# **The Coalescent and Infinite-Site Model of a Small Multigene Family**

## **Hideki Innan1**

*Department of Biological Science, University of Southern California, Los Angeles, California 90089-1340 and Human Genetics Center, School of Public Health, University of Texas Health Science Center, Houston, Texas 77030*

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### ABSTRACT

The infinite-site model of a small multigene family with two duplicated genes is studied. The expectations of the amounts of nucleotide variation within and between two genes and linkage disequilibrium are obtained, and a coalescent-based method for simulating patterns of polymorphism in a small multigene family is developed. The pattern of DNA variation is much more complicated than that in a single-copy gene, which can be simulated by the standard coalescent. Using the coalescent simulation of duplicated genes, the applicability of statistical tests of neutrality to multigene families is considered.

RECENT genomic data show that a substantial pro-<br>portion of genes in the eukaryotic genome have sites are observed in multigene families (*e.g.*, INOMATA<br>have greated by gave during families coultigenes and 1005. Even 1009 been created by gene duplication, forming multigene *et al.* 1995; KING 1998; BETTENCOURT and FEDER 2002). families (OHNO 1970; LYNCH and CONERY 2000; BAILEY There are not many theories for analyzing this compli*et al.* 2002). It is suggested that gene duplication plays cated pattern of DNA polymorphism in a multigene an important role in genome evolution. To understand family. In the 1980s, OHTA (1981, 1982, 1983), NAGY-

is much more complicated than that in a single-copy to the analysis of the pattern of DNA polymorphism. I gene, because duplicated genes do not likely evolve have recently obtained the expectations of the amounts independently due to recurrent exchanges of genetic of DNA variation in a two-locus multigene family (Innan materials between genes (*i.e*., concerted evolution of 2002), but their variances and distribution are unmultigene families, reviewed in ARNHEIM 1983). Gene known. In this article, a coalescent simulation method conversion is considered to be the most important for a small multigene family is developed to investigate conversion is considered to be the most important for a small multigene family is developed to investigate mechanism for the concerted evolution of small the pattern of nucleotide variation. The simulation is mechanism for the concerted evolution of small the pattern of nucleotide variation. The simulation is<br>multigene families. Consider a multigene family with based on the infinite-site model (KIMURA 1969), which multigene families. Consider a multigene family with based on the infinite-site model (KIMURA 1969), which<br>two duplicated genes. Gene conversion transfers DNA assumes that the mutation rate is so small that each two duplicated genes. Gene conversion transfers DNA assumes that the mutation rate is so small that each segments between the two genes, so that it creates sites polymorphism is produced by a single mutation. That segments between the two genes, so that it creates sites polymorphism is produced by a single mutation. That that are polymorphic in both genes. Therefore, to ana-<br>is, shared polymorphic sites can be created only by gene that are polymorphic in both genes. Therefore, to ana-<br>lyze DNA polymorphism, it is reasonable to make a conversion, not by independent mutations at correlyze DNA polymorphism, it is reasonable to make a conversion, not by independent mutations at corre-<br>parallel alignment table of the duplicated genes. An sponding sites in both genes. With the simulation, the parallel alignment table of the duplicated genes. An sponding sites in both genes. With the simulation, the example is shown in Table 1: the alignment of two frequency distributions of the three types of polymorexample is shown in Table 1: the alignment of two<br>genes, I and II, for  $n = 5$  chromosomes. There are seven blic sites are investigated, and I consider the applicabilgenes, I and II, for  $n = 5$  chromosomes. There are seven phic sites are investigated, and I consider the applicabil-<br>polymorphic sites, which are classified into three types: it of standard statistical tests of neutrality (1) specific polymorphic sites, at which polymorphism families. is observed in either of the two genes; (2) shared polymorphic sites, at which polymorphism is shared by the two genes; and (3) fixed polymorphic sites, at which INFINITE-SITE MODEL FOR A SMALL each gene has a different fixed nucleotide. The second MULTIGENE FAMILY each gene has a different fixed nucleotide. The second type of polymorphic sites (shared polymorphic sites)<br>could be evidence for gene conversion when mutation on a two-locus gene conversion model (INNAN 2002) is

