# **Inferring Modes of Colonization for Pest Species Using Heterozygosity Comparisons and a Shared-Allele Test**

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## ABSTRACT

Long-range dispersal of a species may involve either a single long-distance movement from a core population or spreading via unobserved intermediate populations. Where the new populations originate as small propagules, genetic drift may be extreme and gene frequency or assignment methods may not prove useful in determining the relation between the core population and outbreak samples. We describe computationally simple resampling methods for use in this situation to distinguish between the different modes of dispersal. First, estimates of heterozygosity can be used to test for direct sampling from the core population and to estimate the effective size of intermediate populations. Second, a test of sharing of alleles, particularly rare alleles, can show whether outbreaks are related to each other rather than arriving as independent samples from the core population. The shared-allele statistic also serves as a genetic distance measure that is appropriate for small samples. These methods were applied to data on a fruit fly pest species, *Bactrocera tryoni*, which is quarantined from some horticultural areas in Australia. We concluded that the outbreaks in the quarantine zone came from a heterogeneous set of genetically differentiated populations, possibly ones that overwinter in the vicinity of the quarantine zone.

 $A<sup>N</sup>$  extreme version of source-sink population models the first, the introduction is human assisted, with pests<br>is that where each new sink population becomes being carried into the quarantine area, *e.g.*, on vehicl extinct within one or few generations. Since they do not or in infested produce. Second, the pest could be discontribute to the migrant pool they have been termed persing naturally, establishing unobserved populations "black-hole sinks" (HOLT and GAINES 1992). As the evo- closer to the quarantine area. If these cryptic populalutionary significance of such populations is minor or tions are sufficiently close to the quarantine area, they nonexistent, the scenario has received little or no atten- may be the source of outbreaks in the adjacent quarantion from evolutionary biologists. However, the situation tine area. The human-assisted mode involves a single where small propagules repeatedly arise (despite soon long step while the second mode involves two or more disappearing) is of interest because it describes the situa- steps via one or more intermediate populations. If the tion often confronted in quarantine programs (*e.g.*, intermediate populations remain cryptic (typically due medfly; Davies *et al.* 1999). After repeated outbreaks to a lack of monitoring in nonquarantine areas), forenof a pest species within a quarantine area, authorities sic data such as microsatellites will be available only for seek information about the mode of introduction of the core and the outbreak populations. the outbreaks to prevent future infestations. In many Where data from only the core and the outbreak popcases the mode of introduction will be obvious (*e.g.*, ulations are available, the task of inferring the origin ships, their ballast, or importations of infested food- of the outbreaks can be approached by simulating the stuffs). Limited quarantine resources can then be spe- various sampling processes that may have led to the

However, in other situations, the route of introduction will not be apparent, especially if there are no single introduction of individuals directly from the core<br>obvious physical barriers to the dispersal or migration population. The effect of adding various intermediate obvious physical barriers to the dispersal or migration population. The effect of adding various intermediate<br>of the pest. Where isolated outbreak populations contin- (cryptic) populations can then be investigated. The lik of the pest. Where isolated outbreak populations contin-<br>ually arise within a quarantine area, but the likely source lihood of the observed data can then be assessed under ually arise within a quarantine area, but the likely source lihood of the observed data can then be assessed under<br>nopulation is distant (relative to the unaided dispersal each scenario. Since each outbreak may involve onl population is distant (relative to the unaided dispersal each scenario. Since each outbreak may involve only a<br>range of the organism), two basic alternatives exist. In few founding individuals (A. MEATS, A. D. CLIFT and range of the organism), two basic alternatives exist. In

cifically allocated to deal with the incursions. outbreaks. The simplest model assumes each outbreak, M. ROBSON, unpublished results), genetic distance measures such as  $F_{st}$  may be greatly affected by genetic drift and consequently be uninformative as to the origins of <sup>1</sup> the outbreaks. Resampling simulations have also been E-mail: stuartg@bio.usyd.edu.au used by Noor *et al.* (2000) and Pascual *et al.* (2001)

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### **TABLE 1**



FIGURE 1.—Simplified map of Australia with three circles representing the major population groups of *B. tryoni* along the east coast, as identified in Yu *et al.* (2001). The site of the fruit fly exclusion zone (FFEZ) in a part of the continent that is more marginal for *B. tryoni* is also indicated.

