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Gene Vaccination to Bias the Immune Response to Amyloid- β Peptide as Therapy for Alzheimer Disease

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Abstract

Background—The amyloid- β ($A\beta$) peptide has a central role in the neurodegeneration of Alzheimer disease (AD). Immunization of AD transgenic mice with $A\beta_{1-42}$ ($A\beta_{42}$) peptide reduces both the spatial memory impairments and AD-like neuropathologic changes in these mice. Therapeutic immunization with $A\beta$ in patients with AD was shown to be effective in reducing $A\beta$ deposition, but studies were discontinued owing to the development of an autoimmune, cell-mediated meningoencephalitis. We hypothesized that gene vaccination could be used to generate an immune response to $A\beta_{42}$ that produced antibody response but avoided an adverse cell-mediated immune effect.

Objective—To develop an effective genetic immunization approach for treatment and prevention of AD without causing an autoimmune, cell-mediated meningoencephalitis.

Methods—Mice were vaccinated with a plasmid that encodes $A\beta_{42}$, administered by gene gun. The immune response of the mice to $A\beta_{42}$ was monitored by measurement of (1) antibody levels by enzyme-linked immunosorbent assay (ELISA) and Western blot and (2) $A\beta_{42}$ -specific T-cell response as measured by interferon- γ enzyme-linked immunospot (ELISPOT) assay.

Results—Gene-gun delivery of the mouse $A\beta_{42}$ dimer gene induced significant humoral immune responses in BALB/c wild-type mice after 3 vaccinations in 10-day intervals. All 3 mice in the treated group showed significant humoral immune responses. The ELISPOT assay for interferon- γ release with mouse $A\beta_{42}$ peptide and $A\beta_{9-18}$ showed no evident cytotoxic T-lymphocyte response. We further tested the responses of wild-type BALB/c mice to the monomer $A\beta_{42}$ gene vaccine. Western blot evaluation showed both human and mouse $A\beta$ monomer gene vaccine elicited detectable humoral immune responses. We also introduced the human $A\beta_{42}$ monomer gene vaccine into AD double

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transgenic mice *APP^{swe}/PSEN1(A246E)*. Mice were vaccinated with plasmids that encode A β ₁₋₄₂ and A β ₁₋₁₆, or with plasmid without the A β gene. Treated mice showed significant humoral immune responses as demonstrated by ELISA and by Western blot. These mice also showed no significant cellular immune response as tested by ELISPOT. One of the treated mice was killed at 7 months of age for histological observations, and scattered amyloid plaques were noted in all layers of the cerebral cortex and in the hippocampus in both A β ₄₂- and control-vaccinated mice. No definite difference was discerned between the experimental and control animals.

Conclusions—Gene-gun-administered genetic immunization with the A β ₄₂ gene in wild-type BALB/c and AD transgenic mice can effectively elicit humoral immune responses without a significant T-cell-mediated immune response to the A β peptide. This immunotherapeutic approach could provide an alternative active immunization method for therapy and prevention of AD.

INTRODUCTION

Alzheimer disease (AD) is a progressive neurodegenerative disease defined pathologically by extracellular neuritic plaques and intraneuronal neurofibrillary tangles. The fibrillar neuritic plaques comprise deposits of amyloid- β (A β) protein and the tangles consist of helical filaments of hyperphosphorylated tau protein.¹⁻² A large body of data from autosomal dominant, early-onset AD research strongly support the pathogenetic basis of the disease as the amyloid cascade, which states that the neurodegeneration of AD is primarily initiated by the formation of neurotoxic A β -amyloid aggregates.³⁻⁷

Current treatments for AD are largely symptomatic. No therapies have been clinically proven to be able to slow or to prevent the progression of AD. The A β deposition and aggregation is an early event in AD neuropathology, suggesting the hypothesis that A β gene vaccination would be a promising solution to reverse and prevent progressive neuropathologic change by stimulating the host immune system to recognize and target A β , thereby clearing and/or preventing the deposition of A β plaques in brain. In fact, active immunization with synthetic A β (1-42) has been shown to be effective in a mouse model⁷⁻⁹ to reduce significantly brain A β burden and further was the impetus to proceed to clinical trials in patients with AD.

