

Pilot Study of the Genetic Diversity of the Pneumococcal Nasopharyngeal Flora among Children Attending Day Care Centers

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Received 19 March 2002/Returned for modification 22 May 2002/Accepted 18 June 2002

A pilot study was conducted to determine the genetic diversity of multiple colonies of pneumococci recovered from 37 nasopharyngeal (NP) samples of children. A total of 239 pneumococcal isolates (typically, six to eight colonies per sample) were typed by pulsed-field gel electrophoresis (PFGE). In most NP samples (89%) the multiple colonies shared common PFGE types and serotypes. However, four samples were heterogeneous (samples A through D): each contained two strains with different PFGE types, antibiotypes, and serotypes. Samples A and B each contained one strain of a vaccine capsular type and another expressing a non-vaccine type (according to the currently licensed seven-valent conjugate vaccine). In samples B and C the penicillin MIC for one strain was elevated and the other strain was susceptible. In each of the heterogeneous samples, one of the strains was a representative of an internationally disseminated clone. Samples A, C, and D contained strains which carried prophages that were inducible by mitomycin C and that could be visualized by electron microscopy. The *comC* gene allele (which encodes the competence-stimulating peptide) was the same in both strains found in each of samples A, B, and D. Carriage of multiple pneumococci with distinct properties should favor genetic exchange and provide a dynamic population structure for pneumococci in their ecological reservoir. Quantitative resolution of majority and minority components of the pneumococcal NP flora will be of importance for evaluation of the impact of intervention strategies such as vaccination or introduction of new antimicrobial agents.

Streptococcus pneumoniae is a major cause of morbidity and mortality among young children worldwide (1). With the emergence of antimicrobial resistance in this bacterium, it has become increasingly important to monitor the prevalence of drug-resistant strains carried by young children since the nasopharynx of individuals in this age group is known to be a major reservoir of this bacterial species (13).

Several projects are going on worldwide to monitor the prevalence of drug-resistant pneumococci among invasive and carried isolates. In Portugal, since 1996 we have been studying *S. pneumoniae* strains isolated from nasopharyngeal (NP) samples of a large group of children attending day care centers (4, 26). To evaluate the overall genetic diversity of over 2,000 NP samples we have routinely picked a single colony of pneumococci from each positive sample, and these were characterized for antibiotic susceptibility, serotype, and molecular type (pulsed-field gel electrophoresis [PFGE]). An interesting finding made in those studies was the high prevalence of eight clones that accounted for over half of drug-resistant pneumococci colonizing children attending day care centers (26). Subsequently, each one of the eight epidemic clones was also identified in international samples (24, 25).

The purpose of the pilot study described in this report was to use molecular typing techniques to determine the homogeneity of *S. pneumoniae* strains recovered from NP samples of children. Although some studies have described the serotype diversity among carriage pneumococcal isolates in cultures (8, 11, 12), to our knowledge, only two recent studies have addressed their genetic diversity (16, 28). We further characterized the strains isolated from heterogeneous samples for genetic determinants involved with DNA transfer and for susceptibility to lysis by antimicrobial agents. Studies of this nature are of particular importance for the proper evaluation of the impact of intervention strategies aimed at decreasing the rates of carriage of drug-resistant pneumococci and the incidence of disease.

MATERIALS AND METHODS

Study design. In 1996 we performed a prevalence study in Lisbon, Portugal, of NP carriage of *S. pneumoniae* among 586 day care center attendees (4). The study was based on the characterization of one pneumococcal colony from each carrier, which was arbitrarily designated the “primary isolate.” In addition, several individual colonies (typically, six to eight colonies) were cultured from each NP sample positive for pneumococci and frozen.

In this study, we characterized 239 pneumococcal isolates from 37 selected NP samples. The selection criteria were based on the already known properties of primary isolates in order to have a diversity of antimicrobial resistance patterns, capsular types, and genetic profiles among the primary isolates.

