

Evidence for the ancient origin of the NF- κ B/I κ B cascade: Its archaic role in pathogen infection and immunity

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The evolutionary conservation of the NF- κ B transcription factors, from *Drosophila* to humans, underscores its pivotal role in immune response. Unexpectedly, the canonical NF- κ B signaling pathway is not functional in the immune system of *Caenorhabditis elegans*. Therefore, the ancient origin of the NF- κ B signaling pathway is still unknown. Here, we report the discovery and characterization of a primitive and functional NF- κ B/I κ B pathway in the immune defense of a "living fossil," the horseshoe crab, *Carcinoscorpius rotundicauda*. The ancient NF- κ B/I κ B homologues, CrNF κ B, CrRelish, and Crl κ B, share numerous signature motifs with their vertebrate orthologues. CrNF κ B recognizes both horseshoe crab and mammalian κ B response elements. Crl κ B interacts with CrNF κ B and inhibits its nuclear translocation and DNA-binding activity. The activation of the CrNF κ B is autoregulated by a feedback mechanism mediated by Crl κ B, the natural inhibitor of CrNF κ B. We further show that Gram-negative bacteria infection causes rapid degradation of Crl κ B and nuclear translocation of CrNF κ B. Infection also leads to an increase in the κ B-binding activity and up-regulation of immune-related gene expression, like inducible nitric oxide synthase and Factor C, an LPS-activated serine protease. Altogether, our study shows that, although absent in *C. elegans*, the NF- κ B/I κ B signaling cascade remains well conserved from horseshoe crab to humans, playing an archaic but fundamental role in regulating the expression of critical immune defense molecules.

conservation and coevolution | horseshoe crab | infection and immune response | transcriptional control

The family of NF- κ B transcription factors plays an indispensable role in immunity, inflammation, apoptosis, development, and differentiation (1, 2). NF- κ B dimers are held in an inactive cytoplasmic complex with a family of inhibitory proteins, the I κ Bs. Degradation of I κ Bs permits nuclear translocation of NF- κ B, where they stimulate the transcription of various immune-related genes (1). In *Drosophila melanogaster*, NF- κ B homologues (Dorsal, Dif, and Relish) are responsible for regulating several biological roles, including humoral immunity and development (3, 4). These important and diverse functions make NF- κ B one of the best-studied transcriptional factors in biology (2). Unexpectedly, in *Caenorhabditis elegans*, the NF- κ B protein is absent, and similar functional homologues (Toll, Traf, Cactus) are not involved in innate immune response (5). This led to the suggestion that the p38 mitogen-activated protein kinase pathway, which mediates the immune response in *C. elegans*, is the ancestral signaling pathway of the common ancestor of nematodes, arthropods, and vertebrates, predating the evolution of the NF- κ B immune signaling pathway (6). Thus, the ancient origin of the NF- κ B signaling pathway is still a conundrum, and it remains unclear whether the similarities between *Drosophila* and the human NF- κ B pathway have resulted from convergent evolution or reflect common ancestral pathways. Therefore, additional information on NF- κ B-mediated responses in other

invertebrate groups that are more ancient than *Drosophila* will shed light on this mystery (7).

The horseshoe crab, commonly known as *Limulus*, is the most ancient arthropod, which has survived unchanged for \approx 550 million years (8) and has evolved a formidable host defense system (9). Its scientific and medical importance is evidenced by the worldwide use of its hemocyte lysate for endotoxin detection (10). Recently, a Toll-like receptor (TLR) was found in *Limulus*; however, no functional characterization is available (11). Thus, it is unclear whether the horseshoe crab TLR is involved in innate immune response. Furthermore, the existence of TLR does not necessarily suggest the presence of NF- κ B proteins, as was observed in *C. elegans* (6). Therefore, whether the horseshoe crab possesses the functional NF- κ B homologue remains unknown, provoking us to trace the ancient origin of an NF- κ B signaling cascade and its involvement in the transcriptional regulation of immune-related genes in this species.