to study colonization by *Drosophila subobscura*. However, those studies dealt with only a single colonization event and were therefore unable to test for multistep introduc-

In this study, we applied resampling methods to mi-<br>crosatellite data from repeated but isolated outbreaks (colonizations) within and near a quarantine zone. The aim of this article is to demonstrate computationally straightforward procedures for distinguishing between different modes by which propagules from a distant <sup>"</sup>The founder size needed to explain sample variance (see<br>source reach a quarantine area. We compared both the MATERIALS AND METHODS). source reach a quarantine area. We compared both the heterozygosity and the occurrence of sharing of alleles between the simulated and observed populations. The MATERIALS AND METHODS methods are useful in situations where large amounts

Ferences exist along the east coast of Australia, despite as part of an annual collection program. In selecting this data<br>the high mobility of the species. The three main population set, several samples of four or less fli most northerly grouping, which covers all of coastal certainly be the ultimate source of outbreak flies. The critical question is one of whether the outbreaks come directly from this core population (*i.e.*, by human-assis-<br>ted transport, a scenario assumed most likely by regula-<br>ANALYSIS AND RESULTS



**The origins of the 26 samples and sample sizes of the outbreak populations examined in this study**

of drift obscure patterns of isolation by distance. **Samples:** The data set consists of 26 samples (Table 1), We apply these methods to the problem of Queens-<br>Figure 2). The size of samples varied from 5 to 25 (Table 1, and fruit fly, *Bactrocera tryoni*, in southeastern Australia.<br>A previous study (Yv *et al.* 2001) examined samples from the examples of 15.9. All outbreak samples were trapped on the permanent trapping grid maintained by areas of Australia where the fly is present in substantial New South Wales (NSW) Agriculture, while the core populanumbers each year. The analysis showed that stable dif-<br>ferences exist along the east coast of Australia despite as part of an annual collection program. In selecting this data

Queensland, forms the core range of the species. The microsatellites following the methods detailed in Yu *et al.*<br>interest of this study is confined to southern fruit grow (2001). Complete classification was not possible interest of this study is confined to southern fruit-grow-<br>ing regions in the fruit fly exclusion zone (FFEZ), where<br>small outbreaks have been detected in the past few years.<br>The overall data set consists of 4838 classifi heterozygosity. Heterozygosities in the 6 ranged from 61 to 90%, with a mean of  $72\%$ .

tory authorities) or from unknown intermediate popula- **Genetic distances:** Before embarking on the analysis tions. outlined in the Introduction, we used a conventional



1 where is shown. The dotted line indicates and the first year is shown. The dotted line indicates the population or populations that are descended by the border of the fruit fly exclusion zone (FFEZ).

distance analysis to try to detect patterning in the out- other (hypothesis 3). break samples. Our previous analysis of endemic popu- It should be emphasized that hypothesis 1 postulates lations of *B. tryoni* on which Figure 1 is based (Yu *et al.* that the sample individuals are themselves members of 2001) relied heavily on chi-square tests for distinguish- the core population. A more likely scenario may be one ing populations. The validity of this analysis depended in which a small number of outbreak flies (a propagule) on the fact that chi-square tests showed that most sam-<br>plus rise to a population, and the sample flies come<br>plus of flies within regions were homogeneous. This from this population (hypothesis 3a). The distinguishples of flies within regions were homogeneous. This from this population (hypothesis 3a). The distinguish-<br>homogeneity extended throughout the entire Oueens-<br>ing feature of hypothesis 3 is the fact that each sample homogeneity extended throughout the entire Queens-<br>land coastal region. It also extended for the 5 years of is independently derived from the core population. This land coastal region. It also extended for the 5 years of<br>the sample. By contrast, although the genetic distances<br>between Queensland, Northern NSW, and Sydney (*i.e.*, the unit of seconded from the propagale by one gener-<br>

since the same sampling is cations. Of the partwise compart<br>since the particle of 325) gave<br>significant differences. However, there were no clear<br>patterns among the significance tests. An unrooted<br>neighbor-joining tree was neighbor-joining tree was constructed to summarize the The three tests used in our study are set out below.<br>
relationships between the samples (Figure 3). Since a Each uses a resampling strategy and the tests examine<br>
dri Gendist program in the Phylip group of programs significance of the extent of sharing of alleles.<br>(FELSENSTEIN 1993). Bootstrap support for the tree is Test a looks for a reduction of heterozycos (FELSENSTEIN 1993). Bootstrap support for the tree is Test a looks for a reduction of heterozygosity from very poor, which precluded any inference based on the the main population, *i.e.*, it tests hypothesis 1. Test b very poor, which precluded any inference based on the the main population, *i.e.*, it tests hypothesis 1. Test b<br>pattern of the genetic distances.

