spt16–Pob3 functions together with the HMG1-motif protein Nhp6 to bind nucleosomes and change both their electrophoretic mobility and the accessibility of their DNA. This suggests that these highly conserved factors participate in both replication and transcription by reorganizing nucleosomes in a way that changes the presentation of the DNA. Since accessibility of chromatin is also modulated by acetylation of histones, this also explains the functional overlap between Spt16–Pob3 and acetylation. Spt16–Pob3 could be associated with factors such as the acetyltransferase Nua3 (John *et al.*, 2000) because both promote DNA accessibility in separate ways and would therefore be expected to act coordinately. Their combined function would be opposed by deacetylases like Rpd3, explaining the genetic suppression of *spt16*, *pob3* and *nhp6* mutants by the deletion of Rpd3 (Figure 2 and Yu *et al.*, 2000).

New spt16 alleles support roles in replication and transcription

Spt16–Pob3 or its homologs have been associated with initiation of transcription (Malone *et al.*, 1991; Rowley *et al.*, 1991; Lycan *et al.*, 1994; Costa and Arndt, 2000; John *et al.*, 2000; Kang *et al.*, 2000; Schlesinger and Formosa, 2000), elongation of transcription (Orphanides *et al.*, 1998, 1999) and DNA replication (Wittmeyer and Formosa, 1997; Formosa and Nittis, 1999; Okuhara *et al.*, 1999; Wittmeyer *et al.*, 1999; Schlesinger and Formosa, 2000). This could indicate that Spt16–Pob3 has many functions, or that it has a single activity needed during many processes. We report here that multiple alleles of *SPT16* have differential effects on the Spt[–] phenotype (transcription initiation), sensitivity to 6-AU (transcription elongation), HU sensitivity (DNA replication) and synthetic defects with replication factors (DNA replication). If Spt16 had a single function and different mutations caused different degrees of impairment of that function, the same alleles should have the strongest effects in all tests. Since the allele-specific effects among the phenotypes do not correlate in this way, we suggest that Spt16–Pob3 has a single central activity that is needed in multiple stages of transcription and replication, and that different mutations disturb the ability of Spt16–Pob3 to participate in discrete reactions. The simplest interpretation is that Spt16–Pob3 alters nucleosomes, and this is important first during the establishment of transcription and replication initiation complexes, and then again during elongation as both RNA and DNA polymerases encounter nucleosomes on their templates. Different mutations then disturb the ability of Spt16–Pob3 to function coordinately with factors that act in these different processes.

Spt16–Pob3 functions with Nhp6

Pob3 lacks the HMG1 DNA-binding motif found in homologs from higher eukaryotes (Wittmeyer and Formosa, 1997), but we find in genetic tests that

Table III. Strains used (all are *MATa*)