Herein, we identify NF- κ B and I κ B homologues in a species of *Limulus*, the *Carcinoscorpius rotundicauda*, and show that their activation mechanism and transactivation properties are evolutionarily entrenched. We further show that an activated horseshoe crab NF- κ B pathway can regulate the expression of immune-related genes *in vivo*, including inducible nitric oxide synthase (iNOS) and *C. rotundicauda* Factor C (CrFC), the LPS-activated enzyme that triggers the coagulation cascade in immune defense.

Results

Cloning and Characterization of *C. rotundicauda* NF- κ B (CrNF κ B and CrRelish) and I κ B (Crl κ B) Homologues. To isolate the *C. rotundicauda* NF- κ B homologue, degenerate primers were used for RT-PCR. Full length CrNF κ B cDNA was isolated by 3' and 5' RACE. With an ORF of 1,686 bp, it encodes a protein of 562 amino acids. Amino acid analysis revealed that CrNF κ B possesses the characteristic organization of NF- κ B proteins. Specifically, the CrNF κ B contains an N-terminal Rel homology domain (RHD) (residues 19–301) and a C-terminal transactivation domain (TD) (residues 315–562) (see Fig. 5, which is published as supporting information on the PNAS web site). CrNF κ B contains two conserved motifs in the RHD: the DNA-binding motif (RXXRXXRXXC) and the nuclear localization

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Abbreviations: CrFC, *C. rotundicauda* Factor C; CrNF κ B, *C. rotundicauda* NF κ B; Crl κ B, *C. rotundicauda* I κ B; GNB, Gram-negative bacteria; iNOS, inducible nitric oxide synthase; RHD, Rel homology domain; TLR, Toll-like receptor; TD, transactivation domain; NLS, nuclear localization signal; hpi, hours postinfection; CAT, chloramphenicol/acetyltransferase.

Data deposition: The sequences reported in this paper have been deposited in the GenBank database [accession nos. DQ090482 (CrNF κ B), DQ090483 (Crl κ B), and DQ345784 (CrRelish)].

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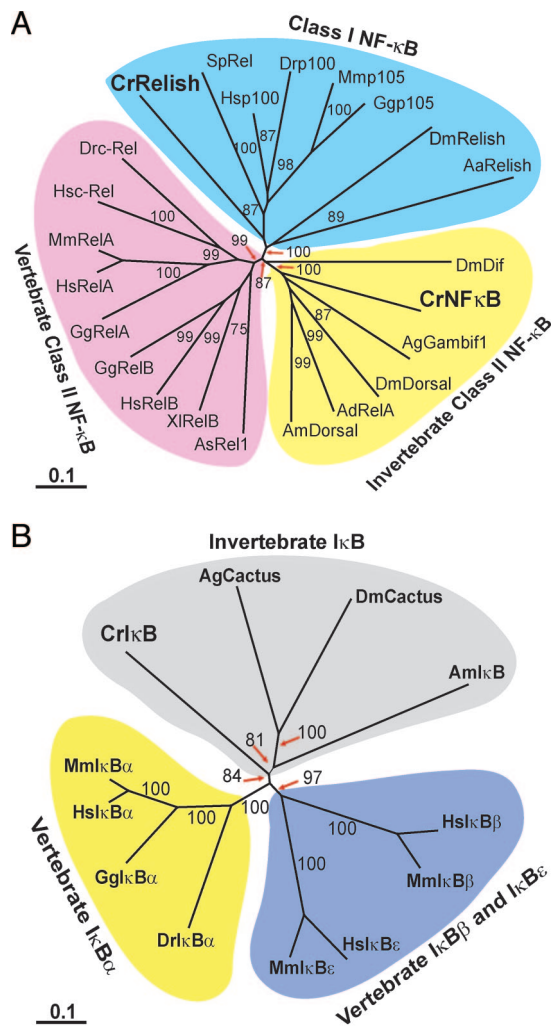