Amyloid- β ₄₂ immunization in the AD transgenic (Tg) mouse elicited specific A β ₄₂ antibodies and these antibodies move across the blood-brain barrier resulting in the removal of amyloid plaques and the reduction of A β burden accompanied by improved cognitive performance.⁸ In clinical trials, about 300 patients with AD received multiple doses of the A β ₄₂ peptide with the adjuvant QS21. The program was discontinued after the signs of aseptic meningoencephalitis developed in about 6% of the treated patients. The follow-up in patients showed 60% produced antibodies against amyloid-containing plaques and patients with higher antibody titer (20%) had a slowing of the progression of cognitive loss.¹⁰⁻¹¹

From preclinical Tg mouse studies and clinical trials of patients with AD, it has been concluded that active immunization with A β ₄₂ is effective in both reducing brain amyloid burden and in slowing cognitive loss.^{7, 10-11} However, an alternative vaccination method must be developed to avoid the development of autoimmune, cell-mediated meningoencephalitis. Therefore, we have developed a new vaccine strategy, gene vaccination, using a chemically synthesized gene for A β as the immunizing agent. We demonstrate that gene vaccination can efficiently elicit humoral immune responses without significantly activating cytotoxic T cells responsible for the autoimmune, cell-mediated meningoencephalitis that develops in patients with AD immunized with A β peptide.¹²

METHODS

PLASMID CONSTRUCTS AND PREPARATION

Both mouse and human A β ₁₋₄₂ genes were chemically synthesized with the codons optimized for expression in mammalian cells and cloned into an immunization vector system under the control of the synthetic promoter SP72.¹³ The A β ₄₂ was fused to an α -antitrypsin secretory signal upstream and a major histocompatibility complex II-targeting sequence downstream to elicit a better humoral immune response.¹⁴ The base plasmid and the A β ₄₂ genes are shown in Figure 1. The plasmid was amplified in DH5 α cells and purified using a plasmid preparation kit (Gen Elute HP Plasmid Preparation Kit; Sigma-Aldrich Inc, St Louis, Mo). The presence of the A β genes was confirmed by sequencing.

A β GENE VACCINATION

The A β gene vaccination was delivered by the gene-gun ballistic bombardment method.¹⁵ Briefly, DNA-coated gold particles were prepared by combining 60 mg of 1- to 2- μ m gold beads (Bio-Rad, Hercules, Calif) and 100 μ g of DNA in 200- μ L volume, and then 100 μ L of 0.05M spermidine and 100 μ L of 2.5M calcium chloride were added sequentially to the beads. The beads were then centrifuged, washed with alcohol, and finally suspended in 3 mL of alcohol. The solution was loaded into tubing and the alcohol was removed and the beads were evenly attached to the sides of the tubing. The tube was dried with nitrogen gas and the tube was cut into 1.3-cm sections. Each section contained 2 μ g of plasmid DNA and 1.2 mg of gold beads. The DNA-coated gold particles (2 μ g of DNA per shot) were delivered to both sides of the ears (4 shots) of mice using a helium-driven gene gun (Bio-Rad) at a discharge pressure of 400 lb/sq in. Mice vaccinated with no-A β -insert construct-coated gold particles were used as controls. The mice were boosted at 2-week intervals to a maximum of 6 times, blood was drawn from the tail vein every 2 weeks, and the serum samples were used for monitoring the humoral immune response.

