

# Altered Expression of *TFF-1* and *CES-2* in Barrett's Esophagus and Associated Adenocarcinomas<sup>1</sup>

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## Abstract

Identification of biomarkers to recognize individuals with Barrett's esophagus (BE) predisposed to develop malignancy is currently a pressing issue. We utilized gene expression profiling to compare molecular signatures of normal esophagus and stomach, BE, and adenocarcinoma (AC) to identify such potential biomarkers. Over 22,000 genes were analyzed by oligonucleotide microarrays on 38 unique RNA. Unsupervised and supervised clusterings were performed on a subset of 2849 genes that varied most significantly across the specimens. Unsupervised clustering identified two discernable molecular BE profiles, one of which was similar to normal gastric tissue ("BE1"), and another that was shared by several of the AC specimens ("BE2"). The BE1 profile included expression of several genes that have been described as tumor-suppressor genes, most notably trefoil factor 1 (*TFF-1*). The BE2 profile included expression of genes previously found overexpressed in cancers, such as carboxylesterase-2 (*CES-2*). IHC demonstrated the loss of *TFF-1* late in the progression of BE to AC. It also revealed *CES-2* as being upregulated in AC documented to have arisen in the presence of BE. These potential biomarkers, as well as the relative expression of genes from BE1 versus those from BE2, may be validated in the future to aid in risk stratification and guide treatment protocols in patients with BE and associated AC.

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**Keywords:** Trefoil Factor 1, Carboxylesterase 2, Barrett's esophagus, esophageal or gastric adenocarcinoma, tissue microarray.

enon has been shown to correspond with an increase in the incidence of specialized intestinal cell metaplasia of the distal esophagus, or Barrett's esophagus (BE) [4]. BE is recognized as the precursor lesion to AC of esophageal or EGJ origin. Approximately 12% to 18% of patients who undergo upper endoscopy for symptoms of gastroesophageal reflux disease are observed to have BE, and it is estimated that between 0.5% and 2.0% of adults in the United States and Western Europe have BE [5–7]. Survival rates from BE-associated AC are poor (<10% at 5 years), with the mortality rate often matching the incidence rate [8]. Only early diagnosis and aggressive treatment strategies, such as esophagectomy, have been shown to alter the course of this disease. Thus, a better understanding of the genetic changes that accompany the evolution of normal mucosa to AC, through BE, is desired in order to gain insight into this complex disorder and achieve improved outcomes.

Barrett's metaplasia can progress to low-grade dysplasia (LGD), high-grade dysplasia (HGD), and, eventually, AC. Dysplastic changes occur in a minority of cases of BE, and AC develops in only 0.2% to 2.0% of patients. However, with the use of current clinical tools, it is difficult to predict the populations in which metaplasia will advance to neoplasia. Characterization of biomarkers that identify a predisposition of certain individuals with BE to the development of dysplasia and/or malignancy is eagerly awaited to advance the management of patients with this lesion.

Expression profiling using microarray technology is anticipated to aid in the discovery of expression signatures that may have clinical utility applicable to BE-associated AC. Microarray analysis has been utilized in several recent reports from Asia to examine gene expression profiles in gastric adenocarcinoma (GC) [9–11]. These have been promising in that they have led

## Introduction

Adenocarcinoma (AC) of the esophagus or esophagogastric junction (EGJ) has the most rapidly rising incidence of all malignancies in Western nations [1,2]. In the United States and United Kingdom, these carcinomas combine to rank as the fifth most prevalent cancer [3]. This phenom-

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to further understanding of the molecular basis of GC, and the discovery of expression profiles and candidate biomarkers that may have practical value. GC, particularly of the intestinal type, commonly arises from gastric intestinal metaplasia and is regarded by many as having biologic properties similar to BE-associated AC. Microarray technology has been utilized to explore global expression profiles of BE and its associated malignancies in two previous publications [12,13]. These showed some promise in the classification of esophageal AC and premalignant disease; however, they were limited by relatively small sample size, lack of inclusion of expression results for normal tissue, and incomplete exploration of the genome. Two additional recent studies utilized microarray technology to compare gene expression profiles of BE to normal tissues [14], and BE with and without exposure to acid reflux [15], but neither included malignant tissues in their analyses.