Fig. 1. Phylogenetic analysis of CrNF κ B, CrRelish, and CrI κ B. Multiple sequence alignments were produced with CLUSTAL X by using Gonnet series protein weight matrix. The unrooted phylogenetic tree was constructed by using the neighbor-joining method based on the alignments. The confidence scores (percent) of a bootstrap test of 1,000 replicates are indicated for major branching nodes. GenBank accession nos. of the sequences are listed in Table 1, which is published as supporting information on the PNAS web site. (A) Unrooted phylogenetic tree of CrNF κ B and CrRelish proteins. (B) Unrooted phylogenetic tree of CrI κ B proteins.

signal (NLS) (RKROK) which characterize all NF- κ B family of proteins. Interestingly, the TD of CrNF κ B lacks the polyglutamine, polyalanine, and polyasparagine stretches, which characterizes the TD of several insect Dorsal-like proteins (12). A phylogenetic analysis shows that CrNF κ B clustered among Dorsal-like proteins that belong to the invertebrate class II NF- κ B (Fig. 1A) (13). This cluster differs from the vertebrate class II NF- κ B, which includes the RelA, RelB, and c-Rel proteins.

A class I NF- κ B homologue (CrRelish) was similarly isolated. It shows high homology with *Drosophila* Relish and mammalian p100 (Fig. 1A) and contains 3,405 base pair encoding 1,135 amino acids. The CrRelish contains an N-terminal RHD and a C-terminal I κ B-like domain with six ankyrin repeats (Fig. 6, which is published as supporting information on the PNAS web site). Similar to mosquito Relish and mammalian p100 and p105 (14), a death domain is located at the C terminus of CrRelish.

The *C. rotundicauda* I κ B (CrI κ B) cDNA was cloned by using primers designed from the ankyrin repeat regions. The full length CrI κ B cDNA contains 1,566 base pair encoding a 439-

amino acid protein. The CrI κ B protein (Fig. 7, which is published as supporting information on the PNAS web site) contains several features found in I κ B members: five ankyrin repeats with homology to the mammalian and *Drosophila* I κ B counterparts, two serine residues that are critical for its degradation at the N-terminal serine-rich region, and the C-terminal PEST domain necessary for constitutive phosphorylation and intrinsic stability of the I κ B protein (15). Furthermore, at the C-terminal PEST domain, several putative casein kinase II phosphorylation sites were identified that are required for efficient signaling and its degradation *in vivo* (16). It shows highest homology to the *Drosophila* I κ B, Cactus. A phylogenetic analysis revealed three main clusters: invertebrate I κ B, vertebrate I κ B α , and vertebrate I κ B β and I κ B ϵ (Fig. 1B).

CrNF κ B Binding to the κ B Response Element Is Inhibited by CrI κ B. To examine whether CrNF κ B can recognize the κ B response element, EMSA was done by using a recombinant RHD of CrNF κ B on the κ B site of CrFC promoter. The results showed that the RHD of the CrNF κ B can interact with the CrFC κ B response element (Fig. 2A, lane 1), which was also recognized by the human NF- κ B and *Drosophila* Dorsal (17). The presence of κ B motif is critical to the binding, because mutations to the 5' end of the κ B motif (-143 to -141), from GGG to ATT, abolished the binding (Fig. 2A, lane 8). The interaction is specific, because the binding was markedly reduced by excess cold competitor oligonucleotide, whereas the mutant competitor had no effect (Fig. 2A, lanes 2, 3, 6, and 7). CrNF κ B also recognizes a consensus mammalian κ B motif (data not shown), and thus it may serve as a functional substitute for vertebrate NF- κ B or vice versa. Our results suggest that the specific recognition sequence of NF- κ B was acquired early and maintained during evolution.