the evolutionary mechanism to generate and maintain LAKI (1984a,b), and others considered the identity coefmultigene families, it is important to investigate the ficients between pairs of genes in a multigene family. pattern of nucleotide polymorphism, in addition to phy- Several authors applied the coalescent to multigene logenetic and comparative genomic analysis. families (GRIFFITHS and WATTERSON 1990; HEY 1991; The pattern of polymorphism in a multigene family BAHLO 1998), but their results are not directly related ity of standard statistical tests of neutrality to multigene

reviewed, and then I consider its extension to the infinite-site model of a multigene family with two copies of <sup>1</sup> Health, University of Texas Health Science Center, 1200 Hermann genes. Consider two linked loci, I and II, in a random-Pressler, Houston, TX 77030. E-mail: hinnan@sph.uth.tmc.edu mating population with *N* diploids. The two loci were

<sup>&</sup>lt;sup>1</sup>Address for correspondence: Human Genetics Center, School of Public

created by a gene duplication event, which occurred a **TABLE 1** very long time ago so that the population is at equilib- **Example of a parallel alignment table** rium. At each site, consider two neutral alleles, *A* and *a*, and therefore there are four haplotypes, *A-A*, *A-a*, *a-A*, and *a-a* (the first letter represents the allele at locus *I* and the second one represents the allele at locus II). It is assumed that the symmetric mutation rate between two alleles is  $\mu$  per locus per generation. The recombina- I 4 G G A T C G A tion rate between two loci is assumed to be *r* per generation. Intrachromosomal gene conversion occurs at the rate  $c$  per locus per generation;  $e.g., A-a$  changes into  $A-A$  with probability  $c$  and into  $a-a$  with the same probability. In this section, interchromosomal gene conversion is not considered for mathematical simplicity (this assumption is relaxed in the DISCUSSION).

Let the frequencies of *A-A*, *A-a*, *a-A*, and *a-a* be  $x_1$ ,  $x_2$ , I, specific to gene I; II, specific to gene II; S, shared polymor-<br>and  $x_4$  ( $x_1 + x_2 + x_3 + x_4 = 1$ ), respectively. The phism; F, fixed polymorphism. *x*<sub>3</sub>, and *x*<sub>4</sub> (*x*<sub>1</sub> + *x*<sub>2</sub> + *x*<sub>3</sub> + *x*<sub>4</sub> = 1), respectively. The amount of variation within a locus,  $h_w$ , is defined as heterozygosity within a particular locus [*i.e.*,  $h_w = 2(x_1 +$  $(x_2)(x_3 + x_4)$  at locus I,  $h_w = 2(x_1 + x_3)(x_2 + x_4)$  at locus II]. The expectation of  $h_w$  at equilibrium is given by a function of three parameters ( $\theta = 4N\mu$ ,  $C = 4Nc$ , and  $R = 4Nr$ ,  $\pi_{w2} = \frac{2}{\pi (m)}$ 

$$
E(h_{w}) = 1 - 2\frac{\lambda}{\omega}, \qquad (1)
$$

$$
\alpha = 2\theta + C, \quad \beta = 2 + 2\alpha + R,
$$
  
\n
$$
\lambda = 4C^2 + \beta[2\theta C + 2\alpha(1 + \theta)],
$$
  
\n
$$
\omega = 8C^2 + 4\beta[\alpha(1 + \alpha) - C^2]
$$

(INNAN 2002) when  $\theta \neq 0$  and  $C \neq 0$ . The amount of

$$
E(h_{\rm b}) = 1 + \frac{1+\theta}{C} - \frac{2(1+\alpha)\lambda}{C\omega}.
$$
 (2)

$$
E(D) = \frac{C}{\beta} \left( 1 - \frac{2\lambda}{\omega} \right).
$$
 (3)

