Origin and Evolution of a Chimeric Fusion Gene in *Drosophila subobscura*, *D. madeirensis* and *D. guanche*

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ABSTRACT

An understanding of the mutational and evolutionary mechanisms underlying the emergence of novel genes is critical to studies of phenotypic and genomic evolution. Here we describe a new example of a recently formed chimeric fusion gene that occurs in *Drosophila guanche*, *D. madeirensis*, and *D. subobscura*. This new gene, which we name *Adh-Twain*, resulted from an *Adh* mRNA that retrotransposed into the *Gapdh*-like gene, *CG9010*. *Adh-Twain* is transcribed; its 5′ promoters and transcription patterns appear similar to those of *CG9010*. Population genetic and phylogenetic analyses suggest that the amino acid sequence of *Adh-Twain* evolved rapidly via directional selection shortly after it arose. Its more recent history, however, is characterized by slower evolution consistent with increasing functional constraints. We present a model for the origin of this new gene and discuss genetic and evolutionary factors affecting the evolution of new genes and functions.

GENOMES gain and lose genes at surprisingly high rates in both unicellular (JAIN *et al.* 1999; OCHMAN and JONES 2000; LYNCH and CONERY 2003) and multicellular organisms (PATTHY 1999; BETRAN *et al.* 2002; HARRISON *et al.* 2002; BOREVITZ *et al.* 2003; HOLLAND 2003; TIAN *et al.* 2003). Identifying the mutational and population genetic mechanisms involved in gene loss and gain is critical to understanding the forces shaping genome variation. The spread of gene duplications, by either drift or natural selection (WAGNER 2001; OHTA 2003; reviewed in WOLFE and LI 2003), is one mechanism by which gene number increases (HALDANE 1932; OHNO 1970). Gene duplications may, in many cases, evolve new functions or become subfunctionalized simply as a result of amino acid or expression evolution and not as a consequence of large-scale changes in gene organization (OHNO 1970; LYNCH and CONERY 2000; LYNCH *et al.* 2001; HUGHES 2002; BETRAN and LONG 2003; KATJU and LYNCH 2003).

Occasionally, duplication events lead to radical reorganization of gene structures that likely lead to dramatic and immediate functional divergence. One type of radical reorganization is gene fusion, whereby two previously separate and independent genes are fused to form a single contiguous gene. Such chimeric fusion genes (CFGs) have been identified in several taxa. For example, in plants CFGs are implicated in cytoplasmic male sterility (HE *et al.* 1996). A few CFGs have also been found in vertebrates (FINTA and ZAPHIROPOULOS 2000; ROGALLA *et al.* 2000; THOMSON *et al.* 2000; COURSEAUX and NAHON 2001). Finally, several novel CFGs have been described in Drosophila, such as *jingwei* in *Drosophila tessieri* and *D. yakuba* (LONG and LANGLEY 1993), *Sdic* in *D. melanogaster* (NURMINSKY *et al.* 1998), and *Adh-finnegan* (SULLIVAN *et al.* 1994; BEGUN 1997).

Two novel Drosophila genes, *jingwei* and *Adh-finnegan*, were previously thought to be *Alcohol-dehydrogenase* (*Adh*) pseudogenes (FISCHER and MANIATIS 1985; JEFFS and ASHBURNER 1991). In both cases, further analysis showed that these genes were functional genes that acquired protein-coding sequence 5′ of the *Adh*-derived region of gene (LONG and LANGLEY 1993; BEGUN 1997). *jingwei* is a fusion of the amino terminus of a gene known as *yellow emperor* and a retrotrasposed *Adh* (WANG *et al.* 2000). The *jingwei* expression profile has diverged from its *Adh* ancestors. In *D. tessieri* expression is now limited to the testes (like *ymp*), although in *D. yakuba* it is expressed in other tissues as well (LONG and LANGLEY 1993). *Adh-finnegan* was created by the chromosomal duplication of *Adh* combined with the recruitment of a new 5′ exon of unknown origin (BEGUN 1997). *Adh-finnegan* appears to be expressed broadly in adult tissues (SULLIVAN *et al.* 1994). Although these two *Adh*-derived fusion genes arose via different mechanisms and show dramatically different expression patterns, directional selection appears to have driven rapid amino acid evolution in both genes (LONG and LANGLEY 1993; BEGUN 1997). The fact that two novel Drosophila genes are

derived from *Adh* and share some common aspects of their evolution raises two important questions:

1. Is *Adh* overrepresented among novel fly genes? Or, does the discovery of *jingwei* and *Adh-finnegan*—both of which were discovered unintentionally—reflect the intense study of *Adh* in Drosophila?
2. If *Adh* frequently participates in radical reorganizations associated with novel function, what general principles of the evolution of novel function are revealed by these examples of repeated evolution?

Given the history of the discovery of *jingwei* and *Adh-finnegan*, a report of a third putative *Adh* pseudogene in the *obscura* group of Drosophila (MARFANY and GONZALEZ-DUARTE 1992; LUQUE *et al.* 1997) attracted our attention. DNA sequencing showed that this putative pseudogene originated by retrotransposition. Results from polytene *in situ* hybridization showed that this *Adh* retrosequence had transposed to chromosome arm E from chromosome U, which is the expected location of *Adh* on the basis of the conservation of Muller elements (ASHBURNER 1989). This retrotransposed *Adh* was found in *D. subobscura, D. guanche,* and *D. madeirensis,* but not in *D. ambigua* (VISA *et al.* 1991; MARFANY and GONZALEZ-DUARTE 1992; LUQUE *et al.* 1997), suggesting that the gene likely arose within the past 3 million years. LUQUE *et al.* (1997) sequenced six clones harboring this putative *Adh* retropseudogene, two each from genomic libraries of *D. subobscura, D. guanche,* and *D. madeirensis.* Comparisons of putative *Adh* pseudogenes to *Adh* for these species revealed frameshift mutations or indels in 5′ and 3′ regions flanking the *Adh* coding regions. The codon homologous to the ATG initiation codon of the ancestral *Adh* was CTG in both *D. guanche* clones. Premature stop codons were evident in one of the two *D. guanche* clones and one of the two *D. subobscura* clones, but none of the *D. madeirensis* clones. These observations suggested that at least some of these *Adh* sequences were no longer functional. The fact that the duplicate *Adh* was a retrosequence was also interpreted as support for the pseudogene hypothesis, as retrotransposed sequences potentially lack regulatory elements necessary for proper expression. All three species showed elevated amino acid substitution rates (d_N) relative to *Adh*. None of the putative retropseudogenes, however, showed a nonsynonymous to synonymous substitution rate (d_N/d_S) close to one, the expectation for a neutrally evolving pseudogene. Moreover, codon bias *increased* in the putative *Adh* retropseudogenes, an unexpected result for a nonfunctional gene. Overall, the data presented a conflicting picture of the *Adh* retrosequence. Some aspects of the data supported the pseudogene hypothesis, yet others were strangely inconsistent with the hypothesis and were similar to the situation previously observed in the *repleta* group *Adh* duplication (SULLIVAN *et al.* 1994; BEGUN 1997). We present here our analysis of this retrotransposed *Adh*. We show that this putative *Adh* retropseudogene is actually part of a new chimeric fusion gene that is the result of an *Adh* mRNA inserting into the *Gapdh*-like gene, *CG9010*. This fusion gene is actively and widely transcribed. While the 5′ promoters and transcription patterns of this gene are similar to those of *CG9010*, the protein-coding region has diverged for both the *CG9010* and the *Adh*-like regions. Population genetic and phylogenetic analyses suggest that this amino acid evolution resulted from directional selection shortly after the chimeric fusion gene was formed.

MATERIALS AND METHODS

Stocks: *D. subobscura* stocks were obtained from the Species Stock Center and from A. Davis. *D. guanche, D. hydei, D. pseudoobscura, D. melanogaster,* and *D. yakuba* stocks were originally obtained from the Species Stock Center and the Bloomington Stock Center. A *D. madeirensis* stock was kindly provided by M. Aguadé. All stocks were reared on standard Drosophila medium at room temperature.

DNA sequencing: PCR products were sequenced directly using an ABI 377 automated sequencer and BigDye Terminator chemistry (Applied BioSystems, Foster City, CA).

RNA extraction, cDNA preparation, and RT-PCR: Poly(A+) RNA was prepared from whole flies or larvae using a Micro-Poly(A) kit (Ambion, Austin, TX). cDNA for reverse transcriptase-PCR and rapid amplification of cDNA ends (RACE) was prepared from this RNA using the SMART RACE cDNA amplification kit (CLONTECH, Palo Alto, CA). SuperScript II reverse transcriptase (GIBCO BRL, Rockville, MD) was used for all RT reactions. Gene-specific primers were used to assay gene expression by RT-PCR on cDNA isolated from larvae (first, second, and third instar), whole adult males, and whole adult females.

