

DNA Rearrangement in Orthologous *Orp* Regions of the Maize, Rice and Sorghum Genomes

Jianxin Ma,^{*,†} Phillip SanMiguel,[‡] Jinsheng Lai,[§] Joachim Messing[§] and Jeffrey L. Bennetzen^{*,†,1}

^{*}Department of Genetics, University of Georgia, Athens, Georgia 30602, [†]Department of Biological Sciences, Purdue University, West Lafayette, Indiana 47907, [‡]Genomics Core Facility, Purdue University, West Lafayette, Indiana 47907 and [§]Waksman Institute, Rutgers, The State University of New Jersey, Piscataway, New Jersey 08854

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ABSTRACT

The homeologous *Orp1* and *Orp2* regions of maize and the orthologous regions in sorghum and rice were compared by generating sequence data for >486 kb of genomic DNA. At least three genic rearrangements differentiate the maize *Orp1* and *Orp2* segments, including an insertion of a single gene and two deletions that removed one gene each, while no genic rearrangements were detected in the maize *Orp2* region relative to sorghum. Extended comparison of the orthologous *Orp* regions of sorghum and *japonica* rice uncovered numerous genic rearrangements and the presence of a transposon-rich region in rice. Only 11 of 27 genes (40%) are arranged in the same order and orientation between sorghum and rice. Of the 8 genes that are uniquely present in the sorghum region, 4 were found to have single-copy homologs in both rice and *Arabidopsis*, but none of these genes are located near each other, indicating frequent gene movement. Further comparison of the *Orp* segments from two rice subspecies, *japonica* and *indica*, revealed that the transposon-rich region is both an ancient and current hotspot for retrotransposon accumulation and genic rearrangement. We also identify unequal gene conversion as a mechanism for maize retrotransposon rearrangement.

THE grasses, including major cereals such as rice, maize, wheat, barley, and sorghum, are the most agronomically and economically important plant species. Despite their fairly recent origin from a common ancestor, the grasses exhibit broad variation in genome size. On the other hand, comparative genetic mapping of rice, maize, wheat, sorghum, and other grasses has revealed extensive conservation of gene content and gene order in all species investigated to date (GALE and DEVOS 1998), although some large chromosomal rearrangements were also observed (reviewed in PATERSON *et al.* 2000). These studies have provided a foundation for understanding grass genome evolution and have led to the map-based isolation of agronomically important genes (BRUEGGEMAN *et al.* 2002; FEUILLET *et al.* 2003; YAN *et al.* 2003, 2004; YAHIAOUI *et al.* 2004).

With the near completion of the rice genome sequence (FENG *et al.* 2002; SASAKI *et al.* 2002; RICE CHROMOSOME 10 SEQUENCING CONSORTIUM 2003), cross-species sequence comparisons in the grasses become increasingly feasible. So far, several orthologous grass genome segments containing more than one gene have been compared at the level of DNA sequence, including

the *sh2/a1*-homologous regions of maize, sorghum, and rice (CHEN *et al.* 1997); the *adh1*-homologous regions of maize, sorghum, and rice (TIKHONOV *et al.* 1999; ILIC *et al.* 2003); the *LrK*-homologous regions of barley, maize, rice, and wheat (FEUILLET and KELLER 1999); the genomic regions near *Vm1* and its orthologs in wheat, barley, sorghum, and rice (RAMAKRISHNA *et al.* 2002a); the *Zein* gene cluster of maize and its orthologs in sorghum and rice (SONG *et al.* 2002); the *Rp1*-homologous regions of maize and sorghum (RAMAKRISHNA *et al.* 2002b); the *Rph7*-homologous regions of barley and rice (BRUNNER *et al.* 2003); and the *lg2/lrs1*-homeologous regions of maize and its ortholog in rice (LANGHAM *et al.* 2004). These studies uncovered little or no retention of sequence homology in intergenic spaces but indicate general conservation of gene content and gene order between orthologous genomic segments of grass genomes. In addition, many exceptions to genome microcolinearity such as gene deletion, insertion, duplication, inversion, and translocation were observed (reviewed in BENNETZEN and MA 2003).

Traditional cytological analyses suggested that maize originated from a tetraploid (McCLINTOCK 1930), while other genetic and molecular data also indicate that the maize genome contains many duplicated genes and duplicated segments with colinear gene arrangements (RHOADES 1951; HELENTJARIS *et al.* 1988; AHN and TANKSLEY 1993; DAVIS *et al.* 1999). Some duplicated genes in maize have been isolated and sequenced (GAUT

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¹Corresponding author: Department of Genetics, University of Georgia, Athens, GA 30602. E-mail: maize@uga.edu

and DOEBLEY 1997; ILIC *et al.* 2003; LANGHAM *et al.* 2004; SWIGOŇOVÁ *et al.* 2004). By examining the patterns of sequence divergence among 14 pairs of duplicated genes in maize, GAUT and DOEBLEY (1997) proposed that the modern maize genome originated from an ancient segmental allotetraploid event that occurred between 16.5 and 11.4 million years ago (MYA) after the divergence of sorghum from one of the two maize diploid progenitor lineages that themselves diverged ~20 MYA. However, by analyzing 11 genes from clearly orthologous segments of maize, sorghum, and rice, SWIGOŇOVÁ *et al.* (2004) determined that the two maize progenitors and sorghum diverged contemporaneously from a common ancestor ~11.9 MYA.

In a recent article, ILIC *et al.* (2003) presented a detailed genomic sequence comparison of an orthologous segment of the rice, sorghum, and two maize subgenomes. This first comparative sequence analysis involving homeologous segments of maize and corresponding colinear regions in sorghum and rice provides numerous insights into the nature and timing of local genomic rearrangements that occurred in these three important grass lineages. ILIC *et al.* (2003) identified extensive gene loss by an accumulation of small deletions in the two homeologous segments of maize analyzed, and these two segments seem to be equally unstable compared to the orthologous regions of rice and sorghum. The progressive accumulation of small deletions, most caused by illegitimate recombination, also are responsible for rapid loss of retrotransposons, other intergenic space, and some portions of genes (*e.g.*, introns) in the Arabidopsis, wheat, and rice genomes (DEVOS *et al.* 2002; WICKER *et al.* 2003; MA *et al.* 2004; MA and BENNETZEN 2004).

