# **Kinesin crouches to sprint but resists pushing**

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**Recent optical trap experiments have applied resisting, assisting, and sideways loads to conventional kinesin moving on microtubules at fixed [ATP]. To gain insight into intermediate motions when the motor protein takes its 8.2-nm steps, the velocity and randomness data have been analyzed by using discrete-state stochastic models with a three-dimensional ''energy landscape.'' The bead size and tether angle play a crucial role. The analysis implies that on binding ATP the motor ''crouches,'' the point of attachment of the tether at the necklinker junction moving down**ward toward the microtubule by 0.5-0.7 nm, while inching forward by only 0.1-0.2 nm, before completing the step from a **transition state by a unitary ''sprint'' of 7.8 nm. These inferences accord with high-resolution observations that exclude a previously predicted substep of 1.8 –2.1 nm. Assisting and leftward loads are opposed in that the perpendicular component of the tension in the tether is enhanced by 2 pN, which reduces the velocity, but sideways lurching is not supported.**

motor protein  $|$  three-dimensional landscape  $|$  vectorial loading

Conventional kinesin is a processive motor protein that walks<br>along a microtubule (MT) toward the plus end, taking hundreds of  $d = 8.2$ -nm steps while hydrolyzing one molecule of ATP per step (at least under loads not too high) before finally dissociating from the MT (1). *In vivo* kinesin plays an important role by transporting vesicles and organelles. *In vitro* it has been studied with the aid of optical traps that exert a measured force on a bead attached to the motor's tether while it walks on an MT fixed on a flat microscope stage (1–4) (see Fig. 1). Understanding the mechanochemical processes and intermediate motions that a motor undergoes in taking a single step has posed serious challenges to experiment and theory. Observations of forcevelocity-[ATP] relations offer a potential route to gaining insight.

The vectorial character of the load<sup>†</sup>  $\mathbf{F} = (F_x, F_y, F_z)$  transmitted by the tether to its point of attachment, *P*, on the body of the motor, where the two necklinkers join, has been appreciated for some time (refs. 1 and 5 and references therein). In the original bead assays (1–4) only a resistive load component  $F_x < 0$  was accessible. Nevertheless, a decade ago Howard and coworkers (6) implemented an ingenious MT buckling experiment designed to measure, *Fz*, the ''vertical'' or perpendicular component of the force vector *F* (but still with  $F_x \le 0$ ); this study will be revisited (5) briefly below.

More recently, however, Lang *et al.* (7) developed a "twodimensional optical force clamp'' that can exert controlled assisting, i.e.,  $F_x > 0$ , loads as well as resisting and sideways loads  $(F_y \neq 0)$ . Using this clamp, Block *et al.* (8) monitored the position,  $x(t)$ , of kinesin motors as a function of time *t* when they moved along the MT, recording over a wide range of conditions the mean velocity,  $V(F, [ATP]) \approx \langle x(t) \rangle / t$ , and the randomness,  $r(F, [ATP]) \approx \langle [\Delta x(t)]^2 \rangle / d\langle x(t) \rangle \approx D/Vd$ , with *D* the positional dispersion (see refs. 4 and 9–11).

A crucial observation, using  $0.5$ - $\mu$ m diameter beads, was that  $V(F_x)$  at high [ATP] decreased under assisting loads! See Fig. 24 that also shows that whereas  $V(F_x)$  increases with  $F_x$ when  $[ATP] = 4.2 \mu M$ , it rises only to  $\sim 1.3 V(0)$ . These findings contrast with the previous, rather noisy (and otherwise intrinsically troubling) data of Coppin *et al.* (12) with

**Assisting** Resisting  $\eta\eta\eta\eta_{-F_s}$  $\overline{MHHHH}$   $_{\rm F_x}$ ' $\overline{HHHHH}$ 

**Fig. 1.** Diagram of an MT-kinesin-bead complex in the (*x*, *z*) plane with a bead of radius,  $R$ , a tether, of length  $I_0$  and inclination angle  $\Theta$ , which transmits the total vectorial load  $F = (F_x, F_y = 0, F_z)$  to the point of attachment, P, on the motor, for a resisting load,  $F_x < 0$ ,  $\Theta > 0$  (Left) and an assisting load,  $F_x > 0$ ,  $\Theta <$ 0 (*Right*). The offset *z* represents the rms perpendicular thermal fluctuation of the bead as limited by collisions with the MT (2).

