

Evidence for Two Mechanisms of Palindrome-Stimulated Deletion in *Escherichia coli*: Single-Strand Annealing and Replication Slipped Mismatching

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Manuscript received January 31, 2001
Accepted for publication March 19, 2001

ABSTRACT

Spontaneous deletion mutations often occur at short direct repeats that flank inverted repeat sequences. Inverted repeats may initiate genetic rearrangements by formation of hairpin secondary structures that block DNA polymerases or are processed by structure-specific endonucleases. We have investigated the ability of inverted repeat sequences to stimulate deletion of flanking direct repeats in *Escherichia coli*. Propensity for cruciform extrusion in duplex DNA correlated with stimulation of flanking deletion, which was partially *sbcD* dependent. We propose two mechanisms for palindrome-stimulated deletion, SbcCD dependent and SbcCD independent. The SbcCD-dependent mechanism is initiated by SbcCD cleavage of cruciforms in duplex DNA followed by RecA-independent single-strand annealing at the flanking direct repeats, generating a deletion. Analysis of deletion endpoints is consistent with this model. We propose that the SbcCD-independent pathway involves replication slipped mismatching, evoked from stalling at hairpin structures formed on the single-stranded lagging-strand template. The skew of SbcCD-independent deletion endpoints with respect to the direction of replication supports this hypothesis. Surprisingly, even in the absence of palindromes, SbcD affected the location of deletion endpoints, suggesting that SbcCD-mediated strand processing may also accompany deletion unassociated with secondary structures.

I*N vivo*, large DNA palindromes are intrinsically unstable sequences (reviewed in LEACH 1994) and are selectively removed from the genome. Large inverted repeats are also unstable in the yeast *Saccharomyces cerevisiae* (GORDENIN *et al.* 1993). In addition, spontaneously occurring genetic rearrangements in *Escherichia coli* are often associated with inverted repeat sequences (GALAS 1978; GLICKMAN and RIPLEY 1984). Systematic analysis in *E. coli* shows that inverted repeats stimulate deletion at nearby direct repeat sequences (FOSTER *et al.* 1981; ALBERTINI *et al.* 1982; GLICKMAN and RIPLEY 1984; SINGER and WESTLYE 1988; WESTON-HAFER and BERG 1989; PIERCE *et al.* 1991; SINDEN *et al.* 1991).

The potential to form DNA secondary structures is the basis of sequence instability at palindromes. Two types of structures can be formed: intrastrand pairing at palindromes results in hairpin formation in single-stranded DNA (ssDNA) molecules and cruciform extrusion from double-stranded DNA (dsDNA; Figure 1). When DNA becomes single stranded, as during replication or repair, formation of hairpin structures at inverted repeats is favored. In contrast, there is a kinetic barrier to cruciform formation from dsDNA (COUREY

and WANG 1983). The pathway for cruciform formation is believed to initiate with unpairing of the duplex, followed by nucleation of base pairing within the arm structures that can extend by branch migration (Figure 1; SULLIVAN and LILLEY 1986). Crosslinking studies have detected structures consistent with cruciform secondary structures formed by inverted repeat sequences *in vivo* (ZHENG *et al.* 1991). Genetic experiments also argue that cruciforms do form *in vivo* (DAVISON and LEACH 1994).

Processing of large palindromic DNA sequences in *E. coli* is mediated by the products of the *sbcC* and *sbcD* genes (LEACH 1994). The *sbcCD* genes were originally identified as antirecombination factors (LLOYD and BUCKMAN 1985) and were later shown to promote palindrome-associated inviability (CHALKER *et al.* 1988; GIBSON *et al.* 1992). *In vitro*, SbcCD possesses ssDNA endonuclease and dsDNA exonuclease activities and can cleave hairpin structures (CONNELLY and LEACH 1996; CONNELLY *et al.* 1997, 1998, 1999). *In vivo*, SbcCD appears to introduce double-strand breaks at long palindromic sequences; after cleavage, chromosomal integrity can be restored by RecA-dependent homologous recombination between sister chromosomes (LEACH *et al.* 1997; CROMIE *et al.* 2000).

The mechanisms by which palindromes stimulate nearby deletion may be complex. One model for the mechanism of palindrome deletion has been replication slipped mismatching (BALBINDER *et al.* 1989; WESTON-

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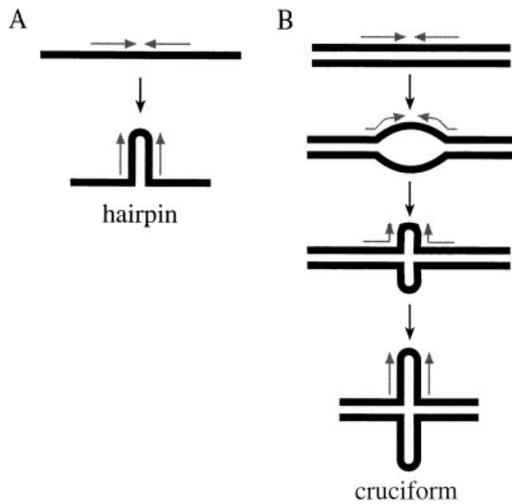


FIGURE 1.—DNA structures assumed by palindrome sequences. (A) Hairpin formation in ssDNA. (B) Cruciform extrusion in dsDNA. Initial melting of DNA allows nucleation of DNA pairing to form arms of the cruciform, which can be extended by branch migration. Inverted repeats with interruption of pairing at the center of the repeats (as F14S) are less likely to form cruciform structures than perfect palindromes (as F14C) because of a decreased probability of this nucleation of arm pairing.

HAFER and BERG 1989) where, after stalling at a hairpin, the nascent strand misaligns at a direct repeat of several nucleotides that flanks the palindromic element. Because of the relative single-strandedness of the lagging-strand template during replication, formation of hairpin structures by inverted repeats should be more prevalent on the lagging strand. Indeed, in yeast, large palindromes are deleted at a higher rate in the presence of mutations that perturb replication on the lagging strand (GORDENIN *et al.* 1992; RUSKIN and FINK 1993). In support of this, some experiments suggest that palindrome deletions do occur more often on the lagging strand than on the leading strand in *E. coli* (TRINH and SINDEN 1991; PINDER *et al.* 1998), although another analysis failed to see substantial differences (WESTON-HAFER and BERG 1991). A lagging-strand bias would implicate hairpin structures in ssDNA as the deletion-prone intermediates; however, a different study correlated deletion rate with cruciform propensity of inverted repeats (SINDEN *et al.* 1991), suggesting that cruciforms in dsDNA are the deletion-prone intermediates. An alternative mechanism for palindrome instability has been proposed to be nuclease cleavage directed at cruciform structures (LEACH and STAHL 1983; GLICKMAN and RIPLEY 1984) and SbcCD is a likely candidate for such a processing role.