Name	Genotype	Background
4053-5-2	<i>trp1 leu2 ura3 his7</i>	A364a
4053-5-2 URA ⁺	<i>trp1 leu2 ura3::YIplac211(URA3) his7</i>	A364a
7373-4-4	<i>trp1 leu2 ura3 his3</i>	A364a
7697	<i>trp1 leu2 ura3 his7 pob3-Δ::TRP1</i>	A364a
7737-3-2	<i>trp1 leu2 ura3 his3 spt16-Δ::TRP1</i>	A364a
7746-5-4	<i>trp1 leu2 ura3 his3 pob3-Δ::TRP1 nhp6b-Δ::HIS3 nhp6a-Δ::KanMX</i>	A364a
7782-x	<i>trp1 leu2 ura3 his7 spt16-x</i>	A364a
7782-x URA ⁺	<i>trp1 leu2 ura3::YIplac211(URA3) his7 spt16-x</i>	A364a
7784-1-1	<i>leu2-Δ1 trp1-Δ63 ura3-52 his4-9128 lys2-1288 spt16-Δ::TRP1</i>	S288c
7800-3-2	<i>trp1 leu2 ura3 his7 spt16-Δ::TRP1 dna2-2</i>	A364a
7806-2-3	<i>trp1 leu2 ura3 his3 spt16-Δ::TRP1 ctj18-Δ::HIS3</i>	A364a
7810-4-3	<i>trp1 leu2 ura3 his3 spt16-Δ::TRP1 pob3-L78R</i>	A364a
7847-2-4	<i>trp1 leu2 ura3 his3 spt16-Δ::TRP1 nhp6a-Δ::KanMX nhp6b-Δ::HIS3</i>	A364a
DY150	<i>ura3 ade2 trp1 can1 leu2 his3</i>	W303
DY150 URA ⁺	<i>ade2 can1 his3 leu2 trp1 ura3::YIplac211(URA3)</i>	W303
DY1539	<i>ura3 ade2 trp1 can1 leu2 his3 rpd3-Δ::LEU2</i>	W303
DY2382	<i>ade2 can1 his3 leu2 trp1 ura3 nhp6a-Δ::URA3 nhp6b-Δ::HIS3</i>	W303
DY2623	<i>his4-9128 lys2-1288 ade8 leu2 ura3</i>	S288c
DY5391	<i>ura3 ade2 trp1 can1 leu2 his3 lys2 spt16-G132D</i>	W303
DY5394	<i>ura3 ade2 trp1 can1 leu2 his3 lys2 spt16-G132D rpd3-Δ::LEU2</i>	W303
DY5699	<i>ade2 can1 his3 leu2 lys2 met15 trp1 ura3</i>	W303
DY6863	<i>nhp6a-Δ::URA3 nhp6b-Δ::HIS3 his4-9128 ade2 ade8 leu2 lys2 trp1</i>	S288c
DY7375	<i>ade2 can1 his3 leu2 lys2 met15 trp1 ura3 pob3-L78R rpd3-Δ::LEU2</i>	W303
DY7379	<i>ade2 can1 his3 leu2 lys2 met15 trp1 ura3 pob3-L78R</i>	W303
DY7380	<i>ade2 can1 his3 leu2 lys2 met15 trp1 ura3 rpd3-Δ::LEU2</i>	W303

Spt16–Pob3 functions with a protein with this feature, Nhp6. Cells lacking both copies of *NHP6* are viable (Costigan *et al.*, 1994), so the essential Spt16 and Pob3 proteins cannot be entirely dependent on Nhp6 for their function. However, the robust genetic interactions we have observed demonstrate that Nhp6 supports Spt16–Pob3 function in some physiologically important way. Several approaches indicate that Nhp6 and Spt16–Pob3 are not typically associated with one another to form a free factor equivalent to FACT or DUF1. Instead, Nhp6 alone appears to interact with a nucleosome, and this forms a binding site for Spt16–Pob3. It has been suggested that HMG proteins deliver transcription factors to sites within chromatin (Bustin, 1999); our results indicate that they can also support the recruitment or action of other chromatin modulators.

Spt16–Pob3 binding might require contact with both Nhp6 and other features of the nucleosome, or it might require nucleosomes that are somehow repositioned by the binding of Nhp6. If other proteins are also capable of causing such a change in nucleosomes *in vivo*, subsets of these factors could be removed without blocking all Spt16–Pob3 activity. Candidates would include other known HMG1-motif proteins, such as Hmo1 and Hmo2, which have been found to display minor genetic interactions with Spt16 (our unpublished data). However, since the quadruple deletion (*nhp6a/b, hmo1, hmo2*) is viable (Lu *et al.*, 1996), if HMG1-motif proteins play an essential role in yeast their redundancy must extend beyond this set of proteins. Spt16–Pob3 might also be able to function with other classes of DNA-binding protein, or even alone, with reduced efficiency. The genetic results indicate that Nhp6 is more effective than other proteins at promoting Spt16–Pob3 function, but it remains to be determined whether the formation of SPN–nucleosome complexes

involves specific protein–protein interactions between Spt16–Pob3 and Nhp6.