Here, we consider  $h_w$ ,  $h_b$ , and *D* in a small multigene is given by family with two duplicated genes, I and II, each of which consists of *L* nucleotides. Assume that *n* chromosomes are randomly sampled from a population and both genes are sequenced for each chromosome. The In the data of Table 1, since  $D_1 = 0.05$ ,  $D_2 = -0.05$ , amount of nucleotide variation within a gene is usually and  $D_4 = 0.1$ , the sum is  $D_{\text{sum}} = 0.1$ . Note that only measured by the average number of pairwise differ- shared polymorphic sites contribute linkage disequilibences,  $\pi_{w}$ . Denote the numbers of nucleotide differ- rium (*D* = 0 for the other types of polymorphic sites). ences between the *i*th and *j*th chromosomes in the first Equations 1–3 are applied to this two-gene model

Gene	Chromosome	1	$\overline{2}$	3	4	5	6	
Ī		А	Т	G	Т	C	C	А
Ī	$\overline{2}$	А	Т	G	C	C	C	А
I	3	C	Т	G	C	C	А	А
Ī	4		G	А	T			А
Ī	5	А	G	G	Т	C	C	А
П		А	Т	G	C	C	C	G
П	2	C	G	G	C	C	C	G
П	3	C	G	G	C	G		G
П	4	А	G	G	C	C	C	G
П	5	А	Т	G	Т	G		G
Type of polymorphism		S	S		S	п		F

$$
\pi_{w1} = \frac{2}{n(n-1)} \sum_{i=1}^{n-1} \sum_{j=i+1}^{n} d_{11}(i, j)
$$
  

$$
\pi_{w2} = \frac{2}{n(n-1)} \sum_{i=1}^{n-1} \sum_{j=i+1}^{n} d_{22}(i, j),
$$
 (4)

respectively.  $\pi_{w1} = 2.6$  and  $\pi_{w1} = 2.4$  in the example of Table 1. Let  $d_{12}(i, j)$  be the number of nucleotide where differences between gene I of the *i*th chromosome and gene II of the *j*th chromosome. The average of  $d_{12}(i, j)$ represents the amount of variation between two genes. That is,

$$
\pi_{\mathbf{b}} = \frac{1}{n(n-1)} \sum_{i=1}^{n} \sum_{j \neq i}^{n} d_{12}(i, j).
$$
 (5)

variation between two loci,  $h_b$ , is defined as the probabil-<br>ity that two independent alleles sampled from different<br>loci are different [*i.e.*,  $h_b = (x_1 + x_2)(x_2 + x_4) + (x_1 + x_2)(x_3 + x_4)$ ]. The expectation of  $h_b$  is given This is because  $h<sub>b</sub>$  is defined as the probability that two *independent* alleles sampled from different loci are different. In the data of Table 1,  $\pi_b$  = 3.4. Define  $D_{sum}$ The expectation of linkage disequilibrium between two as the sum of linkage disequilibria at all *L* sites. Let  $D_m$  loci  $(D = x_1x_4 - x_2x_3)$  is given by be the linkage disequilibrium at the *m*th site, which is be the linkage disequilibrium at the *m*th site, which is calculated as  $D_m = (n_{AA}n_{aa} - n_{Aa}n_{aa})/[n(n-1)]$ , where  $n_{\rm xy}$  represents the number of chromosomes with nucleotides *x* and *y* at genes I and II, respectively. Then,  $D_{sum}$ 

$$
D_{\text{sum}} = \sum_{m=1}^{L} D_m.
$$
 (6)

and second genes by  $d_{11}(i, j)$  and  $d_{22}(i, j)$ , respectively. with *L* nucleotides. Since it is possible to consider that then,  $\pi_w$  for genes I and II are given by there are *L* two-locus models in the duplicated genes there are *L* two-locus models in the duplicated genes, the expectations of three amounts of variation are given **TABLE 2** by **Application of Equations 11–13 to three multigene**

$$
E(\pi_{w1})/L = E(\pi_{w2})/L = E(h_w), \quad E(\pi_b)/L = E(h_b),
$$
\n(7)   
\n
$$
E(D_{sum})/L = E(D).
$$
\n(8)   
\n(9)   
\n(10)   
\n(21)   
\n(3)   
\n(4)   
\n(5)   
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\n(15)   
\n(16)   
\n(17)   
\n(19)