IMMUNOASSAYS

Enzyme-linked immunosorbent assay (ELISA) and Western blots were used to monitor the humoral immune responses.^{10, 15} In brief, mouse blood was drawn from tail vein and serum was used to detect A β peptide by ELISA with a 96-microwell plate coated with glutathione S-transferase-A β proteins. For Western blot, the glutathione S-transferase-A β proteins in bacteria extract were separated by sodium dodecyl sulfate-polyacrylamide gel electrophoresis, blotted on nitrocellulose incubated with mouse immune serum samples at a 1:2000 dilution. Antibodies against A β were detected using peroxidase-conjugated, affinity-purified rabbit antiserum against mouse IgG. The cell-mediated immune response was monitored by enzyme-linked immunospot (ELISPOT) assays for detection of peripheral blood T cells releasing interferon- γ during in vitro restimulation with A β peptide.¹⁶ Briefly, 96-well polyvinylidene difluoride microplates (Millipore, Bedford, Mass) were coated with antibody to interferon- γ . Cells were cultured at 2×10^5 per well in 0.2 mL of medium for restimulation with A β peptides. After incubation for 36 hours at 37°C, the microplates were washed, incubated with biotinylated anti-mouse interferon- γ , and with streptavidin-AP conjugate. After 3 washes, spots were developed with 1-step nitroblue tetrazolium/5-bromo-4-chloro-3-indolylphosphate reagent. Spots were counted using a stereomicroscope.

IMMUNIZATION IN WILD-TYPE MICE

The BALB/c mice were immunized with a plasmid DNA encoding the mouse or human A β ₄₂ gene delivered with a gene gun to the ear skin.¹⁵ Cellular and humoral immune responses were monitored with ELISA, Western blot, and ELISPOT. The mouse granulocyte-monocyte colony-stimulating factor gene was codelivered to further stimulate the immune system in 1

group of mice. The goal is to confirm that A β ₄₂ gene vaccination in mice is effective in breaking self-tolerance resulting in production of antibodies against both mouse and human A β ₄₂. All mice were immunized at 10- to 14-day intervals for 3 immunizations and then at 4-week intervals for up to 6 immunizations.

VACCINATION IN Tg AD MICE

Human amyloid precursor protein/presenilin 1 (*APP^{swe}/PSEN1[A246E]*) double Tg mice¹⁷ were purchased from the Jackson Research Laboratory, Bar Harbor, Me. This Tg mouse strain expresses high concentrations of the mutant amyloid precursor protein, develops a significant amyloid plaque burden, and displays memory deficits. Based on the immunization data obtained using the wild-type mice, we focused on the preventive effects of A β gene vaccination in the Tg AD mice. Experimental mice were vaccinated with human A β ₄₂ gene beginning at 2 months of age. Control mice received the vector lacking the A β ₄₂ insert. Immune responses were monitored and the mouse brain tissue was subjected to histological observation for the deposition of amyloid plaques.¹⁸

HISTOLOGICAL STAINING AND EXAMINATION

Five months after immunization, following induction of deep anesthesia with intraperitoneal injection of avertin, 1 genetically immunized and 1 control immunized mouse were perfused transcardially with a heparinized saline solution and then 4% paraformaldehyde in 0.1M Sorenson phosphate buffer (pH 7.4). Brains were removed and, after excision of frontal poles for freezing after cryoprotection in glycerol/dimethyl sulfoxide, fixed overnight in 4% paraformaldehyde, and embedded in paraffin. Sections were stained with hematoxylin-eosin and evaluated immunohistochemically for human A β (Signet Laboratories Inc, Dedham, Mass) and glial fibrillary acidic protein (DAKO, Copenhagen, Demark) immunohistochemistry.¹⁸⁻¹⁹

RESULTS

A β ₄₂ CLONING

Mouse and human A β ₄₂ dimer gene, monomer gene, and A β ₁₋₁₆-encoded DNA sequences were synthesized chemically with the optimal codons and successfully cloned into a genetic immunization vector. The A β gene was fused to the human α -antitrypsin secretory signal upstream and major histocompatibility complex II-targeting sequence downstream. This vector system was used in all of those mice that participated in the vaccination experiment. SP72, a synthetic mammalian expression promoter, was used to drive the A β gene expressions. The A β ₄₂ dimer, A β ₁₋₁₆, A β ₁₆₋₂₈, and A β ₂₈₋₄₂ were also cloned into a bacteria expression vector to express glutathione S-transferase-fused A β proteins. All constructs were sequenced and confirmed to be in the correct frame.