Herein, we performed a comprehensive comparison of the gene expression profiles of all relevant tissue subtypes, including normal esophagus and stomach; BE; and AC of the esophagus, EGJ, and stomach. Moreover, we used xenografted human tumors to profile optimally enriched samples for neoplastic cells. These xenografted tumors are genetically stable and are a good representation of the primary tumors from which they are derived [16,17]. Moreover, homogeneous human epithelial neoplastic cells in the xenografted tumors supported by the mouse stroma provide optimal samples for human probes. Confirmation of alterations in gene expression was performed by immunohistochemical staining of a large cohort of tumor specimens assembled on tissue microarrays (TMAs). Our analysis leads to the definition of two unique gene expression profiles within BE, one of which was shared to a significant extent by normal gastric tissue, and another was shared by several of the AC specimens. This study suggests that molecular definition of BE is possible and, with further validation, may have practical utility for the prediction of the aggressive course of this precancerous condition.

## Materials and Methods

### Tissue Samples

Tissue samples were obtained from patients who had undergone resections for primary esophageal, EGJ, or gastric AC. These samples included fresh tissues for xenografting in nude mice, fresh-frozen tissue stored in liquid nitrogen, and formalin-fixed paraffin-embedded tissue. Tumors were collected from the Department of Pathology at the University of Virginia Health System, Indiana University, Johns Hopkins University, and from Siena, Italy. The University of Virginia Human Investigation Committee (IRB) approved the use of human tissues in this study.

### Xenografting

Small pieces of primary human esophageal, EGJ, and gastric tumor tissue were soaked in Matrigel (Collaborative Biomedical Research, Amherst, MA) and then implanted subcutaneously into the flanks of immunodeficient mice

(nu/nu from Harlan, Indianapolis, IN or SCID from Charles River, Wilmington, MA) for xenograft growth, as previously reported [18]. First-passage tumors were harvested when their diameter reached ~1 cm.