Genomic library construction and screening: *D. subobscura* genomic DNA was isolated from adult flies, partially digested with *Sau*3aI (New England BioLabs, Beverly, MA), and then dephosphorylated with CIAP (Promega, Madison, WI). These fragments were ligated into the Lambda DASH II vector according to the manufacturer's instructions (Stratagene, La Jolla, CA; T4 ligase was from GIBCO BRL), followed by packaging using Gigapack III Gold packaging reactions (Stratagene, La Jolla, CA). The library was amplified once on plates using XL-1Blue MRA [P2] cells.

Primary and secondary plaque lifts were carried out on Nytran Nylon membranes (Schleicher & Schuell, Keene, NH). The library was screened with a 1-kb *CG9010* probe that was PCR amplified from *D. melanogaster*. Because this probe cross-hybridizes to plaques harboring *Gapdh,* we used PCR with primers designed for *D. subobscura Gapdh* to rule out false positives. Phage containing *CG9010* were digested with *Eco*RI and *Pst*I, resolved on a 0.7% agarose gel, Southern blotted, and probed with *CG9010*. The fragment containing *D. subobscura CG9010* was subcloned into pBluescript.

Genomic Southern blot analysis of *CG9010*: Southern blot analysis was used to infer copy number of *CG9010* in *D. subobscura*. Genomic DNA (5 μg) was purified from *D. melanogaster, D. pseudoobscura, D. guanche,* and *D. subobscura.* These samples were digested with *Pst*I or *Hin*dIII (GIBCO BRL), electrophoresed on a 0.7% gel, and Southern blotted to Nytran nylon membranes. These blots were then probed with PCR-amplified 1-kb fragments of *D. melanogaster CG9010* and then *D. subobscura CG9010*.

Protein analyses: One gram of tissue (whole adults and

larvae) was homogenized in 2 ml ice-cold homogenization buffer and then centrifuged. Protein concentration in the supernatant was determined using the Bradford method (Bio-Rad, Hercules, CA). We then applied SDS-PAGE to our samples (10% acrylamide resolving gels, 4% acrylamide stacking gels). Typically, 5 μg of sample was boiled and then loaded in each lane. Gels were run at 70 mA constant current for 30–40 min. Gels were electroblotted on nitrocellulose at 0° for 30–60 min at 100 V constant voltage followed by blocking for 1 hr in a TBS-milk solution. Blots were incubated overnight (at 4°) with goat anti-*D. melanogaster* ADH (courtesy of C. Benyanjati) diluted in TBS-milk solution. Blots were placed in fresh TBS-milk and incubated with the secondary antibody (anti-goat HRP) for 1 hr followed by washing with TBS-Tween. The secondary antibody was visualized with ECL Plus (Amersham Biosciences, Piscataway, NJ) followed by autoradiography.

This approach repeatedly worked well for ADH proteins in all species we assayed (*D. melanogaster*, *D. suboscura*, *D. yakuba*, *D. pseudoobscura*, and *D. hydei*). Overexposure of the film to the blot would visualize a number of minor bands, but it was impossible to determine which, if any, would correspond to the band of interest.

We also used allozyme gels to look for residual ADH activity in the *D. subobscura* CFG. We adapted the protocol of Batterham *et al.* (1983). Although we tried a variety of conditions, we observed only a single band of activity. This was consistent with what was reported in the literature (see results).

DNA sequence analysis: BLASTN and TBLASTX were used to identify similar sequences from the NCBI databases (Altschul *et al.* 1997). DNAstar (DNASTAR, Madison, WI) was used for sequence alignments, contig assembly, and restriction mapping. Accession numbers of previously published data used in this analysis are X55390, X55391, M55545, X60112, U68470, U68469, X60113, U68472, U68471, AF175211, and AE003805.

Basic population genetic analyses were done using either DNAsp (Rozas and Rozas 1999) or software written by C.D.J. We limited our analysis to regions of high sequence quality. Typically, insertion/deletion polymorphism was ignored in our calculations of population genetics statistics.

Promoter prediction was accomplished using NNPP (Reese 2001) and McPromoter (Ohler *et al.* 2002). As noted in results, a threshold of 0.8 was used (which is predicted to give a false positive rate of 0.4% for NNPP). Signal peptide prediction used SignalP (Nielsen *et al.* 1997). No signal peptides were detected.

Phlyogenetic analysis: PAML provides a suite of maximum likelihood-based tools for combining DNA sequence and phylogenetic data to test molecular evolutionary hypotheses (Yang 1997; Yang and Bielawski 2000). We used the phylogeny of Ramos-Onsins *et al.* (1998). There are three major steps to using PAML: (1) choice of appropriate model, (2) parameterization of that model, and (3) sequential comparison using log-likelihood ratio tests of simpler to more complex models to evaluate if a more complex model provides a significantly better fit to the data. For clarity, steps 1 and 2 will be described here and step 3 will be presented in results.

Evolution of protein-coding regions of *CG9010* and *Adh*-derived sequences were analyzed independently using the codon model (Codeml; Goldman and Yang 1994; Yang 1997). In the following sections, the difference in the log likelihood (Δlnl), for the relevant degrees of freedom, implied a *P*-value <0.05 and was typically <0.001. Unless noted otherwise, model comparisons involving multiple tests remained significant after Bonferroni corrections. F3X4 codon model fit the *CG9010* data the best of the codon models; the estimated codon table fit the *Adh* data the best. When appropriate and

when a significantly better fit to the data was produced, κ, ω, and α were estimated (see Yang 1997). For the analyses discussed in results, we *a priori* hypothesize that the lineage created by the formation of the CFG (*Adh-Twain*) will be undergoing more rapid evolution than the *Adh* or *CG9010* lineages [*e.g.*, hypothesis generation is independent of the data used to test it; see Yang (1997) p. 23]. In several cases, convergence to maximum likelihood estimates was verified by changing the "small difference" parameter (see Yang 1997, p. 19). Reconstruction of ancestral sequences was done using both joint and marginal reconstruction. Ancestral states were identical regardless of method. Note that all amino acid positions are in terms of their position in *D. subobscura*, not *D. melanogaster*.

RESULTS

Open reading frame extends 5′ of *Adh* retrosequence and is homologous to *CG9010*: Analysis of previously published *D. subobscura* genomic sequences revealed an open reading frame (ORF) 5′ of the *Adh* retrosequence. This ORF was contiguous and in the same reading frame as the *Adh* restrosequence ORF. TBLASTX of the 5′ ORF to the *D. melanogaster* reference sequence showed that the predicted amino acid sequence from this region was homologous to the translated *D. melanogaster* protein for *CG9010*, a broadly expressed, intronless gene that is similar to *Gapdh*. This region, roughly 300 bases 5′ of the *Adh* sequence, is homologous to *CG9010* in all three species. In *D. subobscura*, the inferred amino acid sequence of the region from 135 to 441 bases 5′ of the putative retrosequence start codon shows ∼60% identity with the amino terminus of the *D. melanogaster* predicted protein *CG9010* (TBLASTX *E*-value = e^{-15}). A similar region was found in *D. madeirensis*. The more distantly related *D. guanche* also contains a homologous region showing ∼67% identity with *D. melanogaster CG9010* (TBLASTX *E*-value = e^{-18}).

As mentioned in Luque *et al.* (1997), the region immediately upstream of the putative retrosequence initiation codon and downstream of the *CG9010*-like region is similar to *obscura* group *Adh* 5′-UTR. It is not clear from sequence comparisons whether this region represents the larval or adult leader variant. The *Adh*-like region of the retrosequence retained the *Adh* 3′-UTR and a viable polyadenylation signal. The region 3′ of the *Adh*-like region 3′-UTR showed no significant similarity to any known gene, although it clearly harbors GEM elements (Vivas *et al.* 1999). Given the location of *CG9010* in *D. melanogaster*, the *D. subobscura CG9010* would be located on chromosome arm E, which is also the location of the *Adh* retrosequence.