Additional studies are needed to help identify the full spectrum of local genome rearrangement in plants and to determine their frequencies and relative contributions. Here we use comparative sequence analysis to investigate genome structure and change in orthologous *Orp* regions of maize, sorghum, and rice, thereby uncovering rapid gene movement without gene loss, a hotspot for transposon accumulation, and a propensity for genic rearrangement within a transposon-rich region.

MATERIALS AND METHODS

BAC selection: An *Orp* probe was obtained from maize by PCR using primers based on the complete coding sequence of the *Orp2* gene (GenBank accession no. M76685). A *Hind*III BAC library with inserts from cultivar BTx623 sorghum DNA (<http://www.tamu.edu/bacindex.html>) and a *Mbo*I BAC library made from B73 maize DNA (YIM *et al.* 2002) were screened with the *Orp* probe as described previously (SONG *et al.* 2002). The positive BAC clones detected in both libraries were fingerprinted with restriction enzyme *Hind*III and further confirmed by gel-blot hybridization analysis. Meanwhile, the identified BAC clones were digested with 8-bp specificity

restriction enzymes *Asc*I, *Not*I, *Pac*I, and *Swa*I. The restriction fragments were separated by pulsed-field gel electrophoresis, transferred to nylon membranes, and hybridized with the *Orp* probe to estimate the BAC insert sizes and to construct restriction maps. This information helped determine the appropriate BACs for sequencing and also experimentally validated the computer sequence assemblies of analyzed BACs.

The largest sorghum BAC, SB18C08, that contained an *Orp* homolog was sequenced and analyzed. The predicted genes in SB18C08 were used as probes to hybridize with the positive maize BAC clones that we previously identified. Two maize BACs, ZM573L14 and ZM573F08, sharing the greatest genic homology with each other and with sorghum BAC SB18C18, were finally chosen for sequencing.

BAC sequencing: Shotgun libraries for BACs SB18C08, ZM573L14, and ZM573F08 were constructed as described previously (DUBCOVSKY *et al.* 2001; SONG *et al.* 2001). Subclones were sequenced from both directions using ABI PRISM BigDye Terminator Chemistry (Applied BioSystems, Foster, CA) and run on an ABI3700 capillary sequencer. Base calling and quality assessment were done using PHRED (EWING and GREEN 1998). Reads were assembled with PHRAP and edited with CONSED (GORDON *et al.* 1998). Sorghum clone SB18C08 was sequenced at ~8-fold redundancy, while maize clones ZM573L14 and ZM573F08 were each sequenced at ~12-fold redundancy. Gaps were filled by a combination of several approaches, as described earlier (RAMAKRISHNA *et al.* 2002a). The final error frequency estimated by CONSED was less than one base/10 kb. The finished assemblies of BAC sequences were found to agree completely with their restriction maps.

The *Orp*-orthologous regions in two rice subspecies, *japonica* (c.v. *Nipponbare*) (<http://rgp.dna.affrc.go.jp/IRGSP/>) and *indica* (c.v. 93-11) (YU *et al.* 2002; ZHAO *et al.* 2004), were identified by homology comparisons of the genomic sequences in GenBank deposited by May 2004. Sequence alignments were conducted by using BLASTN (NCBI), BLAST 2.0, BLAST2 (TATUSOVA and MADDEN 1999), and CROSS_MATCH (<http://www.phrap.org>). We considered it an ortholog when a sequence/contig between *japonica* and *indica* had a unique match in the *japonica* genomic sequence and the assembled *indica* shotgun sequences.

Sequence analysis and annotation: Gene-finding programs FGGENESH (<http://www.softberry.com/berry.phml?topic=gfind&prg=FGGENES>) with the monocot training set, GeneMark.hmm (<http://opal.biology.gatech.edu/GeneMark/eukhmm.cgi>) with maize and/or rice training sets, and GENSCAN (<http://genes.mit.edu/GENSCAN.html>) with the maize training set were used to predict potential genes in rice, sorghum, and maize *Orp* BAC sequences. The genes predicted by these programs and the remaining regions (excluding the identified transposable elements) of these BAC sequences were investigated by BLASTX searches against the GenBank protein database (<http://www.ncbi.nlm.nih.gov/BLAST/>). Sequences identified as candidate genes by the gene-finding programs were further investigated to determine whether they were actually genes. In our earlier rice genome annotation studies, for instance, we found that >30% of the candidate genes identified by these programs were actually transposons or transposon fragments (BENNETZEN *et al.* 2004). So we used conservation in a distantly related species as an additional criterion for gene certification. Hence, candidate rice genes were used as queries in BlastX searches against the full GenBank database, but were considered likely genes only if they detected homology at an expect value of $< e^{-05}$ in some species other than rice. The recent release of the genome sequence for maize (WHITELAW *et al.* 2003) provided a particularly useful data set for this analysis.

Shared genes were detected by orthologous sequence com-

parisons and multiple sequence alignments using CROSS_MATCH, BLAST2, and ClustalX (THOMPSON *et al.* 1997). Genes that were not shared in the orthologous regions were further investigated by BLASTX searches against the Arabidopsis protein database at The Arabidopsis Information Resources (TAIR) (<http://www.arabidopsis.org>) and against the rice predicted protein database at The Institute for Genomic Research (TIGR) (<http://www.tigr.org/tdb/e2k1/osa1/>) to determine the copy numbers and distribution of corresponding homologous genes in the Arabidopsis and rice genomes. The genes in sorghum were named in numerical order by their position on the sequenced BAC, while the genes in rice and maize were numbered according to their homology to the shared genes in sorghum. Three unshared genes in rice and one unshared gene in maize were given alphabetical designations.