Properties of primary isolates. The 37 NP samples were plated on selective agar, and a single colony from each of these primary plates was characterized. The 37 primary isolates showed a variety of antimicrobial resistance patterns and

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TABLE 1. Properties of primary isolates from multiple colonies of *S. pneumoniae* picked from NP samples and selected for further characterization

Participant	Properties of primary isolate			PFGE type	No. of colonies characterized
	Serogroup or serotype	Penicillin MIC ($\mu\text{g/ml}$)	Antibiotype ^a (resistance)		
149	3	0.023		r1	8
123	6	0.032	E, Cc, Te, SXT	L1	8
127	6	0.032	E, Cc, Te, SXT	L1	7
122	6A	0.023		z1	8
119	6B	0.023	E, Cc, Te, SXT	M2	8
135	6	0.032	E, Cc, Te, SXT	M2	8
449	6	0.023	E, Cc, Te, SXT	M7	7
511	6	0.016	Te	M6	7
541	6	0.023	Te, SXT	M6	6
166	6B	0.19	E, Cc, Te	E	7
500	6B	0.125	E, Cc, Te, SXT	E	7
439	9V	2	SXT	B1	3
106	11	0.016	E, Cc	SSS1	7
88	14	1.5	SXT	B1	7
99	14	1.5	SXT	B1	6
114	14	1	SXT	B1	7
79	16	0.032		n1	8
432	19	0.094	SXT	D2	8
437	19	0.25	SXT	D2	7
448	19	0.094	SXT	D2	7
98	19F	0.047	E, Cc	H5	6
325	19F	0.047		H1	4
238	23F	0.75	C, Te, SXT	A1	4
241	23F	1	C, Te, SXT	A1	6
244	23F	1.5	C, Te, SXT	A4	5
308	23F	1.5	C, Te, SXT	A3	6
407	23F	1	C, Te, SXT	A1	6
415	23F	0.75	C, Te, SXT	A1	5
425	23F	2	C, Te, SXT	A1	5
450	23F	2	C, Te, SXT	A2	5
453	23F	2	C, Te, SXT	A2	5
455	23F	2	C, Te, SXT	A3	6
456	23F	2	C, Te, SXT	A1	6
458	23F	1	C, Te, SXT	A2	8
459	23F	1	C, Te, SXT	A1	8
561	23F	2	C, Te, SXT	A2	6
460	23F	0.016		h1	7

^a C, chloramphenicol; E, erythromycin; Cc, clindamycin; Te, tetracycline; SXT, sulfamethoxazole-trimethoprim.

belonged to eight serogroups and 12 PFGE types (Table 1) which included five internationally disseminated clones: penicillin-resistant clones Spain^{23F}-1 and Spain^{9V}-3 (15) (PFGE types A and B in Table 1), a penicillin-susceptible clone, and two penicillin-intermediate macrolide-resistant clones (PFGE types E, M, and H in Table 1) (24, 25).

NP swabs and culture. NP samples were collected by pediatric nurses by using sterile swabs (Mini-Tip Culturette; Becton Dickinson Microbiology Systems, Cockeysville, Md.) and were inoculated within 4 h in 5% blood Trypticase soy agar plates containing gentamicin (5 mg/liter) to select for *S. pneumoniae*. The plates were incubated overnight at 37°C under anaerobic conditions with an optochin disk. Growth of pneumococci was quantified from – (no growth) to +++ (growth in four quadrants of the plate). Individual colonies were picked from each of the primary selective plates, streaked onto 5% blood agar plates, and incubated overnight with an optochin disk at 37°C in a 5% CO₂-enriched atmosphere. Cultures were frozen in Mueller-Hinton broth containing 15% glycerol (vol/vol) and kept at –70°C. All culture media were purchased from Difco (Detroit, Mich.).

Antimicrobial susceptibility testing. Agar disk diffusion testing was performed with chloramphenicol, erythromycin, clindamycin, tetracycline, and trimethoprim-sulfamethoxazole disks by the guidelines of the National Committee for Clinical Laboratory Standards (17). Penicillin MICs were determined by the E-test (AB Biodisk, Solna, Sweden) according to the recommendations of the manufacturer.

Serotyping. Capsular types and groups were determined by the Quellung reaction with commercially available antisera (Statens Serum Institut, Copenhagen, Denmark).

Molecular typing. Preparation of chromosomal DNA, restriction with *Sma*I endonuclease, and PFGE were done as described previously (25).

Southern blot hybridization. DNA fragments were transferred to nylon membranes and hybridized with a *lytA*-specific probe, as described previously (27).

Induction of lytic cycle in putative lysogenic strains. Mitomycin C was added to cultures in the exponential phase (optical density at 620 nm, between 0.2 and 0.3) at 0.1 $\mu\text{g/ml}$ (final concentration), and the cultures were monitored by determination of the optical density (23). Strains URU481 (lysogenic clinical isolate), R6 (nonlysogenic laboratory strain), and *Lyt-4-4* (nonlysogenic autolytic-deficient laboratory mutant) were used as controls.