### Microarray Hybridization and Data Analysis

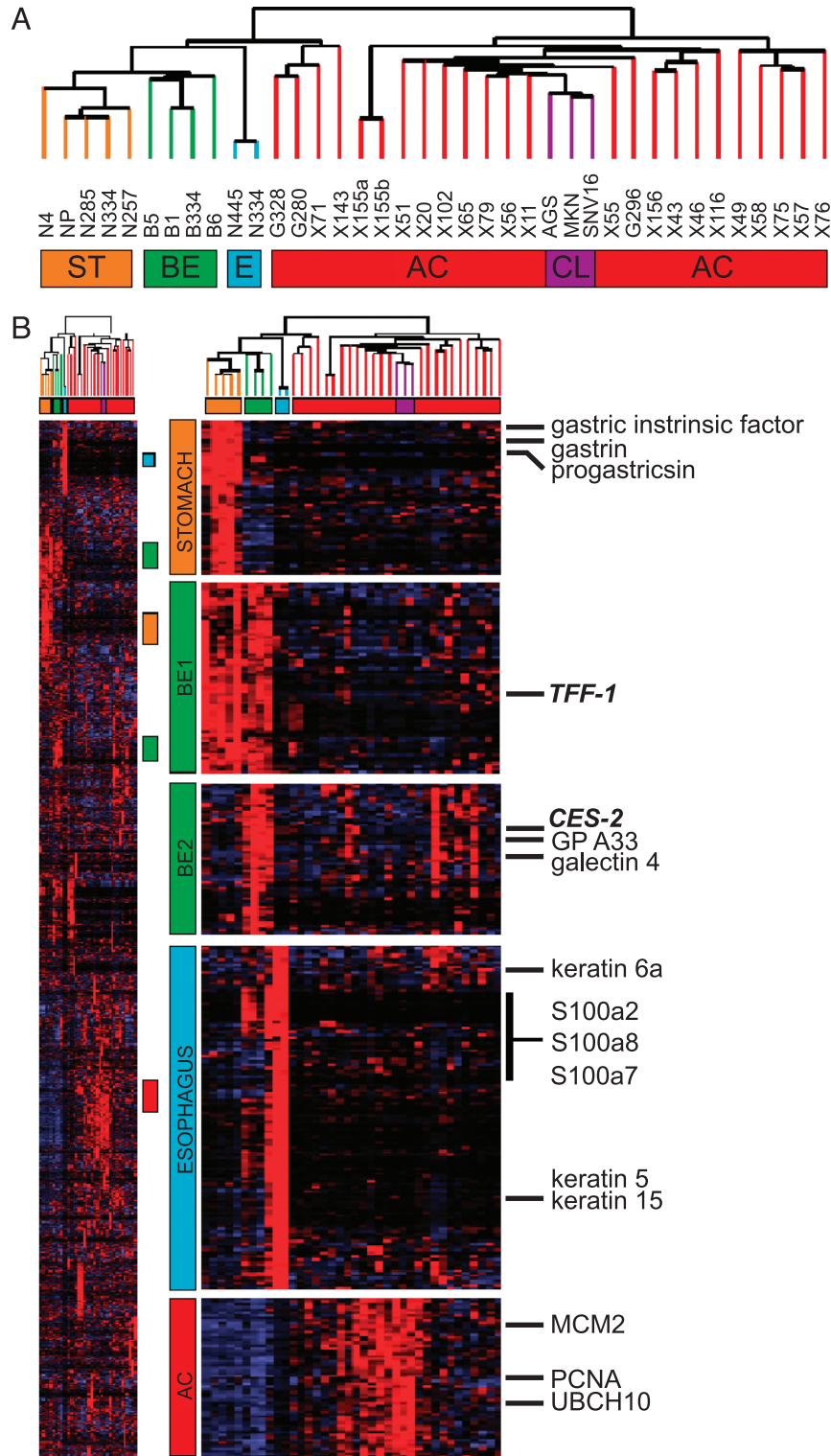
RNA extraction, cRNA synthesis, and GeneChip hybridizations were performed as described previously [19]. Raw data from the GeneChips were processed using MAS5.0 (Affymetrix, Santa Clara, CA), scaled to an average difference value of 200 and collated in Microsoft EXCEL for further analysis. Agglomerative clustering was performed using Cluster, and the results of clustering were visualized in Treeview [20]. The standard deviation from the mean of each gene's expression value across samples was used as a measure of variability to select the genes for clustering. For the data presented in Figure 1, a value of 250 was used. Supervised learning was performed using GeneCluster2 (<http://www.broad.mit.edu/cancer/software.html>) [21]. Processed GeneChip hybridization data were imported to GeneCluster2 in .gct format and the class of each sample was specified. For classification, a *k*-nearest neighbors (*k*-NN) algorithm was used, specifying *k* = 3. Class predictions were calculated for variable numbers of genes in increments of 10 (from 10 to 100). Feature summary data (i.e., from the subset of the genes used in class prediction) were exported from GeneCluster2 and visualized in Treeview. A signal-to-noise (S2N) metric was used to identify 20 genes per class for each of the four groups of samples, and also exported and visualized in Treeview. To identify genes specifically expressed in a group of samples, we used EXCEL to perform gene ranking. The difference in expression was first examined using the fold ratios of the average of each gene's expression in each group. In the case of BE, we also specified that the average expression of a gene must be <50 in samples of stomach and esophageal mucosa and >200 in BE. Only those genes with a fold change >2 in BE versus all other samples were selected (*n* = 21).

### TMAs

Targeted tissues (tumor and normal) were marked on H&E-stained slides corresponding to their paraffin blocks. One to four 0.6-mm cores from each tumor were obtained from donor blocks using a manual microarray device (Beecher Instruments, Silver Spring, MD) and inserted into recipient paraffin blocks in gridded arrays. The TMAs were sectioned at 4 μm thickness and placed on charged slides.