IMMUNE RESPONSE TO A β ₄₂ DIMER GENE IN WILD-TYPE BALB/c MICE

Initially, we designed and chemically synthesized a mouse A β ₄₂ dimer gene because aggregated A β peptide is thought to be the major neurotoxic form.¹ Gene-gun delivery of the mouse A β ₄₂ dimer gene induced significant humoral immune responses in BALB/c wild-type mice after 3 vaccinations in 10-day intervals. All 3 mice in the treated group showed significant humoral immune responses as measured by ELISA and by Western blot. The antibody titers specific for A β ₄₂ were from 1:4000 to 1:10 000. The ELISPOT assay for interferon- γ release with mouse A β ₄₂ peptide and A β ₉₋₁₈ mixture showed no evident cytotoxic T-lymphocyte response (Figure 2).

We further tested the responses of wild-type BALB/c mice to the monomer gene of A β ₄₂ by gene-gun vaccination. Western blot showed both human and mouse A β monomer gene vaccine elicited detectable humoral immune responses at a dilution of 1:2000 after 3 separate immunizations although a weaker response was seen for mouse A β gene vaccine in a short vaccine schedule. A longer time schedule of mouse A β ₄₂ gene immunization with a gene gun with 6 vaccinations did break tolerance and resulted in similar antibody production for both human and mouse A β ₄₂. Coshooting with granulocyte-macrophage colony-stimulating factor aided in breaking tolerance to mouse A β ₄₂ in BALB/c wild-type mice (Figure 3).

IMMUNE RESPONSE IN *APP^{Swe}/PSEN1(A246E)* Tg MICE

On the basis of data obtained in wild-type BALB/c mice, we introduced the human A β ₄₂ monomer gene vaccine into double Tg mice *APP^{Swe}/PSEN1(A246E)*. These mice begin to develop amyloid plaques at about 6 months of age and reached significant levels of accumulation by 10 to 11 months. We purchased 10 Tg mice and divided them into treated and control groups. Two treated mice died and 1 control mouse died at about 4 months of age. Therefore, 3 treated mice were codelivered plasmids encoding the human A β ₁₋₄₂ gene and A β ₁₋₁₆ gene constructs and 4 control mice received DNA without the A β gene. As shown in Figure 4, among 3 treated mice, 2 showed significant humoral immune responses as demonstrated by ELISA and by Western blot. The antibody titer against A β ₁₋₁₆ in one treated mouse was estimated at 1:10 000, and 1:5000 for A β ₁₇₋₂₈ and A β ₂₉₋₄₂ peptides and in the second treated mouse at 1:4000 against A β ₁₋₆ and 1:2000 against A β ₁₇₋₂₈ and A β ₂₉₋₄₂. The result of ELISA and Western blots showed similar conclusions. The third treated mouse was killed at 7 months of age for histological observations. It showed no detectable immune response against A β ₄₂. These mice also showed no significant cellular immune responses as tested by ELISPOT stimulated with A β ₁₋₄₂ and A β ₉₋₁₈ synthetic peptide although a slightly higher number of interferon-positive T cells was observed. No specific immune responses were seen in the control mice against A β ₁₋₄₂.

HISTOLOGICAL AND IMMUNOSTAINING OF BRAIN TISSUES

Five months after immunization, scattered amyloid plaques were noted in all layers of the cerebral cortex and in the hippocampus in both A β ₄₂⁻ (Figure 5A and B) and control- (not shown) vaccinated mice as evaluated by both hematoxylineosin stain and amyloid- β immunoperoxidase preparations. No definite difference in the number of plaques was discerned between the experimental and control animals. A brisk astrocytic response was noted in association with plaques in all mice, identified by glial fibrillary acid protein immunohistochemistry (Figure 5C). By hematoxylineosin assessment, no evidence of lymphocytic inflammation or evidence of prominent macrophage response was noted in either experimental or control mice.

