

Tropical drying trends in global warming models and observations

J. D. Neelin^{*†}, M. Münnich^{*}, H. Su[‡], J. E. Meyerson^{*}, and C. E. Holloway^{*}

^{*}Department of Atmospheric and Oceanic Sciences and Institute of Geophysics and Planetary Physics, University of California, Los Angeles, CA 90095; and [‡]Jet Propulsion Laboratory, California Institute of Technology, Pasadena, CA 91109

Communicated by James C. McWilliams, University of California, Los Angeles, CA, March 3, 2006 (received for review January 25, 2006)

Anthropogenic changes in tropical rainfall are evaluated in a multimodel ensemble of global warming simulations. Major discrepancies on the spatial distribution of these precipitation changes remain in the latest-generation models analyzed here. Despite this uncertainty, we find a number of measures, both global and local, on which reasonable agreement is obtained, notably for the regions of drying trend (negative precipitation anomalies). Models agree on the overall amplitude of the precipitation decreases that occur at the margins of the convective zones, with percent error bars of magnitude similar to those for the tropical warming. Similar agreement is found on a precipitation climate sensitivity defined here and on differential moisture increase inside and outside convection zones, a step in a hypothesized causal path leading to precipitation changes. A measure of local intermodel agreement on significant trends indicates consistent predictions for particular regions. Observed rainfall trends in several data sets show a significant summer drying trend in a main region of intermodel agreement: the Caribbean/Central-American region.

climate change | tropical precipitation | drought

Climate model global warming simulations (1–8) include substantial changes in tropical rainfall. It has been difficult to quantify what precipitation changes should be expected, however, because climate models tend to agree poorly on standard measures of such changes (9, 10).

We evaluate tropical precipitation response in the latest multimodel ensemble of simulations under the Intergovernmental Panel on Climate Change Special Report on Emissions Scenarios A2 scenario (10) for anthropogenic forcings, each continued from a 20th-century radiative forcing run. Here we seek aspects of the simulations on which some level of model agreement can be found. For brevity, we present figures for a single season, choosing June–August (JJA) because of the relationship to an observed trend discussed in *Observed Trends for the Caribbean/Central-American Region*. Drying (precipitation reduction) trends are highlighted both because of their potential impacts and because some aspects emerge more clearly in the analysis.

A physical hypothesis for two related mechanisms (11, 12) for the tropical precipitation changes provides background for the diagnostics. This hypothesis offers plausible reasons for model sensitivity and for the localization of the dry anomalies and suggests that some features of the spatial pattern of the precipitation change should remain stable with time. The “upped-ante” mechanism (11) for drought tendency hypothesizes a differential moistening between convective and nonconvective regions as warming increases the “ante” of moisture required to sustain convection. Decreases in precipitation occur on the margins of the convection zones in regions of strong low-level inflow into the convection zones, as has been shown to occur in two model studies (12, 13). This mechanism depends on the details of the simulated wind climatology relative to the moisture gradient, and thus the location of the drying is hypothesized to be sensitive among models. A given climatology and relationship

between warming and convective moistening, however, may be expected to yield precipitation reduction patterns that grow in place. The “anomalous gross moist stability” or “rich-get-richer” mechanism (12) hypothesizes that the upped-ante differential moisture increase will yield increased precipitation within the convection zones because of enhanced moisture convergence. This mechanism also contributes to drying outside the strong convection zones and likewise should yield an approximately fixed spatial pattern whose amplitude grows in time with the tropospheric warming.