Nhp6 and SPN alter the properties of nucleosomes

Human FACT cosediments with nucleosomes or H2A–H2B dimers, and Orphanides *et al.* (1999) have suggested that FACT might act by releasing H2A–H2B from nucleosomes. We find that yeast SPN also binds to nucleosomes, and due to its modular nature we have been able to detect two distinct stages of binding. Nhp6 alone altered the electrophoretic mobility of nucleosomes, and addition of SPN caused both a further change in mobility and enhanced nuclease sensitivity. Notably, the strongest effects were in a region where H2A and H2B contact the DNA (Luger *et al.*, 1997). This enhanced digestion suggests a reorganization of the nucleosome, specifically in the region of H2A–H2B, which is consistent with the proposed weakening of the protein–protein contacts between H2A–H2B and H3–H4. Alternatively, SPN could act by changing the contacts between histones and DNA. Nucleosome remodeling factors have been proposed to act in this way by displacing a loop of DNA (Kingston and Narlikar, 1999), which can then propagate around the nucleosome. FACT does not display standard remodeling activity (Orphanides *et al.*, 1998), and Spt16–Pob3 does not have the ATPase activity normally associated with remodeling factors (Wittmeyer *et al.*, 1999). However, FACT/SPN might still share some mechanistic features with these factors. Our data are consistent with a model in which the HMG1-box factor associates with DNA near the entry/exit points of the nucleosome, then Spt16–Pob3 binds to this structure in such a way that the association of the DNA with the histone core is locally disturbed. Since the DNA passes the position of the H2A–H2B dimers twice, but we only see

enhanced DNase I sensitivity in one of these regions, we prefer models in which the DNA–histone interactions are disrupted locally to those in which the protein core is disturbed, although these possibilities are not mutually exclusive. In either case, SPN is a novel remodeling factor that does not require NTP hydrolysis and does not reposition nucleosomes, but reorganizes them in a way that is important for replication and transcription machinery.

Altering the interactions between the components of nucleosomes could be an important step in preparing sites for initiation of DNA replication or transcription, or in allowing polymerases to progress on nucleosomal templates. This ability would therefore explain the broad range of effects caused by mutations in SPN components. The results reported here provide tools that will promote investigation of the role of Spt16–Pob3 and Nhp6 in chromatin-mediated processes.

Materials and methods

Strains and plasmids

Strains are listed in Table III. *spt16-Δ(TRP1)* lacks residues 8 through the stop codon. pCDC68 (Prendergast *et al.*, 1990) and pTF125 are high copy *URA3 SPT16* plasmids. pTF128 has 746 bp upstream of the *SPT16* ORF and a modified but phenotypically normal *SPT16* (which now terminates GSPR) in YCplac111 (Gietz and Sugino, 1988). *NHP6A* and *NHP6B* were deleted as described (Costigan *et al.*, 1994). A construct that inserts *KanMX* into *URA3* (Cross, 1997, D.J.Stillman, in preparation) was used to convert *nhp6a-Δ(URA3)* to *nhp6a-Δ(KanMX)*. pTF146 is *NHP6B* with 1039 bp upstream and 786 bp downstream in YEplac195 (Gietz and Sugino, 1988).

SPT16 alleles were integrated using YIplac211 (Gietz and Sugino, 1988) derivatives digested with *Sna*BI. 5-FOA-resistant isolates (Boeke *et al.*, 1987) were obtained from Ura⁺ transformants and then screened for the Ts⁻ phenotype, tested by Southern hybridization and confirmed by sequencing relevant portions after PCR amplification. Other strains were as described previously (Malone *et al.*, 1991; Formosa and Nittis, 1999; Schlesinger and Formosa, 2000; Yu *et al.*, 2000) or derived from them using standard genetic methods.

spt16 mutant isolation and characterization

pTF128 was mutagenized with hydroxylamine as described (Schlesinger and Formosa, 2000) and used to transform strain 7737-3-2 (*spt16-Δ*) carrying pCDC68 (Prendergast *et al.*, 1990). Transformants were transferred to media containing 5-FOA (Boeke *et al.*, 1987), then replicates were incubated at 26 and 37°C. pTF128 derivatives were recovered from Ts⁻ isolates and retested. The MPT was determined by streaking aliquots onto agar plates at 1°C increments covering a range from 26 to 37°C, with the MPT being the highest temperature producing at least 10% of the growth obtained at 26°C.