To explore the mechanisms of rearrangements stimulated by palindromic sequences, we have chosen to examine the influence of DNA secondary structure on deletion formed between fairly large, 101-bp direct repeats (Figure 2). We have extensively characterized deletion of these 101-bp repeats in the *tetA* gene of pBR322 (unassociated with palindromes), which occurs at a rela-

tively high rate in the population ($\sim 10^{-4}$), independent of RecA (LOVETT *et al.* 1994; LOVETT and FESCHENKO 1996; FESCHENKO and LOVETT 1998; BZYMEK *et al.* 1999). The relatively high rate of deletion of these repeats should make genetic and physical analysis of palindrome effects more facile. Moreover, genetically marked versions of these repeats have been constructed to allow us to determine the endpoints of deletion within 20 nucleotides. The location of the deletion event can provide useful clues toward its molecular nature. We chose to examine the effects of a pair of related inverted repeats ~ 100 bp in length (ZHENG *et al.* 1991): one is a perfect palindrome that more readily forms cruciform structures in duplex DNA *in vivo*; the other inverted repeat is identical in sequence except for permutation of the central 14 nucleotides (Figure 2). Because of the lack of perfect symmetry, the latter sequence is less likely to extrude in dsDNA as a cruciform (ZHENG *et al.* 1991; see Figure 1B). In ssDNA both repeats form hairpin structures of equal stability (ZHENG *et al.* 1991). Any differential effect of these inverted repeats should allow us to determine whether structures formed in ssDNA or dsDNA are critical substrates for deletion formation.

We show that the DNA palindromes greatly elevate RecA-independent deletion of direct repeats in *E. coli*. The perfect palindrome that readily forms cruciforms had a much greater stimulatory effect on deletion, implicating cruciform formation in dsDNA as the primary deletion-prone substrate. Our genetic analysis leads us to propose that palindromes stimulate deletion via two pathways: SbcCD-dependent cruciform cleavage/annealing and SbcCD-independent replication slippage on the lagging strand. An unexpected finding was that SbcCD apparently processes intermediates in direct repeat deletion, even in the absence of palindromes. An ability of SbcCD to cleave 3' strands accounts both for our observations and for the original isolation of *sbcCD* mutations as cosuppressors of the recombination deficiency caused by loss of RecBCD (LLOYD and BUCKMAN 1985; GIBSON *et al.* 1992).

MATERIALS AND METHODS

Bacterial strains and growth: All strains used are derived from the *E. coli* K-12 strain AB1157 [F^- *thi-1 hisG4* Δ (*gpt-proA*)62 *argE3 thr-1 leuB6 kdgK51 rfbD1 ara-14 lacY1 galK2 xyl-5 mlr-1 tsx-33 supE44 rpsL31 rac⁻ λ^-* (BACHMANN 1996)] and are listed in Table 2. Experimental strains were constructed by P1 virA transduction using the indicated donors, recipient strains, and selections (Table 1). Experiments employed LB rich medium and growth at 37° unless otherwise noted. P1 lysate preparation and transductions employed LCG medium, LB supplemented with 1% glucose and 2 mM calcium chloride. Antibiotics used were ampicillin (Ap) at 100 μ g/ml, tetracycline (Tc) at 15 μ g/ml, chloramphenicol (Cm) at 15 μ g/ml, and kanamycin (Km) at 30 μ g/ml. Minimal medium employed for certain strain constructions was 56/2 salts (WILLETTS *et al.* 1969) supplemented with 0.4% glucose, 0.001% thiamine, and 0.1% essential amino acids.

Plasmids: All plasmids used are pBR322 derived and are

TABLE 1

Escherichia coli K-12 strains

Strain	Relevant genotype	Derivation or source
A. Experimental strains derived from AB1157 ^a and used in plasmid deletion assays and deletion product analysis		
AB1157	<i>rec+</i>	BACHMANN (1996)
JC10287	Δ (<i>srlR-recA</i>)304	CSONKA and CLARK (1979)
STL2172	<i>mutS201::Tn5</i> Δ (<i>srlR-recA</i>)304	LOVETT and FESCHENKO (1996)
STL2234	<i>dnaE486(ts) zae-3095::Tn10kan</i> Δ (<i>srlR-recA</i>)304	UV ^s Cys ⁺ transductant P1 JC10287 \times STL2174
STL2706	<i>dnaE925 zae::Tn10d-cam</i> Δ (<i>srlR-recA</i>)304	UV ^s Cys ⁺ transductant P1 JC10287 \times STL2704
STL4494	<i>sbcD300::Tn10kan</i> Δ (<i>srlR-recA</i>)304	Cys ⁺ transductant P1 JC10287 \times STL4428
STL4768	<i>sbcD300::Tn10kan mutS201::Tn5</i> Δ (<i>srlR-recA</i>)304	Km ^r transductant P1 STL2172 \times STL4428
STL4784	<i>sbcD300::Tn10kan dnaE486(ts)</i> Δ (<i>srlR-recA</i>)304	Cys ⁺ transductant P1 JC10287 \times STL4475
B. Precursor strains		
CAG12173	<i>cysC95::Tn10</i>	CGSC ^b (SINGER <i>et al.</i> 1989)
CAG18580	<i>zae-3095::Tn10kan</i>	CGSC ^b (SINGER <i>et al.</i> 1989)
E486	<i>dnaE486(ts) = polC486(ts)</i>	CGSC ^b (WECHSLER and GROSS 1971)
FG252	<i>sbcD300::Tn10kan</i>	GIBSON <i>et al.</i> (1992)
C. Intermediate precursor strains derived from AB1157 ^a		
STL672	<i>cysC95::Tn10</i>	Tc ^r transductant P1 CAG12173 \times AB1157
STL1155	<i>dnaE486(ts) = polC486(ts) zae-3095::Tn10kan</i>	Km ^r Ts transductant P1 CAG18580 \times E486
STL1818	<i>dnaE486(ts) zae-3095::Tn10kan</i>	Km ^r Ts transductant P1 STL1155 \times AB1157
STL1819	<i>zae-3095::Tn10kan</i>	Km ^r transductant P1.CAG18580 \times AB1157
STL2174	<i>dnaE486(ts) zae-3095::Tn10kan cysC95::Tn10</i>	Tc ^r Ts transductant P1 CAG12173 \times STL1818
STL2234	<i>dnaE486(ts) zae-3095::Tn10kan</i> Δ (<i>srlR-recA</i>)304	UV ^s Cys ⁺ transductant P1 JC10287 \times STL2174
STL4156	<i>sbcD300::Tn10kan</i>	Km ^r transductant P1 FG252 \times AB1157
STL4428	<i>sbcD300::Tn10kan cysC95::Tn10</i>	Tc ^r transductant P1 CAG12173 \times STL4156
STL4494	<i>sbcD300::Tn10kan</i> Δ (<i>srlR-recA</i>)304	Cys ⁺ transductant P1 JC10287 \times STL4428
STL4768	<i>sbcD300::Tn10kan mutS201::Tn5</i> Δ (<i>srlR-recA</i>)304	Km ^r transductant P1 STL2172 \times STL4428
STL4772	<i>dnaE486(ts) cysC95::Tn10</i>	Tc ^r Ts transductant P1 CAG12173 \times STL2453
STL4775	<i>sbcD300::Tn10kan dnaE486 cysC95::Tn10</i>	Km ^r transductant P1 FG252 \times STL4772

Phenotypes used in constructions include antibiotic resistance (Km^r, Tc^r), UV sensitivity (UV^s), temperature sensitivity (Ts, failure to grow at 42°), and cysteine prototrophy (Cys⁺).

^a Genotype of AB1157, STL695, and their derived strains, unless otherwise indicated, includes: F⁻ λ^- *hisG4 argE3 leuB6* Δ (*gpt-proA*)62 *thr-1 thi-1 rpsL31 galK2 lacY1 ara-14 xyl-5 mtl-1 kdgK51 supE44 tsx-33 rfbD1 mgl-51 rac⁻ qsr⁻*.