To investigate whether CrI κ B can interact with CrNF κ B, an *in vitro* pull-down assay was performed. A specific complex between these two proteins was obtained (Fig. 2B, lane 5), because no interaction was observed with either control Sepharose beads or GST protein with CrNF κ B (Fig. 2B, lanes 3 and 4). To determine whether CrNF κ B interacts with CrI κ B *in vivo*, we coexpressed CrNF κ B and CrI κ B in *Drosophila* S2 cells. Indeed, CrI κ B interacted with CrNF κ B to form an immunoprecipitate complex (Fig. 2C). To verify whether CrI κ B could inhibit the DNA-binding activity of CrNF κ B, we performed EMSA, which showed that CrI κ B inhibited the DNA-binding activity of CrNF κ B in a dose-dependent manner (Fig. 2D, lanes 2-6). Altogether, the results show that CrI κ B interacts with and specifically inhibits the DNA-binding activity of CrNF κ B.

Functional Activation of the CrNF κ B/CrI κ B Cascade. We next examined the ability of CrNF κ B to regulate gene transcription by using transient cotransfection studies with the CrFC promoter (-186 to +1)-chloramphenicol/acetyltransferase (CAT) reporter, which harbored a potential NF- κ B-binding site (-143 to -133) and wild-type or truncated CrNF κ B expression constructs (Fig. 2E). The overexpression of full length CrNF κ B resulted in a 10-fold increase in CAT reporter expression (Fig. 2F), whereas that of CrNF κ B-RHD (amino acids 1-321) and CrNF κ B- Δ NLS (amino acids 1-266) led to significantly reduced CAT expression, suggesting that the C-terminal TD of CrNF κ B is essential for gene activation. The deletion of the 5' end of CrFC κ B motif (-143 to -138) resulted in significantly reduced CAT induction (Fig. 2F, Mut κ B), suggesting that the κ B site of CrFC is functional. In the presence of increasing amounts of CrI κ B (the endogenous natural inhibitor of CrNF κ B), a dose-dependent reduction in CAT reporter expression was observed (Fig. 2G).

To distinguish whether the reduced gene activation by truncated CrNF κ B was attributable to the lack of transactivation activity or to impaired nuclear translocation, the subcellular localization of full length and truncated CrNF κ B was examined.