COMMENT

As the major brain amyloid plaque component is A β ₁₋₄₀ and A β ₁₋₄₂, targeting this peptide by gene vaccination is intended to reduce the amyloid burden in the AD-affected brain. Based on striking effectiveness in mouse studies,⁷ a clinical trial using A β ₄₂ peptide vaccination was conducted in a large number of patients with AD but had to be terminated because of the occurrence of an autoimmune, cell-mediated response that resulted in meningoencephalitis in 6% of the immunized patients.¹⁰⁻¹¹ Gene immunization has proven to be effective in treating or preventing several infectious as well as noninfectious diseases²⁰⁻²³ and our intent has been to test the potential of gene vaccination as a new therapeutic approach in AD while also minimizing the risk of encephalitis.¹²

The gene-gun delivery of a gene vaccine has the advantage over peptide vaccination of higher efficiency in breaking self-tolerance and for inducing beneficial Th2-based immune responses^{24–25} to reduce the possible adverse effects related to Th1 adverse responses seen with A β ₄₂ peptide vaccine. We demonstrate that immunization with the mouse A β ₄₂ gene was effective in breaking self-tolerance of mouse to mouse A β ₄₂ peptide and also in Tg mice with the human A β ₄₂ gene in breaking tolerance to human A β ₄₂. The breaking of self-tolerance of mouse to mouse A β ₄₂ corresponds to the required human therapeutic response, as vaccination with human A β ₄₂ in patients must also result in breaking self-tolerance. Overcoming self-tolerance may be the key step in the treatment of the patient with AD using the A β ₄₂ gene vaccine, as this peptide is produced in most cells and is also present in high concentration in plasma.

In AD Tg mice, by coshooting the A β _{1–16} gene with the A β _{1–42} gene, a higher titer of antibodies is induced against the A β _{1–16} peptide. The A β _{1–16} is expected to be a better vaccine target than the other subunits, as the N-terminal region of the A β is a key position in protein conformation and the cell-mediated adverse effects may be associated with the C-terminal portion of the A β peptide.²⁶ Further studies are in progress to determine if the A β _{1–16} vaccine can reduce the A β burden in brain.

We also demonstrate by ELISPOT that the A β _{1–42} gene vaccine did not induce significant cell-mediated responses specifically against A β _{1–42} or A β _{9–18} peptides. We have shown that A β _{9–18} does not induce significant stimulating effects of T cells by releasing interferon- γ in the ELISPOT assay. Thus, gene-gun vaccination with A β demonstrated herein could prevent inducing autoimmune, cell-mediated meningoencephalitis in immunized patients with AD encountered with peptide immunization.

Studies in progress to assess the effectiveness of gene vaccine therapy in Tg mice include behavioral analysis, survival time, and evaluation of histological and histochemical changes in the brain in immunized and control vector–vaccinated mice. While we have demonstrated that genetically vaccinated AD Tg mice make antibody against A β , a critical question is whether the antibody response is effective in limiting the extent of amyloid accumulation in these double AD Tg mice. The histological findings to date in gene-vaccinated A β ₄₂ and control vector–vaccinated mice 5 months after immunization are limited and the number of animals examined is too few to draw conclusions. Analysis of older animals is ongoing to assess for differences with respect to amyloid burden, glial response, inflammatory cell response, and importantly, behavioral improvement or slowing of cognitive loss. Additional studies are planned to increase antibody titers by coshooting with Th2 cytokines including interleukin 4 and interleukin 5 and specifically targeting B cells.