Patterns and Amplitude Measures for Precipitation Changes

Dry Region Spatial Patterns. Fig. 1 shows an overlay of the “dry” regions (negative precipitation anomalies) for several models for a 30-year average at the end of the 21st century relative to a 1901–1960 base period for the JJA season. Regional anomalies can be large, exceeding 3 mm day⁻¹ in some cases. Dry anomalies often occur in relatively intense, localized regions at the margins of the convection zones. Weak negative anomalies (not seen at the contour interval shown) also extend more broadly through the climatological descent regions. Very substantial differences in the regional distribution of strong anomalies occur among the models. Some differences in the Southeast Pacific and South Atlantic are attributable to errors in the position of the simulated climatological convergence zones (Figs. 7 and 8, which are published as supporting information on the PNAS web site, provide an assessment of model climatological precipitation and the full spatial pattern of precipitation change for each model). More typically, intermodel differences are in position or extent along a particular convective margin.

Amplitude Growth. Precipitation anomalies evaluated earlier in the century (2010–2039 and 2040–2069 changes relative to the same 20th-century base period; data not shown) are smaller in amplitude, but most features of the spatial pattern correspond to those in Fig. 1. To provide a measure of amplitude growth, we project each model’s precipitation change field onto spatial patterns that are constant in time and are chosen to reflect each model’s typical precipitation response for dry and “wet” regions (negative and positive anomalies), respectively. The two spatial patterns for each model are defined by the precipitation change for 2070–2099 (relative to the 1901–1960 base period) in the tropics (lat 23°S to lat 23°N). This pattern is divided into negative and positive anomalies, each normalized by their respective spatial rms. The late-21st-century precipitation change is used to characterize each model’s preferred pattern because the anomalies are well established above internal variability by this time. For each model the precipitation change for a sliding 30-year

Conflict of interest statement: No conflicts declared.

Freely available online through the PNAS open access option.

Abbreviations: JJA, June–August; NCAR-CCSM3, National Center for Atmospheric Research Community Climate System Model, version 3.

[†]To whom correspondence should be addressed. E-mail: neelin@atmos.ucla.edu.

© 2006 by The National Academy of Sciences of the USA

models (28, 29) but exhibits poor agreement in the ensemble considered here. A region of drying in southern Africa and surrounding oceans exhibits reasonable intermodel agreement by our measures but should be treated with caution because most models overestimate precipitation in the related Southern Hemisphere mid-latitude storm tracks (see Fig. 7 for details). We do note a region of strong model agreement on drying in the eastern subtropical South Pacific in southern spring and summer that has a corresponding negative trend in satellite observations (data not shown). However, we have not found consistent supporting evidence in station data from islands in that region. The Caribbean/Central-American region trend, collocated with the region of largest local intermodel agreement and predicting substantial percent rainfall reduction, thus stands out as demanding attention and may provide a prototype for other regions that are as yet undetectable.

Summary

Understanding, detection, and attribution of precipitation changes under global warming lags by at least a decade behind the corresponding problem for temperature, especially in the tropics. While recognizing considerable model differences that challenge climate modelers, the results here extract sufficient agreement to consider the following changes likely: tropical precipitation decreases in certain areas along the margins of the convection zones and increases within the convection zones, with amplitude increasing with warming. The drying trends outside the convection zones are likely to be concentrated in particular regions, among which the Caribbean/Central-American region is a leading candidate.

A trend is shown in observed station and satellite data in this region, although attribution of this trend to anthropogenic effects should as yet be regarded as plausible but uncertain.