Analysis of *comC* allelic variation. The strains were grown in liquid medium until the early stationary phase. An appropriate amount of culture was diluted (1:50) in water, boiled for 5 min, and cooled on ice. Templates were stored at –20°C. A total of 70 μl of template was used in each 100- μl PCR mixture. Primer 3 (5'-TGA CAG TTG AGA GAA TCT T-3') and primer 4 (5'-CTT TTC TAT TTA TTT GAC CT-3') (30) were used to amplify a PCR product containing *comC*, the genetic determinant of the competence-stimulating peptide (10). PCR products were purified by using Wizard PCR Preps (Promega, Madison, Wis.). Sequencing reactions were done with the same primers used for PCR amplification at the Rockefeller University Protein/DNA Technology Center by the

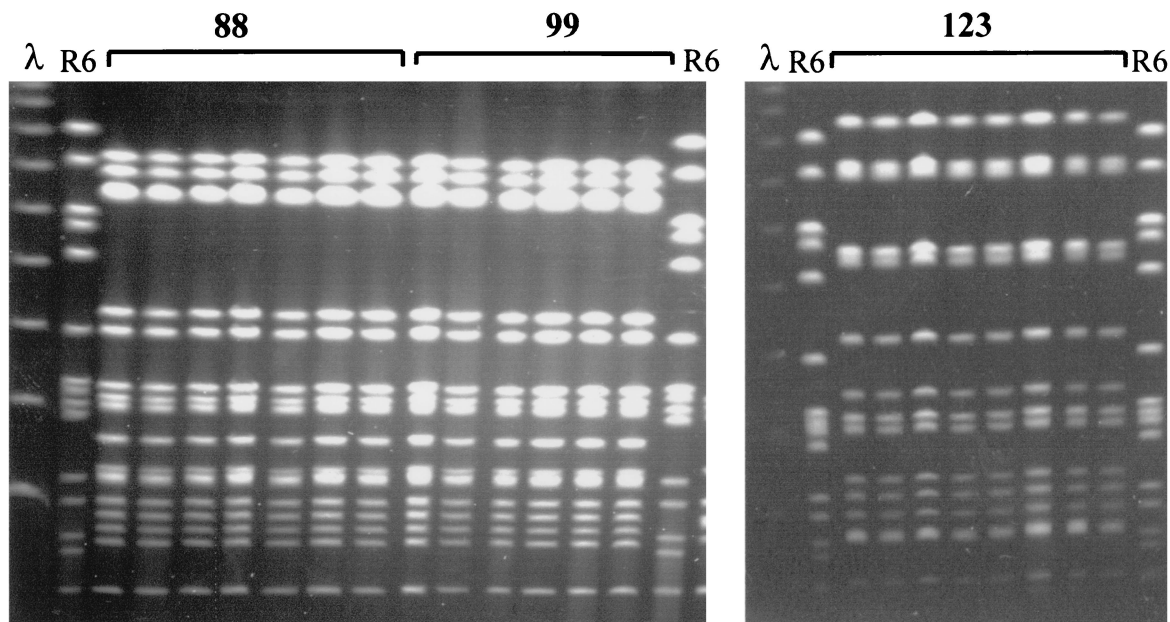


FIG. 1. Example of PFGE profiles obtained from the analysis of multiple colonies isolated from the same NP sample. The profiles of the samples from participants 88, 99, and 123 are shown. All individual colonies analyzed have the same PFGE pattern. λ, bacteriophage λ ladder pulsed-field gel marker; R6, control strain.

TaqFS fluorescent dye terminator sequencing method with an ABI Prism 3700 DNA analyzer (Perkin-Elmer Applied Biosystems, Foster City, Calif.). Nucleotide and derived amino acid sequence data were analyzed with DNASTAR software (Lasergene, Madison, Wis.). Homology searches were performed with

the BLAST utility (2), available through the National Center for Biotechnology Information website.

Lysis curves and viability counts. Six-milliliter cultures of *S. pneumoniae* in the early exponential phase were exposed to 10 times the MIC of benzylpenicillin.