^b *E. coli* Genetic Stock Center, Yale University.

competent cells were transformed. The efficiency of transformation was determined by the frequency of appearance of Cm-resistant colonies (Table 5) in the transformed population.

RESULTS

Effects of palindromes on RecA-independent direct repeat deletion: We designed a plasmid-based genetic assay in which palindromic sequences were inserted between two direct repeats in *tetA* (Figure 2). This is an adaptation of a previously described assay (LOVETT *et al.* 1994), in which there is an internal 101-bp duplication (base pairs 567–667) within the *tetA* gene of pBR322 (pSTL57, Table 2; Figure 2A). Various inverted repeat sequences (Figure 2B) were introduced between the two direct repeat sequences (pMB302, pMB303 in Table 1). Precise deletion of one of the direct repeats and the intervening sequence restores functional *tetA* and confers tetracycline resistance to the cell. Two types of inverted repeat sequences were examined: a “perfect palindrome,” inverted repeats with no nonrepeated spacer DNA (F14C in Figure 2C), and an “interrupted palindrome,” an inverted repeat whose central region

sequences contain nonpalindromic sequences (F14S in Figure 2C). The inverted repeats in F14S are identical to those in F14C except for the central region. The central region in F14S has the same base composition as F14C; both are AT rich, which may facilitate the transition shown in Figure 1B. Perfect palindromes such as F14C are more prone to cruciform extrusion in double-strand DNA than those with interruptions. Although cruciform extrusion is highly sensitive to sequence context, a previous study estimated that, when present within a coding region, 0.2% of the plasmid DNA molecules bearing palindrome F14C are in cruciform configuration *in vivo* as opposed to 0.04% for an identical plasmid bearing F14S (ZHENG *et al.* 1991). In single-strand DNA, F14C and F14S palindromes are predicted to form hairpin structures of similar stability (ZHENG *et al.* 1991).

In a wild-type strain, AB1157, perfect palindrome F14C stimulated deletion formation between flanking 101-bp direct repeats by two orders of magnitude (Table 3). This stimulation was similar to that found for another perfect palindrome, 114 bp in length, of unrelated se-

TABLE 2
Plasmids used for deletion rate and endpoint determination

Plasmid	Heterology	Palindrome ^a	Orientation ^b	Derivation
A.				
pSTL57	–	None	+	LOVETT <i>et al.</i> (1994)
pMB302	–	F14C	+	This study
pMB303	–	F14S	+	This study
B.				
pSTL113	+	None	+	LOVETT and FESCHENKO (1996)
pMB304	+	F14C	+	This study
pMB305	+	F14S	+	This study
pSTL139	+	None	–	FESCHENKO and LOVETT (1998)
pMB306	+	F14C	–	This study

Plasmids in part A with identical direct repeats of 101 bp were used for measuring deletion rates. Plasmids in part B carried heterologous 101-bp repeats that were used for the determination of deletion endpoint intervals.

^a Sequences of the various palindromic insertions are listed in Figure 2C.

^b Plus designates the wild-type orientation of the *tetA* allele with respect to the replication origin, as in pBR322. Minus designates the reversed orientation of the *tetA* allele with respect to the replication origin.

quence (M. BZYMEK and S. T. LOVETT, unpublished results). In contrast, the interrupted palindrome insert F14S (Figure 1C) did not exhibit a stimulatory effect and may have decreased the rate 5-fold relative to that observed for the control construct pSTL57 (Table 3). The decrease in rate may result from the decreased proximity of the direct repeats in this construct, known to diminish deletion rates (BI and LIU 1994; CHEDIN *et al.* 1994; LOVETT *et al.* 1994). In a strain unable to carry out homologous recombination due to a loss of the *recA* gene, the perfect palindrome F14C similarly stimulated deletion rates over 100-fold. This confirms that the palindromes stimulate a nonrecombinational deletion mechanism. Surprisingly, the absence of RecA revealed a stimulatory effect of the interrupted palindrome se-

quence F14S on deletion rates. This increase, of ~20-fold, was not as pronounced as that observed with perfect palindromes. This, nonetheless, is an unusual instance of a sequence rearrangement event being dependent on the absence of a functional RecA protein (see DISCUSSION).

One potential confounding factor in our analysis is impaired maintenance of palindrome-containing plasmids. The size of palindromes chosen for this analysis was below that known to reduce maintenance (WARREN and GREEN 1985). Moreover, we did not observe any decreased stability of these plasmids as judged by decreased copy number or increased number of plasmid-free cells (data not shown). A more direct control experiment determined the ability of a plasmid lacking any

TABLE 3
Genetic dependence of palindrome-stimulated deletion

Genotype insert ^a	Deletion rate × 10 ⁻⁵ (C.I.)		
	pSTL57 none	pMB302 F14C	pMB303 F14S
A. 37° assays			
+	5.0 (1.1–6.5)	230 (140–2,400)	1.3 (0.3–3.9)
$\Delta recA304$	1.1 (0.4–2.1)	170 (89–210)	21.8 (17–27)
<i>sbcD</i> ::Tn10kan $\Delta recA304$	1.2 (0.7–1.8)	20 (13–31)	6.7 (4.3–9.4)
B. 30° assays			
$\Delta recA304$	1.7 (0.6–7.2)	310 (170–360)	52 (22–200)
<i>dnaE486</i> $\Delta recA304$	1.4 (0.2–2.5)	5,600 (4,100–26,950)	3,000 (2,300–4,200)
<i>dnaE486 sbcD</i> ::Tn10kan $\Delta recA304$	3.8 (3.8–7.8)	990 (370–3,200)	63 (27–140)

Deletion rates between 101-bp *tetA* tandem repeats were measured as described in MATERIALS AND METHODS for 16–64 independent cultures grown at 37° and 30° as indicated. Assays of palindrome-containing constructs were always performed in parallel with the nonpalindromic control on 2 or more days. A 95% confidence interval (C.I.) is also indicated.

^a The sequences of palindromic insertions are listed in Figure 2C.

TABLE 4
Plasmid competition assay

Strain	Genotype ^a	Resident plasmid (insert) ^b	Relative transformation efficiency ^c (SD) ^d
STL1491	<i>recA</i>	pSTL57 (-)	=1
STL1491	<i>recA</i>	pMB302 (F14C)	1.1 (0.3)
STL4494	<i>sbcD recA</i>	pSTL57 (-)	=1
STL4494	<i>sbcD recA</i>	pMB302 (F14C)	1.8 (0.1)
STL2234	<i>dnaE recA</i>	pSTL57 (-)	=1
STL2234	<i>dnaE recA</i>	pMB302 (F14C)	0.8 (0.3)
STL4784	<i>sbcD dnaE recA</i>	pSTL57 (-)	=1
STL4784	<i>sbcD dnaE recA</i>	pMB302 (F14C)	1.0 (0.2)

^a All strains used are listed in Table 1.

^b All plasmids used are listed in Table 2.

^c Relative transformation efficiency is reported for each strain relative to the same strain carrying pSTL57. Transformation efficiency is the number of Cm-resistant colony-forming units per nanogram of plasmid pSTL297 DNA; transformation efficiency ranged from 1×10^2 to 9×10^3 cfu/ng DNA.