out and failed to show any correlation between physical Test c looks at whether the source populations could distance and genetic distance  $(r = 0.0665, P = 0.15)$ . all be independent of each other (hypothesis 3).

Given the likely peripheral structure of any outbreak populations, it is not surprising that large fluctuations in frequency occur even in related populations. The small sample size adds to any difficulty in establishing relatedness using methods that depend on allele frequencies in the samples.

**Models of colonization:** Figure 4 shows three possible scenarios for the ancestry of outbreak samples. As previously mentioned, the analysis of endemic distributions carried out by Yu *et al.* (2001) revealed a large core population of *B. tryoni* in Queensland that is the likely ultimate source for all outbreak samples. The simplest hypothesis (hypothesis 1) proposes that the outbreak samples come directly from the core population. Despite the distances involved, the possibility of human-assisted FIGURE 2.—Map showing sample sites, numbered following<br>Table 1. Where locations were sampled in >1 year, only the<br>number for the first year is shown. The dotted line indicates from a population or populations that are desc one or more generations from the core population. Extreme forms of this hypothesis are that the descendant populations make up one single source population (hypothesis 2) or that they are independent of each

looks at whether the samples are consistent with coming A Mantel test (Sokal and Rohlf 1995) was carried from a single source population (hypotheses 1 and 2).



FIGURE 3.—Neighborjoining tree constructed using  $F_{st}$  estimates as genetic distances. Bootstrap support values are shown only where the support exceeded 50% (from 500 resamplings). All other values are  $<50\%$ .

Test a-heterozygosity tests: Specific information on *hypothesis* 1 is given by the analysis of heterozygosity, calculated as follows. Because different loci had differing numbers of observations, an overall weighted esti-<br>mate of heterozyposity was used calculated for each break sample of the *h*th allele  $(h = 1, 2, ..., k_i)$  at the mate of heterozygosity was used, calculated for each



FIGURE 4.—Three hypotheses for the mode by which out-<br>break samples are derived from the main core population in<br>northern Australia. They differ in the presence or absence of<br>intermediate populations and the number (one or

$$
H_i = \, 1 \, - \, \sum_{j=1}^l \! \biggl( \sum_{h=1}^{k_j} n_{ihj}^2 \! \big/ \, n_{i,j} \! \biggr) \! \big/ \, n_{i..}
$$

sample  $i$  ( $i = 1, 2, \ldots, I$ ), as find the sample  $i$  ( $i = 1, 2, \ldots, I$ ), where the total number of observations in the *i*th sample at the *j*th microsatellite locus is

$$
n_{i.j}=\sum_{h=1}^{k_j}n_{ihj},
$$

and where the total number of observations in the *i*th sample is

$$
n_{i..} = \sum_{j=1}^l n_{i,j} = \sum_{j=1}^l \sum_{h=1}^{k_j} n_{ihj}.
$$

The first summation is over *l* microsatellite loci, and the second is over the *kj* alleles at the *j*th locus.

The expected overall heterozygosity in the *i*th sample is reduced by a factor  $(1 - 1/n_{i})$  compared to the population from which it comes (*cf.* Nei 1987, Equation 7.39). A corrected heterozygosity,  $H_i/(1 - 1/n_i)$ , was calculated for each sample. All but one of the 26 values

any intermediate populations. based on computer resampling from the core popula-



Figure 5.—Heterozygosity values in 26 samples. Thickness of the bars represents the sample size. Significantly reduced heterozygosity values are indicated (\* $P < 0.05$ , \*\* $P < 0.01$ , \*\*\* $P <$ 0.001).