Methods

The model ensemble was chosen based on completeness of data availability (including three-dimensional fields) at the Program for Climate Model Diagnosis and Intercomparison archive and a model grid size that is smaller than 4° by 3° , because phenomena at convective margins are being considered. The models included are as follows: Centre National de Recherches Météorologiques Coupled Global Climate Model, version 3 (CNRM-CM3); Commonwealth Scientific and Industrial Research Organization (Australia) climate system model, version 3 (CSIRO-MK3); Max Planck Institute for Meteorology fifth-generation atmospheric general circulation model (ECHAM5); Geophysical Fluid Dynamics Laboratory, National Oceanic and Atmospheric Administration, global coupled climate model, versions 2.0 and 2.1 (GFDL-CM2.0 and GFDL-CM2.1); Hadley Centre coupled model, version 3 (HadCM3); Center for Climate System Research, University of Tokyo, Model for Interdisciplinary Research on Climate, version 3.2, medium resolution (MIROC-3.2-medres); Meteorological Research Institute, Japan, coupled global climate model, version 2.3.2a (MRI-CGCM2); NCAR-CCSM3; and National Center for Atmospheric Research Parallel Climate Model, version 1 (NCAR-PCM1). Although GFDL-CM2.0 and GFDL-CM2.1 differ primarily in the dynamic core, their precipitation response is very different (Fig. 7), and so they are treated here as different models. The Canadian Climate Centre model (CCCma) was evaluated and has consistent results but was excluded from the multimodel ensemble here because of a nonnegligible trend affecting JJA tropical precipitation ($0.15 \text{ mm day}^{-1} \text{ century}^{-1}$ in the $\Delta\text{Precip}_{\text{dry}}$ projection) in its 500-year control run, presumably because of imperfect equilibration of the spin-up run.

In Fig. 1, the observed climatology contour is from the Climate Prediction Center Merged Analysis of Precipitation data set. In Fig. 2, the projection procedure is simpler than the one known

as optimal “fingerprinting” (30) but closely related in that a distinctive pattern of the anthropogenic impact is being defined. The main difference in the application here is that different patterns are used for each model to focus on amplitude, given the differences in spatial distribution among models. Difficulties using standard fingerprinting in face of model disagreement were shown in ref. 31. The standard deviations from the control runs shown as error bars in Fig. 2 are evaluated by taking the same spatial pattern for the projection (i.e., from the 2070–2099 change) and projecting on the control run precipitation differences from an ensemble of all possible 30-year means minus nonoverlapping 60-year means. Control runs all exceed 340 years (500 years for most models). The curves in Fig. 2 are for a single realization for each model for consistency among models (because most models did not have an ensemble available). Evaluation of other ensemble members where available yields similar results, consistent with the error bars evaluated from the control. Projections similar to the wet and dry patterns in Fig. 2 but using the full late-21st-century precipitation change pattern (not divided into wet and dry regions) yield similar curves that lie between those for the wet and dry region amplitudes for each model.

The per-T climate sensitivity illustrated in Fig. 3 can be estimated either as a linear fit (through zero) or simply from ratio in the late-century signal (when this sufficiently exceeds the internal variability). The latter is used here for simplicity. Although tropospheric temperature is more relevant to the hypothesized physical pathway, we use values per tropical average surface air temperature change because it is a more widely known indicator of global warming and the two are closely linked in the models (Fig. 4e). This sensitivity measure follows methods standard for climate feedback parameter estimates (10) but applied here to impacts of the warming rather than to feedbacks onto it.

In Fig. 4, the multimodel ensemble mean values by which the axes are normalized are as follows: 3.2 K for ΔT_s , 0.84 mm day^{-1} for $\Delta\text{Precip}_{\text{dry}}$, $0.26 \text{ mm day}^{-1} \text{ K}^{-1}$ for $\Delta\text{Precip}_{\text{dry}}/\Delta T_s$, $0.43 \text{ mm day}^{-1} \text{ K}^{-1}$ for $\Delta\text{Precip}_{\text{wet}}/\Delta T_s$, 1.4 K/K for $\Delta T_{\text{trop}}/\Delta T_s$, and 0.37 mm K^{-1} for $\Delta(q_{\text{in}} - q_{\text{out}})/\Delta T_s$. In Fig. 4f, the differential moisture increase $\Delta(q_{\text{in}} - q_{\text{out}})$ is estimated as an average moisture increase in the 900- to 650-hPa layer for tropical regions with climatological precipitation $>4 \text{ mm day}^{-1}$ minus the average over those with smaller precipitation. Although this is a crude estimator of local gradients, it clearly shows a substantially larger moisture increase within strongly convecting regions.