These results suggest that the *Adh* pseuodogene described by Luque *et al.* (1997) is a chimeric fusion gene that resulted from the retrotransposition of an *Adh* transcript into a *D. subobscura CG9010*. Our analysis, however, did not resolve the issue of the frameshift and nonsense mutations associated with at least some copies of the *Adh* retrosequence. To address this issue, we se-
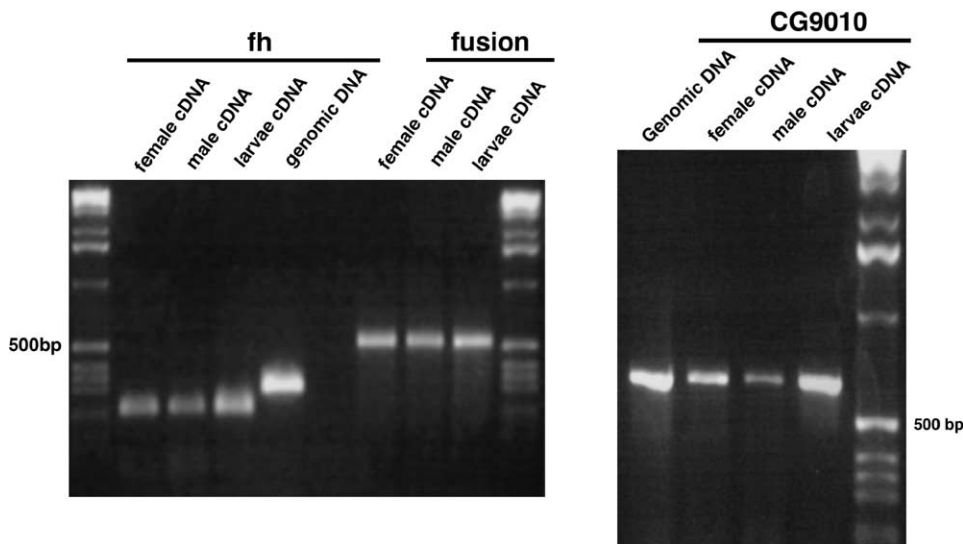
FIGURE 1.—Transcription patterns of *Adh-Twain* and *CG9010* are similar. We used RT-PCR to amplify a fragment of *fh*, *Adh-Twain* (fusion), and *CG9010* from cDNA made from poly(A^+) RNA extracted from *D. subobscura* adult females, adult males, and larvae. The *same* cDNA prep was used for all three amplifications. *Adh-Twain* is actively transcribed in all three samples. Qualitatively, the expression of *Adh-Twain* is similar to that of *CG9010*. The *fh* gene was a control to show that our cDNA did not contain genomic DNA. The *fh* amplicon spans an intron; thus, if the cDNA were contaminated with genomic DNA we would observe a second band in the *fh* lanes.

quenced DNA encompassing 97% of the potential open reading frame of the chimeric fusion gene and some 5′-flanking sequence from 15 lines of *D. subobscura*, one line of *D. guanche*, and one line of *D. madeirensis*. Complete coding sequences were obtained for one *D. subobscura* line and one *D. guanche* line. All sequences had a contiguous open reading frame that included both the *CG9010*-like region and the *Adh*-like region. None of the frameshift insertion/deletions observed by Luque *et al.* were observed in our data. Nor were any premature stop codons found in any of the regions surveyed. This suggests that these indels and stop codons may have been sequencing errors in the original article. (If these stop codons and frameshifts do indeed exist, our data suggest that they are rare variants in *D. subobscura*.) The substitution of the canonical *Adh* start codon (ATG) by a leucine (CTG) reported by Luque *et al.* (1997) was also observed in our *D. guanche* sequence. From these data, we conclude that this *Adh* "pseudogene" is likely a novel *Adh*-derived fusion gene, which we have tentatively named "*Adh-Twain*." (Mark Twain's famous statement, "The rumors of my demise have been greatly exaggerated," was the inspiration for the name of this gene. We propose the abbreviation *AdhT* for *Adh-Twain*.)

***CG9010* exists in *D. subobscura* and *D. guanche*:** If *CG9010* function was necessary for the ancestor of *D. subobscura*, *D. guanche*, and *D. madeirensis* (which is likely given its similarity to *Gapdh* and its conservation across Drosophila), then *CG9010* must have duplicated in this lineage. In other words, if insertion of the retrosequence into *CG9010* abolished *CG9010* function, then an alternative functional copy of *CG9010* should exist in the *subobscura* clade. We used Southern analysis to determine if *CG9010* is present in more than one copy in *D. subobscura*. Digestion of genomic DNA with several restriction enzymes followed by blotting and hybridization with the 5′ end of *CG9010* showed that *CG9010* is single copy in *D. melanogaster* and *D. pseudoobscura*. The

CG9010 probe, however, consistently produced two bands in *D. subobscura* (data not shown), supporting the idea that CG9010 duplicated in this lineage. BLAST searches of *D. pseudoobscura* suggest the presence of only one copy *CG9010* in the strain used for the genome sequence.

To confirm that our Southern detected a surviving full copy of *CG9010*, we cloned *CG9010*. We used several methods to obtain the DNA sequence for the *CG9010* homolog in *D. subobscura* and *D. guanche* (see MATERIALS AND METHODS). The amino acid sequence of the *D. subobscura* homolog of *CG9010* shares 91% amino acid identity with *D. pseudoobscura* and 82% amino acid identity with *D. melanogaster*. ESTs from *D. melanogaster* show that *CG9010* is transcribed, as do our RT-PCR data from *D. guanche* and *D. subobscura* (Figure 1).

***Adh-Twain* is transcribed:** RT-PCR using *Adh-Twain*-specific primers showed that it is transcribed in *D. subobscura* larvae, adult males, and adult females (Figure 1). The gene is also expressed in *D. guanche* (data not shown). 5′-RACE data from *D. subobscura* and *D. guanche* definitively show that the *CG9010*-like region of the fusion gene is part of a longer transcript that includes the entire *Adh*-like region in both *D. subobscura* and *D. guanche*. The RACE data also allowed us to experimentally identify the 5′-UTR. Our 3′-RACE data confirmed that the *Adh-Twain* transcript terminates near the polyadenylation site of the *Adh*-like 3′-UTR region.

We used RT-PCR to compare transcription between *D. subobscura Adh-Twain* and *D. subobscura CG9010*. Figure 1 suggests no significant differences in the expression patterns of *Adh-Twain* and *CG9010*, although *CG9010* maybe slightly less abundant in males.

***Adh-Twain*-predicted protein characteristics:** Basic characteristics of the predicted *D. subobscura* ADH-TWAIN protein are presented in Table 1. The predicted protein is ~40% larger than ADH. Other than size, the most notable difference between ADH-TWAIN protein

	Adh-Twain- D. subobscura	Adh-D. subobscura	CG9010-D. subobscura (for region conserved)
Molecular weight (Da)	39664.22	27566.79	10805.75
Amino acids	356	254	96
Isolectric point	9.524	8.349	9.313
Charge at pH 7.0	19.495	2.523	5.983

vs. ADH and CG9010 is that ADH-TWAIN is much more positively charged at pH 7.0 than either CG9010 or ADH. The ADH-derived portion of ADH-TWAIN also appears to have increased in molecular weight relative to ADH.

The predicted amino acid sequence of ADH-TWAIN is substantially different from that of its ancestors, in both the ADH-derived and the CG9010-derived parts of the protein. For example, the ADH-derived portion of ADH-TWAIN is roughly 50% more diverged from ADH than *D. subobscura* ADH in comparisons *vs. D. melanogaster* ADH. Indeed, the ADH-TWAIN ADH-derived sequence is more diverged from *D. melanogaster* than any of the published Drosophila ADH proteins we have surveyed. These data suggest that it is unlikely that ADH-TWAIN retains significant ADH-like activity. In fact, prior allozyme studies of ADH in *D. subobscura* provided no evidence of a protein other than ADH that oxidized ethanol (LOUKAS *et al.* 1979; BALANYA *et al.* 1994; CASTRO *et al.* 1999). Our own allozyme analysis confirmed these previous results and is consistent with the idea that ADH-TWAIN has reduced ability to catalyze the oxidation of ethanol. Of course, this experiment does not rule out the possibility that ADH-TWAIN can oxidize some other alcohol or related molecule (*e.g.,* aldehydes).

Western blots (data not shown) revealed a single band of the size expected for ADH in *D. melanogaster, D. subobscura, D. guanche, D. yakuba, D. pseudoobscura, D. virilis,* and *D. hydei.* However, we observed no strong secondary band of the size expected for the predicted ADH-TWAIN. [Similarly, *jingwei,* which is known to produce a protein *in vitro* (ZHANG *et al.* 2004), was not visible in our Western blot.] Overexposure of the Western blot revealed a number of minor bands, but it was impossible to determine which, if any, would correspond to the band associated with the predicted ADH-TWAIN. The failure of the ADH antibody to react with ADH-TWAIN is not unexpected, given the very high protein divergence between the ADH-derived portion of ADH-TWAIN and known ADH proteins in Drosophila.