TABLE 2. Properties of multiple isolates obtained from NP samples containing two strains of *S. pneumoniae*

Sample	Isolate code	Serotype	Penicillin MIC (μg/ml)	Antibiotype ^a (resistance)	PFGE type	<i>lytA</i> hybridization pattern by PFGE (kb)	Result of addition of mitomycin C	Phage DNA ^b	<i>comC</i> allele ^c
A	106	11	0.016	E, Cc	SSS	85	No lysis		<i>comC1</i>
A	106-1	6B	0.023	E, Cc, Te	M	280, 110, 90	lysis	Yes	<i>comC1</i>
A	106-2	11	0.006	E, Cc	SSS	85			
A	106-3	11	0.008	E, Cc	SSS	85			
A	106-4	11	0.008	E, Cc	SSS	85			
A	106-5	11	0.008	E, Cc	SSS	85			
A	106-6	6B	0.016	E, Cc, Te	M	280, 110, 90			
B	325	19F	0.047		H	90, 35	No lysis		<i>comC2.1</i>
B	325-1	NT	0.5		TTT	170, 90, 40, 30	ND ^d		<i>comC2.1</i>
B	325-2	NT	0.75		TTT	170, 90, 40, 30			
B	325-3	NT	1		TTT	170, 90, 40, 30			
C	448	19A	0.094	SXT	D	235, 90, 80	Lysis	Yes	<i>comC1</i>
C	448-1	19A	0.064	SXT	D	235, 90, 80			
C	448-2	23F	2	C, Te, SXT	A	230, 100, 40	Lysis	Yes	<i>comC2.2</i>
C	448-3	19A	0.094	SXT	D	235, 90, 80			
C	448-4	19A	0.094	SXT	D	235, 90, 80			
C	448-5	19A	0.094	SXT	D	235, 90, 80			
C	448-6	19A	0.064	SXT	D	235, 90, 80			
D	541	6B	0.023	Te, SXT	M	280, 90, 60	Lysis	Yes	<i>comC1</i>
D	541-1	19A	0.023	SXT	UUU	90	No lysis		<i>comC1</i>
D	541-2	19A	0.023	SXT	UUU	90			
D	541-3	6B	0.016	Te, SXT	M	280, 90, 60			
D	541-4	6B	0.012	Te, SXT	M	280, 90, 60			
D	541-5	6B	0.008	Te, SXT	M	280, 90, 60			

^a C, chloramphenicol; E, erythromycin; Cc, clindamycin; Te, tetracycline; SXT, sulfamethoxazole-trimethoprim.

^b Detected by PFGE separation of total DNA after induction by mitomycin C followed by hybridization with *lytA* and by electron microscopy (see Materials and Methods).

^c Allele nomenclature according to reference 30.

^d ND, not determined.

