## Effect of Oxidizing Disinfectants (Chlorine, Monochloramine, and Ozone) on *Helicobacter pylori*

Katherine H. Baker,<sup>1\*</sup> John P. Hegarty,<sup>1,2</sup> Brady Redmond,<sup>3</sup> Nathan A. Reed,<sup>3</sup> and Diane S. Herson<sup>3</sup>

Environmental Engineering Programs, Penn State Capital College, Middletown, Pennsylvania<sup>1</sup>; School of Biological Sciences, University of Central Lancashire, Preston, United Kingdom<sup>2</sup>; and Department of Biological Sciences, University of Delaware, Newark, Delaware<sup>3</sup>

Received 11 July 2001/Accepted 29 November 2001

The susceptibility of *Helicobacter pylori* to disinfectants was compared to that of *Escherichia coli*. *H. pylori* is more resistant than *E. coli* to chlorine and ozone but not monochloramine. *H. pylori* may be able to tolerate disinfectants in distribution systems and, therefore, may be transmitted by a waterborne route.

Helicobacter pylori colonizes 30 to 50% of the world's population. While colonization with the organism is asymptomatic in most individuals, infection with *H. pylori* is now recognized as a causative agent in chronic gastritis, as well as peptic and duodenal ulcer disease (1, 4, 13). In addition, infection with this organism is associated with mucosa-associated lymphoid tissue lymphoma and adenocarcinoma (21, 33). Despite the medical importance of *H. pylori* and its widespread occurrence, little is known about the natural history of the organism.

The mode of transmission of *H. pylori* remains an unresolved and controversial issue. Epidemiological data support either direct person-to-person transmission or transmission through a common source, such as food or water (3, 6, 11, 17, 25, 34). Several investigations have provided evidence that *H. pylori* may be transmitted by contaminated drinking water (5, 7, 14– 16, 19, 24, 26, 30, 31, 32). Recently, the USEPA Office of Ground Water and Drinking Water included *H. pylori* in its contaminant candidate list (7a), reflecting concerns over possible waterborne transmission. The potential presence of *H. pylori* in water necessitates the study of the efficacy of treatment processes against *H. pylori* in drinking water supplies.

Oxidizing disinfectants (chlorine, chloramines, and ozone) are the final barrier in the Environmental Protection Agencyrecommended multibarrier approach to providing pathogenfree water to the consumer. They are the most commonly used disinfectants for drinking water (23). Hypochlorite (chlorine) has been used as a disinfectant for more than 100 years. Hypochlorites are lethal to most microbes (28). Monochloramine (NH<sub>2</sub>Cl), produced by the reaction of free chlorine and ammonia in a process called chloramination, is generally considered a leading candidate as an alternative to free chlorine. The Denver Water Department has been using chloramination as a primary water disinfection process for more than 70 years (23). While it is not as common as chlorine or monochloramine, the use of ozone is increasing in the United States. The advantages of ozone use in drinking water include a greater oxidation potential than other disinfectants and rapid decomposition of residual ozone (22).

Johnson et al. (18) examined the effect of hypochlorous acid (chlorine) on *H. pylori*. They concluded that there was no significant difference between the susceptibilities of *H. pylori* and *Escherichia coli* to chlorine. However, they noted that carryover of organic matter from the blood agar plates on which the *H. pylori* was grown may have exerted a chlorine demand in their system. We have expanded the studies of Johnson et al. by examining the effect of three different oxidizing disinfectants—chlorine, monochloramine, and ozone on water-exposed cultures of *H. pylori*. In addition, we have compared the sensitivity of *H. pylori* to that of *E. coli*, the traditional indicator of microbiological water quality.

*H. pylori* (type strain ATCC 43504) was obtained from the American Type Culture Collection (Manassas, Va.). Stock cultures were maintained on 5% horse blood agar slants (BD Biosciences, Franklin, N.J.) overlaid with tryptic soy broth (BD Biosciences) supplemented with 0.25% yeast extract. The cultures were incubated under microaerophilic conditions with an AnaeroPack system, Pack-Campylo (Mitsubishi Gas Chemical Company, New York, N.Y.) at 37°C.