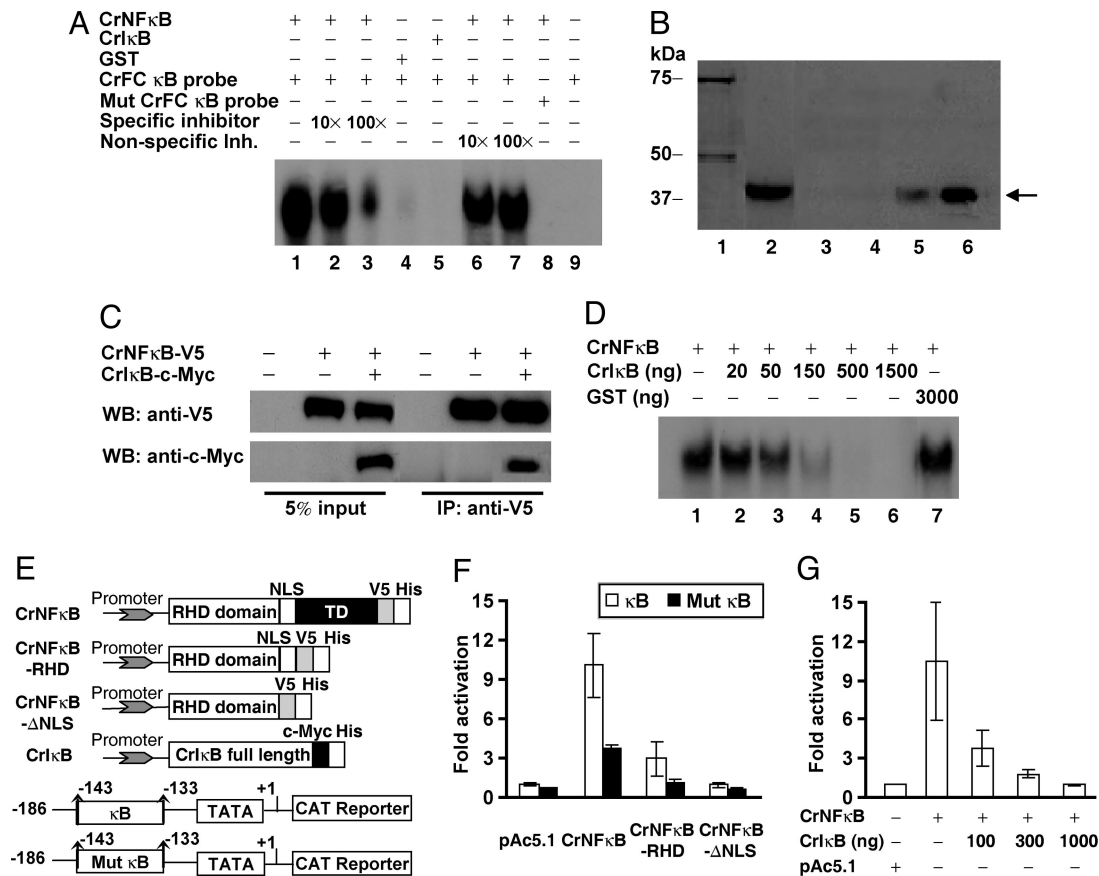


Fig. 2. *In vitro* characterization of CrNF κ B and CrI κ B. (A) Binding of CrNF κ B protein to the Factor C (CrFC) κ B probe. (B) GST pull-down assay. Lane 1, the purified GST-CrI κ B protein. Expression of His-tagged CrNF κ B-RHD was confirmed by probing bacteria lysates with anti-His antibody (lane 2). CrNF κ B-RHD (–) expression bacteria lysates were incubated with glutathione-Sepharose beads (lane 3) or glutathione-Sepharose beads loaded with GST (lane 4) or GST-CrI κ B (lane 5). One-tenth volume of the CrNF κ B-RHD was also electrophoresed as positive control (lane 6). (C) Immunoprecipitation (IP) of CrNF κ B and CrI κ B. S2 cells were transfected with the indicated combination of plasmids. Five percent volume of the cell lysates used for IP was electrophoresed as control. (D) CrI κ B inhibits the CrNF κ B DNA-binding activity. A known amount of CrNF κ B was titrated with increasing amounts of CrI κ B. GST was used as negative control. (E) Schematic representation of the expression vectors (Upper) and reporters (Lower) used in transfection. (F) S2 cells were cotransfected with wild-type (κ B) and mutant (Mut κ B) CrFC-CAT reporters (1 μ g), a β -galactosidase expression plasmid (50 ng), together with full length or truncated CrNF κ B constructs (500 ng). CAT expression level was normalized against the levels of β -galactosidase expression. (G) Cotransfection of CrNF κ B (500 ng) and increasing amounts of CrI κ B. Results are expressed as relative fold induction in CAT expression as compared with control cells transfected with vector backbone. Data are presented as mean \pm SD of three independent experiments.

Immunofluorescence showed that both the full length CrNF κ B and CrNF κ B-RHD were evenly distributed in the cytoplasm and the nucleus (Fig. 8, which is published as supporting information on the PNAS web site), indicating that TD does not affect the localization of CrNF κ B. This suggests the atypical C-terminal TD of CrNF κ B is functional and essential for transcriptional activation. The NLS of CrNF κ B is also functional, because the truncated CrNF κ B- Δ NLS remained localized to the cytoplasm. Immunofluorescence revealed that the overexpressed CrI κ B was exclusively located in the cytoplasm. We next examined the effect of coexpressed CrI κ B on the localization of CrNF κ B. Similar to mammalian I κ B α , CrI κ B overexpression resulted in the sequestration of CrNF κ B to the cytoplasm (Fig. 8).