CONCLUSIONS

We have demonstrated that gene-gun–mediated genetic immunization with A β ₄₂ gene can efficiently elicit humoral immune responses against mouse A β _{1–42} peptide in wild-type BALB/c mice as well as against human A β _{1–42} in Tg mice. Further, induction of the humoral immune response did not induce a significant cellular immune response, potentially circumventing an autoimmune, cell-mediated meningoencephalitis in patients. These studies demonstrate that in principle self-tolerance can be broken to produce a humoral response to the A β _{1–42} peptide with minimal cellular response.

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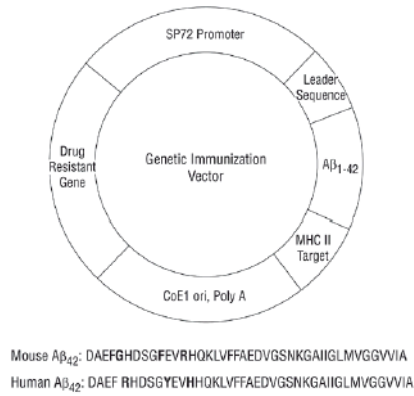


Figure 1. Gene immunization vector contains SP72, a synthetic mammalian expression promoter; an A β_{42} gene sequence fused between a human α -antitrypsin secretory signal and a major histocompatibility complex class (MHC) II–targeting peptide sequence, and the ampicillin-resistance gene, A β_{1-42} monomer or dimer gene, followed by an MHC II–targeting sequence.

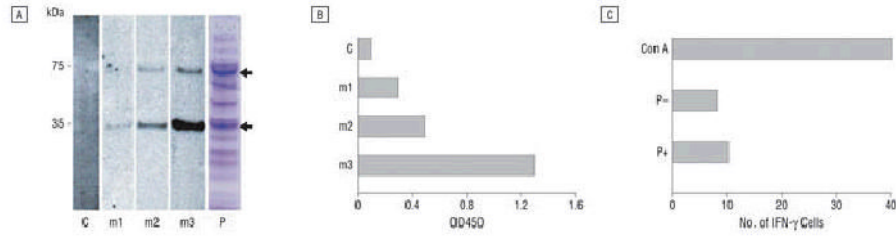


Figure 2. Amyloid- β_{42} ($A\beta_{42}$)-specific immune responses in BALB/c wild-type mice immunized with mouse $A\beta_{42}$ dimer gene vaccine. A, Western blot shows that all 3 mice in the vaccinated group produced specific anti- $A\beta_{42}$ antibodies that detected mouse recombinant glutathione S-transferase- $A\beta_{42}$ (GST- $A\beta_{42}$) dimers (lower arrow in lane P) and tetramers (upper arrow in lane P) (lanes m1, m2, m3). Mice in the control group (vector lacking $A\beta$ gene) were negative (lane C). Recombinant GST- $A\beta_{42}$ protein in *Escherichia coli* extracts was shown in lane P stained with Coomassie blue. Serum samples were obtained 14 days after a third vaccination at 10-day intervals with dilution of 1:2000. kDa indicates kilodalton. B, Same serum samples as in A were tested by enzyme-linked immunosorbent assay for $A\beta_{42}$ peptide and show that all 3 mice (m1, m2, m3) vaccinated with $A\beta_{42}$ dimer gene showed specific antibody against human $A\beta_{42}$ peptide with 1:2000 dilution. C, Enzyme-linked immunospot assay demonstrated that no detectable cellular immune response was observed in $A\beta_{42}$ dimer gene-vaccinated mice. Concanavalin A (Con A) was added as a positive control. P- indicates no peptide added in peripheral blood T-cell culture; P+, a mixture of $A\beta_{9-18}$ and $A\beta_{1-42}$ peptide was added to the culture for 36 hours for specific antigen stimulation for T cells to release interferon- γ (INF- γ).