An *E. coli* isolate was obtained from a surface water sample by spread plating onto violet red bile agar with methylumbelliferyl- $\beta$ -glucuronide (BD Biosciences). Stock cultures of the organism were maintained by aerobic growth on tryptic soy broth or microaerophilic growth in biphasic culture as was done with the *H. pylori* culture.

Broth from mid-log-phase cultures of *E. coli* and *H. pylori* was harvested by centrifugation. Care was taken to exclude the extracellular debris that was regularly observed in the biphasic cultures. The harvested cells were resuspended in synthetic moderately hard groundwater (12) buffered with 0.05 M potassium phosphate (pH 7.0) (BMHW). Resuspended cells were then brought to a total volume of 10 ml and incubated at  $15^{\circ}$ C for 2 h. The resulting cell suspensions were considered to be water-exposed cells. In the case of *H. pylori*, microscopic examination of these suspensions indicated that most of the cells were present as individual cells and that the spiral form of the organism predominated (>80% of the cells).

Chlorine and monochloramine studies followed established protocols for the evaluation of disinfectants (2, 12, 18). All glassware was cleaned to remove chlorine demand. After cleaning, individual milk dilution bottles were filled with 100

<sup>\*</sup> Corresponding author. Mailing address: EMRL/TL105 Science & Technology Building, Penn State Capital College, 777 W. Harrisburg Pike, Middletown, PA 17057-4898. Phone: (717) 948-6308. Fax: (717) 948-6580. E-mail: khb4@psu.edu.

ml of BMHW prepared in chlorine-demand-free (CDF) water. Chlorine and monochloramine stock solutions were prepared before each experiment. Chlorine stocks were made by adding 200 µl of sodium hypochlorite (Hach, Loveland, Colo.) to 100 ml of CDF water. Free and total chlorine concentrations in the stock solution were determined by the *N*,*N*-dimethyl-*p*-phenylenediamine (DPD) method (12). Fresh monochloramine stock was prepared by adjusting the pH of 20 ml of CDF water to 7.5 with 1 M NaOH. Ammonium phosphate  $[(NH_3)_2PO_4;$ 320 mg] was dissolved in the water, and 100 µl of 50,000-ppm sodium hypochlorite reagent was added. The volume was immediately adjusted to 100 ml with CDF water and equilibrated for 1 h at room temperature. Combined chlorine (NH<sub>2</sub>Cl) was measured by the DPD/KI method (12). Free chlorine was below detectable levels in the monochloramine stocks.

Water-exposed cells ( $10^4$  to  $10^5$  CFU/ml) were added to the bottles. Experimental bottles received chlorine or monochloramine, while control bottles received additional BMHW. A minimum of three doses (0.1, 0.2, and 0.3 mg/liter) of chlorine were evaluated in triplicate for each organism. After addition of the disinfectant, the bottles were shaken vigorously for 30 s and then incubated under static conditions for the remainder of the contact time. Initial and endpoint free and total chlorine concentrations were measured by the DPD colorimetric method. A single dose of monochloramine (1.0 mg/liter) was tested for four exposure times (1, 5, 10, and 20 min). Initial and endpoint monochloramine concentrations were measured by the DPD/KI colorimetric method.

Ozonation studies were conducted as follows. Glass bottles with Teflon-lined caps were triple rinsed with double-distilled, deionized water and autoclaved for 20 min. The bottles were then filled with  $O_3$ -H<sub>2</sub>O at a concentration of 7 to 9 mg/liter as determined by the indigo colorimetric method (12) and left overnight. The bottles were drained and then baked in an 85°C oven for 1 h to ensure destruction of residual ozone.