S1 assays

RNA levels were quantitated by S1 nuclease protection using *HO* and *CMD1* probes followed by phosphorimager analysis as described (Bhoite and Stillman, 1998; Yu *et al.*, 2000).

Nucleosome binding and nuclease sensitivity

DNA fragments containing the sea urchin 5S DNA gene were amplified by PCR and labeled with polynucleotide kinase and [γ -³²P]ATP (Sambrook *et al.*, 1989). DNA and chicken histone octamers (Graziano *et al.*, 1988; a generous gift from V.Graziano and V.Ramakrishnan) were mixed in 2 M NaCl and dialyzed as described (Luger *et al.*, 1999). Nucleosomes were isolated by velocity sedimentation in fractions with 15% maltose, 0.1 mg/ml human serum albumin (Sigma), 25 mM HEPES pH 7.6, 1 mM Na₂EDTA, 0.3 μg/ml leupeptin, 1.4 μg/ml pepstatin and 0.5 mM phenylmethylsulfonyl fluoride (gradient buffer).

Spt16–Pob3 was purified as described (Wittmeyer *et al.*, 1999). *NHP6A* was amplified by PCR and inserted into pET16B, and the resulting His₁₀–Nhp6 was expressed in *Escherichia coli* BL21(DE3)-pLysS (Studier *et al.*, 1990) and purified by chelated nickel chromatography as described (Brazas *et al.*, 1995), with or without

additional purification using DNA cellulose chromatography. Untagged Nhp6 was purified from *E.coli* carrying pRJ1228 (Paull and Johnson, 1995) or from yeast cells by differential precipitation with TCA and cation exchange chromatography essentially as described (Paull and Johnson, 1995). Binding assays were performed by mixing nucleosomes or DNA (in 5 μl of gradient buffer) with 2 μl of a solution containing 10% glycerol, 20 mM Tris–HCl pH 7.5, 100 mM NaCl, 1 mM 2-mercaptoethanol, 1 mM Na₂EDTA and Nhp6 or Spt16–Pob3 as indicated in each experiment. Reactions were incubated for 10 min at 30°C, then electrophoresed at 180 V for 4–5 h at 4°C through 4% polyacrylamide, 5% (w/v) glycerol, 2 mM MgCl₂ and 0.5 × TBE (Sambrook *et al.*, 1989). Regions containing labeled DNA were excised and separated by SDS–PAGE and stained with silver (Ausubel *et al.*, 1994) or Coomassie Blue. The amount of DNA was determined by phosphorimaging, and the ratio of protein to DNA was quantitated using NIH Image software.

For immunodetection, proteins were transferred to nitrocellulose (Schleicher and Schuell BA83), probed with antisera directed against Spt16–Pob3 (Wittmeyer and Formosa, 1997) and detected with enhanced chemiluminescence as directed (Amersham-Pharmacia Biotech).

For nuclease digestions, the 5S DNA was digested with *Eco*RI, labeled, then digested with *Sca*I, releasing a fragment with 167 and 163 nucleotide strands with the label at the 5' overhang of the *Eco*RI cut. Nucleosomes and complexes were formed as above, then various amounts of DNase I (Boehringer-Mannheim) were added, the concentration of MgCl₂ was adjusted to 2 mM and the samples were incubated for 15 min at 30°C. EDTA and carrier DNA were added, the DNA was extracted with CHCl₃, precipitated with ethanol and electrophoresed on 8% polyacrylamide gels containing 7 M urea along with size standards.

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