Transposable elements (transposons and retrotransposons) were identified using a combination of structural analysis of repetitive DNA and homology-based searches against GenBank nucleotide and protein databases and the TIGR cereal repeat database (<http://www.tigr.org/tdb/rice/blastsearch.shtml>). The programs Repeat and Gap from the Wisconsin Package Version 10.1 (Genetics Computer Group) were used to identify long-terminal-repeat (LTR) retrotransposons as described earlier (DEVOS *et al.* 2002; MA *et al.* 2004). Newly identified retrotransposons were named according to the retrotransposon nomenclature previously described by SANMIGUEL *et al.* (2002). The approximate dates of LTR-retrotransposon insertion and gene duplication in rice were estimated in a manner similar to SANMIGUEL *et al.* (1998) and RAMAKRISHNA *et al.* (2002a), respectively. For dating LTR-retrotransposon insertion times, the molecular clock was set at an average substitution rate of 1.3×10^{-8} mutation/site/year, which we estimated for intergenic regions in rice (MA and BENNETZEN 2004).

RESULTS

Isolation of *Orp* segments of sorghum, maize, and rice: The maize genes *Orp1* and *Orp2*, encoding the β -subunit of tryptophan synthase, have been cloned and mapped to the short arms of chromosomes 4 and 10, respectively (WRIGHT *et al.* 1992). From earlier comparative maps of the cereals (*e.g.*, GALE and DEVOS 1998), it appears that these two chromosome arms are homeologues. That is, they are orthologous regions descended from two different diploid ancestors of the tetraploid progenitor of maize (SWIGOŇOVÁ *et al.* 2004). Two contiguous series (contigs) of maize BAC clones that hybridized to the *Orp* probe were generated by fingerprinting and restriction map analysis. Only one contig of BACs that contain an *Orp* gene was detected in sorghum. Because the maize genome is primarily composed of large blocks of LTR retrotransposons, often organized in a nested insertion pattern (SANMIGUEL *et al.* 1996; FU and DOONER 2002; SONG *et al.* 2002; SONG and MESSING 2002, 2003), it was difficult to predict which BACs of maize and sorghum would provide the best alignment of colinear genes. Therefore, we first sequenced an \sim 160-kb sorghum BAC, SB18C08, the largest among the overlapping BACs containing the sorghum *Orp* gene. After analyzing the gene content of BAC SB18C08,

probes from 10 additional genes physically linked to the sorghum *Orp* gene were obtained by PCR and hybridized with the previously identified positive BACs of maize. Two maize BACs, ZM573F08 and ZM573L14, sharing the most genes with the orthologous region of sorghum, were then chosen and completely sequenced.

BLASTN searches against the nonredundant database at GenBank using the predicted genes in sorghum as queries were conducted to identify potential homologous segments of rice. A contig of five overlapping finished BAC sequences (GenBank accession nos. AP003896, AP005620, AP005618, AP005250, and AP004591) from the *japonica* cultivar *Nipponbare* were found to contain most of the genes homologous to the genes predicted on sorghum clone SB18C08, defining this contig as an orthologous *Orp* region in rice. Therefore, a 313-kb contiguous *Orp* region in rice was selected for further analysis.

Sequence organization of the *Orp* regions of sorghum, maize, and rice: The complete sequence of the *Orp* segment in sorghum clone SB18C08 is 159,669 bp (GenBank accession no. AF466200). With our criteria for gene identification (see MATERIALS AND METHODS), we identified 22 sorghum genes on this BAC (Table 1). The average gene density is one gene/7.3 kb, similar to that previously observed in the sorghum *sh2/a1* region (CHEN *et al.* 1997), the sorghum *adh* region (TIKHONOV *et al.* 1999), and the region near the *Vm1* ortholog of sorghum (RAMAKRISHNA *et al.* 2002a), but higher than the density of one gene/10.8 kb in the 215-kb region comprising the *kafirin* gene (SONG *et al.* 2002). No intact transposable elements were annotated in the sorghum region, but two non-LTR retrotransposon fragments ($-f$) and one DNA transposon fragment (*TNP2f*) were detected by homology-based searches (Figure 1).

The complete sequence of the *Orp1* segment in maize clone ZM573F08 is 181,627 bp (GenBank accession no. AY555142). It contains four identified genes (Table 1). The average gene density is one gene/45.4 kb. LTR retrotransposons in this region are more abundant than in the average sequenced regions of maize (SANMIGUEL *et al.* 1996; FU and DOONER 2002; RAMAKRISHNA *et al.* 2002b; SONG *et al.* 2002; ILIC *et al.* 2003). A total of 14 LTR retrotransposons, one solo LTR, and three retrotransposon fragments were identified (Table 1), constituting \sim 138 kb of DNA (\sim 76% of the region). The majority of the retrotransposons in this region are organized in typical nested fashion (SANMIGUEL *et al.* 1996). The four predicted genes are separated into two gene pairs by the largest (\sim 53 kb) retrotransposon block.

The complete sequence of the *Orp2* segment in maize clone ZM573L14 is 144,792 bp (GenBank accession no. AY555143) and contains four apparent genes (Table 1). The average gene density is one gene/36 kb. Three of these four genes are clustered together and separated from the other gene by a cluster of intact retrotransposons, retrotransposon fragments, and a newly identified