 $1-\mu m$  beads that indicated significant acceleration ratios, up to  $V(F_x = 5 \text{ pN})/V(0) \approx 2.9 \text{ for [ATP]} = 5 \mu \text{M}$ . At first sight, these increases seemed to smoothly continue fits to resisting,  $F_x$  < 0, data merely by switching the sign of  $F_x$  (11). Block *et al.* (8), however, express serious concerns regarding these experiments, noting a lack of force clamping and a lower spatiotemporal resolution that may have failed to discount forward-jumping detachment-reattachment events. Furthermore, subsequent observations by Carter and Cross (13) (using 0.50- and 0.56- $\mu$ m beads) reach assisting loads of 15 pN at  $[ATP] = 1$  mM and 10  $\mu$ M and, although somewhat disperse, fully confirm the conclusions of Block and coworkers (8) for  $F_x > 0$ . Accordingly, we believe the Block data (8) warrant further analysis.

Under sideways loads, up to  $F_y = \pm 8$  pN, the velocity of kinesin was observed (8) to decrease asymmetrically by 15–30% (see Figs. 8 and 9). In discussing their findings, Block *et al.* (8) examined various four- and five-state kinetic models: however, they invoked smooth rate dependence only on  $F_x$  and  $F_y$  and neglected two or more reverse rates. From the fitted parameters of an  $(N = 5)$ -state model judged acceptable they concluded that the motor (better, say, the point of attachment at the necklinker junction) swings systematically from side to side by  $\sim 0.5$  nm while taking a step, perhaps a surprising inference. In addition, a dominant transition state was located at  $d_0^+ \approx 2.7$  nm along the MT from the strongly bound state. The corresponding fits (8) to velocity and randomness are the dotted curves in Fig. 2. Although these fits are not unreasonable, they fail entirely to capture the clear decrease of the velocity under assisting loads at high ATP, appear to rise too rapidly under assisting loads at low ATP, and miss the increase of the randomness above unity at low ATP.

Our first aim here is to allow explicitly for the manifest vectorial character of the load *F*, which, as evident from Fig.

Abbreviation: MT, microtubule.

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<sup>†</sup>We use right-handed coordinates with, as in Fig. 1, the *x* axis parallel to the MT and directed toward the plus end, while the *z* axis is normal to the (*x*, *y*) plane on which the MT is fastened (5).

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**Fig. 2.** The data of Block and coworkers (8), and their fits using an (*N* - 5)-state model, for the velocity (*A*) and randomness (*B*) of kinesin as functions of  $F_x$  (with  $F_y$  = 0) at fixed [ATP]. The solid curves are the present  $N = 2$  fits, and the dashed plot in *B* illustrates the imposition of a previous mechanicity value (11) (see text).

1, cannot be adequately described when switching from a resisting to an assisting configuration merely by changing the sign of  $F_x$ . Thus, we go beyond previous simple, but initially adequate, ''one-dimensional'' stochastic landscape models for kinesin and myosin V (11, 14). Second, we hope to achieve a qualitatively better description and hence draw more reliable conclusions regarding intermediate motions and substeps.

To this end we have implemented a three-dimensional freeenergy landscape treatment (5) as illustrated in Fig. 3. This approach recognizes that the tension in the bead-tether-kinesinbody linkage acquires a perpendicular or vertical component, *Fz*, determined by the tether angle  $\Theta$ , when a longitudinal-cumtransverse load,  $(F_x, F_y)$ , is imposed by a trap (see Fig. 1). The resulting fits, the solid curves in Fig. 2, show that a two-state kinetic model [supplemented with mechanicity parameters (10, 11, 15)] is fully adequate.

Detailed implications are discussed below, but, significantly, the analysis resolves a serious discrepancy between the original prediction (11) of a  $\sim$ 2-nm substep and high-resolution observations of single forward steps by Higuchi and coworkers (16) that rule out this conclusion, as do measurements of both forward and backward steps by Carter and Cross (13).‡ Furthermore, sideways motions are not implied although the motor ''crouches'' on binding ATP and specifically resists assisting and leftward loads. It is predicted (see Fig. 6) that increasing the bead



**Fig. 3.** Schematic contour map of an overall free energy landscape in the (*x*, z) plane for an (N = 2)-state model of the motion of the point of attachment,  $P = r = (x, y, z)$ , of the tether to the motor body. Locally stable states correspond to valleys or potential wells; cols, passes, or saddle points on paths connecting adjacent wells describe transition states (5). Here, two successive ATP-free states, labeled 0 and 2  $\equiv$  0, are shown with a single (N  $-$  1  $=$  1) intermediate mechanochemical state, 1, and the two corresponding  $(N = 2)$ transition states (thick crosses). Substeps  $d_0$  and  $d_1$  are determined by the load distribution factors  $\theta_0^{x+}$ ,  $\theta_1^{x-}$ , ...

size should markedly reduce the velocity under assisting loads and vice versa.