tion, calculating the uncorrected  $H<sub>i</sub>$ , and recording the tion previously (hypothesis 2a). This propagule size fraction of cases that were less than the observed uncor- was chosen to give the observed average level of rected heterozygosity. Figure 5 shows the significance heterozygosities in the samples, using the formula levels of each sample. Nearly half of the heterozygosity  $n = H_c/[2(H_c - \overline{H})]$ , where  $\overline{H}$  is the average hetlevels are significantly reduced, and the reduction of erozygosity and 2*n* is the haploid size. heterozygosity of the mean of all samples is highly sig- iii. Sampling from a population founded from a large nificant. propagule (hypothesis 2b). A diploid size of 500

gosity considerations, they do not rule out the possibility maintained at this size, 69 generations are needed that each sample comes from a population started by a to produce the observed average level of heterozypropagule one generation previously (hypothesis 3a). gosity. Estimates of the effective sizes of a propagule needed iv. An artificial population obtained by pooling all of to produce the observed levels of heterozygosity are the outbreak samples. obtained from the formula  $H_i = H_c (1 - 1/2n_i)$  (*cf.* NEI<br>1987, Equation 13.12), where  $n_i$  is the effective size of<br>the propagule giving rise to sample *i*,  $H_c$  is the level of<br>heterozygosity in the core population, and  $H$ The values of  $n_i$  are shown in Table 1, column 5. In all<br>cases except for sample 22, the samples would need to<br>come from very small propagules, as low as a single<br>pair. Whether these values are unrealistically low for fl outbreaks is difficult to tell.

**Test b—variance of heterozygosity tests:** One feature of Figure 5 is the considerable amount of variation in the heterozygosities of different populations. It is of interest to test the significance of this "heterogeneity of heterozygosities," to see whether the heterozygosities are consistent with samples taken from a single population (hypotheses 1 and 2). Significance tests were conducted by simulating overall data sets of 26 samples and counting those cases where the variance was above the observed variance. Four different assumptions were used as the basis of these simulations:

- i. Direct sampling from a core population (hypothesis 1).
- ii. Sampling from a single population founded from a FIGURE 6.—The distribution of variances of heterozygosities propagule of size 7 (haploid size  $= 15$ ) one genera- simulations of hypotheses 1 and 3a.

- Although hypothesis 1 is ruled out by these heterozy- (haploid size  $= 1000$ ) was chosen. For a population
	-



quency in the Queensland and other endemic popula- **TABLE 2** tions. This suggested the possibility of defining relat- **Lowest likelihood values for the outbreak data set** edness of populations on the basis of sharing of alleles, particularly rare alleles, and of developing a test of significance of such sharing of alleles. The null hypothesis in this case is that the samples come from populations that are independently derived from the core population (hypothesis 3). For ease of calculation, hypothesis<br>3a is used as the basis for calculation. The test focuses<br>only on the presence or absence of a particular allele in each sample and does not take into account frequencies.

The population of alleles existing in the core population may be denoted as  $A_{hi}$ , where *j* is the locus number  $(1 \ldots 6)$  and *h* is the allele number  $(1 \ldots k_i)$ . The frequencies, assumed known, are  $p_{hj}$ , where  $\Sigma p_{hj} = 1$  for each locus *j* summed over alleles *h*. The probability that an allele will be present in sample  $i$  under hypothesis The first column identifies the locus  $(1-6)$  and the allele at that locus (size in base pairs). The next column shows its 3a is

$$
Q_i = 1 - \sum_{g=1}^{m_i} C_g^{m_i} (1 - p_{hj})^{m} i^{-g} p_{hj}^{g} \bigg( 1 - \frac{g}{m_i} \bigg)^{n_i},
$$

which is calculated as follows. The haploid size of the propagule leading to sample  $i$  is equal to  $m_i$  (where  $m_i/2$  is the diploid propagule size in Table 1). The<br>calculation makes the simplifying assumption that  $m_i$  is<br>a known constant. The probability that the propagule ishes as the number of observations (samples) increases.