TABLE 1
Identified genes in *Orp* regions of maize, sorghum, and rice

Gene	Rice <i>Orp</i>	Sorghum <i>Orp</i>	Maize <i>Orp2</i>	Maize <i>Orp1</i>	Homology		
					Protein products	Accession no.	<i>E</i> -value
1		Present			Expressed protein (Arabidopsis)	NP_188808	2e-6
2	Present	Present	Present		Homeobox protein (Arabidopsis)	NP_193906	0
3	Present	Present	Present	Present	Tryptophan synthase β -subunit (Arabidopsis)	NP_194437	2e-87
4		Present			F box protein (Arabidopsis)	NP_191482	2e-6
5	Present	Present	Present		Hypothetical protein (Arabidopsis)	NP_188494	2e-14
6		Present			Hypothetical protein (Arabidopsis)	NP_200360	2e-24
7		Present	Present		Endonuclease/exonuclease/phosphatase family (Arabidopsis)	NP_566904	2e-24
8	Present	Present		Present	Expressed protein (Arabidopsis)	NP_192387	1e-9
9	Present	Present		Present	Glycosyl hydrolase family 17 (Arabidopsis)	NP_181895	5e-21
10	Present	Present			Copine-related protein (Arabidopsis)	NP_565206	2e-98
11	Present	Present			Hypothetical protein (Arabidopsis)	NP_187362	6e-19
12	Present	Present			Transporter related protein (Arabidopsis)	NP_566487	1e-70
13		Present			Hypothetical protein (Arabidopsis)	NP_201419	6e-13
14	Present	Present			Phospholipid/glycerol acyltransferase family (Arabidopsis)	NP_181346	4e-89
15		Present			Protein phosphatase 2C (Arabidopsis)	NP_194903	5e-20
16		Present			Hypothetical protein (Arabidopsis)	NP_173847	4e-47
17	Present	Present			Galactosyltransferase family (Arabidopsis)	NP_177618	9e-56
18	Present	Present			Hypothetical protein (Arabidopsis)	NP_175765	e-155
19	Present	Present			Cytochrome P450 protein (Arabidopsis)	NP_171635	0
20	Present	Present			Putative lip transfer proteion precuor (Arabidopsis)	NP_179109	1e-13
21	Present	Present			Phototropic response protein family (Arabidopsis)	NP_174332	e-151
22	Present	Present			Expressed protein (Arabidopsis)	NP_193039	8e-17
a	Present				Expressed protein (Arabidopsis)	NP_564055	1e-24
b	Present				Copine BONZAI1 (BON1) (Arabidopsis)	NP_568944	3e-11
c	Present				Putative protein (Arabidopsis)	NP_198805	5e-19
d				Present	Tubby-like protein (Arabidopsis)	NP_849975	2e-11

“Present” indicates that the genes listed are present in the corresponding regions of maize, rice, and/or sorghum.

CACTA-like transposon, *fanal-1*, which inserted into retrotransposon *milt-1*. The transposable elements on this BAC include six retrotransposons, five retrotransposon fragments, one DNA transposon (*fanal-1*), and two DNA transposon fragments (*TNP-f* and *Tam3-f*), together accounting for ~50% of this region.

The 313-kb rice genomic sequence contains 19 identified genes, including a triplication of one locus (genes 12-1, 12-2, and 12-3). The average gene density is 1 gene/16.5 kb, much lower than estimated for the whole rice genome (1 gene/7–9 kb; FENG *et al.* 2002; GOFF *et al.* 2002; SASAKI *et al.* 2002; SONG *et al.* 2002; YU *et al.* 2002; RICE CHROMOSOME 10 SEQUENCING CONSORTIUM 2003). This low gene density is mainly due to the presence of a large cluster of repetitive DNA that harbors only four predicted genes (Figure 1). This repetitive domain is predominantly composed of LTR retrotransposons, including nine intact elements, five solo LTRs, and five truncated fragments, three of which (*ifisi*,

ovikoh, and *pawepe*) are discovered and named in this study. These elements constitute ~105 kb of DNA, accounting for ~55% of the retrotransposon-rich area or 33% of the whole region investigated. We also identified four DNA transposons and/or fragments in the rice region, constituting 22 kb of DNA.

Sequence comparison of colinear *Orp* regions of sorghum, maize, and rice: Three genes, 3, 8, and 9, are shared among rice, sorghum, and maize *Orp1* regions, distributed across 25 kb in rice, 53 kb in sorghum and 68 kb in maize. The maize *Orp2* region also shares three predicted genes, 2, 3, and 5, with rice and sorghum. These genes are distributed across 17 kb in rice, 35 kb in sorghum, and 18 kb in maize, respectively. In addition to genes 2, 3, and 5, one more gene (gene 7) is shared between sorghum and the maize *Orp2* region. Several genes are missing from one or more of the four otherwise colinear segments (Figure 1). This dramatic variation of gene organization and intergenic distance is due

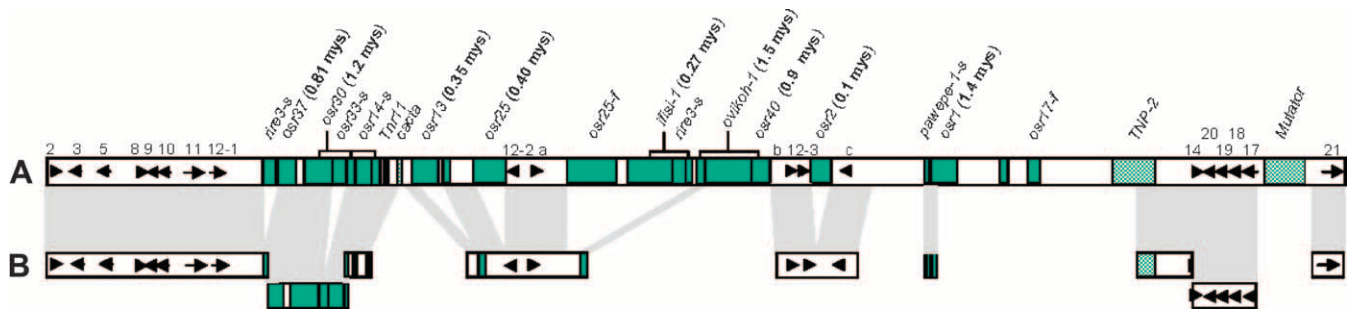


FIGURE 2.—Comparison of orthologous regions of (A) *japonica* and (B) *indica*. Arrows represent predicted genes. Gray-shaded boxes and cross-hatched boxes represent retrotransposons and DNA transposons, respectively. The shaded regions connecting the *japonica* and *indica* sequences outline the conserved regions between these two subspecies. Because the *indica* sequence is fragmentary, we cannot compare the order or orientation of the sequences on different *indica* fragments with the ordered sequence for *japonica*. mys, million years since insertion.

to both the variable amount of intergenic repetitive DNAs and the local genic rearrangements, such as deletions or insertions of genes. The maize *Orp1* and *Orp2* regions share only gene 3, the *Orp* loci. Hence, as observed at *adh1* and *lg2/brs1* loci (ILIC *et al.* 2003; LANGHAM *et al.* 2004), most of the duplicated genes present from the tetraploidization of the maize ancestor ~11.9 MYA have been reduced by deletion to a near-diploid state (SWIGOŇOVÁ *et al.* 2004). The colinearity of the *Orp* genes and other genes shared between maize and sorghum and between maize and rice (Figure 1) does indicate that the maize *Orp1* and *Orp2* regions are homeologous segments derived from the two diploid progenitors of maize.