Water-exposed cells were added to BMHW in the prepared glass bottles. The volume of BMHW in each bottle was such that the total volume would be 100 ml following the addition of  $O_3$ -H<sub>2</sub>O. Ozonated water was generated with a corona discharge unit (model CD-06; Aqua-Flo Inc., Baltimore, Md.) to create  $O_3$  gas that was then bubbled into double-distilled, deionized water through an air stone. The ozonated water was then transferred to the reaction vessels containing the organisms using a glass pipette that had been soaked in  $O_3$ -H<sub>2</sub>O (7 mg/liter) overnight. Control vessels contained BMHW instead of  $O_3$ -H<sub>2</sub>O. The amount of ozone added to each reaction vessel was determined by taking the mean ozone concentration from duplicate indigo colorimetric measurements performed both before and after dosing with  $O_3$ -H<sub>2</sub>O.

At the end of the contact time, subsamples were removed from the bottles for all of the disinfectants and immediately quenched with  $Na_2S_2O_3$ . Viable-cell numbers were determined by spread plating onto appropriate media (*E. coli*, mEndo agar and aerobic incubation; *H. pylori*, 5% horse blood agar and microaerophilic conditions).

All experiments were performed in triplicate. Data from each individual experiment were used to calculate the  $CT_{99}$ (CT value for 99% [2-log] reduction in viable organisms, where C is residual disinfectant concentration and T is the corresponding disinfectant contact time) for each disinfectant by



FIG. 1. Effect of chlorine on *H. pylori* and *E. coli*. All experiments were conducted in triplicate. Values are means  $\pm$  standard deviations. Best-fit lines were calculated by using linear regression.

plotting the log of the viable cells recovered against the mean disinfectant concentration (initial + final/2) for each experiment and determining the best-fit line by using least-squares regression. The regression lines were subsequently compared by analysis of variance. Statistical calculations were performed with Prism version 3.0 (GraphPad Software, San Diego, Calif.)

*H. pylori* was significantly more resistant to chlorine than *E. coli* (Fig. 1) (F = 12.74; P = 0.002). The difference between the two organisms was more pronounced at higher doses of chlorine. Thus, while exposure to 0.1 mg of chlorine per liter for 1 min resulted in a 0.3-log reduction in viable *H. pylori* cells and a 0.9-log reduction in viable *E. coli* cells, exposure to 0.20 mg of chlorine per liter for 1 min was associated with a 1.8-log reduction in viable *H. pylori* cells and a >4.0-log reduction in viable *E. coli* cells. The mean CT<sub>99</sub>s were 0.299 mg/liter  $\cdot$  min for *H. pylori* and 0.119 mg/liter  $\cdot$  min for *E. coli*. Comparison of the CT<sub>99</sub>s by using an unpaired *t* test indicated that they were significantly different (t = 3.26; P = 0.0471).

There were no significant differences between *H. pylori* and *E. coli* in susceptibility to monochloramine (Fig. 2) (F = 2.276; P = 0.148). The calculated CT<sub>99</sub>s were 9.5 mg/liter  $\cdot$  min for *H. pylori* and 11 mg/liter  $\cdot$  min for *E. coli*. These are not significantly different (t = 1.3; P = 0.2729)

The ozonation experiments showed a pattern of susceptibility similar to that observed in the chlorination studies. *H. pylori* was significantly more resistant to ozonation than *E. coli* (Fig. 3) (F = 12.01; P = 0.003). As with chlorination, the differences between the organisms increased with increasing dose. Calculated average  $CT_{99}$  values for the organisms were significantly different (t = 14.00, P = 0.0051), with *H. pylori* having a higher  $CT_{99}$  (0.24 mg/liter  $\cdot$  min) than *E. coli* (0.09 mg/liter  $\cdot$  min).

Our results indicate that *H. pylori* is significantly more resistant to the oxidizing disinfectants chlorine and ozone than *E. coli*. In a similar study, Johnson et al. (18) found differences between the  $CT_{99}$ s for *E. coli* and *H. pylori* treated with chlorine. They ascribed these differences not to differences between the organisms but rather to the presence of large amounts of particulate matter and aggregated cells in the *H. pylori* culture. In our studies, extensive washing and water expo



FIG. 2. Effect of chloramine on *H. pylori* and *E. coli*. All experiments were conducted in triplicate. Values are means  $\pm$  standard deviations. Best-fit lines were calculated by using linear regression.

sure of the microorganisms resulted in experimental cultures which were virtually free of particulate matter and aggregated cells. Therefore, our results support the conclusion that *H. pylori* is more resistant to chlorine and ozone than *E. coli*.