^d Standard deviation for the average of two experiments.

inverted repeat (uniquely conferring chloramphenicol resistance) to supplant various noncompatible, deletion assay plasmids, either with or without palindromic DNA (Table 4). Transformation of pSTL297 (conferring Cm resistance) into a *recA* mutant strain carrying pSTL57 or pMB302 revealed that the palindrome-carrying plasmids competed about as well as pSTL57. Therefore, a competitive disadvantage of the palindrome plasmid relative to a newly arising deletion product lacking the palindrome cannot account for the magnitude of the hyperdeletion phenotypes in any of the genetic backgrounds used in these experiments.

Deletion endpoint distribution: The use of marked directed repeats allowed us to observe changes in the location of the selected deletion events stimulated by palindromic sequences (Table 5). We used derivatives of the previous deletion assay plasmids (LOVETT and FESCHENKO 1996), in which single-base pair transition mutations in one of the directly repeated sequences designate five intervals, 20 base pairs long, within each repeat (Figure 3). Upon deletion, the mutations are silent within *tetA* and thus do not affect tetracycline resistance, but allow for the determination of deletion endpoints by DNA sequence analysis (FESCHENKO and LOVETT 1998). Plasmids pMB304, -305, and -306 contain palindromic inserts listed in Figure 2C and the marked direct repeats in *tetA* (Table 2). To avoid loss of the products by the action of the mismatch repair system on deletion intermediates, we analyzed endpoints in strains carrying additional mutations in *mutS* (the mismatch recognition factor). Strains were also always mutant for *recA*, to avoid contribution of homologous recombination to the observed products.

The insertion of palindromic sequences significantly altered the distribution of deletion endpoints (Table 5). Deletions from plasmids containing inserts F14C (perfect palindrome) and F14S (interrupted palin-

drome) had very similar distributions of endpoints, although the overall rates of deletion differed by 10-fold (Table 5). In the absence of any palindrome, deletion occurs most abundantly in interval 3 and deletions in the outside intervals 1 and 5 are very rarely recovered (FESCHENKO and LOVETT 1998). The presence of palindromic inserts dramatically stimulates deletion events recovered in intervals 1 and 5 that abut the palindrome ($\chi^2 > 19$, $P < 0.001$).

DNA replication is unidirectional in pBR322 (HELINSKI *et al.* 1996) and its derivative plasmids used in this study. Since the palindromic sequences may assume secondary structures that interfere with replication, the distribution of deletion endpoints may depend on the direction of replication through the repeats. The asymmetry of the distribution of deleted products formed from pMB304 and pMB305 (Table 5) suggested a possible effect of the direction of replication fork movement on deletion events. In both these constructs, deletion was highest in interval 5, whose replication immediately precedes the palindrome on the lagging strand. The *tetA* gene was inverted relative to the origin of replication for the F14C construct (giving pMB306) and deletion endpoint distribution was determined. The recovered deletion products from the reversed construct predominated in intervals 1 and 2 (Table 5), again those intervals preceding the palindrome on the lagging strand (Figure 3). This change in skew of deletion endpoints from right to left with the inversion of replication direction was statistically significant ($\chi^2 > 4$, $P < 0.05$). We also noted (Table 6) that the rate of deletion was somewhat greater in the reversed configuration (pMB306 *vs.* pMB304) and the basis for this is unknown.

The role of replication in deletion: To explore further the role of replication in palindrome-stimulated deletion, we determined deletion rates in *recA* strains with and without *dnaE486*, a temperature-sensitive mutation

TABLE 5
Distribution of endpoints among the five intervals by sequencing

Genotype	Plasmid (palindrome)	Orientation	No. of products with endpoints in interval					Total sequenced
			1	2	3	4	5	
A.								
<i>sbcD</i> ⁺	pSTL113 (none)	+	1	5	16	6	0	28
	pSTL138 (none)	–	0	3	7	5	1	16
	pMB304 (F14C)	+	7	3	7	4	13	34
	pMB305 (F14S)	+	4	3	6	2	12	27
	pMB306 (F14C)	–	9	5	3	1	5	23
B.								
<i>sbcD</i> [–]	pSTL113 (none)	+	7	4	4	3	2	20
	pSTL138 (none)	–	2	2	5	3	6	18
	pMB304 (F14C)	+	2	3	2	0	17	24
	pMB305 (F14S)	+	2	2	2	5	18	29
	pMB306 (F14C)	–	8	8	5	2	0	23

Independent deletion products were selected by tetracycline resistance from the indicated strains (STL2172 *sbcD*⁺ *mutS* *recA* or STL4768 *sbcD*::Tn10kan *mutS* *recA*) carrying the denoted plasmids. The plasmids contain 101-bp direct *tetA* repeats, with and without palindromic inserts, and in varying orientations relative to the direction of replication. The + orientation is that of natural pBR322; – orientation has the *tetA* gene reversed relative to *ori*. Four heterologies between the two repeats allows sequence analysis to determine deletion endpoints within one of five 20-bp intervals (Figure 3).

in the polymerase subunit of DNA polymerase III, at its permissive temperature for growth, 30°. At 30°, deletion rates for the palindrome-carrying constructs in the control *recA* (*dna*⁺) strain were somewhat higher than at 37° (Table 3). Deletions resulting from the nonpalindrome construct, pSTL57, were not noticeably affected by temperature. Addition of the *dnaE486* allele did not detectably affect deletion of tandem direct repeats (pSTL57); however, palindrome-associated deletion was even further stimulated by *dnaE486* (Table 3), suggesting that defects in replication enhance deletion promoted by the presence of palindromic sequences. Whereas the imperfect palindrome consistently affected deletion much less than its perfect counterpart in *recA* and wild-type strain backgrounds, in the presence of *dnaE486*, the effects of the imperfect palindrome approximated that of the perfect palindrome. The rate of these deletion events in *dnaE* mutants was extraordinarily high—deletions were found in almost 10% of the plasmid-bearing population and could be clearly seen in plasmid DNA isolated from this strain, unselected for the deletion events (data not shown).

Dependence on SbcD defines two pathways: The SbcCD nuclease is believed to introduce double-strand breaks (DSBs) at large palindrome sequences (LEACH *et al.* 1997; CROMIE *et al.* 2000) and contributes to the instability of these sequences (CHALKER *et al.* 1988; GIBSON *et al.* 1992). We therefore examined the role of the nuclease on the deletions promoted by palindromic DNA sequences. A mutation in *sbcD* specifically reduced, from 3- to 9-fold, the rate of RecA-independent palindrome-stimulated deletion (Table 3) but had no effect

on nonpalindrome-associated deletion measured from pSTL57. The effect of *sbcD* was somewhat greater on the perfect F14C palindrome constructs compared to the interrupted F14S palindrome constructs. This dependence of palindrome-stimulated deletion on *sbcD* was also observed in the context of the *dnaE486* mutation, where an *sbcD* mutation reduced deletion 5-fold for F14C and 50-fold for F14S (Table 3). In both *dnaE*⁺ and *dnaE486* strains, however, a component of palindrome-stimulated deletion remained independent of *sbcD*. This defines two pathways for palindrome-stimulated deletion: one SbcD dependent and one SbcD independent.

SbcD effects on deletion endpoints: We determined the distribution of deletion endpoints in *sbcD* mutants for constructs carrying palindromic sequences F14C and F14S (Table 5). SbcD-independent deletion generates products with asymmetrical endpoint distribution and is skewed toward one of the intervals abutting the palindrome (Table 5; Figure 4, C and D). The skew to interval 5 for both F14C and F14S constructs, + orientation, was significantly different from that for the nonpalindrome construct in *sbcD* mutants ($\chi^2 > 12$, $P < 0.001$). For palindrome F14C, this skew responds to the direction of replication. For F14C – orientation, the skew to the leftward intervals 1 and 2 was also significantly different from the construct lacking a palindrome ($\chi^2 > 10$, $P < 0.001$) and the F14C + orientation ($\chi^2 > 17$, $P < 0.001$).