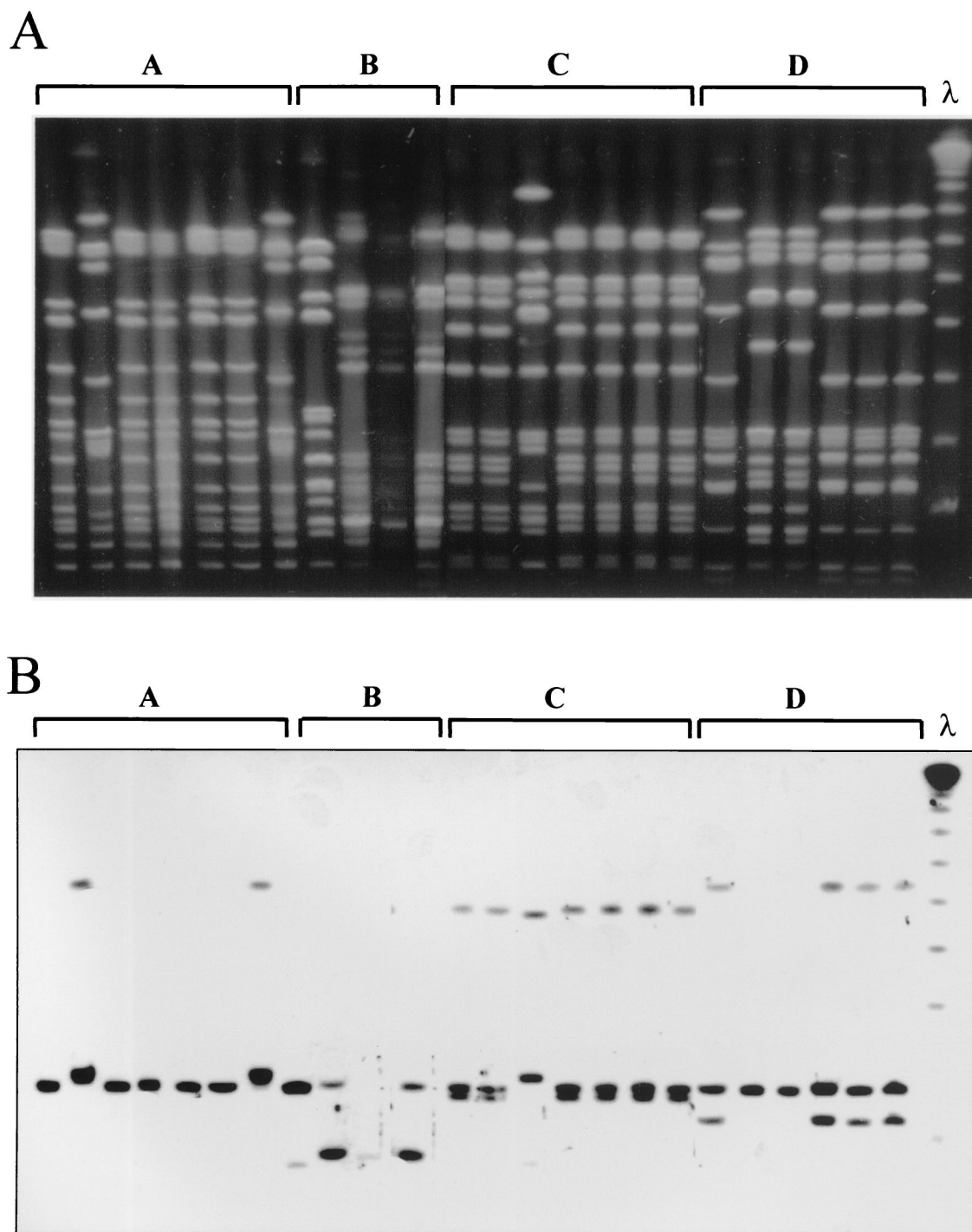


FIG. 2. (A) PFGE profiles of *Sma*I-restricted *S. pneumoniae* DNA from NP samples A to D. Lanes 1 to 7 (unnumbered), sample A (isolates 106, 106-1, 106-2, 106-3, 106-4, 106-5, and 106-6, respectively); lanes 8 to 11, sample B (isolates 325, 325-1, 325-2, and 325-3, respectively); lanes 12 to 18, sample C (isolates 448, 448-1, 448-2, 448-3, 448-4, 448-5, and 448-6, respectively); lanes 19 to 24, sample D (isolates 541, 541-1, 541-2, 541-3, 541-4, and 541-5, respectively); lane 25, bacteriophage λ ladder. (B) Hybridization of gel with a *lytA* probe.

Absorbances were monitored at 620 nm for 6 h after addition of the antibiotic. The number of viable cells in cultures exposed to penicillin was determined at various times by diluting cultures in liquid medium supplemented with 100 U of penicillinase/ml (Sigma) and plating them in 5% blood Trypticase soy agar (14). Strains R6 and Lyt-4-4 were used as controls.

Electron microscopy. Preparation of samples for electron microscopy, staining, and observation were done as described previously (23, 29).

RESULTS

Serotyping and molecular typing of multiple individual colonies. Multiple colonies (six to eight per plate) were picked from each primary plate, generating 239 pneumococcal isolates which were serotyped and whose PFGE profiles were deter-