5′ regulatory region of *Adh-Twain* shows similarity to that of *CG9010*: If the 5′ end of *Adh-Twain* is derived from a chromosomal duplication of *CG9010,* then we expect the 5′ region of *Adh-Twain* to harbor regulatory

elements, such as promoters, derived from those of *CG9010* (expression patterns of *Adh-Twain* and *D. subobscura CG9010* are similar; Figure 1). To investigate this possibility, we gathered sequence data for ∼600 bases 5′ of the *D. subobscura Adh-Twain* start codon and ∼400 bp of 5′-flanking sequence from *D. subobscura CG9010.* These sequences were aligned to a sequence from the 5′-flanking region of *D. pseudoobscura CG9010.* We also used the VISTA browser (http://pipeline.lbl.gov/pseudo/) to qualitatively compare these sequences to *D. melanogaster CG9010.*

Figure 2 shows a multiple alignment of the 5′ regions of *CG9010.* It is immediately obvious that there are several highly conserved regions. Using a combination of our 5′-RACE data, published cDNA data, interspecific sequence comparisons, and promoter prediction methods (see MATERIALS AND METHODS), we identified the putative 5′-UTRs and putative promoter elements of *Adh-Twain* and *CG9010.* Of particular note is the conserved sequence between base 177 and base 357 of the *Adh-Twain* 5′ sequence in Figure 2. This region is very highly conserved in *D. guanche Adh-Twain* (data not shown), well conserved in *D. pseudoobscura CG9010,* and weakly conserved in *D. melanogaster.* The methods of REESE (2001) and OHLER *et al.* (2002) both suggest a promoter element in this region of *Adh-Twain* and *CG9010* in *D. subobscura.* This region shows some similarity to TATA-less promoters seen in *D. melanogaster* (*e.g.,* BURKE and KADONAGA 1997). This conserved region may be important for regulation of *Adh-Twain* and *CG9010* and could potentially contribute to their similar expression patterns. The *Adh-Twain* 5′-UTR is much larger than the *CG9010* 5′-UTR. Using parsimony, we infer that the *Adh-Twain* 5′-UTR represents the derived state and that these sequence insertions arose after duplication of *CG9010.* The potential biological consequences of this larger UTR are not known, although 5′-UTRs are known to play a critical role in translational regulation (GRAY and WICKENS 1998). Most other insertions/deletions relative to *D. pseudoobscura* are shared by *D. subobscura CG9010* and *Adh-Twain.*

DNA polymorphism and divergence in *Adh-Twain*: We surveyed most of the *Adh-Twain* open reading frame and ∼330 bases of the 5′-UTR and regulatory regions from 15 iso-female lines of *D. subobscura.* We also col-