In Figs. 5 and 6, the trend is computed for each grid point for the given season. Rank regression is used for temporal trend computation; i.e., the sum of squares minimized in the standard regression computation is replaced by a product of the usual variable times its value in centered rank space. This is a more robust estimator for non-Gaussian variables such as precipitation (32). In Fig. 5, the model data are first averaged to a common 3.75° by 2.5° grid (that of the coarsest-resolution model, HadCM3). For Fig. 5a, a model median is computed for the seasonal precipitation values at every grid point and year. This procedure creates a positive definite time series for each grid point that characterizes median properties of the models and on which the same operations can be done as on any other precipitation time series, including the computation of the climatology and long-term trend shown in the figure. For Fig. 5b, the trend over 1950–2099, its Spearman-rho statistic, and the climatology are computed separately for each model. Because we are requiring primarily agreement on sign, we wish to exclude counts of trends with questionable sign or low potential consequence, and so we count only the number of models at each grid point that pass the confidence and fractional change criteria stated in the text. In Fig. 6, the gridded station data sets include some of the same stations. The VASCLimO project included a large effort

to gather additional stations, and aggregation of station data is by Kriging interpolation to minimize risk of temporal inhomogeneities due to varying station densities (33). The Climate Prediction Center Merged Analysis of Precipitation satellite precipitation estimate that does not include land stations is chosen for independence in Fig. 6.

We acknowledge the modeling groups for providing their data, the Program for Climate Model Diagnosis and Intercomparison at Lawrence Livermore National Laboratories (Livermore, CA) for collecting the model data, the Climate Variability Predictability Project Joint Scientific Committee Working Group on Coupled Modeling for

organizing the model analysis activity, and the Intergovernmental Panel on Climate Change (IPCC) WG1 Technical Support Unit for technical support. The IPCC Data Archive at Lawrence Livermore National Laboratory is supported by the Office of Science of the U.S. Department of Energy. The observed precipitation data sets are supported by the National Oceanographic and Atmospheric Administration and by a joint project of the German Weather Service (Global Precipitation Climatology Centre) and the Johann Wolfgang Goethe University Frankfurt Institute for Atmosphere and Environment Working Group for Climatology. This work was supported by National Science Foundation Grant ATM-0082529 and National Oceanic and Atmospheric Administration Grants NA04OAR4310013 and NA05OAR4310007.