Altogether, these results show that the interaction between CrI κ B and CrNF κ B interferes with the latter's ability to translocate into the nucleus, to bind DNA, and to stimulate gene transactivation. Interestingly, the activation of CrNF κ B is analogous to the canonical activation cascade observed in the vertebrate (18), thus lending support to our proposal of the ancient origin and coevolution of the NF- κ B/I κ B signaling.

The Biological Significance of a Primitive CrNF κ B/CrI κ B Cascade. To check the relevance of the NF- κ B cascade *in vivo*, we examined

κ B-binding activity in the hemocytes, the major immune cell in this invertebrate. EMSA using whole hemocyte lysates suggests the presence of proteins that bind specifically to the κ B site of the CrFC promoter, because mutation of the κ B motif abolished binding (Fig. 3A). These gel-shift complexes were partially reduced by increasing doses of CrI κ B and helenalin, a specific inhibitor of human NF- κ B p65 (19), further suggesting that the complexes were formed by NF- κ B-related proteins (Fig. 3A). Anti-CrNF κ B caused a partial supershift of the κ B-binding complex (Fig. 3B), confirming that CrNF κ B binds κ B and suggesting there are probably other κ B-binding proteins in the horseshoe crab hemocytes. To examine the *in vivo* activation of CrNF κ B by infection, horseshoe crabs were injected with *Pseudomonas aeruginosa*. Upon infection, the EMSA signal increased markedly in the hemocyte nuclear extract (Fig. 3B), and the CrI κ B protein was rapidly degraded (Fig. 3C), suggesting that infection activates the NF- κ B pathway. We next examined the subcellular localization of CrNF κ B and CrI κ B in hemocytes. The cytoplasmic CrNF κ B in the naive hemocytes was enriched in the nucleus within 30 min of infection (Fig. 9, which is published as supporting information on the PNAS web site). Although the CrI κ B remained in the cytoplasm with or without