Among the orthologous regions (from gene 2 to gene 9) shared by sorghum, rice, and maize, several gene rearrangements can be attributed to specific lineages because we can compare four chromosomal segments: (1) Gene 5 adjacent to *Orp1* was deleted in maize; (2) genes 4 and 6 were inserted into the sorghum region after the divergence of sorghum and maize ancestors; and (3) gene d was acquired by the maize *Orp1* region after the divergence of sorghum and maize ancestors (Figure 1). In addition, 3' to *Orp1* in maize, genes 1 and 2 were found to be deleted by analyzing the next maize BAC that is downstream of *Orp1* and contains the *Fie1* locus (LAI *et al.* 2004).

Extended comparison of colinear *Orp* regions of rice and sorghum: The sorghum *Orp* segment was compared with the continuous 313-kb orthologous region of rice. We found numerous alterations in gene content, order, and orientation. A total of 14 predicted genes were found to be shared, distributed across 313 kb in rice and 159 kb in sorghum, whereas 13 additional genes were not in orthologous locations. This includes 8 genes (1, 4, 6, 7, 13, 15, 16, and 22) present in this region of sorghum but absent in the orthologous region of rice, and 5 genes (a, b, c, 12-2, and 12-3) present only in the rice region. Inspection of the adjacent BACs to the rice contig that we analyzed in this study indicated no copies homologous to genes 1 and 22. For most of the non-

orthologous genes, on the basis of comparative analysis of two species we do not know whether they were gained or deleted in sorghum or in rice. However, for genes 4, 6, and 7 (present in sorghum or maize but not in rice), the simplest explanation suggests that they inserted in these locations in the lineage that gave rise to sorghum and/or maize.

An inversion of a cluster of four predicted genes (genes 17, 18, 19, and 20) was detected between rice and sorghum. These four genes are arranged in the *indica* genome in the same order as present in *japonica* (Figure 2), but it is not clear whether the inversion occurred in an ancestor of rice or sorghum.

In rice, we discovered three copies of gene 12 (12-1, 12-2, and 12-3) that were not tandemly arrayed. Gene 12-1 remains intact, while genes 12-2 and 12-3 are truncated at their N termini when compared with rice gene 12-1 and sorghum gene 12. If the divergence time for rice and sorghum ancestors is 60 MYA (WOLFE *et al.* 1989; KELLOGG 2001), we roughly estimate that the first duplication of rice gene 12 homologs occurred ~25 MYA. However, because only gene 12-1 appears to be intact, the truncated genes 12-2 and 12-3 may be evolving more rapidly than functional loci that usually follow standard molecular clocks. Hence, this first duplication may have occurred much <25 MYA, and, similarly, the second duplication (yielding genes 12-2 and 12-3) may have taken place more recently than the 8 MYA that we calculated. Gene 12-2 is arranged in inverted orientation relative to 12-1 and 12-3 in rice and gene 12 in sorghum, an event that probably occurred after the second duplication. In addition, putative genes a and b were found between genes 12-1 and 12-2 and between genes 12-2 and 12-3, respectively. The extra three genes (a, b, and c) in rice are also truncated. Altogether, these data indicate a high frequency of several different types of genic rearrangement in this specific region of rice.

Chromosomal locations of homologs in rice and Arabidopsis: Nearly complete genomic sequence and comprehensive sequence annotation of the Arabidopsis and rice genomes allowed us to investigate the nature of

TABLE 2
Chromosomal distribution of homologs of investigated genes in rice and Arabidopsis

Gene	<i>Orp</i> region	In rice				In Arabidopsis			
		Copy no.	Best match			Copy no.	Best match		
			Chromosome	Locus	<i>E</i> -value		Chromosome	Locus	<i>E</i> -value
1	Sorghum	1	7	2009.t00001	4e-4	1	3	At3g21710.1	2e-7
4	Sorghum	>2	6	3460t00003	3e-120	>2	3	At3g59230.1	5e-8
6	Sorghum	2	9	5014t00010	8e-104	1	5	At5g55490.1	3e-24
7	Sorghum	1	12	5048.t00006	8e-125	1	3	At3g48425.1	3e-26
13	Sorghum	1	2	5875t00005	8e-127	1	5	At5g66180.1	2e-14
15	Sorghum	>2	2	5021t00003	2e-46	>2	4	At4g31750.1	1e-21
16	Sorghum	>2	4	5483t00011	3e-87	>2	1	At1g24370.1	5e-49
22	Sorghum	1	5	6505t00025	7e-74	1	4	At4g13030.1	3e-14
d	Maize	>2	2	4877t00020	1e-15	>2	2	At2g18280.1	6e-13

some local gene rearrangements at the whole-genome level. All of the genes predicted in the *Orp* regions of sorghum and/or maize but not shared with the orthologous region of rice were used as queries to search against nucleotide databases and protein databases of the rice genome at TIGR (<http://www.tigr.org/tdb/e2k1/osa1/>) and the Arabidopsis genome at TAIR (<http://www.tigr.org/servlets/sv>). The rice and Arabidopsis homologs closest to the corresponding sorghum genes and their chromosomal locations in individual genomes are summarized in Table 2.

All of the identified genes (1, 4, 6, 7, 13, 15, 16, 22, and d) absent in the rice *Orp* region were found to have homologs in both the rice and the Arabidopsis genome protein databases (Table 2). These homologs (the best matches) are distributed along several different chromosomes, including chromosomes 2, 4, 5, 6, 7, 9, and 12 in rice and chromosomes 1, 2, 3, 4, and 5 in Arabidopsis (Table 2). None of these genes were closely linked to each other on any rice or Arabidopsis chromosome (data not shown). Genes 1, 7, 13, and 22 have only single copies in both rice and Arabidopsis genomes, suggesting but not proving that these loci are orthologous and further suggesting that numerous independent rearrangements involving these genes must have occurred after the divergence of sorghum and rice lineages. Multiple copies were observed for genes 4, 15, 16, and d in both rice and Arabidopsis.