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D. pse CG9010    1 AAGCTCTGGCAGCTGGACGAATT-CGATTGATTTATACTCTGGTGCGTAATACACCCAGTATCCGTAGAGTACATGCCATTGCTGTGAAGAAACGATTTT  99
D. sub CG9010    1 AAGCTTCGGCAGCTGGACGAATTTCGATTGATTTATT------------GTATACTCCGGGTGCGTAAAATGCATACCC-----------AGCAAGCTG  76
D. sub Fusion    1 AAGCTTCGGCAGCTGGACGAATTTCGATTGATTTACGA----------GATATACTCCGGGTGCGTAAAATGCATACCC-----------AGCAAGCTG  78
                   *****  ****************** ***********            **  ** * * **** * ** *** ***                 * *  *
                                                                                                           D. mel-like
D. pse CG9010  100 TTACAATTATGACAAAAATTAAGTGCATCATTATCAAGGAAAATCATACATGCAAAAGATGCAAATTATAAAGGTGCGATATCTGTTTTTTAAACCTGCC  199
D. sub CG9010   77 TAGCCAGTGTGGCAG------------TCCTTACTG-------------------------------------------------------------  100
D. sub Fusion   79 TAGCCAGTGTGGCAG------------TCCTTACTG-------------------------------------------------------------  102
                   *  *  * * **  **            ** ***
                                               Sequence similarity to D. melanogaster
D. pse CG9010  200 ACTGGGTGAGATTTGCTTTGATAGTTT--TTCATTCGCATGACTAACGGGTATTCTGAGTACCGTTACACTGCACTCCGACGAATATTCATGCACTCAT  297
D. sub CG9010  101 --TGTGAGAAATTTGAGTTGATAGTTTGCCCCAACCGCGTGAGTAGCGGGTATCC---------------------CCACGCACATTCATCG-ATGCAT  175
D. sub Fusion  103 --TGTGAGAAATTTGAGTTGATAGTTTGCCCCAACCGCGTGAGTAGCGGGTATCC---------------------CACGCACATTCATCG-ATGCAT  176
                     *  ** *   ***** **********    **  *** ***  **  ****** *                    *** * ****** * *  ***
                                             Sequence similarity to D. melanogaster
D. pse CG9010  298 GTATCCATTCATACGGAGCCATGCTCAGCTGTGGGGGAACAACCAATCAAAGGTAAAACATTCCATTTGGGAACCATAAATTCCTAGTACGATCTACTCG  397
D. sub CG9010  176 GTGTGC---CGCTCAGGTGTGTG------TGAGGGGAAATAACCAATCAAAGGTAAGACATCCCATTTGGGAACCATAAATTCCAGGTACGATCTGCT--  264
D. sub Fusion  177 GTGTGC---CGCTCAGGTGTGTG------TGGGGGGAAATAACCAATCAAAGGCAAAACATCCCATTTGGGAACCATAAATTCCAGGTAGGATCTGCT**  265
                   ** * *   *   **   * *  **      ** **** ** ************* *   **** ** ***  ****************** *** ***** **
D. pse CG9010  398 ACTGCTTTCAGTGAACCGGGACTGAATAGTCACCCGTTTGAACCTGTTTGTCTTTTTGTTTGTACCTTTGTCATTGTTTCAGAATT--TCCTTTCATTCGC  495
D. sub CG9010  265 ACAGCGTCAGTGAACCGAGACTCAAAAGTCATTCGTTTGGACCTNTTTGTT--------TTGTGCCTTTGACAGTTGTTC----------CTTTCAGTCAA  346
D. sub Fusion  266 ACAGCGTCAGTGAACCGAGACTCTAAAGTCATTCGTTTGGACCTCTTGTT--------TTGTGCCTTTGACATTGTTTGGCAGTTGTTCCTTTCAGTCGA  357
                   ** ** ******** **** ****    **** ** ***** ****** **           **** ****** ** *   **         ****** **
                          TATA-less Promoter-like                                                           DPE-like
                                                                                                   CG9010 5' UTR
D. pse CG9010  496 AGCGAGCACTCG-----------------------------------------------------AAATAATAAATTTTAGAAGAAACGCAATTTTT-  539
D. sub CG9010  347 AACAAATACTCTC----------------------------------------------------TGAACAACAATTATTTTTTGGAA-GCAACA----  388
D. sub Fusion  358 AACAAATACTCTCATTATATTTCTGGTGTTCGTTCGGCAAACAACTCTTTTTTAAATTCTAAATTTTCAGTAACATTTTTTTTCTAATATTCAACATAAT  457
                   * *  * ****      ->  CFG 5' UTR                                    *  ** *  * **       *  ***
D. pse CG9010  540 ----GAAATTATACCTGTTAATAA----------------------------------------------------------------------  559
D. sub CG9010  389 ----AAGATAATATTTAAAAT-----------------------------------------------------------------------  405
D. sub Fusion  458 CCAAAAAATAATATTCAACATAAAGTACCTGGTCACTAGTCACAAGTACTAAAACAAATACTCTCTGAACAACAATCGAAATTAGTATTTAAAATTTTCA  557
                   * ** ***     *
                                   Coding Region
D. pse CG9010  560 ----------------CGCGAGAATCCAAAGATGTCTGCACTCGGAATC  592
D. sub CG9010  406 ----------------TCTCCGAA---GAGCATGTCGGGACTCGGAATC  435
D. sub Fusion  558 CTGTTTATATTGCGAGCAACCGAA---GAGCATGTCGGGATTCGGAATC  603
                   ***    *  ***** * * *******
```

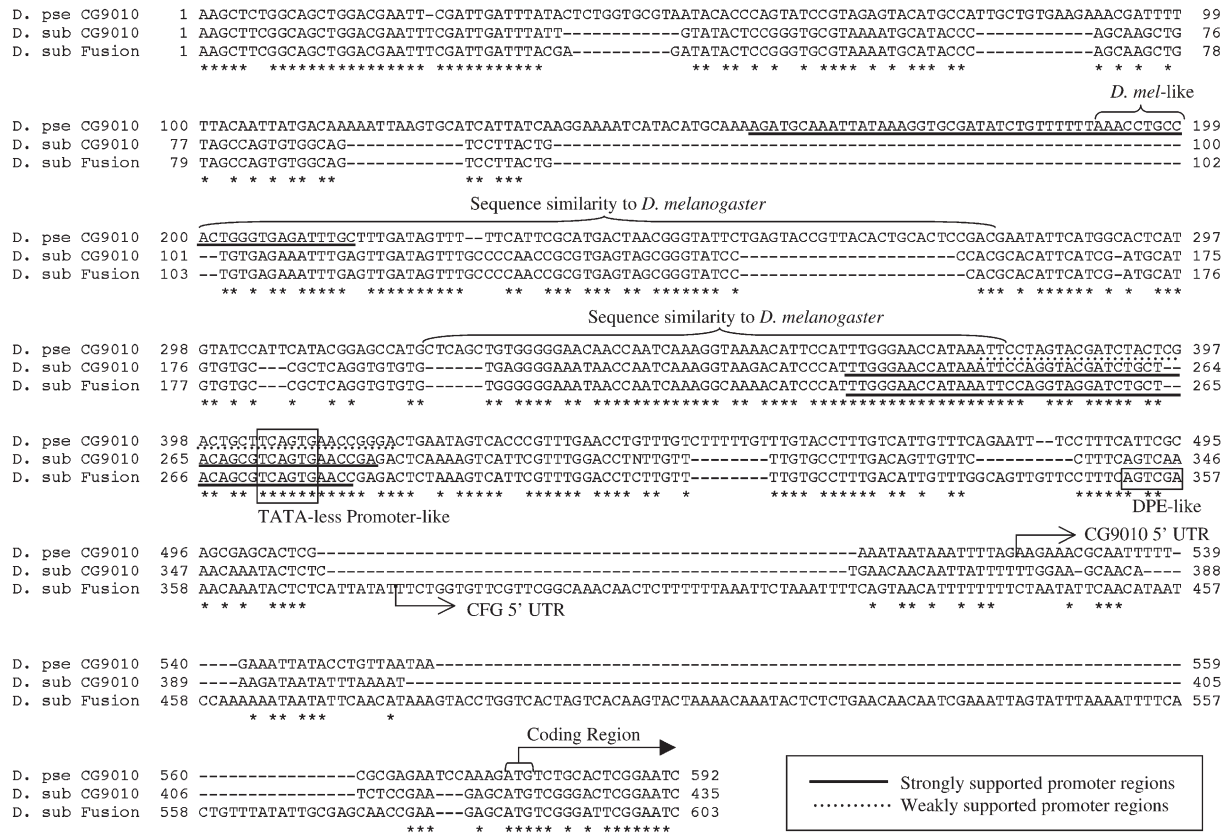|	Strongly supported promoter regions
....	Weakly supported promoter regions

FIGURE 2.—5′-UTR and promoters are similar for *Adh-Twain* (D. sub Fusion) and *CG9010* and conserved across taxa.

lected sequence data from iso-female lines of *D. guanche* (*n* = 1) and *D. madeirensis* (*n* = 1). These data are summarized in Figure 3 and Table 2.

Several interesting patterns can be seen. First, polymorphism in the 5′-UTR is relatively low for a noncoding region, especially near regions hypothesized to play important regulatory roles. The most variable region is near the junction of *CG9010*-like and *Adh*-like sequences, in the area presumably derived from the *Adh* leader sequence. In contrast, DNA derived from the coding region of *Adh* has much lower levels of polymorphism. Patterns of polymorphism and divergence are correlated in *Adh-Twain*, consistent with the hypothesis of differing levels of functional constraint across the gene (see Figure 3A).

There are two in-frame indel polymorphisms in *D. subobscura Adh-Twain*, both of which are located near the junction of the *CG9010*-like and *Adh*-like regions (D1, bases 772–787 and D2, 814–820 on Figure 3). We used parsimony to infer that these were deletions relative to the ancestral *CG9010* in *D. subobscura* and *D. guanche* (these amino acids are also present in *CG9010* in *D. melanogaster* and *D. pseudoobscura*). Among the surveyed *D. subobscura Adh-Twain* alleles were three deletion haplotypes: (1) no deletions (1/15); (2) D2 only (3/15); and (3) D1 and D2 (11/15). Our *D. madeirensis*

allele has both the D1 and the D2 deletions. *D. guanche* has neither of these deletions, but does have an in-frame 6-base deletion from base 889 to 895. All of these deletions occur in a region of high nucleotide polymorphism. This suggests that relative to most of the *CG9010*-like region and most of the *Adh*-like region, the intersection of these two regions is under relatively low constraint.

We also compared nucleotide divergence between the *D. suboscura Adh-Twain* and the ancestral *D. suboscura CG9010* and *Adh* genes (gray lines in Figure 3, B and C). We looked at patterns of divergence between the *D. subobscura* and the *D. guanche* homologs of *CG9010* and *Adh* (black lines in Figure 3, B and C). Comparison of these two sets of data (black *vs.* gray lines in Figure 3, B and C) shows a clear disconnect between the sites that tend to diverge between species in the parental genes and those that diverge between the *Adh-Twain* regions and their parental genes. This result, combined with the correlation between the polymorphism and divergence in *Adh-Twain*, suggests a shift in the sites that are conserved in *Adh-Twain*.

As initially reported by LUQUE *et al.* (1997), the *Adh* region of *Adh-Twain* shows more codon bias than does *Adh* in *D. subobscura*. Table 3 shows that the entire *Adh-Twain* has substantial codon bias in all three species. Relative to other genes in the *obscura* group, *Adh-Twain*
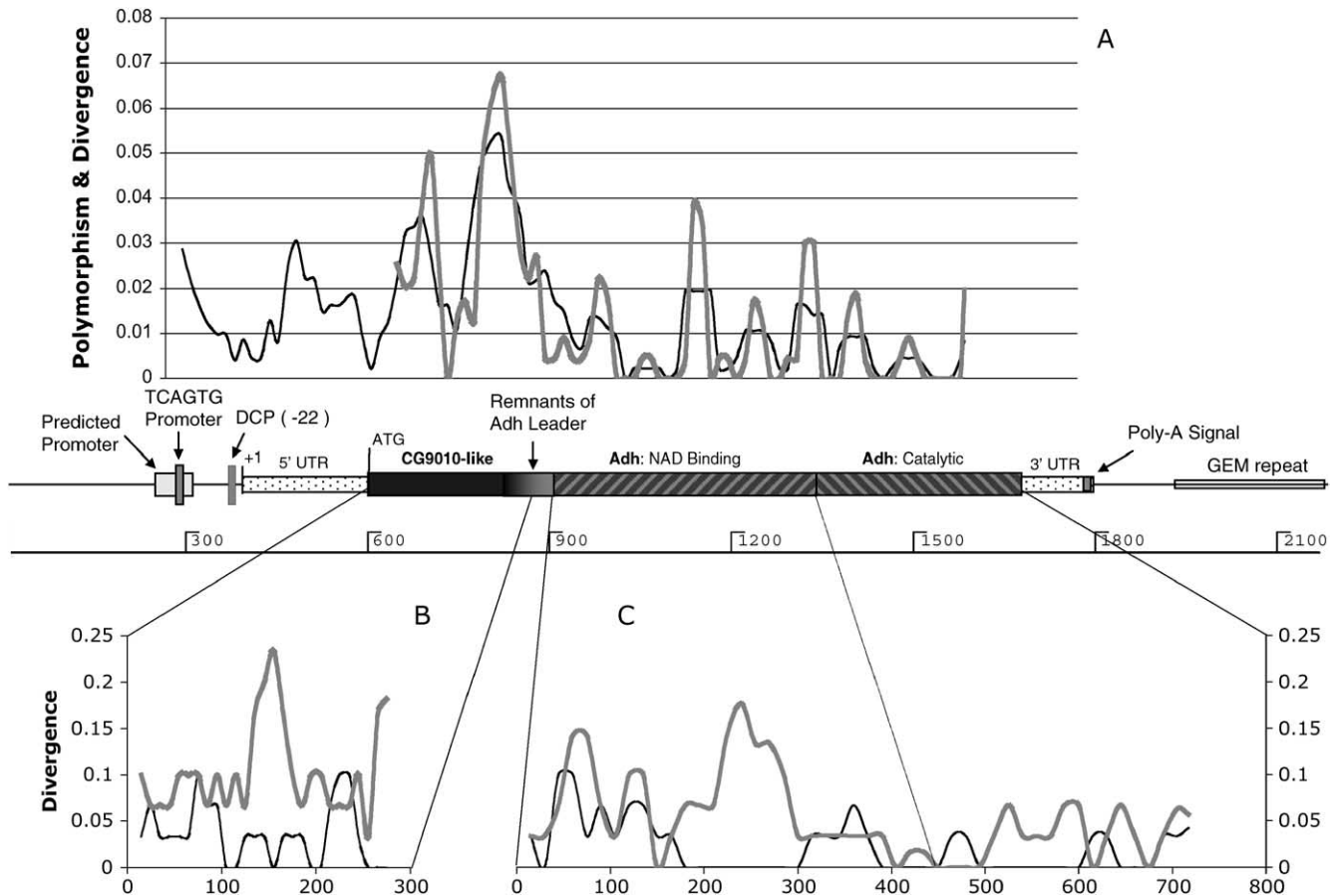
FIGURE 3.—Major sequence features of *Adh-Twain* compared to patterns of polymorphism and divergence. (A) Comparison of the nucleotide polymorphism observed at *Adh-Twain* in our population of *D. subobscura* (black line) and the nucleotide divergence between *D. subobscura* and *D. guanche Adh-Twain* (gray line). (B) Divergence between *D. subobscura* and *D. guanche* *CG9010* genes (black line) and divergence between *D. subobscura Adh-Twain* and *CG9010* (gray line). (C) Divergence between *D. subobscura* and *D. guanche Adh* genes (black line) and divergence between *D. subobscura Adh-Twain* and *Adh* (gray line). Both B and C suggest that, relative to *Adh*, a distinctly different set of nucleotides are under constraint in *Adh-Twain*.