The concentrations of oxidizing disinfectants examined in this research were relatively low. A 1992 survey of disinfection practices in the United States conducted by the American Water Works Association indicated that a mean chlorine residual of 1.1 mg/liter and a median contact time of 45 min to the point of first use in the distribution system is typical for drinking water systems in the United States (10). Median levels of residual ozone used in disinfection of drinking water are reported to be 0.4 mg/liter. This is achieved by applying an ozone dose of 1.5 to 4.0 mg/liter of water (27). Therefore, it is unlikely that *H. pylori* enters drinking water distribution systems directly from treatment plants. Despite this, it is still possible that *H. pylori* may be present in municipal drinking water. Our data indicate that if *H. pylori* gains entry into the



FIG. 3. Effect of ozone on *H. pylori* and *E. coli*. All experiments were conducted in triplicate. Values are means  $\pm$  standard deviations. Best-fit lines were calculated by using linear regression.

distribution system, via either a break in treatment or infiltration into the system itself, it may be able to survive within the distribution system, where the level of oxidizing disinfectant is reduced.

Ozone rapidly degrades to oxygen and water in treated drinking water and is generally considered to provide no lasting disinfectant residual (10). The maintenance of a chlorine residual throughout the distribution system is important for minimizing bacterial growth and for indicating (by the absence of a residual) potential water quality problems in the distribution system. Currently, maximum chlorine dosage is limited by taste and odor constraints and by the need to comply with the total trihalomethane standard. Additionally, for systems using chlorination, the surface water treatment rule requires a minimum residual of 0.2 mg/liter prior to the point of entry into the distribution system and the presence of a detectable residual throughout the system. (20). Geldreich (10) reported a free chlorine concentration of 0.1 to 0.3 as typical of distribution systems. This concentration is well within the range in which H. pylori is more resistant to free chlorine than E. coli. Therefore, H. pylori might persist in a drinking water distribution system even in the absence of E. coli.

Disinfection  $CT_{99}$ s are based on laboratory studies using dispersed suspensions of organisms. In environmental waters, pathogens are usually aggregated or associated with cell debris, some of which may not be removed entirely by treatment processes. Cell-associated aggregates are considerably more resistant to disinfection. Once microbes are entrapped in the particles or adsorbed to surfaces, they can be shielded from disinfection (9). Biofilm bacteria grown on several surfaces were found to be 150 to 3,000 times more resistant to hypochlorous acid (free chlorine, pH 7.0) than similarly treated unattached microbes. In contrast, biofilm bacteria were 2- to 100-fold more resistant to monochloramine disinfection than unattached cells (8). Monochloramine appears to be better able to penetrate and kill biofilm bacteria than free chlorine, an important premise for maintenance of a chlorine residual.

Several researchers have examined the possible role of biofilms in the proposed waterborne transmission of *H. pylori* and have demonstrated that *H. pylori* is capable of forming biofilms under high-nutrient conditions (29) and of persisting in mixedspecies drinking water biofilms (31). Recently, Park et al. (26) documented the presence of *H. pylori* DNA in biofilm material from an existing cast-iron mains distribution pipe. Thus, *H. pylori* cells that enter a distribution system may be able to survive within a biofilm matrix. Cells derived from such a biofilm may be able to survive exposure to the disinfectant levels typical of distribution systems.

Our results indicate that *H. pylori* is more resistant than *E. coli* to chlorine and ozone at concentrations normally found within distribution systems. Thus, *H. pylori* cells entering a distribution system from outside sources or derived from biofilms within the system may be able to persist undetected in systems using either of these disinfectants. In contrast, *H. pylori* is as sensitive as *E. coli* to disinfection with monochloramine.

This research was supported in part by a grant from the United States Geological Survey (1434-HQ-96-GR-02694).