A rapidly evolving retrotransposon block in rice: The rice interval contains a transposable element-rich region, composed mainly of LTR retrotransposons (~105 kb of DNA). This region occupies ~190 kb of DNA, but contains only five genes, including two that are duplicated (Figure 1). This segment contains a high percentage (~55%) of LTR retrotransposons, similar to that recently observed in the centromeric region of rice chromosome 8 (WU *et al.* 2004).

The assembled whole-genome shotgun sequence generated from *indica* cultivar 93-11 (YU *et al.* 2002; ZHAO

et al. 2004) was used in this study to investigate the timing and lineage specificities of the dramatic accumulation of retrotransposons and genic rearrangements identified in the *Orp* region of *japonica* rice. By sequence homology searches and sequence alignments, we identified nine assembled contiguous segments (accession nos. AAAA-01000069, AAAA01004112, AAAA01006364, AAAA01-008548, AAAA01009470, AAAA01009525, AAAA009834, AAAA01013675, and AAAA01023118) from *indica* that have unique matches in both the *japonica* genomic sequence and the *indica* whole-genome shotgun sequences, suggesting that these segments are orthologous (Figure 2).

We found eight LTR retrotransposons or fragments uniquely present in the *Orp* region of *japonica*, although seven LTR retrotransposons or fragments were shared by *indica* and *japonica* in the comparable regions (Figure 2). For all LTR retrotransposons that are relatively intact, we employed LTR divergence as a tool to date approximate times of insertion (SANMIGUEL *et al.* 1998). We found that all intact LTR retrotransposons uniquely present in *japonica* were younger than 0.44 MY (the estimated divergence time of *indica* and *japonica*, MA and BENNETZEN 2004) and that all shared intact elements had inserted >0.44 MYA (Figure 2). Hence, it appears that this retrotransposon block has been continuously and independently expanding in both *indica* and *japonica* lineages by insertion of LTR retrotransposons.

The relatively intact LTR retrotransposons found in the maize *Orp1* and *Orp2* regions are all recent insertions. The majority of intact elements inserted <2 MYA (Figure 3). Our estimate is consistent with the previous dating of LTR retrotransposons in maize (SANMIGUEL *et al.* 1998; SWIGOŇOVÁ *et al.* 2004).

The structure of a rearranged retrotransposon: We identified a rearranged LTR retrotransposon, *grande_573F08-1*, in the *Orp1* region of maize. Its unusual property is that a region of 2145 bp directly upstream of the 5' LTR is very similar (>97% identical) to the sequences

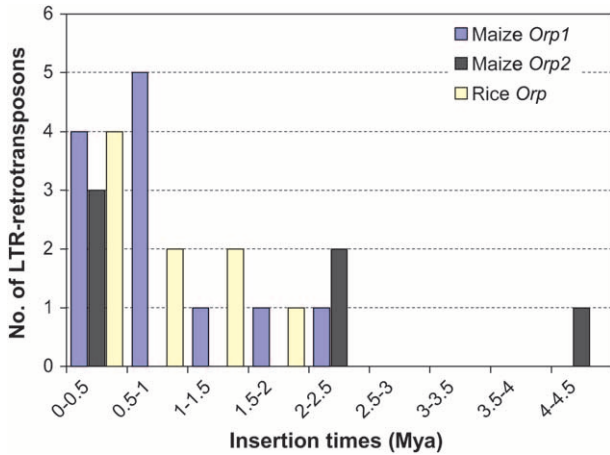


FIGURE 3.—Estimated insertion times of identified LTR retrotransposons in the *Orp* regions of maize and rice. The dates of LTR retrotransposon insertions are shown in brackets. Mya, million years ago.

upstream of the 3' LTR. The likely origin of this element structure by unequal conversion is presented in Figure 4. Figure 4C depicts *grande_573F08-1* and the sequence flanking it from the region downstream of *Orp1*. An *opie* element, likely an insertion subsequent to the events described in Figure 4, is not included. The 13.9-kb *grande* element is 5'-flanked by 2145 bp sharing 2093 identical base pairs with the 3' portion of *grande_573F08-1* immediately upstream of its 3' LTR (but differing by four indels of 1, 9, 15, and 20 bp). A total of 44 of the mismatches are transitions and 8 are transversions. Both LTRs are 627 bp, of which 615 bp are identi-

cal (10 transitions, 2 transversions). This apparent conversion tract of >2145 bp (including an unknown length of sequence in the 5' LTR) is relatively long, but conversion tracts of >3 kb have been observed in maize (DOONER and MARTINEZ-FEREZ 1997; YANDEAU-NELSON *et al.* 2005).

DISCUSSION

The comparative genomics approach for gene identification: In this study, five genes were identified by comparison of colinear regions containing the maize *Orp* genes and their orthologs in rice and sorghum (Figure 1; Table 3). All of these genes are also present in the Arabidopsis genome (<http://www.arabidopsis.org/servlets/sv>; Table 1). Except the conserved genes, no other long sequences were shared among these regions, as has been observed for all the orthologous or colinear segments compared among maize, sorghum, and rice (BENNETZEN *et al.* 2005). However, numerous small conserved noncoding sequences have been identified between orthologous genes in multiple plant species, most of which are harbored in intron or promoter domains of genes (KAPLINSKY *et al.* 2002; GUO and MOOSE 2003; INADA *et al.* 2003).