When gene conversion occurs between a pair of DNA sequences, it should be considered that gene conversion involves a certain length of DNA tract, indicating that L nucleotide sites in the duplicated genes are not independent. However, these equations for the expectations  $a^a$  Data of the distal and proximal amylase genes in the Ken-<br>hold without the assumption of independence among van sample  $(n = 10)$  from ARAKI *et al.* (2001). hold without the assumption of independence among  $L$  sites. That is, the distribution of gene conversion tract  $L$  sites. That is, the distribution of gene conversion tract  $L$  sites. That is, the distribution of gene co rate per site (*C*) is given. On the other hand, the vari-<br>ances of  $\pi_w$ ,  $\pi_h$ , and  $D_{\text{sum}}$  are affected by the distribution  $d$  Data of the *Hsb*70 Aa and Ab gene ( $n = 11$ ) from BETTENances of  $\pi_w$ ,  $\pi_b$ , and  $D_{sum}$  are affected by the distribution  $\sigma$ <sup>d</sup> Data of the *Hsp*70 Aa and Ab gene ( $n = 11$ ) from B courr and FEDER (2002).<br>Under the infinite-site model, the mutation rate is  $\sigma$ <sup>2</sup> An estimat

assumed to be so small that there are no multiple mutations at a single site (KIMURA 1969). With this assump-<br>tion, the expected amounts of variation are obtained<br>MULTIGENE FAMILY tion, the expected amounts of variation are obtained from (7) by letting  $L \rightarrow \infty$  with  $L\theta = \Theta$ . That is,

$$
E(\pi_{w}) = \frac{2\Theta(2C + R + 2)}{4C + R + 2},
$$
\n(8)

$$
E(\pi_{\rm b}) = \frac{\Theta(4C^2 + 4C + 2CR + R + 2)}{C(4C + R + 2)},\qquad(9)
$$

$$
E(D_{\text{sum}}) = \frac{2\Theta C}{4C + R + 2}.
$$
 (10)

$$
\hat{\Theta} = \frac{\pi_{\rm w} + 2D_{\rm sum}}{2},\tag{11}
$$

$$
\hat{C} = \frac{\pi_{\rm w} - 2D_{\rm sum}}{2(\pi_{\rm b} - \pi_{\rm w})},\tag{12}
$$

$$
\hat{R} = \frac{\pi_{\rm w}^2 + 4D_{\rm sum}^2 - 4\pi_{\rm b}D_{\rm sum}}{2(\pi_{\rm b} - \pi_{\rm w})D_{\rm sum}}.
$$
\n(13)

estimated to be 1.3, 1.1, and 22.2, given  $\pi_w = 2.4$ ,  $\pi_b =$  be continued until the MRCA of the two genes (see 3.4, and  $D_{sum} = 0.1$ . below).

small multigene families in *Drosophila melanogaster*. As graph, gene conversions are placed randomly (Figure shown in Table 2, these equations work when  $\pi_{w} < \pi_{b}$  1A). Gene conversion occurs with probability *c* per site and  $D_{\text{sum}} > 0$ . Equation 12 does not work well when per generation whether lineages are ancestral to the  $\pi_w > \pi_b$  because (8) and (9) indicate  $E(\pi_w) \leq E(\pi_b)$  sampled chromosomes (Figure 1A, solid lines) or not  $[E(\pi_w) = E(\pi_b)$  when  $C = \infty]$ . Equation 13 also does (Figure 1A, dashed lines). For each gene conversion not work well when  $D_{\text{sum}} < 0$  because the theory predicts event, the position and direction are determined. For  $E(D_{\text{sum}}) \ge 0$ . See Innan (2002) for another method for convenience, the gene is represented by an interval of