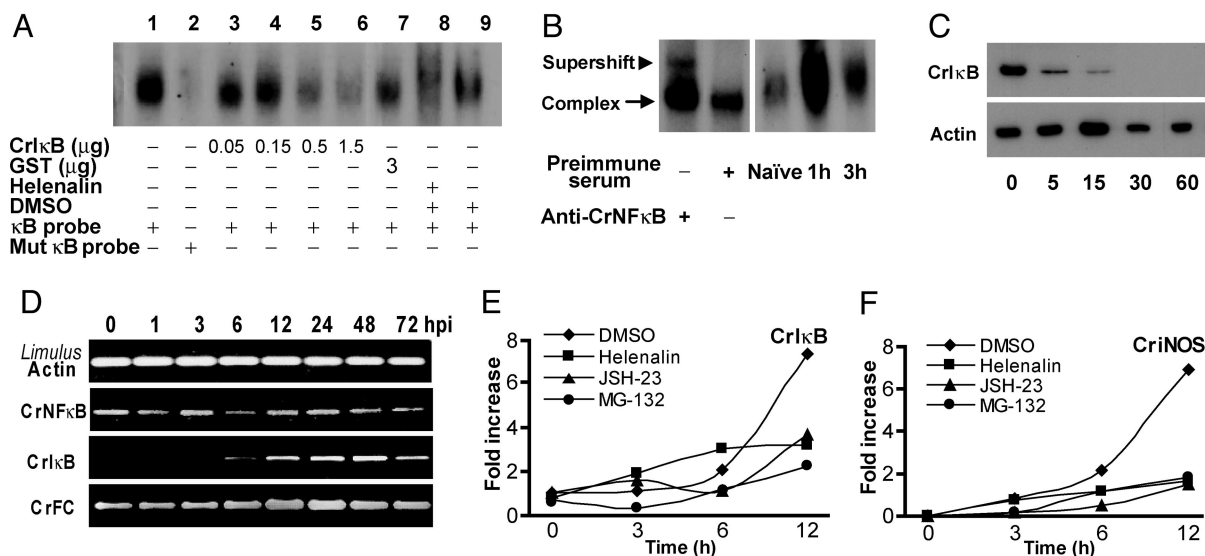


Fig. 3. *In vivo* characterization of CrNF κ B and CrI κ B. (A) Hemocyte extracts were used for EMSA. Approximately 20 μ g of extract was incubated with the Cr κ B probe (lanes 1 and 3–9) or mutant (Mut) CrFC κ B probe (lane 2). Binding complexes were progressively abolished by CrI κ B (lanes 3–6), although it remained unaffected by GST (lane 7). Helenalin (lane 8) decreases the intensity of the binding compared to DMSO (lane 9). (B Left) Supershift of the κ B-binding complex by the anti-CrNF κ B antibody. (B Right) Naïve and 1- and 3-hpi *Pseudomonas*-challenged horseshoe crab hemocyte nuclear extracts were incubated with the CrFC κ B probe. (C) Degradation of CrI κ B after bacteria infection. Hemocyte extracts were prepared from naïve and infected animals according to Ding *et al.* (30). Western blots show proteins extracted from hemocytes over time (min) of infection. Equal protein loading and transfer were verified by using *Limulus* actin. (D) Expression of CrNF κ B, CrI κ B, and CrFC. The hemocytes were collected 1–72 hpi. The *Limulus* actin 11 gene was the internal control. (E and F) CrNF κ B affects the expression of immune-related genes. One hour after treatment with DMSO (\blacklozenge), helenalin (\blacksquare), MG-132 (\bullet), or JSH-23 (\blacktriangle), the horseshoe crabs were either left unstimulated (0 h) or challenged with *P. aeruginosa*, and the hemocytes were collected at the indicated hpi. Results are expressed as relative fold increase as compared with naïve control (0 h), which was set to 1. Without infection, the expression of CrINOS was undetectable. Thus, the expression level of CrINOS at 3 hpi was set to 1.

infection, its intensity decreased significantly upon infection (Fig. 9). This is in agreement with the Western blot (Fig. 3C), further lending support that bacteria infection activates the CrNF κ B signaling pathway.

To determine the expression pattern of CrNF κ B and CrI κ B upon *P. aeruginosa* infection, RT-PCR was performed with total RNA from hemocytes collected at indicated time points after challenge. CrNF κ B mRNA was constitutively expressed in the hemocytes and remained unchanged throughout the course of infection. In contrast to CrNF κ B, expression of CrI κ B was significantly induced after bacteria challenge (Fig. 3D). This is consistent with studies on *Drosophila* and humans in which activation of the NF- κ B pathway increases expression of I κ B and negatively autoregulates NF- κ B activity (1). In comparison, CrFC exhibited a slight up-regulation over the same time frame as CrI κ B, suggesting that both CrI κ B and CrFC are NF- κ B-responsive genes (Fig. 3D). To examine whether the expression of CrI κ B is indeed affected by the NF- κ B pathway, we studied the effect of NF- κ B-specific inhibitors on the up-regulation of CrI κ B. Injection of DMSO (vehicle) did not affect activation of CrI κ B during infection (Fig. 3E), whereas treatment with three unrelated NF- κ B-specific inhibitors, helenalin, MG-132, or NF- κ B activation inhibitor II (JSH-23) (20), prior to infection consistently suppressed the up-regulation of CrI κ B. This indicates a possible role of the NF- κ B pathway in the regulation of CrI κ B expression *in vivo*. To investigate whether NF- κ B signaling also plays a role in regulating other immune-related gene transcription, we analyzed the expression of *C. rotundicauda* iNOS, CrINOS. iNOS is a classical NF- κ B target gene required for a robust innate immune response both in the *Drosophila* and vertebrates (21, 22). The expression of CrINOS was induced after infection by *P. aeruginosa* (Fig. 3F). When cells were treated with the NF- κ B-specific inhibitors, there was negligible increase of CrINOS mRNA (Fig. 3F), clearly showing that NF- κ B inhibitors