# **Theoretical Approach**

We suppose that a kinesin motor, when it takes a forward step of length  $d = 8.2$  nm from one MT binding site *l* to the next site  $l + 1$ , undergoes N intermediate biochemical transitions from state  $j = 0$ <sup>*l*</sup> to  $1$ <sup>*l*</sup> to  $\ldots$  to  $(N - 1)$ *l* to  $N$ <sup>*l*</sup> =  $0$ <sub>*l*+1</sub> (5, 10, 11, 14). Kinetic rates  $u_i$  and  $w_i$  describe the transitions  $(j_l \rightarrow (j + 1)_l)$  and  $(j_l \rightarrow (j-1)_l)$ , respectively. To implement an overall free-energy landscape picture one then assumes that the underlying reaction coordinate may be identified with the point of attachment of the tether to the motor at  $r = (x, y, z)$ , which is represented by P in Fig. 1.

The corresponding free-energy potential,  $\Phi(r)$ , is periodic in the absence of a load ( $F = 0$ ) as illustrated for the simplest  $N =$ 2 case by the contour plots in Fig. 3. Minima in the potential represent mechanochemical states, *jl*, with typical lifetimes of milliseconds (or longer). More than one distinct biochemical state may be associated with a given potential well simply because the associated displacements in *r* are negligibly small.§ Transition states between two mechanochemical states *jl* and  $j_{l+1}$  are realized as cols or saddle points in the free-energy landscape. Also shown in Fig. 3 are dimensionless load distribution factors  $\theta_j^{x+}, \theta_j^{x-}, \theta_j^{z+},$  and  $\theta_j^{z-}$ , although in general one needs three-component load distribution vectors,  $\boldsymbol{\theta}_j^{\pm} = (\theta_j^{x \pm},$  $\theta_j^{\mathcal{Y}^\pm}$ ,  $\theta_j^{\mathcal{Z}^\pm}$ ). These provide crucial fitting parameters in describing experimental data since they serve to specify substeps and lateral and vertical displacements by  $d_j = (\theta_j^{x+} + \theta_{j+1}^{x-})d$ ,  $\Delta y_j =$  $(\theta_j^{y+} + \theta_{j+1}^{y-})d$ , etc. (5).

Within the kinetic model explicit expression for the velocity and the randomness are known for general *N* (10, 11) even when, to allow for diffusive transitions or multiple biochemical states within a single mechanochemical state *j*, one allows for waiting time distributions,  $\psi_j^{\pm}(t)$ , that may be parameterized effectively by mechanicities  $M_j^{\pm}$ 

<sup>‡</sup> (15). In discussing backward steps under superstall loads, Carter and Cross (13) refer to ref. 11 and argue that back steps are unlikely to result in ATP synthesis. However, their assertions rest on a misconception of the significance of dwell times before forward and backward steps: unlike the relative frequencies of  $+$  and  $-$  steps, the mean dwell times will always be equal and must rise (or fall) together with changes in [ATP], etc. (see ref. 17).

<sup>§</sup>Or, in principle, because the biochemical transitions in the ''full chemical space'' have vanishing projection onto the subspace specified by *r*.



**Fig. 4.** Plots of  $tan \Theta$  vs.  $F_x$  for Models 0, I and II, where  $\Theta$  is the inclination angle of the bead-kinesin linkage; see text.

To account for an external load,  $F = (F_x, F_y, F_z)$ , the energy landscape  $\Phi(r)$  is tilted by the addition of a term  $-F \cdot r$ . Then, by relating the transition rates to the free-energy barrier heights, one finds that the forward and reverse rates under zero load,  $u_j^0$ and  $w_j^0$ , become

$$
u_j(\mathbf{F}) = u_j^0 \exp\{+[\boldsymbol{\theta}_j^+ \cdot \mathbf{F}d + \frac{1}{2}\mathbf{F} \cdot \boldsymbol{\eta}_j^+ \cdot \mathbf{F} + \cdots]/k_{\mathrm{B}}T\}, \qquad [1]
$$

$$
w_j(\mathbf{F}) = w_j^0 \exp\{-\left[\mathbf{\theta}_j^-\cdot \mathbf{F}d + \frac{1}{2}\,\mathbf{F}\cdot \mathbf{\eta}_j^-\cdot \mathbf{F} + \cdots\right]/k_B T\},\qquad [2]
$$

where  $\eta_j^+$  and  $\eta_j^-$  are relative compliance matrices (1, 5).