appears to be a highly biased gene (POWELL 1997). Codon bias, however, has decreased in the *CG9010* region of *Adh-Twain*, relative to *CG9010*.

Patterns of recent molecular evolution in *Adh-Twain*: The McDonald-Kreitman (MK) test is commonly used to detect directional selection acting on amino acid sequences (MCDONALD and KREITMAN 1991). We used polymorphism data from *D. subobscura* and divergence data from all three species in our tests. Table 4 shows that most analyses do not reject neutral evolution. The unpolarized MK test of *D. subobscura* and *D. guanche* for the *Adh*-derived region of *Adh-Twain* is significant at a $P < 0.05$ threshold without correcting for multiple tests. If we restrict our analysis to only fixations that occurred along the *D. subobscura* lineage—as estimated by PAML as well as by parsimony (see MATERIALS AND METHODS)—the MK test is no longer significant. In short, comparisons of the patterns of divergence and polymorphism among *extant Adh-Twain* homologs shows no evidence of recent adaptive evolution.

KERN *et al.* (2002) recently proposed a method for detecting directional selection using polymorphism data combined with two outgroup sequences. We used PAML to reconstruct ancestral sequences for *Adh-Twain* (see MATERIALS AND METHODS). Using this approach we could analyze all nucleotide fixations that had occurred along the *D. subobscura* lineage since the *D. subobscura-D. madeirensis* speciation event. Consistent with the MK test data, we found no evidence for recent directional selection driving these fixations (analysis not shown).

Rapid early evolution of *Adh-Twain*: Given the striking differences in the spatial patterns of divergence between *Adh-Twain* and its *CG9010* and *Adh* ancestors (Figure 3, B and C), we also applied a contingency table analysis to compare the *Adh-Twain* divergence and polymorphism to that of its ancestor genes (Table 4). This is not a canonical MK test as it compares paralogous loci within a species, rather than homologous loci between species. A significant disconnect between polymorphism and divergence does not mean we can reject

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TABLE 2

Nucleotide polymorphism statistics for *Adh-Twain*

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Category	Result
No. of sequences	15
No. of bases surveyed	1428
Total sites (excluding gaps)	1381
No. of polymorphic (segregating) sites, S	73
No. of haplotypes, *NHap*	14
Haplotype (gene) diversity	0.99
Polymorphism	
Nucleotide diversity, π	0.01378
θ (per site)	0.01626
Average no. of nucleotide differences	19.029
among *D. subobscura* alleles	
Divergence	
No. of fixed differences between	44
D. subobscura and D. guanche	

the neutral model, as we cannot rule out a fundamental shift in the neutral or nearly neutral mutation rate for one or more of these genes after the duplication events. Nevertheless, this is a useful way to detect a substantial change in the substitution rate at nonsynonymous or synonymous sites. The data indicate that there is no significant difference between the *CG9010* ancestor and the *CG9010*-derived part of *Adh-Twain*. The *Adh* region, however, is marginally significant in the unpolarized comparison and *highly* significant in the polarized comparison. In the polymorphism data, there are ~2.5 synonymous polymorphisms per nonsynonymous polymorphism. In contrast, in the polarized divergence data we estimate only 0.333 synonymous fixations per nonsynonymous fixation—an eightfold difference. By comparison, *Adh* is estimated to have 2.6 synonymous fixations for every nonsynonymous fixation. Fisher's exact test shows that the ratio of synonymous to nonsynonymous fixations in *Adh* is not significantly different from the ratio of synonymous to nonsynonymous polymorphisms in *Adh-Twain* ($P = 0.999$). Both of these ratios are strikingly different from the ratio of synonymous to nonsynonymous fixations in the *Adh*-like regions of *Adh-Twain* (*Adh-Twain* fixations *vs.* *Adh-Twain* polymorphism, $P = 0.0017$; *Adh-Twain* fixations *vs.* *Adh* fixations, $P = 0.0024$). These highly significant results suggesting rapid amino acid divergence of *Adh-Twain* from its paralog *Adh* contrasts with our earlier comparisons of orthologous *Adh-Twain* sequences. From these results, we hypothesize that adaptive protein evolution in *Adh-Twain* occurred early in its history, prior to the speciation events leading to *D. subobscura/D. guanche/D. madeirensis.*

As the comparison of paralogous genes differs from the comparison of orthologous genes in many ways (*e.g.*, time, genomic location), we applied the more suitable phylogenetic approach implemented in PAML (Yang 1997) to test the hypothesis that *Adh-Twain* evolved rap-

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TABLE 3

Codon bias of *Adh-Twain* has changed relative to parental genes

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Region	Species	ENC	CBI	Nucleotides
CG9010	*D. guanche*	37.3	0.679	288
	D. subobscura	37.8	0.679	288
CG9010	*D. guanche*	41.8	0.561	288
	D. madeirensis	42.4	0.581	288
	D. subobscura [a]	38.6	0.617	288
Adh	*D. guanche*	48.1	0.456	762
	D. madeirensis	47.9	0.449	762
	D. subobscura	46.8	0.455	762
Adh	*D. guanche*	42.0	0.530	762
	D. madeirensis	40.6	0.533	762
	D. subobscura [a]	41.7	0.534	762
All [b]	*D. subobscura* [a]	43.7	0.479	1409
Adh-Twain	*D. guanche*	41.5	0.496	1050
	D. madeirensis	43.7	0.485	1050
	D. subobscura [a]	43.7	0.479	1050

ENC, effective number of codons; CBI, codon bias index.
[a] Population mean of *D. subobscura* alleles.
[b] GC content of this region is 53%.

idly shortly after its formation (solid bars in Figure 4). Specifically, we estimated the nonsynonymous to synonymous rate ratio (d_N/d_S) for various branches of the *Adh-Twain* gene tree. In these analyses we separately investigated *CG9010* and *Adh*, the corresponding regions of *Adh-Twain*.

We followed Yang (1998) and Yang *et al.* (2000) to test for rate heterogeneity between *CG9010* and the *CG9010*-derived region of *Adh-Twain* (see materials and methods). Specifically, we compared the fit of the data to three hypotheses: (1) all branches were evolving at the same rate ("one-ratio" model 0 in PAML), (2) all branches are evolving at different rates ("free-ratio" model 1), and (3) the branches after the formation of *Adh-Twain* are evolving differently from the rest ("branch-specific" model 2). The free-ratio model fit the data better than the one-ratio model (model 0, ln $l = -951.5$; model 1, lnl $= -937.1$; 2Δlnl $= 28.8$, d.f. $= 9$, $P = 0.0007$). Clearly, the model of a single rate for d_N/d_S does not fit the *CG9010* data.

The branch-specific model, which has one d_N/d_S rate for the fusion gene-related lineages and one rate for all other lineages, is a significantly better fit to the data than the one-ratio model (model 0 *vs.* model 2, lnl -943.1; 2Δlnl $= 16.8$, d.f. $= 1$, $P < 0.0001$). In contrast, the free-ratio model does not fit the data better than the two-ratio branch-specific model (2Δlnl $= 12$, d.f. $= 8$, $P = 0.1512$). A three-ratio branch-specific model—in which there is one rate from the branch immediately

TABLE 4

Tests functional constraint and adaptation in *Adh-Twain*

	Fixed nonsynonymous	Nonsynonymous polymorphism	Fixed synonymous	Synonymous polymorphism	Fisher exact P-value	G-test