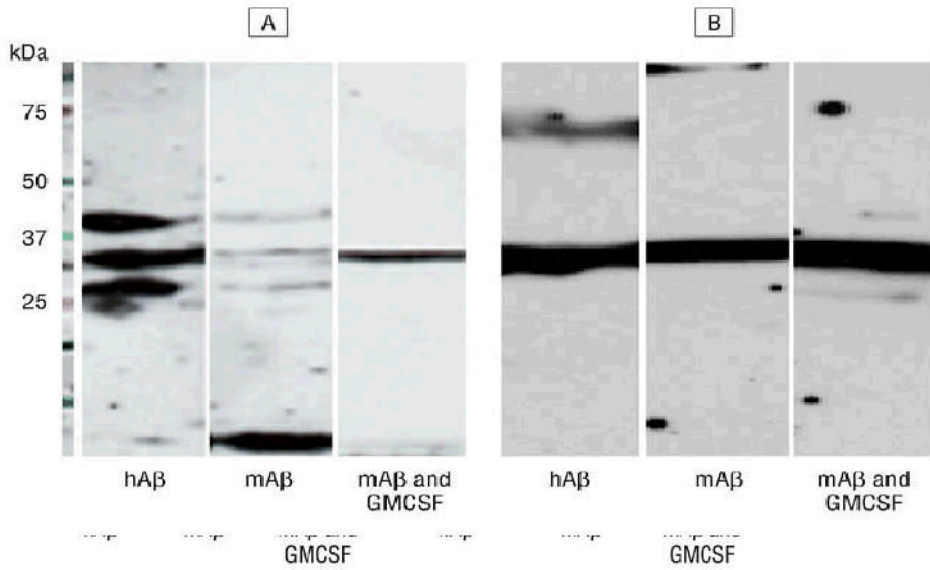


Figure 3. Western blot to show humoral immune response of BALB/c wild-type mice against monomer $A\beta_{42}$. Mice were immunized with monomer human (amyloid- β [hA β] protein) and mouse (mA β) $A\beta_{1-42}$ gene vaccine by gene gun. Human A β induced a higher immune response compared with mA β in these mice in short schedule (3 immunizations in a 10-day interval and blood was drawn 2 weeks after the third shot) immunizations (panel A), but responses were similar with a long-schedule vaccination (additional 3 shots in a 1-month interval and blood was drawn 2 weeks after the last shot) (panel B). Administration of granulocyte-monocyte colony-stimulating factor (GMCSF) (performed with mA β_{42} immunizations) seemed to enhance antibody production. This result demonstrated that genetic vaccination can break the self-tolerance in mice for mA β_{42} protein and also elicit strong immune responses to hA β_{42} .

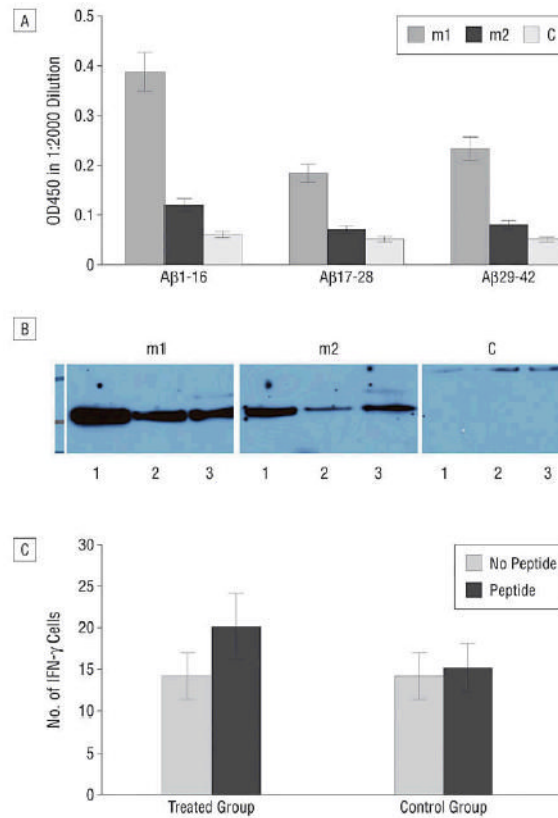


Figure 4.