In addition to the conserved orthologous genes, we identified 10 more genes in maize, rice, or sorghum that exhibited significant similarity to one or more annotated Arabidopsis genes on the basis of BLASTX searches (Table 1). Because the lineage that gave rise to Arabidopsis has evolved independently from the grass

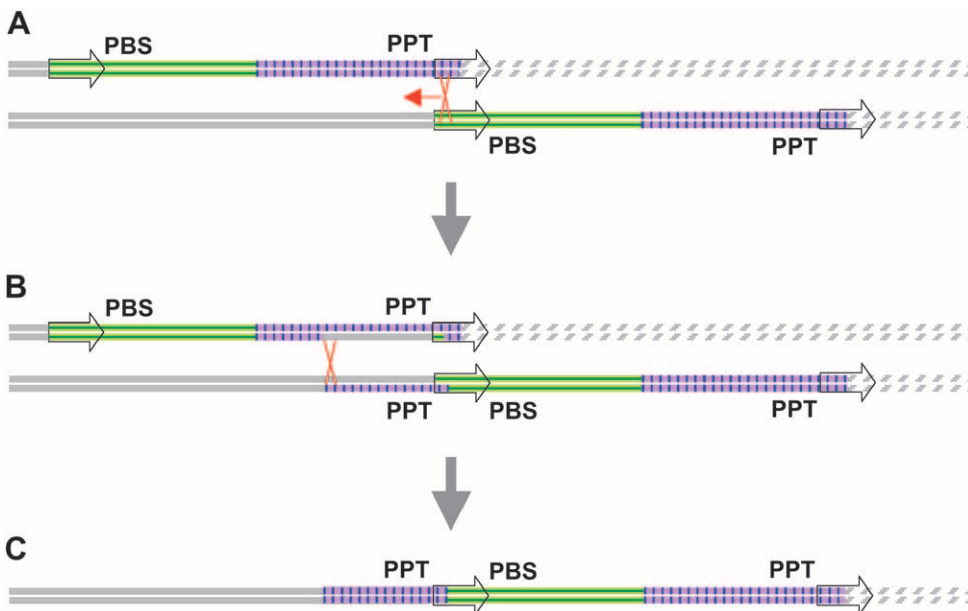


FIGURE 4.—Unequal homologous recombination (without exchange of flanking markers) may have replaced the 5' flanking region of *grande_573F08-1* with 2145 bases of its internal sequence. Both strands of unequally paired regions from homeologous chromosomes are shown in A and B. Open arrows denote LTRs. The element is arbitrarily divided into a green, horizontally striped 5' region and a purple, vertically lined 3' region. Upstream and downstream flanking DNA are depicted as solid and diagonally striped lines, respectively. (A) Putative initial structure of the *grande* element prior to recombination. The 3' LTR of the top homolog unequally pairs with the 5' LTR of the bottom homolog. The two crossed red lines

show the site of initiation of recombination and the red arrow shows the direction of the hypothetical strand transfer. (B) Branch migration progresses beyond the 5' termini of the LTRs in which the recombination initiated. (C) One of many possible outcomes of this recombination event: the structure present in 573F08. Two landmark sequences associated with LTR-retrotransposon transposition, PBS (primer binding site) and PPT (polyurine tract), are indicated. This figure is not drawn to scale.

TABLE 3
Assessments of credibility of gene prediction methods

Region	Predicted genes based on gene-finding programs and BLAST searches					Total
	Transposable element ^a	Identified gene ^b		Unknown sequence ^c		
		Shared	Unshared	Shared	Unshared	
Rice	28	14	3 + 2 ^d	0	12	59
Sorghum	2	15	7	0	2	26
Maize Orp2	15	4	0	0	1	20
Maize Orp1	27	3	1	0	0	31

^a Predicted genes belonging to transposable elements identified in this study or matching that deposited in the public databases.

^b All of these genes were found to have homologs in the Arabidopsis whole-genome database.

^c Genes were predicted only by gene-finding programs.

^d Two duplicated genes that were predicted to be derived from gene 12-1, a possible ortholog of sorghum gene 12.

lineage for >150 million years (WOLFE *et al.* 1989), it is likely that the conserved sequences between Arabidopsis and grasses are genes.

Gene-finding programs such as FGENESH, GENSCAN, and/or Genemark.hmm are useful but imperfect tools for gene identification. These programs predicted 40, 4, 16, and 27 additional genes in the *Orp* regions of rice and sorghum and the *Orp2* and *Orp1* regions of maize, respectively, beyond those we consider valid gene candidates (Table 3). Of these predicted genes, 28 (70%), 2 (50%), 15 (94%), and 27 (100%), respectively, were found to have the structure and/or the highest sequence similarity to transposable elements (Table 3). However, 12 predicted genes in rice (11 scattered in the transposon-element-rich area of the *Orp* segment), 2 predicted genes in sorghum, and 1 predicted gene in maize are unclear in origin, so we did not annotate them as genes. Because these predicted genes have no homologs in Arabidopsis or in any other genome, we think they are rapidly evolving transposable elements or some other nongenic DNA.

Gene content instability in the two maize subgenomes:

Our results are consistent with the hypothesis of a recent tetraploid origin for maize (SWIGOŇOVÁ *et al.* 2004). Although the two maize segments analyzed in this study share only *Orp1* and *Orp2* homeologous genes, their comparisons to the orthologous regions of sorghum and rice indicate that they are two homeologous segments. There have been at least two gene deletions near the *Orp1* locus. Also, independent insertion of large blocks of retrotransposons in both *Orp1* and *Orp2* segments have occurred in the last few million years. The maize *Orp2* segment remains relatively “intact,” with no gene deletion detected in this region. This result parallels the recent finding by LANGHAM *et al.* (2004). By comparing the maize *lg2* region and its homeologous *lrs1* region, LANGHAM *et al.* (2004) found that a cluster of four predicted genes 3' to the *lrs1* locus have been deleted,

leading to “zero retention” of duplicated factors, excluding the *lg2/lrs1* gene pair. In contrast, >40% of the total genes from each homeologous region were found to have been deleted by several separate deletion events in the maize *adh1* region and its homeologue, indicating that both regions have been equally unstable compared to their orthologs in sorghum and rice (ILIC *et al.* 2003). However, at least one copy of all orthologous genes appears to be conserved between the two homeologous regions in all cases investigated, suggesting that natural selection has acted against loss of all copies of any of these genes.

Timing of gene loss in the *Orp* region of maize: We cannot precisely determine the times of gene deletion or insertion events in the *Orp1* region of maize, although our comparative data indicate that they took place after the divergence of maize and sorghum. The extensive deletion of genes and low-copy-number sequences appears to be a common feature of genomes with polyploid origins, such as Arabidopsis (ARABIDOPSIS GENOME INITIATIVE 2000) and maize (AHN *et al.* 1993; SONG *et al.* 2002; ILIC *et al.* 2003). The elimination of low-copy-number sequences has also been detected in newly formed polyploids (SONG *et al.* 1995; FELDMAN *et al.* 1997; OZKAN *et al.* 2001), indicating that genome changes often happen in the first few generations in response to the formation of a polyploid. Gene deletion and transposon accumulation have also been seen to differentiate haplotypes in the allelic regions of different maize inbreds (FU and DOONER 2002; SONG and MESSING 2003).