Unpolarized MK tests						
Adh region of *Adh-Twain*						
D. subobscura vs. D. guanche	22	6	13	15	0.0159	0.0130
D. subobscura vs. D. madeirensis	4	6	6	15	0.6854	0.5248
CG9010 region						
D. subobscura vs. D. guanche	17	20	5	8	0.7510	0.6400
D. subobscura vs. D. madeirensis	7	20	2	8	0.9999	0.7866
Whole gene						
D. subobscura vs. D. guanche	39	26	18	23	0.1149	0.1055
D. subobscura vs. D. madeirensis	11	26	8	23	0.7905	0.7195
Polarized MK tests						
D. subobscura *Adh* region *vs.* D. guanche *Adh*	7	6	7	15	0.2882	0.1987
D. subobscura CG9010 vs. D. guanche CG9010	12	20	1	8	0.2283	0.1328
D. subobscura Adh-Twain vs. D. guanche Adh-Twain	19	26	8	23	0.1544	0.1417
Tests of *Adh-Twain vs.* ancestors						
Adh region *vs. Adh*	26	6	18	15	0.0332	0.0214
CG9010 region *vs. CG9010*	29	20	8	8	0.5702	0.5195
Polarized						
Adh region *vs. Adh*	21	6	7	15	0.0017	0.0012
CG9010 region *vs. CG9010*	25	20	4	8	0.2070	0.1713

after the duplication of *CG9010*, one rate from the branches after the *D. subobscura-D. guanche* speciation, and one rate for all others—does not fit the data better than the two-ratio model ($2\Delta\text{lnl} = 0.2$, d.f. = 1, $P = 0.62$). Interestingly, a four-ratio model, where all branches after the *CG9010* duplication are free to vary their d_N/d_S ratio, fits the data marginally better than the two-ratio model ($2\Delta\text{lnl} = 7.4$, d.f. = 2, $P = 0.024$). We are cautious of this later result given the large number of tests performed. Figure 4 illustrates these results.

Not surprisingly, d_N/d_S is small for *CG9010* in most lineages (Figure 4). However, the d_N/d_S ratio of the *CG9010*-derived part of *Adh-Twain* early in its history is close to 1 ($d_N/d_S = 0.9919$). The *D. guanche* branch is clearly evolving slowly and is consistent with functional constraint ($d_N/d_S = 0.3313$). The only evidence for d_N/d_S significantly greater than 1 in the CG9010-derived portion of *Adh-Twain* is found in the *D. subobscura* lineage, which has a d_N/d_S of 2.09. This result is consistent with directional selection acting on the *CG9010* portion of the *D. subobscura Adh-Twain*. This result, however, must be interpreted with caution as the d_N/d_S ratio is still close to 1 and MK tests described above—which also take into account polymorphism data—were not significant.

We repeated the above analysis for the *Adh*-derived region of *Adh-Twain*. Again, the free-ratio model fit

the data substantially better than the one-ratio model (model 0, lnl = −2128.27; model 1, lnl = −2083.60; $2\Delta\text{lnl} = 89.34$, d.f. = 15, $P > 0.0001$). We compared the one-ratio and the free-ratio models to several different models of sequence evolution for the *Adh-Twain* lineages. First, we compared a two-ratio model, with one d_N/d_S ratio for the *Adh-Twain* branch and one ratio for all other lineages. The two-ratio model fit better than the one-ratio model, but the free-ratio model fit slightly better than the two-ratio model (two-ratio model *vs.* model 0, two-ratio model lnl = −2097.46; $2\Delta\text{lnl} = 60.62$, d.f. = 1, $P > 0.0001$; two-ratio model *vs.* model 1, $2\Delta\text{lnl} = 27.7$, d.f. = 14, $P = 0.0156$). A three-ratio model, with rapid evolution after the formation of *Adh-Twain* and then a subsequent slowing down after the *D. subobscura-D. guanche* speciation, fit better than the two-ratio model (three-ratio model *vs.* two-ratio model, three-ratio model lnl = −2093.19; $2\Delta\text{lnl} = 8.54$, d.f. = 1, $P = 0.0035$). Interestingly, the free-ratio model does not fit the data significantly better than the three-ratio model ($2\Delta\text{lnl} = 19.18$, d.f. = 13, P = 0.1176). We also compared several other models, none of which were significant improvements over the three-ratio model (analysis not shown).

For the three-ratio model, the d_N/d_S ratio for the branch immediately after the formation of *Adh-Twain* cannot be calculated, as d_S is 0. This implies a d_N/d_S ratio much greater than 1. In contrast, d_N/d_S ratio for
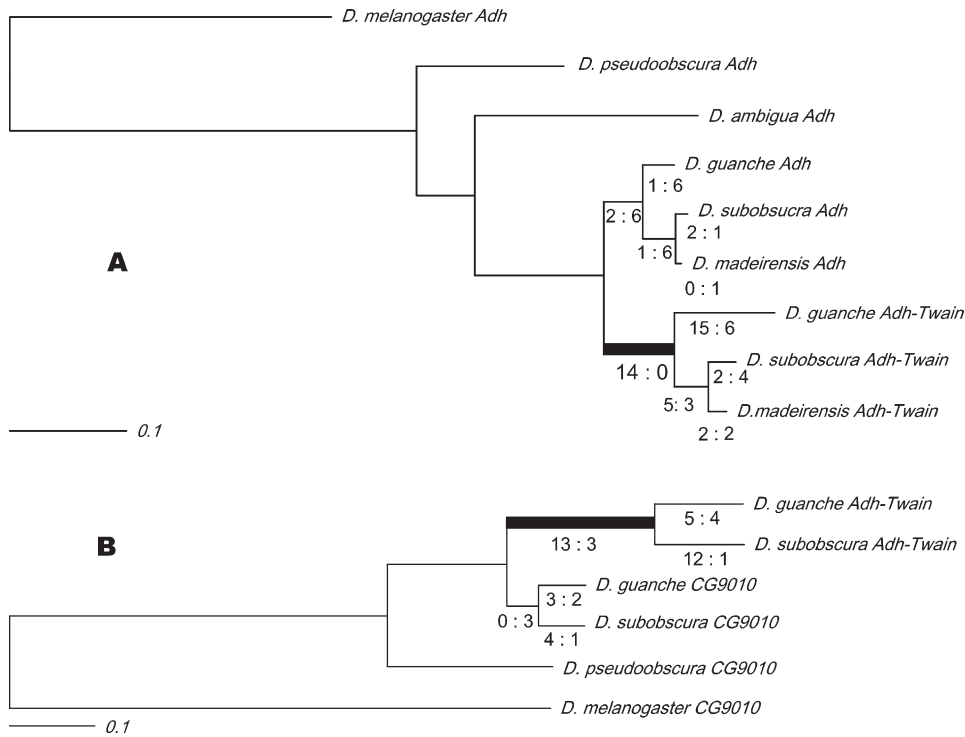
Figure 4.—Nucleotide substitutions in *Adh* and *CG9010* regions of *Adh-Twain* and their parental genes. These results are based on our PAML analysis. The solid bars represent the time period during which we hypothesize that directional selection acted on *Adh-Twain*. Estimates of the number of nonsynonymous and synonymous changes are beneath the branches of the *Adh-Twain* lineages. In both the *Adh*-derived and the *CG9010*-derived regions of the fusion gene there has been an increase in the rates of amino acid substitution, but not synonymous substitutions, relative to the ancestral genes.

the *Adh* branches is 0.0411. The likely nonsynonymous and synonymous substitutions along this branch were inferred with PAML. There were at least 14 nonsynonymous amino acid substitutions and 0 synonymous silent substitutions, strongly suggesting a bout of rapid adaptive amino acid evolution shortly after the formation of *Adh-Twain*. This is consistent with our earlier contingency table analysis. Moreover, the ratio of nonsynonymous substitutions to synonymous substitutions for this early branch is dramatically different from the ratio of nonsynonymous substitutions to synonymous substitutions observed in the *D. subobscura* polymorphism data, $P < 0.0001$. Interestingly, the fact that no silent substitutions occurred between the retrotransposition of the *Adh* mRNA and the speciation of *D. guanche* and *D. subobscura* hints that *Adh-Twain* may have formed shortly before this speciation event.

d_N/d_S is 0.3991 for *Adh-Twain* after the speciation event leading to *D. guanche* and *D. subobscura*. This, while greater than is typical for *Adh*, is not suggestive of adaptive evolution and is consistent with our earlier MK test analysis.

DISCUSSION

***Adh-Twain* in *D. guanche*, *D. madeirensis*, and *D. subobscura*:** The gene originally hypothesized by Luque *et al.* (1997) to be an *Adh* retropseudogene in *D. subobscura* and two of its close relatives is instead a recently evolved chimeric fusion gene. We have named this gene *Adh-Twain*. Figure 5 summarizes our model for the origin and evolution of *Adh-Twain*. A chromosomal duplica-

tion of *CG9010* and its 5′ regulatory sequence occurred prior to speciation of *D. subobscura, D. madeirensis,* and *D. guanche.* A processed *Adh* mRNA subsequently retrotransposed into one of the copies of *CG9010*. The *CG9010* region and the *Adh* region were fused into the contiguous open reading frame that exists today as a result of either the original retrotransposition event or this insertion event and subsequent evolution.

The data suggest that *CG9010* duplicated prior to the origin of the fusion gene, but after *D. subobscura* and its relatives split off from *D. pseudoobscura*. One of these copies subsequently fused with the *Adh* retrosequence, to produce the fusion gene, *Adh-Twain*. We do not know precisely when *CG9010* duplicated. The fact that only one copy of *CG9010* is found in *D. pseudoobscura* sets an upper bound for the *CG9010* duplication of ∼8.0–12.0 million years ago (MYA) and a lower bound of 1.8–2.8 MYA (Ramos-Onsins *et al.* 1998). Second, we do not know which copy of *CG9010* participated in the fusion event. We found no evidence for DNA sequence homologous to the 3′ end of *CG9010* in the sequence data from the fusion gene. This is consistent with two hypotheses. Either the *CG9010* target of the *Adh* retrosequence was a truncated, nonfunctional copy (*e.g.*, Katju and Lynch 2003) or the 3′ end of the *CG9010* target is no longer recognizable as a result of extensive molecular evolution.