## **Analysis of Longitudinal Force Data**

To describe data taken under a longitudinal load  $F_x$  (with  $F_y$  = 0), it is necessary, before Eqs. **1** and **2** can be used, to know the perpendicular component  $\vec{F_z}$ . This must be a function of  $F_x$ , say  $F_z = T_z(F_x)$ . On considering the geometry of the bead-tethermotor-track in Fig. 1, the simplest ansatz is

Model 0: 
$$
F_z = -F_x \cot \Theta(F_x) = c_{\parallel} |F_x|
$$
. [3]

This expression merely asserts that the inclination angle  $\Theta$ remains constant under resisting loads but switches sign when the load becomes assisting as embodied in Fig. 4. The constant  $c_{\parallel}$  = |cot $\Theta$ | follows from the general relation

$$
\cot \Theta = -\frac{F_z}{F_x} = \frac{R + \Delta z}{[(l_0 - \Delta z)(2R + l_0 + \Delta z)]^{1/2}},
$$
 [4]

which reflects the geometry of Fig. 1. For typical beads of diameter  $2R = 0.50 \mu m$  one may accept a fluctuation  $\Delta z = 5 \text{ nm}$  (2). However, the tether length of kinesin is not well established. Examination of photomicrographs [e.g., figure 12.3E of ref. 1] suggests  $l_0 = 58-60$  nm. However, 70 nm seems possible and, conversely, shorter lengths may arise experimentally if some section of the tether adjacent to the tail adheres to the bead. Furthermore, there are structural aspects of the coiled-coil, a bend and a kink, that may play a role. Accordingly, it is reasonable to adopt  $l_0 = 60$  nm, which leads to  $\Theta \approx 35^{\circ}$  and  $c_{\parallel} \approx 1.45$ ; but values from 1.30 to 1.60 are not implausible.

As seen in Fig. 4, Model 0 implies an unrealistic discontinuity in  $F_{z}(F_{x})$  at zero load, which then leads to a cusp-like variation of the velocity. In reality, however, thermal fluctuations dominate at low loads and amplify measurement uncertainties. To recognize this a more plausible ansatz is



**Fig. 5.** A schematic mechanism representing Model II. The arrow  $F_1$  corresponds to the second term in Eq. **6**.

Model I: 
$$
F_z = T_z(F_x) = c_{\parallel} \sqrt{F_x^2 + F_0^2}
$$
, [5]

in which  $F_0$  represents force fluctuations that typically have a magnitude  $0.3$  pN  $(7, 8)$  (see dotted plot in Fig. 4).

Now both Models 0 and I imply a symmetric variation of  $\Theta(F_x)$  when  $F_x$  changes sign and likewise for  $\mathcal{F}_z(F_x)$ . However, in view of MT polarity and the directionality of kinesin, it should be no surprise that the data of Block *et al.* (8) reveal a strong asymmetry in the underlying  $F_z(F_x)$  relation. One may represent such an asymmetry in various ways: but (*i*) the geometric limit  $F_z = c_{\parallel}F_x$  should still apply for large  $F_x$  (>0) and  $(ii)$  a smooth variation with  $F_x$  is required physically. Consequently, a simple approach is to add to  $cot\Theta$  in Eq. 3 a step function, say,  $\frac{1}{2}(1 + F_x/\sqrt{F_x^2})$ . After smoothing with the thermal fluctuation  $F_0^2$ , this yields

Model II: 
$$
F_z = c_{\parallel} \left[ \sqrt{F_x^2 + F_0^2} + \frac{F_1}{2} \left( 1 + \frac{F_x}{\sqrt{F_x^2 + F_0^2}} \right) \right]
$$
. [6]

The amplitude  $F_1$  is a new parameter to be determined by fitting. In fact,  $F_1 = 2.0$  pN works well. The implications of this model are shown in Fig. 4.

At first sight the step function in Eq. **6** seems artificial; but, as depicted schematically in Fig. 5, it has a simple mechanical interpretation. When the load switches to assisting, strain in the motor, or torque at the tether-necklinker junction, etc., comes into play and the ''spring'' in Fig. 5 exerts an additional vertical force that one might take as  $F_1 \approx K_1$  sin $\Theta$  with  $K_1 \approx 3.5$  pN: this force component, in turn, increases the tension  $F$  in the tether. Note that the asymmetry recognized here has been seen by Uemura and Ishiwata (18) in measurements of unbinding force distributions for kinesin. Specifically, they observed that the required external force became smaller when applied as an assisting load.