blocked the increase of CrINOS transcription. To further demonstrate that the NF- κ B inhibitors affect only the NF- κ B pathway, we analyzed the expression of transglutaminase, which has been shown to be under the control of Sp1 and CREB/AP-1 in the vertebrate (23). Indeed, injection of NF- κ B inhibitors did not affect the activation of transglutaminase transcription upon infection (data not shown).

Discussion

The phenomenal success of the immune defense of the horseshoe crab, the most ancient living arthropod, has contributed to its survival for \approx 550 million years (8) and makes this species an excellent model to understand the origin of innate immunity. Herein, we identified the *C. rotundicauda* homologue of NF- κ B and I κ B called CrNF κ B, CrRelish, and CrI κ B, respectively. To the best of our knowledge, except for *Drosophila* Cactus, CrI κ B is the only I κ B characterized in the invertebrate, and CrNF κ B is the only NF- κ B identified in a noninsect species of arthropods. Despite the huge evolutionary distance between the horseshoe crab and vertebrates, we showed that CrNF κ B, CrRelish, and CrI κ B displayed similar signature motifs found in the vertebrate orthologues, notably the DNA-binding motif and the NLS of CrNF κ B (Fig. 5), the five ankyrin repeats, and the N-terminal potential phosphorylation sites of CrI κ B (Fig. 7). Like human p100 and p105 and insect relish, the CrRelish is a mosaic protein that contains both RHD and the inhibitory I κ B domain (Fig. 6). That human p100 and *Drosophila* Relish are cleaved to release its N-terminal activation domains suggests that CrRelish probably undergoes a similar process upon bacterial infection (Fig. 4). However, the functional difference(s) between CrNF κ B and CrRelish need to be addressed further. Interestingly, the activation and DNA recognition of CrNF κ B are reminiscent of that of more advanced species. Furthermore, CrI κ B can specifically inhibit the binding of CrNF κ B to the κ B motif of the CrFC promoter and reduce its transcriptional activity (Fig. 2D and

are listed in *Supporting Text*, which is published as supporting information on the PNAS web site.

Cell Culture and Transfection. *Drosophila* Schneider S2 cells were maintained at 25°C in *Drosophila* Serum-Free Medium supplemented with 20 mM L-glutamine/5% FBS (Invitrogen). Twelve hours before transfection, cells were seeded in a six-well plate at 1.2×10^6 cells per well. Transfections were conducted by using CellFectin (Invitrogen). At 48 h after transfection, CAT and β -galactosidase activities were measured by ELISA (Roche Diagnostics). We performed the transfection in S2 cells on the basis that both the horseshoe crab and *Drosophila* are members of the arthropod family.

Inhibitor Treatments and RT-PCR. NF- κ B inhibitors, helenalin (10 mM), MG-132 (25 mM), and NF- κ B activation inhibitor II

(JSH-23, 25 mM), were dissolved in DMSO. DMSO (vehicle) or inhibitors was given intracardially at 500 μ l/kg body weight. After 1 h, the horseshoe crabs were injected with 1.2×10^7 colony-forming units of *P. aeruginosa* per kilogram of body weight. Reverse transcription was performed by using the Invitrogen kit with 3 μ g of total RNA and oligo(dT). Semiquantitative RT-PCR was performed with a reaction profile of 95°C for 3 min, 19–25 cycles of 56°C for 30 s, 72°C for 1 min, and 95°C for 30 s. The RT-PCR products were analyzed on gels and quantified relative to the levels of *Limulus* actin-11 mRNA. Sequences of all primers are in *Supporting Text*.

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