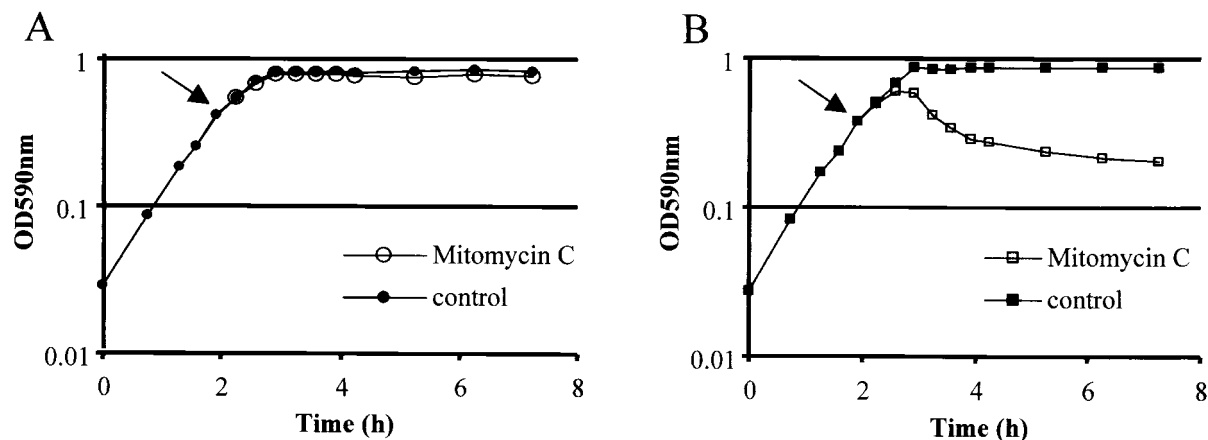


FIG. 3. Effect of mitomycin C in the growth of *S. pneumoniae* strains 106 (A) and 106-1 (B). Arrows indicate the time of addition of mitomycin C. OD_{590nm}, optical density at 590 nm.

mined. Each colony in 33 of 37 (89%) NP samples had the same serotype, PFGE profile, and *lytA* hybridization pattern as the corresponding primary isolates, suggesting that only one pneumococcal strain was present in the sample (Fig. 1). However, four of the NP samples (samples A through D) were heterogeneous: each sample contained two strains differing in serotype and PFGE type. There was no correlation between the amount of growth on the original selective agar plate and the presence of multiple strains. None of the children who concomitantly carried two pneumococcal strains had taken antibiotics in the month preceding collection of the sample.

Properties of heterogeneous samples. Samples A through D each contained two strains which could be distinguished by serotype, antibiotic type, PFGE type, and *lytA* hybridization pattern after Southern blot hybridization of PFGE gels (Table 2 and Fig. 2). These samples provided examples of concomitant carriage of pneumococci of vaccine types and non-vaccine types (according to the currently licensed seven-valent conjugate vaccine) as well as antimicrobial-susceptible and antimicrobial-resistant strains. For the strains in NP samples A and B, one of the strains was of a vaccine serotype (serotypes 6B and 19F, respectively), while the other strain was of a non-vaccine type (serotype 11 and nontypeable, respectively). For the strains in both samples B and C, the penicillin MIC for one of the two strains was elevated (0.75 and 2 μ g/ml, respectively), while the penicillin MICs were less than 0.1 μ g/ml for the other strains. There were differences in the levels of resistance to tetracycline in the pairs of strains from samples A, C, and D; and, additionally, there were differences in the levels of resistance to chloramphenicol in the pair of strains from sample C. These antibiotic resistance determinants can be transferred between pneumococcal isolates by transformation (of altered *pbp* genes) (5) or by conjugation of transposons (which carry the *cat*, *tet*, and *erm* genes) (31). Interestingly, in each heterogeneous sample, one of the strains was a representative of previously described internationally disseminated epidemic clones (24, 25) (PFGE types M, H, A, and M, in samples A, B, C, and D, respectively).

For all isolates from samples A through D, Southern hybridization of *Sma*I-restricted DNA on PFGE gels with a *lytA*

probe identified a band of approximately 90 kb that is known to contain the host autolytic gene (23). Additional bands were observed for six of the eight strains which produced unique *lytA* hybridization patterns that could distinguish the two strains present in the heterogeneous samples (Fig. 2B). The multiple *lytA* hybridization bands suggested that the six strains contained prophages (23).

In the next set of experiments one isolate representing each of the pairs of strains from heterogeneous samples was selected for further characterization. These strains were as follows: isolates 106 and 106-1 from sample A, isolates 325 and 325-1 from sample B, isolates 448 and 448-2 from sample C, and isolates 541 and 541-1 from sample D.

Detection of prophages. To investigate whether the strains carried prophages, mitomycin C was added to cultures in the exponential phase of growth. In four of the six strains (strains 106-1, 448, 448-2, and 541), which contained multiple *lytA* hybridization bands, rapid lysis was observed following addition of mitomycin C, suggesting prophage induction (Table 2; Fig. 3). One of the two remaining strains (strain 325-1, sample B) was not tested since it did not grow well in liquid medium, and the other one (strain 325, sample B) did not lyse in the presence of mitomycin C. Strain 106 from sample A and strain 541-1 from sample D, which had only one *lytA* band, did not respond to mitomycin C.