# **Velocity-Force-[ATP] Data**

Having established in Model II an adequate  $\mathcal{F}_z(F_x)$  relation, we may analyze the longitudinal force data of Block *et al.* (8) along the lines (11) used for the previous resisting-load data (4, 19). Thus for the initial rate  $u_0$ , which represents ATP binding, we take  $u_0 = k_0$ [ATP]; subsequent rates,  $u_j$  and  $w_j$ , for  $j \neq 0$  must be independent of [ATP]. The final reverse rate,  $w_0$ , is of significance only near stall,  $F_x = -|F_s|$ , which is not of primary concern here. Accordingly, we follow ref. 11 and adopt the phenomenogical relation  $w_0^0 = k_0'[\text{ATP}]/(1 + [\text{ATP}]/c_0)^{1/2}$ ; the square root, in which  $c_0$  describes the ATP regeneration process  $(4, 19)$ , plays only a small role.<sup> $\text{I}$ </sup>

On this basis an  $N = 2$  model provides a good description of the  $V(F_x, [ATP])$  data (8) with the rates

<sup>¶</sup>Detachments of the motor from the MT could be included explicitly following refs. 11 and 15 but serve only to renormalize the fitted rates slightly (15).

$$
k_0^0 = 1.35 \ \mu\text{M}^{-1} \cdot \text{s}^{-1}, \quad w_1^0 = 5.0 \ \text{s}^{-1}, \quad u_1^0 = 100 \ \text{s}^{-1},
$$
  
\n $k_0' = 2.04 \times 10^{-3} \ \mu\text{M}^{-1} \cdot \text{s}^{-1}, \quad c_0 = 20 \ \mu\text{M},$  [7]

while the load distribution vectors (with the factor  $c_{\parallel} = 1.45$ incorporated in the *z* components) are

$$
\theta_0^+ d = (0.98, 0, -0.38) \text{ nm}, \n\theta_1^- d = (-0.83, 0, -0.27) \text{ nm}, \n\theta_1^+ d = (0.26, 0, -0.23) \text{ nm}, \n\theta_0^- d = (7.79, 0, 0.88) \text{ nm}.
$$
\n
$$
(8)
$$

As in ref. 11, the (somewhat correlated) fitting uncertainties amount to a few digits in the last place quoted.

Apart from the larger value of  $k'_0$ , the parameters 7 are comparable to those based on fits (11) to the data of Visccher and colleagues (4, 19). Note also that only six independent load distribution parameters enter the fitting, since, owing to periodicity (5),  $\sum_j \theta_j^{\pm} = (1, 0, 0)$ . The quality of the fits can be judged from the solid curves in Fig. 2*A*. Note how well the variation under assisting loads is captured for low and high [ATP].

The load distribution vectors **8** imply a very small forward substep on binding ATP of only  $d_0 = 0.1 - 0.2$  nm. This substep differs markedly from the value  $d_0 = 1.8 - 2.1$  nm found previously (11) by using a one-dimensional landscape formulation and data only for  $F_x < 0$  (4, 19). That conclusion, when advanced, was in accord with evidence regarding structural changes associated with nucleotide binding. However, as mentioned, it cannot be maintained in light of single-step measurements by Higuchi and coworkers (16) and Carter and Cross (13) that exclude substeps  $\approx 0.8$  nm and associated states with lifetimes exceeding tens of microseconds. The new, small value for  $d_0$  is fully in accord with these studies.

Furthermore, the transition state after ATP binding (and possibly further steps of hydrolysis and product release) is located at  $d_0 + d_1^+ \approx 0.4$  nm. This finding implies a single, rapid unitary movement of  $\approx 7.8$  nm to complete a full 8.2-nm step, presumably while the rear kinesin head moves, hand over hand, to become the new forward head (20), again in accord with the high-resolution observations (13, 16).

On the other hand, the *z*-components in **8** indicate that on binding ATP the point of attachment experiences a relatively large downward movement,  $\Delta z_0 = -0.5 - 0.7$  nm. In this sense the kinesin motor appears to crouch before ''sprinting.''

This crouching move may well be related to the suggestion of Rice *et al.* (21). In their model, the binding of ATP to the attached head of kinesin is supposed to induce the random coil of the necklinker to fold down onto the catalytic core of the motor domain. That will bring the attachment point P downward, closer toward the MT surface (and thereby facilitate binding of the leading head).