This distribution (Table 5) and the rates of deletion (Table 6) can be used to derive a deletion rate in each of the five defined intervals. Rates of *sbcD*-dependent deletion in each endpoint interval were estimated by

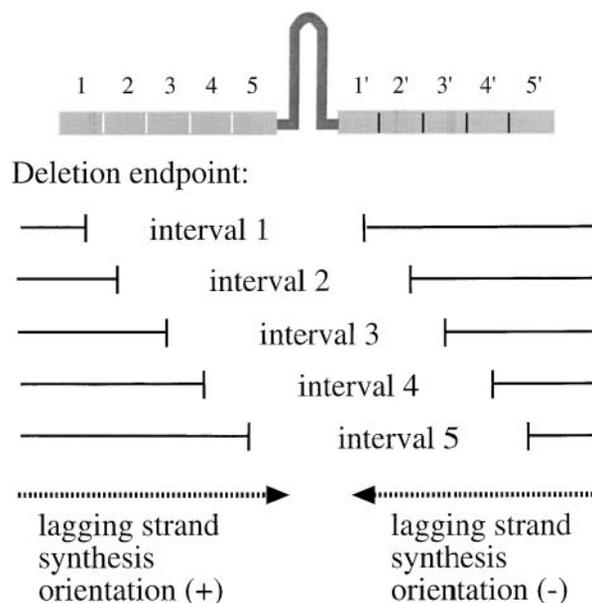


FIGURE 3.—Deletion endpoint mapping. Four DNA sequence polymorphisms between the two tandem repeats within *tetA* (diagrammed as black or white lines) define five intervals within each repeat. Interval 1 is that 5' in the coding sequence of *tetA*. Sequence analysis of the deletion products determines the sequence interval in which the deletion event has occurred. For instance, an interval 1 deletion event produces a product that carries all markers derived from the rightward repeat. In contrast, an interval 5 deletion product carries markers solely from the leftward repeat. The direction of lagging-strand DNA synthesis is shown for the two orientations used in the experiments. In the + orientation, replication of one repeat up to interval 5 precedes that of the palindrome on the lagging strand. In the - orientation, replication of interval 1 precedes that of the palindrome on the lagging strand.

subtracting the rate calculated in *sbcD* mutant strain background from that obtained for the *sbcD*⁺ strain. Distribution of endpoints among products of SbcD-dependent deletion is almost symmetric and biased to both ends of the repeats (Figure 4, A and B). In contrast,

SbcD-independent deletion was asymmetric, dependent on the replication direction (Figure 4, C and D).

SbcD has little or no effect on the rates of deletion from constructs that lack palindromic DNA sequences (Table 3; Table 6). However, much to our surprise, a mutation in *sbcD* was found to dramatically alter the distribution of deletion endpoints determined from these constructs (Table 5; Figure 5). The reported distribution of endpoints from the *sbcD*⁺ background revealed a cluster of endpoints toward the center of the repeat and almost none in the outside intervals 1 and 5. This pattern was observed irrespective of replication direction (FESCHENKO and LOVETT 1998). In *sbcD* mutant genetic background, the hotspot for deletion vanished. Plasmids without palindromic sequences (pSTL113 and pSTL139) generated deletion with endpoints distributed more evenly among all intervals. There was a slight bias of *sbcD*-independent deletion from these nonpalindromic constructs toward one end of the repeat, relative to the direction of replication. For example, among the deletion products of pSTL113 >30% of all deletion endpoints fell within interval 1, whereas 10% fell within interval 5. Reversal of the direction of replication in pSTL139 generated the reverse result (Table 5; Figure 4). (The number of products in interval 1 *vs.* interval 5 is significantly different in the two orientations, $\chi^2 = 3.8$, $P = 0.05$.) In addition to an increase of deletions in intervals 1 and/or 5, we observed a significant decrease in deletions occurring in interval 3 in both orientations ($\chi^2 > 5.5$, $P < 0.05$). This may indicate that even in palindrome-free constructs, the SbcCD nuclease complex actively contributes to the formation of deletions in interval 3 and prevents the formation of products with endpoints in intervals 1 and 5.

DISCUSSION

Palindromic DNA sequences placed between two direct repeats on a plasmid increase the rate of RecA-

TABLE 6
Deletion rates of deletion endpoint mapping plasmids

Relevant genotype	Deletion rate $\times 10^5$ (C.I.) for plasmids				
	pSTL113 (no palindrome) orientation +	pSTL139 (no palindrome) orientation -	pMB304 (F14C) orientation +	pMB305 (F14S) orientation +	pMB306 (F14C) orientation -
<i>sbcD</i> ⁺	2.6 (1.7-4.3)	6.5 (4.4-11)	105 (29-470)	7.5 (4.5-14)	221 (105-464)
<i>sbcD</i> ::Tn10 <i>kan</i>	1.9 (0.4-5.4)	2.3 (1.1-4.7)	19 (10-42)	3.8 (3.2-4.5)	98 (73-139)

Deletion rates between tandem repeats in *tetA* were measured as described in MATERIALS AND METHODS for 12-16 independent cultures grown at 37°. A 95% confidence interval (C.I.) is indicated. Replication orientation + is that of wild-type pBR322 with fork movement counter to the transcription direction of *tetA*. In orientation - the *tetA* gene has been inverted so that replication proceeds in the same direction as *tetA* transcription. The strains STL2172 and STL4768, *sbcD*⁺ and *sbcD*::Tn10, respectively, carry the additional mutations *mutS*::*kan* Δ *recA304* and are described in Table 1. The sequences of palindromic insertions are listed in Figure 2C.

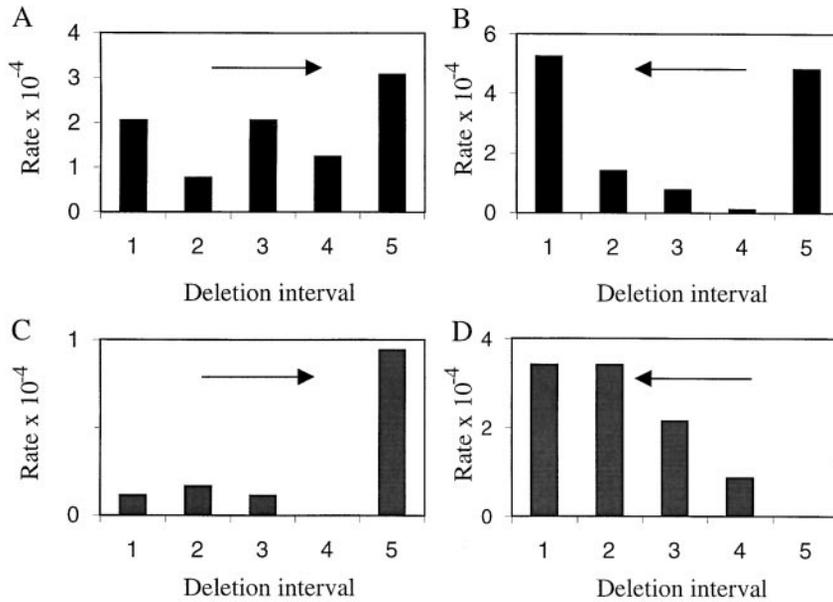


FIGURE 4.—Rate of deletion in each sequence interval for F14C palindrome-stimulated deletion. The arrow denotes the direction of lagging-strand DNA synthesis through the repeat interval. (A) SbcD-dependent deletion, + orientation. (B) SbcD-dependent deletion, - orientation. (C) SbcD-independent deletion, + orientation. (D) SbcD-independent deletion, - orientation.