The four strains which lysed in the presence of mitomycin C were grown again and treated with mitomycin C. After addition of the antibiotic, just before lysis, the cultures were harvested and total DNA was isolated. Separation by PFGE of unrestricted total DNA yielded one band of large molecular size (the bacterial chromosome) for cultures not exposed to mitomycin C and an additional band of small molecular size (<40 kb) for cultures exposed to mitomycin C (Fig. 4A, lanes 2 to 9). Hybridization with *lytA* showed that all DNA bands gave a positive signal, suggesting that the DNA bands with small molecular sizes corresponded to phage genomes induced by the mitomycin treatment (Fig. 4B, lanes 2 to 9). When the same total DNAs were restricted with *Sma*I, differences of up to three bands were observed in the PFGE profiles obtained for each strain grown in the absence or in the presence of

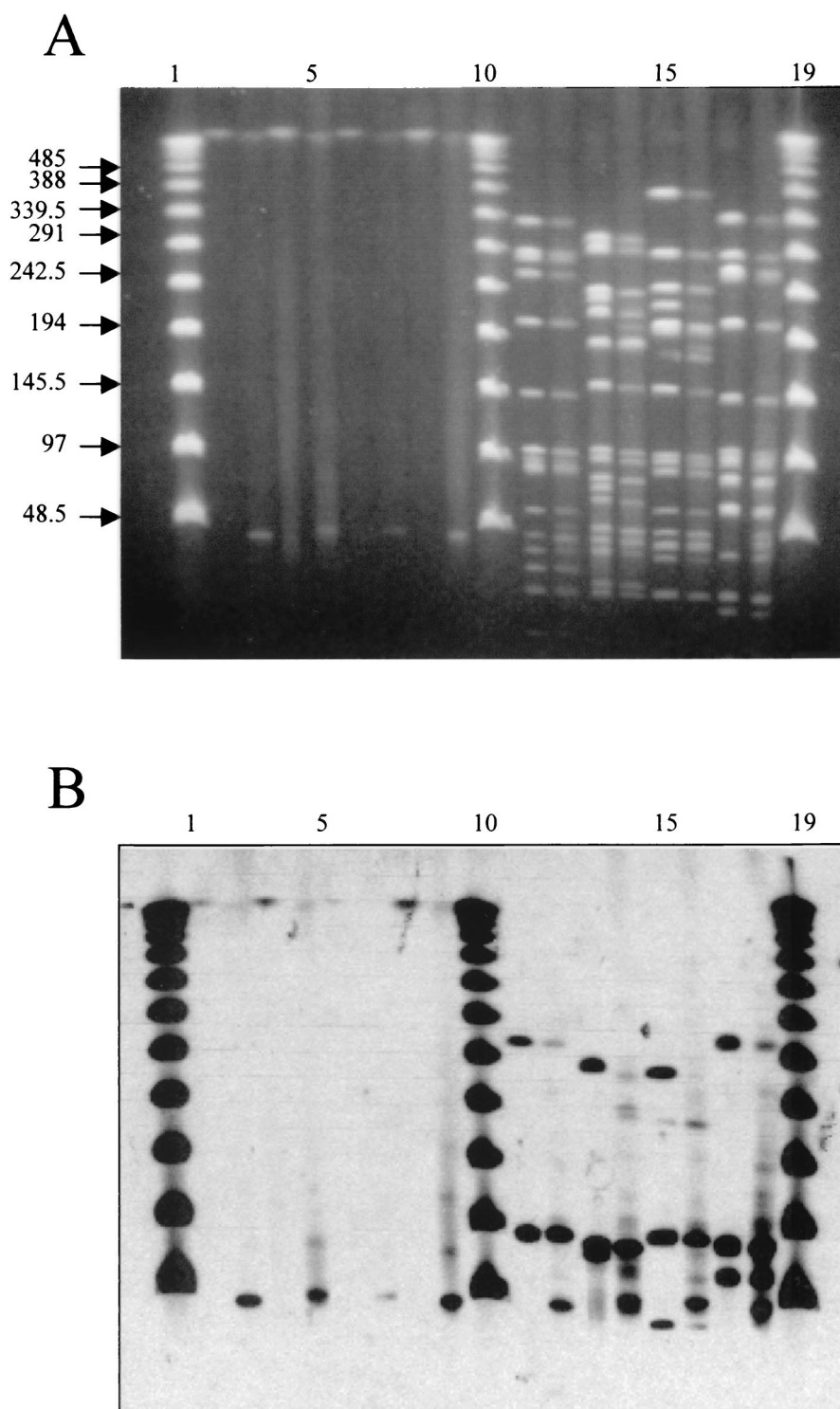


FIG. 4. (A) Lanes 2 to 9, total unrestricted DNA of strains grown in the presence or absence of mitomycin C. Lanes 2, 4, 6, and 8, strains 106-1, 448, 448-2, and 541, respectively, grown in the absence of mitomycin C (controls); lanes 3, 5, 7, and 9, strains 106-1, 448, 448-2, and 541, respectively, grown in the presence of mitomycin C; lanes 11 to 18, *Sma*I-restricted DNA of strains grown in the presence or absence of mitomycin C; lanes 11, 13, 15, and 17, strains 106-1, 448, 448-2, and 541, respectively, grown in the absence of mitomycin C (controls); lanes 12, 14, 16, and 18, strains 106-1, 448, 448-2, and 541, respectively, grown in the presence of mitomycin C; lanes 1, 10, and 19, bacteriophage λ ladder. (B) Hybridization of the gel with a *lytA* probe.

plate we found that in 33 of the NP samples each of the several colonies picked had a common serotype and PFGE type, indicating that the majority of pneumococci recovered in these particular NP samples belonged to a single strain. However, four of the NP samples were heterogeneous: each contained two pneumococcal strains distinguishable by their PFGE patterns as well as their serotypes.

Carriage of multiple pneumococci has been demonstrated before. In 1946, Hodges et al. (11) studied an epidemic of pneumococcal pneumonia in an Army Air Force technical school and found that 15% of the subjects simultaneously carried more than one serotype of pneumococcus. In 1980, Gray et al. (8) described that more than one serotype of pneumococcus was found in 8% of the cultures of NP samples obtained from 82 children studied from birth to 24 months of life. In a recent study, which used PFGE to study the genetic diversity of *S. pneumoniae* and *Haemophilus influenzae* strains isolated in cultures of throat swab specimens from children in day care centers, the investigators found that two genetically diverse strains could be identified in 10% of the positive samples (28).