Following this approach, we calculated likelihoods<br>and tested for significance by resampling as outlined<br>below. However, we found that the test was heavily in-<br>fluenced by likelihood values associated with cases<br>where an a likelihood," including probabilities where an allele was cated 1000 times, and each set was used for 1000 replipresent in a sample and ignoring the contribution to cates of the outbreak samples. the likelihood where an allele was absent. Although this The sampling process using observed frequencies as-<br>statistic cannot be directly interpreted as a likelihood, sumes symmetry of the sampling process. In reality, the

Allele $\langle$ locus $\rangle$ allele)	Core frequency/ 1848	No. of samples with allele		Part
		Observed	Expected	likelihood
6/133	5	10	0.8	$-35.17$
2/141	19	14	2.9	$-30.97$
6/105	8	7	1.3	$-21.27$
2/150	53	13	7.2	$-17.91$
6/117	11	6	1.7	$-16.93$
3/152	1	3	0.2	$-14.93$
5/155	113	20	12.5	$-14.77$
4/126	50	12	6.8	$-14.75$
1/151	9	5	1.4	$-14.52$
1/152	40	9	5.7	$-14.17$

frequency in the core population, and the following columns show how many samples, out of 26, contain one or more copies of the allele, the expected number of populations containing the allele under hypothesis 3a, and the part likelihood with contributions from all samples containing the allele (see text).

a known constant. The probability that the propagule ishes as the number of observations (samples) increases.<br>
contains g copies of allele  $A_{ij}$  is the binomial probability<br>  $C_{\xi}^{m}i(1 - p_{ij})^{m}i^{-s}p_{h}^{s}$ . The probabil  $C_g^m i(1 - p_{hj})^m i^{-g} p_{hj}^{\beta}$ . The probability that this allele is not<br>passed on in the sample is  $(1 - \cdot (g/m_i))^{n_i}$ , where  $n_i$  is hypothesis 3a, and calculating the fraction of cases

The haploid sample size. The product of these two probabilities needs to be summed over all possible values of<br>
the haploid sample, on the ground over all possible values of<br>
The probability of presence of the allele is t

sumes symmetry of the sampling process. In reality, the it serves as the basis for a significance test and also sampling should be aimed at generating a distribution of starting frequencies that lead to the observed fre-**The test of significance:** While the likelihood values quencies, rather than vice versa. The expected variances in column 5 of Table 2 are very low, their absolute are proportional to  $p(1 - p)/n$ , so that variation in values cannot immediately be interpreted. Clearly the the value of  $p$  should not lead to large sampling differ-



Figure 7.—Distribution of likelihoods of the extent of sharing of rare alleles for  $10^6$  simulated data sets. Calculations were made either using the observed core sample frequencies (fixed core frequencies) or from a resampling of the observed core sample (variable core frequencies). Values on the *x*-axis are the difference between the log likelihood of the observed sample and the log likelihood of the simulated samples. The likelihood of the observed data set (zero point) is indicated by the arrow.

assignment of individuals on the basis of unknown popu- ever, we were struck by the fact that some alleles that lation frequencies, was taken by RANNALA and MOUN- are rare in the core population appeared in an unexpectain (1997). Their approach makes the Bayesian as- tedly large number of the outbreak samples. For examsumption of an equal *a priori* probability density for the ple, an allele occurring at a frequency of  $\leq 0.5\%$  in the frequencies of all alleles.

correlation was equal to 0.11, which was significant with heterogeneity test, that the consequences of hypotheses a probability value of  $\sim 3\%$  3a and 3b are very similar. a probability value of  $\sim 3\%$ .

from the 26 outbreak samples was complicated by the though it takes into account the fact that they are not

ences. A different approach to a related problem, the large amount of heterogeneity between samples. Howequencies of all alleles.<br>
Results from the significance tests for hypothesis 3<br>
Results from the significance tests for hypothesis 3<br>
Source size 15 diploid individuals We used this as the

Results from the significance tests for hypothesis 3<br>
areage size 15 diploid individuals. We used this as the<br>
are given in Figure 7. Allowance for the finite size of<br>
the core sample is clearly an important aspect of the