independent sequence rearrangement, resulting in deletion of one of the repeats and the intervening palindrome. The rate of deletion was stimulated by the presence of palindromes by one to four orders of magnitude when compared to tandemly positioned direct repeats. We compared two inverted repeat sequences that differ only in their central region. When in ssDNA, both form hairpins of similar thermodynamic stability, but have differing propensity to extrude cruciforms from dsDNA (SINDEN *et al.* 1991; ZHENG *et al.* 1991). Our observed stimulation of deletion rate was highly sensitive to the cruciform-forming potential of the sequence: in wild-type or *recA* mutant strain backgrounds, a perfect palindrome exhibited stimulatory effects from 10 to 100 times greater than those of an interrupted palindrome with lesser cruciform propensity. Therefore, in our system,

deletions must form more commonly from secondary structures arising in dsDNA rather than in ssDNA that is revealed by replication or other processes.

Difficulties in replication can, however, potentiate the effects of palindromes. Our genetic analysis showed that the stimulatory effect of palindromic DNA on deletion was further amplified by defects in polymerization, afforded by a temperature-sensitive mutation in the polymerase subunit of DNA polymerase III. In this *dnaEts* strain background, the interrupted palindrome was almost as effective in stimulating deletion as its perfect palindrome counterpart. This defect in replication may cause the accumulation of ssDNA tracts, altered superhelical density, or other unknown effects that increase the chance of secondary structure formation by both the perfect and, especially, the interrupted palindrome.

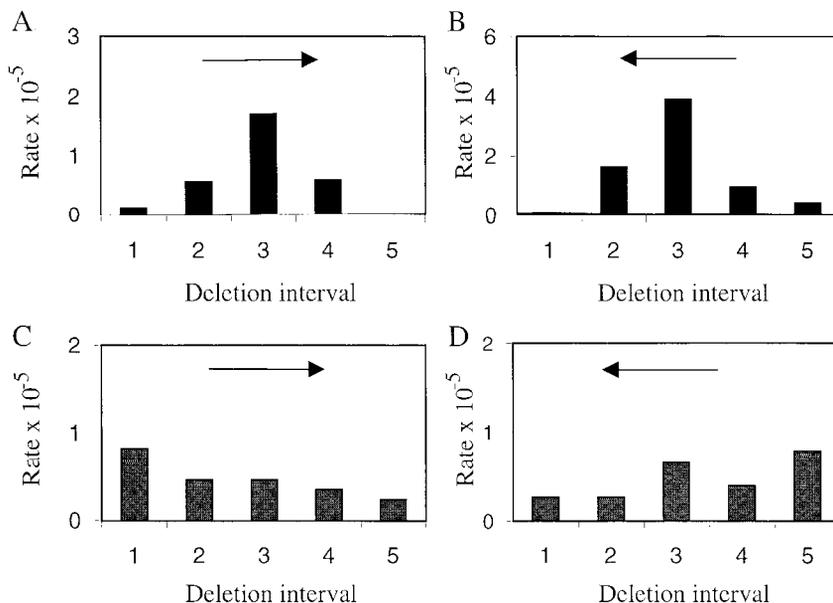


FIGURE 5.—Rate of deletion in each sequence interval for tandem repeats, unassociated with palindromes. The arrow denotes the direction of lagging-strand DNA synthesis through the repeat interval. (A) Deletion in SbcD⁺ strain, + orientation. (B) Deletion in SbcD⁺ strain, - orientation. Data for A and B are from FESCHENKO and LOVETT (1998). (C) SbcD-independent deletion, + orientation. (D) SbcD-independent deletion, - orientation.

This finding also supports the idea that it is the probability of formation of secondary structure, which is increased in a *dnaE* mutant, rather than any difference in the structure itself that underlies our different observations regarding the two palindrome types.

RecA may also influence the potential for secondary structure formation in certain cases. The presence of functional RecA abolished the stimulatory effect on deletion of the interrupted palindrome F14S, although not that of the perfect F14C palindrome. The F14S sequence may not form secondary structures unless encompassed, at least partially, by ssDNA; RecA coating of such ssDNA tracts may inhibit hairpin formation. Alternatively, RecA, either directly or indirectly, may help overcome a hairpin's block to replication, thereby diminishing its mutagenic effect.

Dependence on *sbcD*, the nuclease component of the structure-specific nuclease, SbcCD, defined two pathways by which palindromes stimulate deletion. A mutation in *sbcD* lowered, but did not abolish, the stimulatory effect of both the perfect and interrupted palindromes on deletion formation. The presence or absence of SbcD also dramatically influenced the distribution of endpoints for palindrome-stimulated deletion events. Our results are consistent with two mechanisms for deletion stimulated by palindromes: (1) SbcCD-dependent single-strand annealing (SSA), which is initiated by the recognition and processing of cruciform structures formed by palindromic sequences, and (2) SbcCD-independent slipped misalignment promoted by replication stalling at hairpins formed on the lagging strand.

SbcCD-dependent deletion—single-strand annealing:

We propose a model where SbcCD generates DSBs in the DNA that are subsequently repaired by SSA (Figure 6). Our search for physical evidence for a linearized plasmid yielded only negative results (M. BZYMEK and S. T. LOVETT, unpublished data); however, this intermediate may be short lived. Breakage of the chromosome by SbcCD at large palindromic sequences has been previously inferred by genetic results (LEACH *et al.* 1997; CROMIE *et al.* 2000). We suggest that even smaller cruciform structures (with ~50-bp arms) that extrude on double-stranded DNA may be incised on both strands by SbcCD. Resection of one strand on each side of the break followed by annealing at the two direct repeats and ligation repairs the break and accomplishes a deletion. This mechanism explains dependence of deletion on cruciform formation and fits well with our endpoint distribution data. Resection of only one strand from the break and annealing would generate products heteroduplex for deletion endpoints in intervals 1 and 5 (Figure 6). SbcCD-dependent deletion generated high levels of interval 1 and 5 products, at approximately equal ratio (Figure 5) and these were dependent on the presence of palindromes.