The strains in our heterogeneous samples expressed different antimicrobial resistance types and capsular types and carried prophages that could be induced to produce phage particles and lyse the host strains, and several of the pairs of strains had the same *comC* allele, suggesting that both strains could be activated simultaneously to a competent state since they would respond to the same competence-stimulating peptide pherotype. Such strains could participate in DNA transfer events through genetic transformation or pseudotransduction (20, 30). Pneumococci are naturally transformable; and evidence of in vivo capsular transformation (3, 18), transfer of *murM* mosaic genes (6), and antimicrobial resistance markers such as penicillin-binding protein mosaic genes (5) and other antimicrobial resistance determinants (26) has been presented. Concomitant carriage of multiple lineages of pneumococci with such diverse traits represents an opportunity for these DNA transfer events. In fact, such shifts have been observed to occur in the nasopharynx (3, 7). In the four heterogeneous samples, at least one of the strains appeared to be lysis defective in the presence of high concentrations of penicillin (10 times the MIC), and although the cultures were loosing viability, intact cells were observed by electron microscopy after 4 h of exposure to the antibiotic. It has been suggested that selection of the lysis-defective and/or antibiotic-tolerant phenotype may favor and/or precede acquisition of antibiotic resistance (9).

In our heterogeneous samples the strains that made up the minority represented a substantial proportion (14 to 30%) of the total pneumococcal population of that particular sample. Carriage of multiple strains of pneumococci at substantially lower levels may very well occur in the nasopharynx, and such minority populations may play an important role in the dynamics of population changes that occur when the NP flora is perturbed by interventions such as the introduction of conjugate vaccines, treatment with antimicrobial agents, or improvements in infection control measures (12, 19). Detection of carriage of multiple strains that make up less than 1% of the pneumococcal population will require molecular detection techniques such as multiplex PCR or DNA arrays.

ACKNOWLEDGMENTS

Partial support for this work was provided by Projects Projecto PRAXIS/P/SAU/14051/1998 from the Fundação para a Ciência e Tecnologia of Portugal, contract QLK2-CT-2000-01020 from the European Union (to H. de Lencastre), and funds from the Lounsbury Foundation and the Irene Diamond Foundation (to A. Tomasz). R.S.-L. received doctoral grants from Fundação para a Ciência e Tecnologia of Portugal (grant BD/4259/96) and Fundação Calouste Gulbenkian of Portugal.

We thank Rosario Mato, Idalina Bonfim, and Marta Aires de Sousa for participation in the collection and isolation of samples and Duarte Oliveira for helpful discussions.

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