 $f$  **families** of *D. melanogaster* 

		Observation			Estimate			
	$\pi_{\mathrm{w}}$	$\pi_{\rm h}$	$D_{\text{sum}}$	$(\mathbf{H})$		R		
Amylase <sup><math>a</math></sup>	20.40	22.04	2.72	12.92	4.55	22.99		
Attacin <sup>b</sup>	8.93	31.41	$-0.03$	4.47	0.20	$NA^c$		
$Hsp70^d$	6.41	6.38	1.69	4.90	$NA^e$	NA		

To simulate patterns of polymorphism in a small multigene family with two duplicated genes, a standard coalescent model with recombination (Hudson 1983) is modified. Assume that the number of genes is con-*<sup>E</sup>*(b) stant at two for a very long time. For simplicity, it is also (4*C*<sup>2</sup> <sup>4</sup>*<sup>C</sup>* <sup>2</sup>*CR <sup>R</sup>* 2) assumed that recombination occurs only between two genes, although intragenic recombination is easily in- and corporated (*e.g.*, see NORDBORG 2001). Figure 1A illustrates an example of the ancestral recombination graph of a pair of duplicated genes for  $n = 3$ , which is gener-From (8–10),  $\Theta$ , C, and R can be estimated by  $\pi_w$ ,  $\pi_b$ , and  $D_{sum}$ : (HUDSON 1983), a pair of chromosomes co-<br>and  $D_{sum}$ : mosome splits into two by recombination with probability *r* per generation. Two modifications are needed to simulate the pattern of polymorphism in duplicated genes. First, genealogical information for lineages that are not ancestors of the sampled chromosomes is needed. Such lineages that are not needed in a standard coalescent simulation of a single-copy gene are represented by dashed lines in Figure 1A. Second, the coales-. (13) cence and recombination process cannot stop when all sampled chromosomes reach their most recent com-With the example data of Table 1,  $\Theta$ , C, and R are mon ancestor (MRCA). That is, the simulation should

Equations 11–13 are also applied to data of three On the way to generate the ancestral recombination estimating these population parameters. (0, 1), so that the position of a gene conversion tract





is given by an interval between 0 and 1. For example, the mutation occurs in gene II on the right pair of the gene conversion between  $T_0$  and  $T_1$  in Figure 1A lineages between  $T_3$  and  $T_4$ , the allelic states of the two occurs between positions 0.08 and 0.27. Since the direc- pairs of lineages are given by {{0, 0}, {0, 1}} (the order tion of this gene conversion is from II to I, the gene of allelic states follows Figure 1A). At  $T_3$  the right pair conversion changes allelic state  $\{1, 0\}$  to  $\{0, 0\}$  and  $\{0, 1\}$  of lineages are duplicated (coalescent event), and the to  $\{1, 1\}$  (see Figure 1, B–D). Note that the allelic state states for the three pairs of lineages are given by  $\{(0, 0),$ for a pair of lineages is represented by two numbers in  $\{0, 1\}$ ,  $\{0, 1\}$ . Another duplication of the left pair of brackets. The presence and absence of mutation are lineages at  $T_2$  results in  $\{0, 0\}$ ,  $\{0, 0\}$ ,  $\{0, 1\}$ ,  $\{0, 1\}$ , and is for gene I and the second one is for gene II. A gene lineages at  $T_1$  makes  $\{0, 0\}$ ,  $\{0, 1\}$ ,  $\{0, 1\}$ . Between  $T_0$ 1} and  ${0, 1}$  to  ${0, 0}$ . Gene conversions do not change the allelic states  $\{0, 0\}$  or  $\{1, 1\}$ . The length of gene the graph. Therefore, the mutation at 0.12 appears as conversion tract might follow a certain function. Wiuff a shared polymorphic site. In a similar way, the mutaand HEIN (2000) used a geometric distribution for ho-<br>tions at 0.37 and 0.74 are traced and appear as fixed mologous gene conversion, that is, gene conversion be- and specific polymorphisms, respectively (Figure 1, C tween copies of the same locus (gene conversion consid- and D). Note the mutation at 0.98 is not observed because it is lost by the recombination event at *T*<sup>8</sup> ered here is nonhomologous). .