Several puzzling results from the Luque *et al.* (1997) original data, including high codon bias and low d_N/d_S ratio compared to the expectation for a putative pseudogene, are explained by our data. The fact that the putative initiation codon of the *D. guanche Adh* retrose-
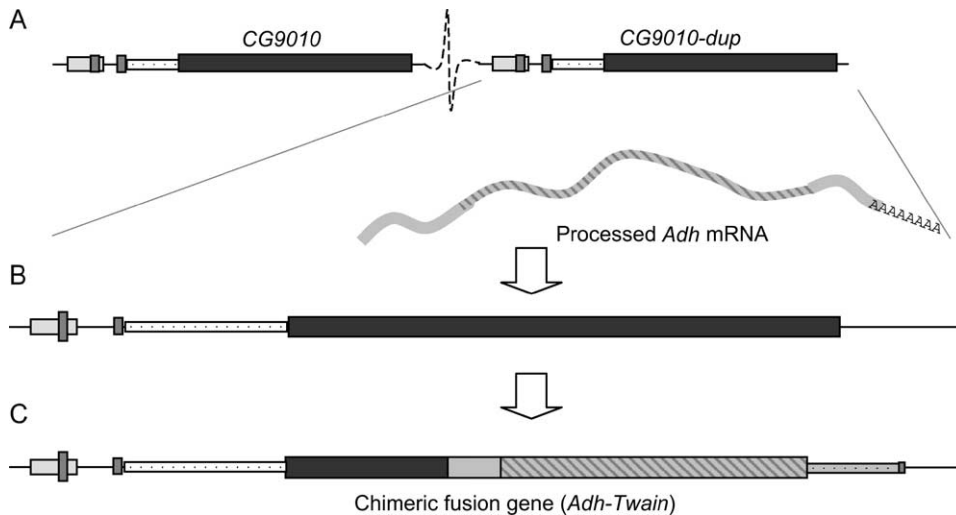
FigURE 5.—A model for the evolution of *Adh-Twain.* (A) Chromosomal duplication of *CG9010* in the ancestor of *D. subobscura, D. madeirensis,* and *D. guanche.* (B) Retrotransposition of an *Adh* mRNA into one of the copies of *CG9010.* Note that the 5′ regulatory regions of *CG9010* are conserved. (C) Subsequent formation of a contiguous ORF spanning the remainder of *CG9010* and the *Adh* retrosequence. The 3′ end of *CG9010* is lost.

quence was CTG rather than ATG (which was interpreted as evidence of loss of function in *Adh*) is now explained by the fact that the actual initiation codon is upstream of this codon and is derived from the duplicated *CG9010.* Transcription patterns of the CFG were qualitatively similar to those of *CG9010.* This is consistent with conservation of putative 5′ regulatory elements between *Adh-Twain* and *CG9010.* Bioinformatic analysis of *Adh-Twain* suggests that the fusion protein may have lost or reduced ancestral ADH activity, which is consistent with the negative results of our experimental work. The function of the *Adh-Twain* protein, however, remains unknown.

Adaptive protein evolution: In general, patterns of polymorphism and divergence at *Adh-Twain* provide no strong evidence for *recent* directional selection in *D. subobscura.* Our analysis of substitution patterns along branches of the genealogy suggests that a "three-ratio" model has the greatest likelihood. In this model, *Adh* is normally very evolutionarily constrained. Shortly after *Adh-Twain* was formed, but prior to the splitting of the lineages leading to *D. subobscura* and *D. guanche,* there was a burst of adaptive substitutions in the *Adh* region. Subsequently, the *Adh* region of the fusion gene has slowed in its rate of evolution, although not nearly as slow as *Adh* and not along all lineages (*e.g., D. guanche*).

A strikingly similar pattern of rapid amino acid evolution shortly after the formation of *jingwei* was reported by LONG and LANGLEY (1993). Interestingly, one of the early, likely adaptive, amino acid changes in *Adh-Twain* (H190 to Q) occurs at a residue known to affect *Adh* activity in *D. melanogaster* mutants (http://www.flybase.org). This result is consistent with the *Adh* allozyme data from the *obscura* group, which provided no evidence for canonical *Adh* activity associated with the fusion gene. This may mean that the enzymatic activity of *Adh-Twain* has shifted away from secondary alcohols typically catalyzed by Drosophila *Adh.*

The history of the *CG9010*-derived region of *Adh-Twain* is less clear. We showed that, unlike the *Adh* region, a "two-ratio" model was most likely, given our data. There is strong evidence for rapid evolution early in the history of *Adh-Twain.* There is also weak evidence that the *CG9010*-derived region is adaptively evolving along the *D. subobscura* lineage. However, the strong signal of directional selection—many more amino acid changes than silent changes—observed in the early evolution of the *Adh* region is obscured in the *CG9010* region. Instead, the rates of both nonsynonymous and synonymous substitutions were elevated early in the history of this gene, followed by increasing constraint. The simplest interpretation is that the copy of *CG9010* that ultimately became part of *Adh-Twain* evolved quickly under reduced functional constraint right after the initial duplication event, but then became more constrained once it was fused with the *Adh* retrogene.

Retrogene evolution: If a retrogene is to preserve an ancestral function or acquire a new function, it must avoid premature termination codons and be properly expressed. For CFGs originating by retrotransposition of a "donor" gene into a preexisting "acceptor" gene, reading frame preservation implies an insertion site between acceptor gene codons. Furthermore, it implies removal or avoidance of in-frame stop codons in the 5′-UTR of the donor retrosequence. It is also possible that some ultimately successful fusion genes originating by retrotransposition go through an early stage of loss-of-function prior to restoration of function by new mutations. Distinguishing between these possibilities is problematic as we cannot accurately reconstructing the details of the ancestral state of the insertional mutation (*e.g.,* the retrotransposition of the *Adh* mRNA in the case of *Adh-Twain*) in all but the most recently derived CFGs. Nevertheless, the fact that *Adh-Twain* showed early rapid amino acid evolution and no silent substitutions suggests that this gene was functional immediately after it was formed (LONG and LANGLEY 1993 reached a similar conclusion for *jingwei*).

***Adh* and the origins of new genes:** Recent studies in Drosophila and mammals have shown that retrotransposition of spliced mRNA's is a potent source of gene duplications (Eichler 2001; Betran *et al.* 2002; Devor and Moffat-Wilson 2003; Long *et al.* 2003a,b; Emerson *et al.* 2004). Long and Langley (1993) showed that retrotransposition events such as these can result in the formation of new CFGs, *e.g.*, *jingwei*. The discovery of three novel *Adh*-derived Drosophila genes, *Adh-Twain*, *jingwei*, and *Adh-Finnegan*, strongly suggests that *Adh*-derived novel genes are common in flies.

What aspects of *Adh* biology might contribute to this phenomenon? *Adh* in *D. melanogaster* and other Drosophila can catalyze a variety of substrates ranging from various alcohols to a number of aldehydes (Ashburner 1998). Perhaps the frequency of *Adh* duplications and *Adh*-derived CFGs reflects the flexibility and evolutionary potential of the *Adh* protein or the fact that *Adh* is one of the *most* abundant transcripts in Drosophila. Other factors relating to the structure and molecular biology of *Adh* may make it more likely to participate in retrotransposition-mediated novel gene formation. For example, Goncalves *et al.* (2000) identified common characteristics of genes that were the source of retropseudogenes in humans. The coding sequences of the parental genes tended to be relatively short, expressed in a variety of tissues, and have a low G/C content. Their amino acid sequences were highly conserved. Nearly a quarter of these genes produced more than one retropseudogene. Consistent with these observations, the 23 recent retrotransposed genes in *D. melanogaster* identified by Betran *et al.* (2002) are shorter than the average *D. melanogaster* gene (mean retrotransposed gene length, 320 amino acids (aa); mean gene length, 522 aa; median retrotransposed gene length, 230 aa; median gene length, 421 aa). The parental genes of these retrotransposed genes are also often expressed in multiple tissues (Betran *et al.* 2002). *Adh* in Drosophila qualitatively fits several of the above patterns as it is relatively short, widely expressed, and highly conserved and has duplicated several times in different Drosophila lineages (Yum *et al.* 1991; Nurminsky *et al.* 1996; Powell 1997; Amador and Juan 1999). In any event, the discovery of the *obscura Adh*-derived chimeric fusion gene strongly motivates the search for additional novel *Adh* genes and opens up the possibility of discovering general principles governing the evolution of novel genes.

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