Human amyloid- β 42 ($A\beta_{42}$)-specific immune responses in transgenic (Tg) mice immunized with human $A\beta_{42}$ gene vaccine. A, Anti- $A\beta$ peptide antibody titer assayed by enzyme-linked immunosorbent assay in Tg mice immunized with both $A\beta_{1-42}$ and $A\beta_{1-16}$ gene construct for 4 times in 2-week intervals. The serum sample was obtained 2 weeks after the last immunization and titers were tested against $A\beta$ peptide 1–16, 17–28, 29–42 fused to glutathione S-transferase (GST) protein produced in *Escherichia coli*. A higher response against $A\beta_{1-16}$ was seen. B, The same serum sample tested with Western blot shows a similar result; both mouse 1 (m1) and 2 (m2) in the treated group show a higher response against $A\beta$ peptide. A higher titer is achieved for the m1 than for the m2. Control mice are negative for antibodies. Lane 1; $A\beta_{1-16}$, lane 2; $A\beta_{17-28}$, lane 3; $A\beta_{29-42}$ fused to GST was loaded and probed with serum in a 1:2000 dilution. The third treated mouse showed no detectable humoral response (data not shown). C, Enzyme-linked immunospot assay shows that no significant cellular immune response was observed in human $A\beta_{42}$ gene-vaccinated Tg mice. Peripheral blood T cells were pooled from the vaccinated and control groups of mice and the cells were cultured in quadruplicate (2×10^5 cells per well in a 96-well microplate format) in the presence of peptide or absence of peptide using a mixture of $A\beta_{1-42}$ and $A\beta_{9-18}$ peptide at 10 $\mu\text{g}/\text{mL}$ for 36 hours and further processed for detection of released interferon- γ .

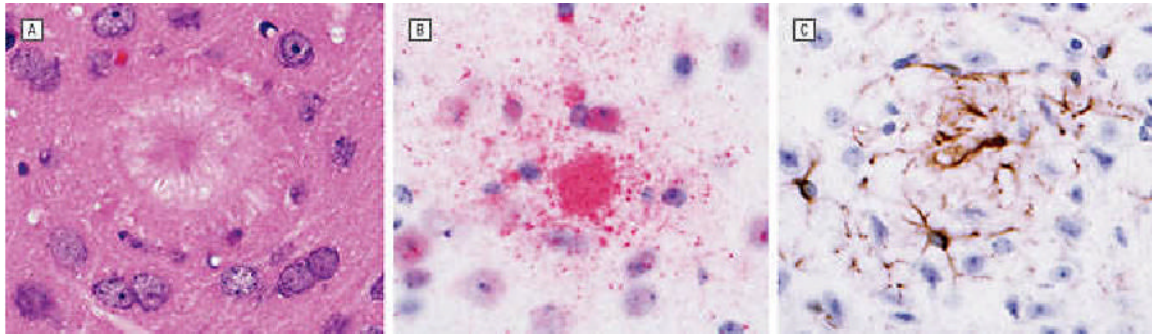


Figure 5.

Preliminary examination of brains of transgenic (Tg) mice 5 months after immunization. Mice were killed 5 months after immunization. Brains were fixed in paraformaldehyde and embedded in paraffin. Sections were stained with hematoxylineosin (A) and evaluated by immunohistochemistry for amyloid- β ($A\beta$) (B) and glial fibrillary acid protein (C). Scattered amyloid plaques were noted diffusely in the brains in both the control- and $A\beta$ gene vaccine-immunized mice without evidence of lymphocytic inflammation. A brisk astrocytic response to plaque deposition was identified. Analysis of older animals is necessary to assess for differences between the DNA-vaccinated and control vector-vaccinated mice for amyloid deposition and other parameters.