ued until the MRCA of the two genes (*i.e*., the MRCA phism are simulated and frequency spectra of three of all the 2*n* lineages) is reached. The MRCA of the types of polymorphisms are investigated. For each patwo genes requires coalescence between the two genes, rameter set, the expected frequency spectrum is obwhich occurs by gene conversion because gene conver-<br>tained from 10,000 replications. The length of gene sion transfers the DNA segment from one gene to the conversion tract is assumed to be so small that any gene other. Figure 1E shows the tree for the interval (0.02– conversion segment does not include more than one 0.08), which is used to explain the definition of the mutation. This assumption does not affect the expected MRCA of the two genes. On the tree, a gene conversion spectrum as long as the gene conversion rate per site event occurs between  $T_3$  and  $T_4$  and transfers the DNA is constant as mentioned in the previous section. It is segment between 0.02 and 0.08 of gene I to gene II. demonstrated that the averages of  $\pi_w$ ,  $\pi_h$ , and  $D$ This event can be considered as a coalescent event be- the simulations are in excellent agreement with the tween the two genes. That is, going backward in time, theoretical expectations obtained by (8–10). the right lineage merges into the left one. Treating gene Figure 2A shows the spectra of derived alleles (nucleoconversion in this way, we can find the MRCA of the tides) for a low gene conversion rate  $(C = 0.2)$ . It is two genes when the 2*n* lineages coalesce into one lin- shown that a large proportion of polymorphic sites are eage. On the tree in Figure 1E, it occurs with the gene fixed sites. Specific polymorphic sites are more frequent conversion event between  $T_6$  and  $T_7$ . The coalescent than shared polymorphic sites, and the shapes of spectra simulation can be stopped when all segments in the of these two types of polymorphic sites are U shapes interval  $(0, 1)$  reach the MRCAs of the two genes. that are skewed toward the left (rare classes). The effect

conversion, mutations are randomly distributed on lin-<br>When  $C = 1$  (Figure 2B), shared polymorphic sites are eages following the Poisson process (Figure 1A). Muta- more frequent than specific ones, and fixed ones are tions occur at any position on the graph with equal very rare. The spectra of specific and shared sites are probability density ( $\mu$  per site per generation) whether lineages are ancestral to the sampled chromosomes or latter. When gene conversion rate is high  $(C = 5)$ , alnot. For each mutation, the position in the gene is most no fixed polymorphic sites are observed, and most also determined. The positions are random numbers polymorphic sites are shared sites (Figure 2C). Figure between 0 and 1. In Figure 1A, there are four mutations: 3A shows the observed spectra in the distal and proximal at position 0.12 of gene II, at position 0.37 of gene I, *Amy* genes of *D. melanogaster*. They are similar to the at position 0.74 of gene II, and at position 0.98 of gene expected spectrum obtained from a simulation with I. The allelic state of the lineage on which mutation 10,000 replications given the estimated values of  $\Theta =$ occurs is given by 1. For example, when the mutation  $12.92, C = 4.55$ , and  $R = 22.99$  (see Table 2). at position 0.12 occurs in gene II between  $T_3$  and  $T_4$ , the allelic state of the site for the two genes is given by APPLICABILITY OF TESTS OF NEUTRALITY {0, 1} (Figure 1B).

forward in time in Figure 1, B–D, where allelic states are morphism in a multigene family is much more complishown along the ancestral recombination graph (Figure cated than that in a single-copy gene. Therefore, statisti-1A). Let us follow the mutation at position 0.12. Since cal tests of neutrality based on the standard coalescent

represented by 1 and 0, respectively. The first number a recombination event with the two middle pairs of conversion of the other direction changes  $\{1, 0\}$  to  $\{1, \ldots \}$  and  $T_1$ , a gene conversion event on the right pair of 1} and  $\{0, 1\}$  to  $\{0, 0\}$ . Gene conversions do not change lineages results in  $\{\{0, 0\}, \{0$ 