1. Roeckner, E., Bengtsson, L., Feichter, J., Lelieveld, J. & Rodhe, H. (1999) *J. Climate* **12**, 3004–3032.
2. Hu, Z.-Z., Latif, M., Roeckner, E. & Bengtsson, L. (2000) *Geophys. Res. Lett.* **27**, 2681–2684.
3. Dai, A., Meehl, G. A., Washington, W. M., Wigley, T. M. L. & Arblaster, J. M. (2001) *Bull. Am. Meteorol. Soc.* **82**, 2377–2388.
4. Williams, K. D., Senior, C. A. & Mitchell, J. F. B. (2001) *J. Climate* **14**, 2659–2674.
5. Yonetani, T. & Gordon, H. B. (2001) *J. Climate* **14**, 1765–1779.
6. Douville, H., Chauvin, F., Planton, S., Royer, J.-F., Salas-Méla, D. & Tyteca, S. (2002) *Climate Dyn.* **20**, 45–68.
7. Johns, T. C., Gregory, J. M., Ingram, W. J., Johnson, C. E., Jones, A., Lowe, J. A., Mitchell, J. F. B., Roberts, D. L., Sexton, D. M. H., Stevenson, D. S., et al. (2003) *Climate Dyn.* **20**, 583–612.
8. Manabe, S., Milly, P. C. D. & Wetherald, R. (2004) *Hydrol. Sci. J.* **49**, 625–642.
9. Allen, M. R. & Ingram, W. J. (2002) *Nature* **419**, 224–232.
10. Houghton, J. T., Ding, Y., Griggs, D. J., Noguer, M., van der Linden, P. J., Dai, X., Maskell, K. & Johnson, C. A., eds. (2001) *Climate Change 2001: The Scientific Basis* (Cambridge Univ. Press, Cambridge, U.K.), pp. 527–638.
11. Neelin, J. D., Chou, C. & Su, H. (2003) *Geophys. Res. Lett.* **30**, 2275.
12. Chou, C. & Neelin, J. D. (2004) *J. Climate* **17**, 2688–2701.
13. Chou, C., Neelin, J. D., Tu, J.-Y. & Chen, C.-T. (2006) *J. Climate*, in press.
14. Santner, B. D., Wigley, T. M. L. & Mears, C. (2005) *Science* **309**, 1551–1556.
15. Knutson, T. R. & Manabe, S. (1994) *Geophys. Res. Lett.* **21**, 2295–2298.
16. Meehl, G., Kiladis, G., Weickmann, K., Wheeler, M., Gutzler, D. S. & Compo, G. P. (1996) *J. Geophys. Res.* **101**, 15033–15050.
17. Timmermann, A., Latif, M., Oberhuber, J., Bacher, A., Esch, M. & Roeckner, E. (1999) *Nature* **398**, 694–697.
18. Jin, F.-F., Hu, Z.-Z., Latif, M., Bengtsson, L. & Roeckner, E. (2001) *Geophys. Res. Lett.* **28**, 1539–1542.
19. McCuen, R. H. (2002) *Modeling Hydrologic Change: Statistical Methods* (Lewis, College Park, MD), pp. 149–152.
20. Hulme, M., Barrow, E. M., Arnell, N. W., Harrison, P. A., Johns, T. C. & Downing, T. E. (1999) *Nature* **397**, 688–691.
21. International Ad Hoc Detection and Attribution Group (2005) *J. Climate* **18**, 1291–1314.
22. Casey, K. S. P. C. (2001) *J. Climate* **14**, 3801–3818.
23. Strong, A. E., Kearns, E. J. & Gjovig, K. K. (2000) *Geophys. Res. Lett.* **27**, 1667–1670.
24. Giannini, A., Cane, M. A. & Kushnir, K. (2001) *J. Climate* **14**, 2867–2879.
25. Dai, A., Trenberth, K. E. & Karl, T. R. (1998) *Geophys. Res. Lett.* **25**, 3367–3370.
26. Kumar, A., Yang, F., Goddard, L. & Schubert, S. (2004) *J. Climate* **17**, 653–664.
27. Liebmann, B., Vera, C. S., Carvalho, L. M. V., Camilloni, I. A., Hoerling, M. P., Allured, D., Barros, V. R., Báez, J. & Mario, B. (2004) *J. Climate* **17**, 4357–4367.
28. Held, I. M., Delworth, T. L., Lu, J., Findell, K. L. & Knutson, T. R. (2005) *Proc. Natl. Acad. Sci. USA* **102**, 17891–17896.
29. Milly, P. C. D., Dunne, K. A. & Vecchia, V. (2005) *Nature* **438**, 347–350.
30. Hegerl, G. C., von Storch, H., Hasselmann, K., Santer, B. D., Cubasch, U. & Jones, P. D. (1996) *J. Climate* **9**, 2281–2306.
31. Hegerl, G. C., Zwiers, F. W., Stott, P. A. & Kharin, V. V. (2004) *J. Climate* **17**, 3683–3699.
32. Hollander, M. & Wolfe, D. A. (1999) *Nonparametric Statistical Methods* (Wiley, New York), 2nd Ed., p. 438.
33. Beck, C., Griesner, J. & Rudolf, B. (2004) *Climate Status Report 2004* (German Weather Service, Offenbach), pp. 181–190.
34. Chen, M., Xie, P., Janowiak, J. E. & Arkin, P. A. (2002) *J. Hydrometeorol.* **3**, 249–266.