## REFERENCES

- Bernstein, C. N., I. McKeown, J. M. Embil, J. F. Blanchard, M. Dawood, A. Kabani, E. Kliewer, G. Smart, G. Coghlan, S. MacDonald, C. Cook, and P. Orr. 1999. Seroprevalence of *Helicobacter pylori*, incidence of gastric cancer, and peptic ulcer-associated hospitalizations in a Canadian Indian population. Dig. Dis. Sci. 44:668–674.
- Blaser, M. J., P. F. Smith, W. L. Wang, and J. C. Hoff. 1986. Inactivation of *Campylobacter jejuni* by chlorine and monochloramine. Appl. Environ. Microbiol. 51:307–311.
- Brown, L. M. 2000. *Helicobacter pylori*: epidemiology and routes of transmission. Epidemiol. Rev. 22:283–297.
- Chaun, H. 2001. Update on the role of *H pylori* infection in gastrointestinal disorders. Can. J. Gastroenterol. 15:251–255.
- Enroth, H., and L. Engstrand. 1995. Immunomagnetic separation and PCR for detection of *Helicobacter pylori* in water and stool specimens. J. Clin. Microbiol. 33:2162–2165.
- Everhart, J. E. 2000. Recent developments in the epidemiology of *Helico-bacter pylori*. Gastroenterol. Clin. N. Am. 29:559–578.
- Fan, X. G., A. Chua, T. G. Li, and Q. Zeng. 1998. Survival of *Helicobacter pylori* in milk and tap water. J. Gastroenterol. Hepatol. 13:1096–1098.
- 7a.**Federal Register**. 1997. Announcement of draft drinking water contaminant candidate list. Fed. Regist. **62**:52193–52219.
- 8. Ford, T. E. 1993. The microbial ecology of water distribution and outfall systems, p 455–482. *In* T. E. Ford (ed.), Aquatic microbiology: an ecological approach. Blackwell Scientific Publishers, London, United Kingdom.
- Gauthier, V., S. Redercher, and J. C. Block. 1999. Chlorine inactivation of Sphingomonas cells attached to goethite particles in drinking water. Appl. Environ. Microbiol. 65:355–357.
- 10. Geldreich, E. E. 1996. Microbial quality of water supply in distribution systems. Lewis Publishers, Boca Raton, Fla.
- Goodman, K. J., P. Correa, H. J. Aux Tengana, H. Ramirez, J. P. DeLany, G. Guerrero Pepinosa, M. Lopez Quinones, and T. Collazos Parra. 1996. *Helicobacter pylori* infection in the Colombian Andes: a population-based study in transmission pathways. Am. J. Epidemiol. 144:290–299.
- Greenberg, A. E., L. S. Clesceri, and A. D. Eaton (ed.). 1992. Standard methods for the examination of water and wastewater, 18th ed. American Public Health Association, Washington, D.C.
- Hassall, E. 2001. Peptic ulcer disease and current approaches to *Helicobacter pylori*. J. Pediatr. 138:462–468.
- Hegarty, J. P., M. T. Dowd, and K. H. Baker. 1999. Occurrence of *Helico-bacter pylori* in surface water in the United States. J. Appl. Microbiol. 87: 697–701.
- Hulten, K., H. Enroth, T. Nystrom, and L. Engstrand. 1998. Presence of Helicobacter species DNA in Swedish water. J. Appl. Microbiol. 85:282–286.
- Hulten, K., S. W. Han, H. Enroth, P. D. Klein, A. R. Opekun, R. H. Gilman, D. G. Evans, L. Engstrand, D. Y. Graham, and F. A. El-Zaatari. 1996. *Helicobacter pylori* in the drinking water in Peru. Gastroenterology 110:1031– 1035.
- Jimenez-Guerra, F., P. Shetty, and A. Kurpad. 2000. Prevalence of and risk factors for *Helicobacter pylori* infection in school children in Mexico. Ann. Epidemiol. 10:474–476.
- Johnson, C. H., E. W. Rice, and D. J. Reasoner. 1997. Inactivation of Helicobacter pylori by chlorination. Appl. Environ. Microbiol. 63:4969–4970.