This two-gene coalescent simulation should be contin- Following this process, patterns of DNA polymordemonstrated that the averages of  $\pi_w$ ,  $\pi_b$ , and  $D_{sum}$  in

Given an ancestral recombination graph with gene of recombination on the spectrum is relatively small. both L shapes, and the former is more skewed than the

The histories of the mutations in Figure 1A are traced As demonstrated in this article, the pattern of poly-



Figure 2.—Expected spectra of three types of polymorphic sites in a small multigene family. Simulations were carried out with  $n = 10$  and  $\Theta = 10$ , although  $\Theta$  does not affect the expected spectrum. Figure 3.—(A) Observed spectra of three types of polymor-

the distal and proximal *Amy* genes in *D. melanogaster* as examples. If the two genes are treated as two independent single-copy genes, the test statistics can be calcu- ma's *D* in a small multigene family if the confidence lated for each gene. Tajima's *D* and Fu and Li's  $D^*$  are interval is determined by the distribution in a singlein the proximal gene, respectively. However, the distri- (Figure 3C). The results are consistent with the observed butions of the test statistics for multigenes are different Tajima's *D* and Fu and Li's *D*\* values, which are quite from those for single-copy genes. In Figure 3B, the distri- close to zero. Hudson, Kreitman, and Aguade´'s test bution of Tajima's *D* in a single-copy gene is compared (HUDSON *et al.* 1987) also cannot be used for multigene with that for a gene in a small multigene family with families, because the expected amount of variation  $\Theta = 12.92$ ,  $C = 4.55$ , and  $R = 22.99$ . The variance within species in a duplicated gene is more than exof the latter is much smaller than that of the former, pected in a single-copy gene (see Equation 8). indicating it is very unlikely to observe significant Taji- On the other hand, there is no problem in applying



phic sites in the distal and proximal Amylase genes with the expectations when  $\Theta = 12.92$ ,  $C = 4.55$ , and  $R = 22.99$ . (B) theory for a single-copy gene may not be appropriate theory of Tajima's *D* in a single-copy gene and in a gene<br>for genes in multigene families. TAJIMA's (1989) *D* and <br>Fu and Li's  $D^*$  tests are among these. Consider t

 $-0.13$  and  $-0.38$  in the distal gene and 0.10 and 0.09 copy gene. A similar result is obtained for Fu and Li's  $D^*$ 

families of *D. melanogaster* 

	Nonsynonymous Synonymous Total		
Amylase			
Specific to distal	7	18	25
Specific to proximal	4	13	17
Shared	7	30	37
Fixed	$\theta$		
Attacin			
Specific to $A$	11	36	47
Specific to $B$	$\overline{2}$	5	
Shared	0	10	10
Fixed	6	11	17
Hsp70			
Specific to Aa	5	15	20
Specific to $Ab$	5	5	10
Shared	1	11	12
Fixed	$\mathbf{0}$	$\mathbf{\Omega}$	

KREITMAN (1991) developed a simple statistic test based gene conversion and recombination events that occur<br>on a comparison of the ratio of the number of replace at the same time. That is, going backward in time, immeon a comparison of the ratio of the number of replace-<br>ment substitutions to the number of synonymous substi-<br>diately after placing an intragenic gene conversion ment substitutions to the number of synonymous substi-<br>tutions. They compared the ratio between polymorphic event, a new pair of lineages is introduced in the ancestutions. They compared the ratio between polymorphic event, a new pair of lineages is introduced in the ances-<br>sites and fixed sites between species. This kind of test ral recombination graph. It is not clearly understood sites and fixed sites between species. This kind of test tral recombination graph. It is not clearly understood<br>can be used for multigene families. For example, the the bow often interchromosomal gene conversion occurs can be used for multigene families. For example, the how often interchromosomal gene conversion occurs ratio can be compared among the three types of poly-<br>in comparison with intrachromosomal gene conversion ratio can be compared among the three types of poly-<br>morphic sites in multigene families defined in Table 1. The author thanks H. Araki, J. Hey, M. Nordborg, and N. Rosenberg<br>Table 3 summarizes the numbers of replacement and<br>for comments and discussions, and the two anonymous reviewers for synonymous polymorphic sites in three multigene fami- helpful suggestions. The C-program used in this study is available on lies in *D. melanogaster*. No pair of comparisons is signifi- request by the author. cant at the 5% level by Fisher's exact test, although the ratio of replacement sites to synonymous sites in the shared class tends to be smaller than that in the other LITERATURE CITED classes.