To understand crouching intuitively and see why it follows from the observed decrease of  $V(F_x)$  under assisting loads at high [ATP], consider Model 0 (with  $N = 2$ ). Then  $u_1(F_x)$  dominates the velocity and varies as

$$
u_1^0 \exp[(F_x \theta_1^{x+} + c_{\parallel} |F_x| \theta_1^{z+})d/k_{\mathrm{B}}T].
$$
 [9]

Thus  $V(F_x)$  falls toward stall under resisting loads,  $F_x = -|F_x|$ , provided  $\hat{\theta}_1^{x+} > c_{\parallel} \theta_1^{z+}$ ; but under assisting loads  $V(F_x)$  will rise exponentially fast unless  $\theta_1^{z+}$  is more negative than  $-\theta_1^{x+}/c_{\parallel}$  (as is verified by the fits 8). And, negative values of  $\theta_j^{zz}$  imply crouching. Similar arguments apply at low [ATP].

Note, however, the dependence on  $c_{\parallel}$ , which, in turn, depends on the geometry of the bead-tether-motor linkage and, hence, on the diameter, 2*R*, of the beads used. In particular, bigger beads



**Fig. 6.** Predictions for the velocity vs.  $F_x$  for bead diameters 2 $R = 100$  nm-1.88  $\mu$ m [following from 4 but neglecting changes in  $\Delta z$  (9)].

lead, by Eq. 4, to larger values of  $c_{\parallel}$  and thence to more rapidly falling velocities under assisting loads and vice versa for smaller beads. As illustrated in Fig. 6, the effects, while small for resisting loads, are quite dramatic for  $F_x > 0$  and so provide a route for testing the overall theory.

Clearly, changes in the tether length  $l_0$  also change  $\Theta$  and  $c_{\parallel}$ . Thus, by engineering a longer tether,  $c_{\parallel}$  is decreased so that at fixed  $F_x$  (not too close to zero) the  $F_z$  component of the tether tension is reduced and the velocity will generally rise.

The fits **7** and **8** provide a general expression for  $V(F_x, 0, F_z;$ [ATP]), which, at fixed [ATP], is readily appreciated via contour plots in the  $(F_x, F_z)$  plane. Such a plot is presented in figure 5 of ref. 5. Increasing  $F_z$  at fixed  $F_x \ge -4$  pN decreases *V* contrary to the MT-buckling experiments of Howard and coworkers (6). Likewise, their observations of velocities significantly in excess of zero-load values are not supported. Indeed, we find  $V(F_x, 0, F_z)$  $V(F = 0)$  only for assisting loads with  $F_x \ge F_z$ . If the buckling experiments are validated the velocity enhancement might be a consequence of distortions in the curved MTs (5).

## **Randomness**

Because the randomness at high [ATP] lies below  $r = 0.45$  for  $F_x \ge$  3.5 pN (see Fig. 2*B*), no purely kinetic two-state model can fit the data (2, 11, 19, 22). Four transitions are expected biochemically: (0  $\rightarrow$  1) ATP binding; (1  $\rightarrow$  2) hydrolysis; (2  $\rightarrow$  3) release of P<sub>i</sub> or, from the forward head (20, 23), ADP; (3  $\rightarrow$  4 = 0) release of ADP, or Pi from the original rear head. Indeed, we find good fits for *V* and *r* by using four-state models (see Fig. 7).<sup>||</sup> Thus on imposing the same small step  $d_0 = 0.15$  nm as from the  $N = 2$  model together with  $\theta_1^+$  $= \theta_2^{\pm} = \theta_3^{\pm} = 0$ ; the solid line in Fig. 7 plots the result. The fit to *V* is as good as for  $N = 2$ , whereas the fits for *r* at  $[ATP] = 1.6$  mM are rather better. The load distribution assignment implies that the biochemical states 1, 2, and 3 are ''colocalized,'' i.e., mechanically indistinguishable. Consequently, the dominant substep,  $d - d_0 \simeq$ 8.0 nm (or ''power stroke''), corresponds to second-product release  $(3 \rightarrow 4 \equiv 0)$ . The fitted values of  $\theta_0^+$  and  $\theta_1^-$  imply  $\Delta z_0 \simeq -0.45$  nm so that the motor crouches; the final transition state is located at  $d_0 + d_1^+ \simeq 0.6$  nm.

Although we regard this four-state fit as close to optimal, the data (8) cannot distinguish definitively among other alternatives<sup>\*\*</sup> provided one allows  $d_0$  to be as large as 0.6 nm (still

The fitted parameters for the four-state models in Fig. 7 are presented in *Supporting Text*, which is published as supporting information on the PNAS web site.