This mechanism of deletion formation does not require replication and SbcCD incision could occur at cruciforms that form pre- or postreplicatively. Accord-

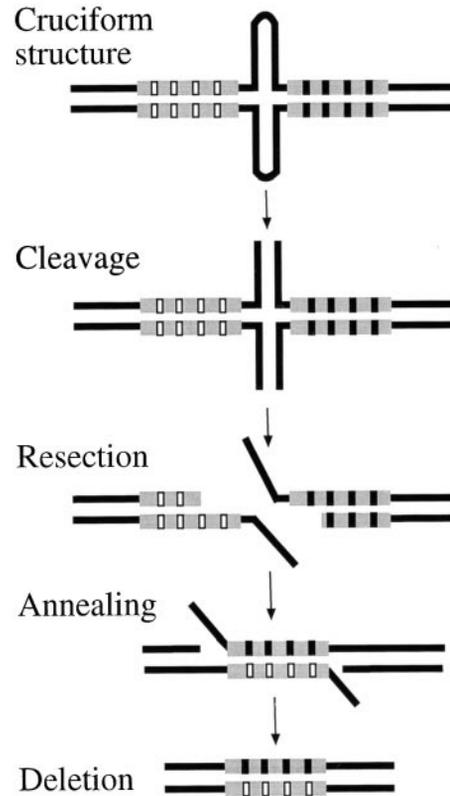


FIGURE 6.—Single-strand annealing mechanism. Cleavage at the cruciform structure is followed by resection and annealing to form a deletion product. The open and solid boxes denote the sequence heterogeneities introduced into the *tetA* repeats that are used for deletion endpoint mapping. Resection of the one strand, as shown, results in a deletion product where one strand carries the markers from the right repeat and the other strand carries the markers from the left repeat. This will segregate after replication to result in an interval 1 product and an interval 5 deletion product.

ingly, the observed distribution of SbcD-dependent products did not vary with the direction of replication fork progression on our plasmids. However, cruciforms broken prereplicatively should be disadvantageous, since the break cannot be repaired by recombination with the sister chromosome and would most likely be mutagenic if healed. It would seem desirable for the cell to restrict SbcCD incision to cruciforms formed in the wake of the replication fork but a mechanism for this restriction is not known.

A SSA mechanism for deletion has been convincingly demonstrated in eukaryotes (LIN *et al.* 1990; MARYON and CARROLL 1991; FISHMAN-LOBELL *et al.* 1992) and during bacteriophage infection in prokaryotes (STAHL *et al.* 1997; TOMSO and KREUZER 2000). The contribution of this pathway to deletion in *E. coli*, however, may be normally restricted by the rampant DNA degradative properties of the RecBCD enzyme. Transformation and healing of linear DNA molecules with terminal redundancy is inefficient unless the recipient is mutant for *recBCD* (LOVETT *et al.* 1988; LUISI-DELUCA *et al.* 1989) or the DNA molecule possesses multiple chi sequences

(FRIEDMAN-OHANA *et al.* 1998), which attenuate RecBCD degradation *in vivo* (DABERT *et al.* 1992; KUZMINOV *et al.* 1994). The absence of chi sequences in our plasmids increases the likelihood that the entire 4.5-kb linear plasmid is degraded within seconds of DSB formation. However, it is possible that SbcCD binds tightly to its break sites, thereby preventing their access to RecBCD. Supporting the fact that SbcD-dependent deletion events are not vulnerable to RecBCD nuclease degradation, we have found that mutation of RecBCD does not enhance SbcD-dependent palindrome-stimulated deletion (M. BZYMEK and S. T. LOVETT, unpublished results). SbcCD's exonuclease activity may also resect the 3' strand until it encounters regions of homology, upon which the two strands anneal (Figure 6). This is similar to a model for deletion at microhomologies promoted by Mre11 (PAULL and GELLERT 1998), which is structurally related to SbcD (SHARPLES and LEACH 1995). RAD50, the eukaryotic counterpart of SbcC, has been shown to promote deletion at short repeats, both with and without associated inverted repeats, in *S. cerevisiae* (GORDENIN *et al.* 1992; TRAN *et al.* 1995).

The SSA mechanism proposed here must be independent of RecA activity, since we observe efficient deletion in *recA* mutant strains of *E. coli*. DSB-stimulated SSA after T4 phage infection is independent of RecA as well as UvsX, the phage-encoded RecA homolog, but depends on the gp 45/46 nuclease and single-strand DNA-binding protein, gp32 (TOMSO and KREUZER 2000). For bacteriophage- λ , the annealing pathway requires λ -exonuclease and the annealing protein- β but not host RecA (STAHL *et al.* 1997). In *S. cerevisiae* SSA is independent of Rad51, a RecA homolog, but it is dependent on Rad52 for deletions between homologies shorter than 2 kb (reviewed in PAQUES and HABER 1999). Rad52 protein can anneal strands *in vitro* (MORTENSEN *et al.* 1996; SUGIYAMA *et al.* 1998). We cannot rule out the participation of a similar strand annealing activity in *E. coli* SSA events but the identity of such a factor is presently unknown.

SbcCD-independent deletion—replication slippage at palindromes: Deletion via slipped misalignment during replication has been proposed as an explanation for the loss of palindromic sequences (reviewed in LEACH 1994). The endpoint distribution of deletions stimulated by the perfect palindrome in an *sbcD* mutant strain supports the hypothesis that hairpins, acting as replication stall sites, encourage misalignment at the neighboring direct repeats (Figure 7). For the (+) replication orientation, the F14C palindrome stimulates deletion exclusively in interval 5. Replication of interval 5 immediately precedes the palindrome on the lagging strand; therefore, polymerases stalled at a hairpin formed preferentially on the lagging-strand template would arrest in interval 5. Realignment of this strand, with no accompanying strand degradation, would result in an interval 5 deletion. In the reversed - orientation, deletion endpoints are skewed toward interval 1, again, the interval

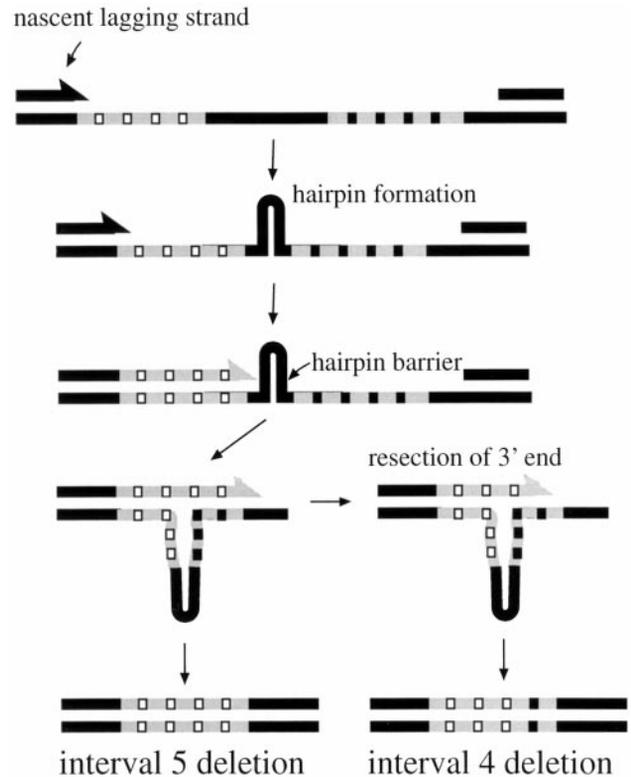


FIGURE 7.—Slipped mispairing mechanism. During discontinuous replication of the lagging strand, the palindromic sequence forms a hairpin in single-strand regions of the template. This hairpin structure acts as a replication barrier. Polymerase dissociation at this step may aid subsequent misalignments. After realignment with the downstream repeat, this results in a deletion. If the strand is not resected after realignment, the deletion endpoint will be in the interval immediately preceding the palindrome on the lagging strand: interval 5 for the + orientation and interval 1 for the - orientation in our plasmids. If the slipped strand is resected, the apparent deletion endpoints are moved 3', in the case shown, resulting in an interval 4 deletion.

replicated immediately before the palindrome on the lagging strand. In this latter orientation, however, there appears to be a gradient of endpoints emanating from interval 1, with significant deletions induced in interval 2, and to a lesser extent, intervals 3 and 4. This may result from stalling at the hairpin in interval 1 followed by 3' exonucleolytic processing of the nascent strand such that the final endpoints are somewhat upstream from the initial stall site (as in Figure 7). We do not know the basis for the difference in endpoint spreading in the two orientations although it is conceivable that sequences in interval 4 or 5 act as a barrier for 3' end processing.