multigene family is much more complicated than that R. K. Koehn. Sinauer, Sunderland, MA.<br>
In a single-copy gene because of exchanges of genetic BAHLO, M., 1998 Segregating sites in a gene conversion model with in a single-copy gene because of exchanges of genetic BAHLO, M., 1998 Segregating sites in a gene conversion model with the this entitle mutation. Theor. Popul. Biol. 54: 243–256. materials between members of a family. In this article,<br>the amounts and pattern of nucleotide polymorphism<br>2002 Recent segmental duplications in the human genome. are studied under the infinite-site model. The expecta- Science **297:** 1003–1007. tions of three amounts of DNA variation  $(\pi_w, \pi_b, \text{and} \pi_c)$ <br>  $D_{sum}$  are obtained analytically, and a coalescent method<br>
for simulating patterns of nucleotide polymorphism is<br>
Fu, Y.-X., and W.-H. L1, 1993 Statistical test for simulating patterns of nucleotide polymorphism is Fu, Y.-X., and W.-H. Li, 1993 Statistical tests of neutrality of multiple mutadeveloped. From the simulation the frequency spectra<br>of three types of polymorphic sites are investigated.<br>The simulations demonstrate that statistical tests that<br>the simulations demonstrate that statistical tests that<br>HEY

are based on the standard theory for a single-copy gene multi-allelic selection models and migration models. Theor.<br>
may not be appropriate to use for genes in multigene<br>
families (e.g., Tajima's D; Fu and Li's D\*; and Hu families (*e.g.*, Tajima's *D*; Fu and Li's *D*\*; and Hudson, genic recombination. Theor. Popul. Biol. **23:** 183–201. Kreitman, and Aguadé's tests). New statistical tests<br>should be developed for multigene families with the<br>coalescent simulation described in this article. On the<br>coalescent simulation described in this article. On the<br>INNAN

**TABLE 3** other hand, model-independent tests (*e.g.*, McDonald **Summary of polymorphic sites in three multigene** and Kreitman's test) can be used without any problem families of *D* melanograter (see Table 3).

> The coalescent simulation developed in this article can be easily extended to a model of a multigene family with more than two genes as long as the number of genes is constant. Patterns of polymorphism in such multigene families could be more complicated because the gene conversion rates among members may vary. An example is seen in the  $hsp70$  multigene family (BET-TENCOURT and FEDER 2002), which consists of five genes, hsp<sup>70</sup>Aa, hsp<sup>70</sup>Ab, hsp<sup>70</sup>Ba, hsp<sup>70</sup>Bb, and hsp<sup>70</sup>Bc. Gene conversion might be frequent between *hsp*70Aa and *hsp*70Ab, between *hsp*70Ba and *hsp*70Bb, and between hsp<sup>70</sup>Bb and *hsp*<sup>70</sup>Bc, while the gene conversion rates between the other pairs may be quite low. There are too few data of multigene families to understand the mechanism that determines the gene conversion rate.<br>Interchromosomal gene conversion, which is ignored

for mathematical convenience, can be easily incorporated in the simulation, because an interchromosomal model-independent tests of neutrality. McDonald and gene conversion event can be considered as intragenic<br>KREITMAN (1991) developed a simple statistic test based gene conversion and recombination events that occur

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