<sup>\*\*</sup>An equivalent ambiguity emerged in ref. 11.



**Fig. 7.** Velocity (A) and randomness (B) data (8) fitted by ( $N = 4$ )-state models with  $d_0 = 0.15$  imposed and biochemical states 1, 2 and 3 colocalized (solid curves), and  $d_0 = 0.6$  nm with states 1 and 2 and, separately, 3 and 4 colocalized (dashed lines) and states 2, 3, and 4 colocalized (dotted lines); see text.

consistent with refs. 13 and 16). Then one may suppose that the main substep, now  $d_1 \approx 7.6$  nm, is switched to  $(2 \rightarrow 3)$ , describing first-product release, or to  $(1 \rightarrow 2)$ , simple hydrolysis; in both cases the motor is predicted to crouch, by 0.81 and 0.90 nm, respectively. Evidently (see the dashed and dotted plots in Fig. 7) the quality of these fits is distinctly lower especially in the second case. Nevertheless, the data appear not to exclude an identification of the power stroke with the first step of product release (especially, if  $d_0$  is set as high as 0.8 nm). Note this alternative implies that a motor will appear to have completed its mechanical step while still needing some 3 ms to complete full product release.

In the absence of further experimental evidence, we return to the  $N = 2$  model and, to fit the randomness, introduce mechanicities  $M_j^{\pm} > 0$  (10, 11, 15).<sup>††</sup> The previous kinesin data (4, 19) for  $F_x \le 0$  and [ATP]  $\ge 0.3$  mM (11) were well fit with  $M_1^+ \approx$ 0.6; and that remains roughly true (see the dashed plot in Fig. 2*B*). Under assisting loads, however, the discrepancies grow excessively. This defect can be repaired, nevertheless, by increasing  $M_1^+$  to 0.65 and setting  $M_1^- \approx 0.9 \pm 0.1$ . Such a large value indicates that the mechanochemical state  $j = 1$  encompasses at least two biochemical states, indicating, again, that hydrolysis cannot reasonably be associated with the  $\sim$ 8.0-nm substep.



**Fig. 8.** Lateral  $(F_x = 0)$  force-velocity data and fit (dotted line) from Block *et*  $al.$  (8). The solid curve for the present  $N = 2$  model represents Eq. 10. The dashed and dot-dashed plots depict preliminary fits; see text.

Although this fit and the  $N = 4$  fits are satisfactory for high [ATP], the data at low [ATP] suggest an additional source of randomness in the range  $2 pN \leq F_x \leq -4 pN$ , which was also seen previously (11).

# **Lateral Force-Velocity Data**

Fig. 8 presents data (8) for velocity under sideways  $(F_x = 0)$ loading: these data exhibit nonlinear and asymmetric behavior that is rather poorly represented by the close-to-parabolic dotted fit (8). As above, the first need is to relate  $F_z$  to  $F_y$ . The analog of Model I is simply  $F_z = c_{\perp} \sqrt{F_y^2 + F_0^2}$ , where, to estimate  $c_{\perp}$ , one should recall the tether geometry, as in Eq. **4**, but allow for collisions of the bead with the planar substrate and thus for the 25-nm diameter of the MT. The value  $c_{\perp}^0 = 1.11 \pm 0.12 \approx 0.77$  $c_{\parallel}$  emerges. On average, however, a kinesin motor under a lateral load will likely bind at some angle, say  $\psi$ , tilted away from the top of the MT: this process leads to the modified value  $c_{\perp} \approx$  $c_{\perp}^0/(\cos\psi - c_{\perp}^0 \sin\psi).$ 

A mean tilt angle  $\psi = 5^{\circ}$  gives  $c_{\perp} \approx 0.85$   $c_{\parallel}$  (and  $\Theta \approx 37^{\circ}$ ). If this value is used with the two-state parameters  $7$  and  $8^{\frac{+}{2}}$  the dashed rounded wedge in Fig. 8 is obtained. The agreement for  $|F_y| \le 2$  pN is satisfying and one should note that, contrary to the five-state model of ref. 8, no sideways motions of the motor are implied. Rather, one may suppose that all relevant transition states lie in the  $(x, z)$  or  $y = 0$  plane.