- Klein, P. D., D. Y. Graham, A. Gaillour, A. R. Opekun, and E. O. Smith. 1991. Water source as risk factor for *Helicobacter pylori* infection in Peruvian children. Gastrointestinal Physiology Working Group. Lancet 337:1503–1 506.
- Koivusalo, M., and T. Vartiainen. 1997. Drinking water chlorination byproducts and cancer. Rev. Environ. Health 12:81–90.
- Konturek, P. C., S. J. Konturek, T. Starzyska, K. Marlicz, W. Bielanski, P. Pierzchalski, E. Karczewska, A. Hartwich, K. Rembiasz, M. Lawniczak, W. Ziemniak, and E. C. Hahn. 2000. *Helicobacter pylori*-gastrin link in MALT lymphoma. Aliment. Pharmacol. Ther. 14:1311–1318.
- 22. Langlas, B., D. A. Reckhow, and D. R. Brink (ed.). 1991. Ozone in water treatment: application and engineering. American Water Works Association Research Foundation and Compagnie Général des Eaux cooperative research report. Lewis Publishers, Chelsea, Mich.
- Margolin, A. B. 1997. Control of microorganisms in source water and drinking water, p. 195–202. *In C. J. Hurst, G. R. Knudsen, M. J. McInerney, L. D.* Stetzenbach, and M. V. Walter (ed.). Manual of environmental microbiology. American Society for Microbiology, Washington, D.C.
- McKeown, I., P. Orr, S. Macdonald, A. Kabani, R. Brown, G. Coghlan, M. Dawood, J. Embil, M. Sargent, G. Smart, and C. N. Bernstein. 1999. *Helicobacter pylori* in the Canadian arctic: seroprevalence and detection in community water samples. Am. J. Gastroenterol. 94:1823–1829.
- Mendall, M. A., P. M. Goggin, N. Molineaux, J. Levy, T. Toosy, D. Strachan, and T. C. Northfield. 1992. Childhood living conditions and *Helicobacter* pylori seropositivity in adult life. Lancet 339:896–897.
- Park, S. R., W. G. Mackay, and D. C. Read. 2000. *Helicobacter* sp. recovered from drinking water biofilm sampled from a water distribution system. Water Res. 35:1624–1626.
- Peeters, J. E., E. A. Mazas, W. J. Masschelein, I. V. Martinez de Maturana, and E. Debacker. 1989. Effect of disinfection of drinking water with ozone or chlorine dioxide on survival of *Cryptosporidium parvum* oocysts. Appl. Environ. Microbiol. 55:1519–1522.
- Rutala, W. A., and D. J. Weber. 1997. Uses of inorganic hypochlorite (bleach) in health-care facilities. Clin. Microbiol. Rev. 10:597–610.
- Sasaki, K., Y. Tajiri, M. Sata, Y. Fujii, F. Matsubara, M. Zhao, S. Shimizu, A. Toyonaga, and K. Tanikawa. 1999. *Helicobacter pylori* in the natural environment. Scand. J. Infect. Dis. 31:275–279.
- Shahamat, M., U. Mai, C. Paszko-Kolva, M. Kessel, and R. R. Colwell. 1993. Use of autoradiography to assess viability of *Helicobacter pylori* in water. Appl. Environ. Microbiol. 59:1231–1235.
- Stark, R. M., G. J. Gerwig, R. S. Pitman, L. F. Potts, N. A. Williams, J. Greenman, I. P. Weinzweig, T. R. Hirst, and M. R. Millar. 1999. Biofilm formation from *Helicobacter pylori*. Lett. Appl. Microbiol. 28:121–126.
- Velazquez, M., and J. M. Feirtag. 1999. *Helicobacter pylori:* characteristics, pathogenicity, detection methods and mode of transmission implicating foods and water. Int. J. Food Microbiol. 53:95–104.
- Wang, X., R. Willen, C. Andersson, and T. Wadstrom. 2000. Development of high-grade lymphoma in *Helicobacter pylori*-infected C57BL/6 mice APMIS 108:503–508.
- 34. Yamashita, Y., T. Fujisawa, A. Kimura, and H. Kato. 2001. Epidemiology of *Helicobacter pylori* infection in children: a serologic study of the Kyushu region in Japan. Pediatr. Int. 43:4–7.