The underlying argument of the allele-sharing test is that the high level of significance is due to nonindepen-<br>DISCUSSION dence of the samples. The test assumes that the gene **The allele-sharing test:** Interpretation of the results frequencies are known from the core population, alknown exactly. One alternative to nonindependence is must be remembered, however, that the basis of the the possibility that certain alleles have a selective advan- likelihood distance measure is the existence of a welltage in the outbreak region, causing them to become characterized set of gene frequencies from a core popusystematically and independently increased in frequency. lation, which will usually not be available. It is unlikely that microsatellite alleles would be affected **Descent hypotheses***:* The three hypotheses put forin this way, although the formal possibility remains that ward (Figure 4) are extreme examples, all of which can they are tightly associated with alleles whose frequencies be excluded in their simplest form. Hypothesis 1 can change systematically. A more likely possibility is that be excluded on the grounds of the lower heterozygosity the samples are not independent of each other, but are in the samples compared to the core population. Howsamples from intermediate populations where initially ever, as pointed out previously, a close variant of hypothrare alleles have, by chance or through selection via esis 1, hypothesis 3a, in which each sample is taken from

partial likelihood as the statistic for the significance reduced heterozygosity. test. The results of Table 2 show that the high negative While hypothesis 3 is consistent with heterozygosity likelihoods are those associated with rare alleles. How- considerations, it can be ruled out by the allele-sharing ever, this does not necessarily mean that the test is opti- test. This shows that the samples cannot be derived mized for such alleles. Any statistic can be chosen for independently of each other by any series of generasuch a test, and it is possible that assigning a greater tional and sampling events. weight to rare alleles might improve the power of the Hypothesis 2, likewise, can be ruled out by two differtest. For our data, no obviously superior general weighting ent lines of evidence. Substantial heterogeneity was method was found. found between most samples, showing that the out-

by computer simulation of data sets. We found that larly the differing heterozygosity values (Figure 5) argue chance played a considerable role in the process. In that the samples come from populations that are inbred many cases, rare alleles were absent in most generated to differing extents. samples, and the test had little power. Six loci, even of Hypotheses 2 and 3 are extremes of a continuum. In high heterozygosity, are insufficient to ensure that the one case the derivative populations are indistinguish-

gests that there may be some similarity with the way in the real situation must lie somewhere between the two. which SLATKIN (1985) uses such alleles as a measure of The area in question from which the samples are taken gene flow. There are, however, substantial differences is large, and the overall density of flies is low. Therefore between the two analyses. Our analysis makes no as it is not surprising that there should be considerable sumptions of equilibrium whereas Slatkin's analysis as regional variability. sumes that an equilibrium has been reached. We ex-<br>  $\overline{A}$  key question, from a control point of view, is<br>
cluded alleles present in only one sample, and these<br>
whether residual overwintering flies are in some or in cluded alleles present in only one sample, and these whether residual overwintering flies are in some or in alleles form the basis for Slatkin's test. While there may all regions from which the samples are taken. The exisalleles form the basis for Slatkin's test. While there may all regions from which the samples are taken. The exis-<br>be a common underlying reason for the utility of rare there of residual populations outside of the core pop be a common underlying reason for the utility of rare tence of residual populations outside of the core popula-<br>alleles in the two cases, there are substantial differences to be a necessary consequence of the rejecalleles in the two cases, there are substantial differences tion seems to be a necessary consequence of the rejec-<br>between the two uses.

Genetic distance: We used the part-likelihood statistic sampling of the entire region, it is clearly impossible to as the basis of a genetic distance measure. The genetic state whether samples come from long-term populatio

measure appears to be a useful one. The combination we are grateful to Marianne Frommer, Sasha Curthoys, and Merryl Robson for their assistance in this study. Comments from two anony-<br>of small population sizes and small sa ably leads to such large fluctuation in gene frequencies supported by grants from Woolworths Supermarkets, the Australian that these are not useful measures of relatedness. It Research Council, and Horticulture Australia.

hitchhiking, increased in frequency. The a one-generation-old propagule rather than directly Some comment needs to be made on the use of the from core flies, cannot be excluded on the grounds of

We also attempted to examine the power of the test breaks cannot come from a single uniform source. Simi-

high heterozygosity, are insufficient to ensure that the one case the derivative populations are indistinguish-<br>test will always have power in detecting relatedness. Also portions of the same overall population. In the able portions of the same overall population. In the The focus on rare alleles in the shared-allele test sug- other, they are entirely independent. It seems clear that it is not surprising that there should be considerable

tween the two uses.<br> **Genetic distance:** We used the part-likelihood statistic sampling of the entire region it is clearly impossible to as the basis of a genetic distance measure. The genetic<br>distance calculated in this manner was only loosely con-<br>nected to the measure of genetic drift  $\Phi^*$  (LATTER 1973;<br>equivalent to the coancestry coefficient  $\theta$  fo

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