For larger values of  $F_y$  we invoke, following Eq. 1, a quadratic force dependence for  $u_1(F_y)$  by introducing a factor  $\exp(g_1 F_y^2/k_B T)$ . Taking the compliance matrix element as  $g_1 = 0.015$  nm/pN yields the dot-dashed plot in Fig. 8 (which coincides for  $F_y \le 0$ with the solid curve). This fit provides a good representation of the (fairly noisy) rightward ( $F_y < 0$ ) data but fails for  $F_y > 2$  pN. However, the surprisingly strong asymmetry may be described, adapting Model II, by

$$
F_z = c_{\perp} \left[ \sqrt{F_y^2 + F_0^2} + \frac{F_1^{\perp}}{2} \left\{ 1 + \frac{F_y + \Delta F}{\sqrt{(F_y + \Delta F)^2 + F_0^2}} \right\} \right], \quad [10]
$$

in which an offset  $\Delta F \approx 2.2$  pN is also required. The spring mechanism in Fig. 4 then predicts  $F_1^{\perp} \approx 2.1$  pN, which, in fact, works well! However, the 5% larger value  $F_1^{\perp} = 2.2$  pN yields a slightly better overall fit (the solid line in Fig. 8). This fact

<sup>&</sup>lt;sup>††</sup>The mechanicity,  $M_j^\pm$  = 1  $-\langle\Delta t^2_\pm\rangle/\langle t_\pm\rangle^2$ , measures the rms widths  $\Delta t_\pm$  of the corresponding waiting-time distributions,  $\psi_{\textrm{i}}^{\pm}(t)$ , for departing from state *j* in the + or  $-$  sense. Note that a convolution of  $\nu$  successive ordinary "chemical" or Poisson processes with equal rates yields  $\psi^+_j(t)\propto t^{\nu-1}$ exp( $-vt/\tau^+_j$ ) and thence  $M_j^+=(\nu-1)/\nu$ ; hence  $M_j^+=1$  describes a fully mechanical or clockwork process with  $\psi_j^+ \propto \delta(t-\tau_j^+)$ .

 $^{*\pm}$ Note that Eq. **8** implies that all of the transverse load distribution factors,  $\theta_j^{\text{y}\pm}$ , vanish. The fit of the dashed-line plot in Fig. 8 for  $|F_y| \le 2$  pN confirms the adequacy of this conclusion and the absence of sideways lurching under parallel ( $F_y \equiv 0$ ) loads.



**Fig. 9.** Velocity data and fits as a function of [ATP] (8) under fixed transverse loads,  $F_y$  = 0,  $\pm$  4.8 pN. The solid plots are the present *N* = 2 fits; the dotted lines are from ref. 8.

suggests, indeed, that the same molecular mechanism in kinesin that opposes assisting loads, likewise acts under leftward loads exceeding  $\sim$ 2 pN. It could well be that the mechanism results from the asymmetry in structure of the proximal coiled-coil relative to the MT axis.

Finally, Fig. 9 presents velocity data vs. [ATP] under vanishing and purely lateral loads. Our fits (Fig. 9, solid curves) are excellent for  $F_y > 0$  at high [ATP] but lie below the observations for  $F_y = -4.8$  pN; in view of the noisy data seen in Fig. 8, however, the discrepancy seems not significant. At low [ATP] our fits are good for  $F_y = 0$  and  $-4.8$  pN but are some 15–20%

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low for  $[ATP] \le 50 \mu M$  when  $F_v > 0$ . This observation might indicate a left-right asymmetry in the  $(0 \rightarrow 1)$  transition state since introducing an  $F_y^3$  term for  $w_1$  improves the fit.

## **Summary**

We have used an extended stochastic model embodying a three-dimensional energy landscape to analyze the recent experimental data of Block and coworkers (8) for kinesin. Consideration of the vertical load component,  $F_z$ , arising from the geometry of the kinesin tether and bead yields successful fits to the velocity and randomness data under resisting, assisting, and sideways loads and suggests a molecular mechanism opposing assisting and leftward forces. Although a simple two-state kinetic model supplemented by mechanicities suffices, acceptable fourstate models associate either ADP or  $P_i$  release with the main substep of 7–8 nm. The analysis implies, that on binding ATP, the junction of the tether with the necklinkers moves forward by only 0.1–0.2 nm (consistent with direct single-step observations) while, at the same time, crouching down by 0.5–0.7 nm before executing a rapid sprint to complete the 8.2-nm step. A readily testable prediction is that assisting loads applied with smaller  $(\leq 400$ -nm diameter) beads will yield increasing velocities at high [ATP]. The full vectorial load dependence of the velocity  $V(F;$ [ATP]) does not confirm an early suggestion (6) of strongly enhanced velocities induced by increasing *Fz*; but experiments to probe this issue further would be valuable.

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