Genic rearrangements: Deletion, insertion, and/or translocation? Comparison of the orthologous regions of the rice and sorghum genomes reveals numerous small genic rearrangements. Apparent insertions of genes 1, 4, and 6 were detected in sorghum compared to rice and maize. No gene deletion or insertion was found in the two gene-clustered regions that are sepa-

rated by a cluster of transposable elements in rice. This observation parallels observations in *adh* (TIKHONOV *et al.* 1999; ILIC *et al.* 2003), *sh2/a1* (CHEN *et al.* 1997; LI and GILL 2002), and *php200725* (SONG *et al.* 2002) orthologous regions, indicating that rice has a relatively stable gene content and order compared with maize, sorghum, or wheat.

Interestingly, all of the noncolinear genes present in the *Orp* region of sorghum and/or maize were found to have very similar copy numbers in both rice and Arabidopsis, indicating copy-number conservation for >150 million years of independent evolution (WOLFE *et al.* 1989). For four noncolinear genes, only single homologs were detected in both rice and Arabidopsis. If one assumes that these single-copy homologs are orthologous to the corresponding genes identified in sorghum, then it is clear that synteny or colinearity is not a perfect indicator of orthology. The relocations of these genes may have occurred in the rice and/or sorghum lineages. Alternatively, these four genes may be paralogous to the corresponding genes detected in rice because deletions removed the actual orthologs. Hence, on the basis of current data it is impossible to say whether these genes were deleted, inserted, or relocated in the rice and sorghum genomes.

All identified genes in the *japonica Orp* region were found to have homologs in the homologous region of *indica* rice. Because most assembled shotgun sequences from the *indica* genome are relatively small, we did not obtain the complete *Orp* region of *indica* and thus cannot compare order or orientation of these sequence fragments. It is also not clear whether any genes are uniquely present in the *indica* region. However, complete *japonica* and *indica* sequences of the *php200725* region show complete conservation of gene order in both subspecies (SONG *et al.* 2002). Furthermore, previous comparison of ~1.1 Mb of orthologous regions between *indica* and *japonica* has demonstrated a lack of gene acquisition or loss from either *indica* or *japonica* (MA and BENNETZEN 2004), supporting the previous observation that the rice genome exhibits relatively stable gene content in contrast to the maize genome (SONG *et al.* 2002; ILIC *et al.* 2003).

A hotspot for gene rearrangement and the insertion of LTR retrotransposons in rice: We found a large LTR-retrotransposon-rich segment in the rice genome that contains few genes, and all of the genes within this retrotransposon block were either duplicates or noncolinear inserts relative to sorghum. Our data indicate that this rice region has expanded rapidly by insertion of LTR retrotransposons in the past 2 MY, with most insertions in the few hundred thousand years since the divergence of *indica* and *japonica* ancestors. The ancient insertions (>1 MY old) in this region indicate that it has been a hotspot for transposon accumulation for a long time, while the recent insertions suggest that this insertion affinity is still present.

In our dating of relatively intact LTR retrotransposons in the rice genome, we found that the average age is ~1.3 MY (MA *et al.* 2004), while in the retrotransposon block of the rice *Orp* region, the average age of all datable LTR retrotransposons is ~0.7 MY. Moreover, we demonstrated a minimum of eight new transposon insertions within the *japonica* region since the divergence from a common ancestor with *indica*, adding at least 53 kb of new DNA to a target region of 190 kb. This is about a fourfold higher frequency of insertion than that observed for 1 Mb of chromosome 4 DNA from our earlier *indica* and *japonica* comparison (MA and BENNETZEN 2004).

A high percentage of repetitive DNA was observed in the centromeric region of rice chromosome 8. In this region, LTR retrotransposons account for at least 50% of the DNA (WU *et al.* 2004), and >80% of these elements were amplified before the divergence of *indica* and *japonica* (J. MA and J. L. BENNETZEN, unpublished observations). In contrast to the centromeric region, 55% of the transposon-rich segment of the rice *Orp* region is composed of LTR retrotransposons, and about half of them were amplified after the divergence of these two subspecies (Figure 2). This high rate of transposon insertion, plus the presence of a nontandem gene triplication and several noncolinear truncated genes in the *Orp* region, suggests that this block is a hotspot for several different kinds of genome rearrangement. It will be interesting to see if other retrotransposon blocks exhibit this type of exceptional instability when other comparative studies are performed.

An intraelement retrotransposon conversion event: While maize retrotransposons are frequently intact at their termini, including the presence of short, flanking host-site duplications, there is no shortage of more tattered elements present in any maize BAC sequence. Unequal recombination is frequently invoked to explain the presence of solo LTRs (DEVOS *et al.* 2002; MA *et al.* 2004). Here we suggest that this phenomenon may explain a larger group of rearrangements simply by positing that an unequal recombination event initiating inside LTRs might migrate outside a terminus of these LTRs. While Figure 4 depicts a recombination event with symmetric exchange of strands, nonsymmetric events should also occur. These would yield the same outcome. Repair of heteroduplex DNA will also play a role in these sorts of recombination events and this could result in more complex rearrangements than depicted if the repair was noncontinuous over the recombination tract.

One other model could be proposed to explain the structure that we found. Two *grande* elements (most likely proximate to one another) on the same chromosome in the same orientation could recombine unequally to create a double element, sharing an LTR. But a second event would be required to explain the deletion of the 5'-end of the 5'-element. This second

model is also unlikely because the duplicated region resulting from this mechanism would likely have a greater percentage of mismatched bases over the duplication than the 3% that is observed. Comparison of a *grande* element from the 22-kD α -zein gene family (SONG *et al.* 2001) and this *grande* element yields a 15% mismatch frequency over aligned bases. Rarely are retrotransposons (even from the same family) >90% similar over >2-kb regions.

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