Our results observed with the *sbcD* mutant strain are therefore consistent with reported lagging-strand bias for palindrome-stimulated replication slippage (TRINH and SINDEN 1991; PINDER *et al.* 1998). Secondary structure formation may be more facile on the lagging strand because the template remains in an unpaired state—therefore the rate of intrastrand pairing and hairpin formation is greater than that on the leading strand.

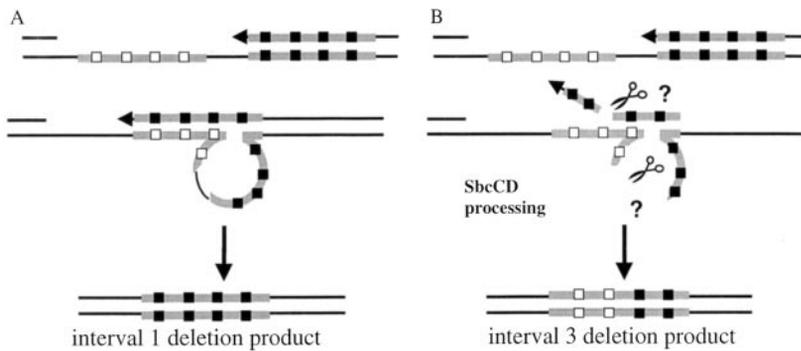


FIGURE 8.—SbcCD processing in nonpalindrome-associated deletion events. During slipped misalignment, SbcCD cleavage of either the nascent or the template strand could account for the observed deletion hotspot in interval 3. Our observations that mutations in *sbcD* yield loss of interval 1 products in favor of interval 3 is more consistent with cleavage of the nascent strand.

Another possibility is suggested from *in vitro* results, which implicate polymerase dissociation from its template followed by reassociation as important for deletion formation (CANCEILL and EHRLICH 1996; CANCEILL *et al.* 1999). Discontinuous synthesis on the lagging strand is a series of such polymerase dissociation/association events, and a hairpin structure may simply provide an obligatory end to an Okazaki fragment.

In our particular system, the SbcD-dependent SSA pathway seems to predominate over the SbcD-independent slippage pathway for palindrome-stimulated deletion. The inverted repeats used in our study are optimized for cruciform extrusion with GC-rich stems and AT-rich centers (ZHENG *et al.* 1991). However, a similar SbcD dependence of deletion stimulation has been noted by us for an unrelated perfect palindrome, 114 bp in length, without such special features (M. BZYMEK and S. T. LOVETT, unpublished results). The relative contribution of these two pathways to deletion may vary, depending on the probability or nature of secondary structures formed, or the length and position of homologies. The relatively short palindromic sequences used in some early studies may not be recognized by SbcCD or not efficiently extruded as cruciforms and are hence deleted by slippage on the lagging strand but not by SbcCD-dependent SSA. In addition, the relatively long direct repeats used in our construct may favor SSA over slipped misalignment.

Palindrome-independent deletion and SbcCD processing: In the absence of any known secondary structure, deletion rates between tandem direct repeats were unaffected by a mutation in *sbcD*. However, *sbcD* strongly influenced deletion endpoint distribution, suggesting that SbcCD does participate in direct repeat deletion. The observed deletion hotspot in interval 3 (FESCHENKO and LOVETT 1998) is SbcD dependent. In the absence of a functional SbcD, the products with endpoints in intervals 1 and 5 are generated at the expense of products in interval 3. Our previous analysis of deletion between these tandem repeats supports a replication slippage mechanism for deletion and is not consistent with single-strand annealing (LOVETT *et al.* 1994; LOVETT and FESCHENKO 1996; FESCHENKO and LOVETT 1998; BZYMEK and LOVETT 2001). The simplest explanation for our results is that SbcCD converts intermediates that

would give rise to interval 1 or 5 deletion products into intermediates that resolve in interval 3. (Initiation of the deletion event, unlike palindrome-associated SSA, would not depend on SbcCD.) Perhaps replication slipped misalignment is accompanied by cleavage of nascent strand 3' ends by SbcCD nuclease activity, preferentially in interval 3 (Figure 8). Deletions in interval 3 also account for a portion of SbcD-dependent palindrome-stimulated events (Figure 4) in the + orientation.

Processing of 3' strands by SbcCD, even in the absence of secondary structures, may explain the original isolation of *sbcCD* mutations as cosuppressors of the recombination deficiency conferred by mutations in RecBCD (LLOYD and BUCKMAN 1985; GIBSON *et al.* 1992). The partner cosuppressor mutation, *sbcB*, inactivates the major single-strand 3' exonuclease, exonuclease I (KUSHNER *et al.* 1971). The explanation for this suppression has been that 3' single-stranded ends are critical intermediates for recombination via the alternate RecF pathway (KUSHNER *et al.* 1971; HORII and CLARK 1973). “*sbcB*” alleles are nuclease deficient and dominant negative (PHILLIPS *et al.* 1988; RAZAVY *et al.* 1996) and are better suppressors than “*xonA*” alleles that completely inactivate the gene (KUSHNER *et al.* 1972; PHILLIPS *et al.* 1988). We have suggested that the SbcB form of ExoI binds but not does degrade single-strand DNA (VISWANATHAN *et al.* 2000), similar to dominant-negative alleles we have studied of the RecJ exonuclease (SUTERA *et al.* 1999). This binding may protect the 3' end from degradation by other 3' exonucleases such as ExoVII. (Indeed, in some phenotypic assays, a double null ExoI⁻ExoVII⁻ mutant mimics the single SbcB⁻ mutant; VISWANATHAN *et al.* 2000). However, the bound but inactive SbcB protein should not be able to protect the single strand from endonucleolytic incision via SbcCD. The RecF pathway of recombination may be efficient only when the integrity of 3' single-strand tails is preserved by further inactivation of the SbcCD nuclease.

A leading strand bias for deletion unassociated with secondary structures: In the absence of SbcCD, deletion endpoints for the nonpalindrome construct are slightly skewed to one side of the repeat and this skew responds to the direction of replication through the repeats. We might imagine that nascent strand slippage after almost complete replication of the repeat (as in Figure 8A)

would be more favorable than slippage after only a small portion of the repeat has been replicated, due to a longer heteroduplex intermediate in the former situation. If this is true and is the only factor governing deletion location, the skew of the distribution suggests that these slipped misalignments are leading strand events. In the + orientation, interval 1, the "hottest" interval for deletion, is replicated last on the leading strand; in the - orientation, interval 5, the hottest interval, is the last interval replicated on the leading strand. All experiments that implicate a lagging-strand bias for deletion in *E. coli* (TRINH and SINDEN 1993; PINDER *et al.* 1998) concern deletion in the context of a palindromic sequence, whose transition to a hairpin structure may be more prevalent on the lagging-strand template. It is conceivable that in the absence of any secondary structures, misalignment occurs more readily during replication of the leading strand, although the molecular basis for this bias is unknown.

We thank D. Leach for providing us with the *sbcD* insertion mutant and R. Sinden for the palindrome plasmids. This work was supported by U.S. Public Health Service grants T32 GM07122 (to M.B.) and RO1 GM51753.

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Communicating editor: L. S. SYMINGTON