### Immunohistochemistry (IHC)

*TFF-1* Following application of a monoclonal antibody to *TFF-1* (Zymed Laboratory, San Francisco, CA), as described previously [18], expression was evaluated by our pathologist (C.A.M.) using a semiquantitative scoring system. As staining intensity for *TFF-1* did not greatly vary in cells exhibiting staining, the extent of cell staining was scored on a percentage basis: 0 (<1%, "negative"), 1+ (1–25%, "weak"), 2+ (26–50%, "moderate"), 3+ (51–75%, "strong"), and 4+ (76–100%, "marked"). In evaluating tumors, all cancer cells



**Figure 1.** Agglomerative clustering analysis. Analysis of the molecular profiles generated using agglomerative clustering demonstrating a strong relationship between normal, neoplastic, and BE specimens (panel A). The far right column lists representative genes predominantly expressed in each of the tissue types (panel B). ST = stomach; BE = Barrett's esophagus; E = esophagus; AC = adenocarcinoma; CL = cell line.

in the specimen were evaluated; in non-neoplastic gastric mucosa, only foveolar neck and surface mucous epithelial cells were evaluated; in metaplastic Barrett's mucosa, only epithelial cells in the superficial portions of the glands and the surface epithelial cells were evaluated.

*CES-2* The primary antibody was generated by Genemed Synthesis, Inc. (South San Francisco, CA), as presented previously [22]. For immunostaining, streptavidin–biotin complex IHC was performed using an i6000 automated staining system (BioGenex, San Ramon, CA) at room

temperature without antigen retrieval. The protocol was as follows: dewax, 10 minutes; peroxide block, 20 minutes; biotin block, 20 minutes; power block, 20 minutes; *CES-2* (1:150), 1 hour; link, 30 minutes; label, 20 minutes; 3,3'-diaminobenzidine tetrahydrochloride, 5 minutes; and hematoxylin, 1 minute. The negative controls were omission of the primary antibody or antibody preadsorption with its cognate peptide (1 mg/ml) overnight at 4°C.

*CES-2* expression was evaluated by two pathologists (H.Z. and W.Z.) using a semiquantitative scoring system. The intensity of staining was scored as 0 (negative), 1 (weak), 2 (medium), or 3 (strong). The extent of staining was scored as 0 (0%), 1 (1–25%), 2 (26–50%), 3 (51–75%), or 4 (76–100%), according to the percentages of the positive-staining tumor cells within each specimen on the tissue array. The sum of the scores for the intensity and extent of staining was determined. Tissues having a final staining score of less than 2 were considered “negative,” and tissues having a score of greater than 2 were considered “positive.” A sum score of 2 to 3 was considered “weak” (1+); 4 to 5 was “moderate” (2+); and 6 to 7 was “marked” (3+).

*CD-13* Prior to avidin–biotin immunoperoxidase, slides were placed in citrate buffer and treated with microwave heat for 20 minutes. The monoclonal antibody to *CD-13* (clone 38C12, 1:50 dilution; Novocastra Laboratories, Newcastle upon Tyne, UK) was applied for 1 hour at room temperature. Staining was evaluated by one pathologist (H.F.F.) and scored as 0 (“negative”), 1+ (1–10% positive cells, “weak”), 2+ (11–50%, “moderate”), or 3+ (>50%, “marked”).

## Results

### Gene Expression Profiles

Total RNA from 38 tissue specimens was hybridized on oligonucleotide microarrays containing probe sets for approximately 22,000 genes. The specimens included biopsies of normal esophagus ( $n = 2$ ), normal stomach ( $n = 5$ , including one set of normal gastric tissue pooled from a total of four subjects), BE ( $n = 4$ ), primary human esophageal and gastric AC ( $n = 5$ ), and xenografted human esophageal and gastric AC ( $n = 20$ ). Clinical and pathologic information on each of the specimens is detailed in Table 1. The primary cancers and xenografts studied were each derived from unique individuals with the exception of G208 and X71, which originated from the same patient. One xenografted specimen (X155) was hybridized in duplicate to determine the reproducibility of the assays. In addition, three separate human gastric AC-derived cell lines were included in the analysis.

### Agglomerative Clustering Analysis

To reveal distinctions between individual samples, agglomerative clustering was performed on a subset of 2849 genes that varied most significantly across the specimens. Clustering demonstrated a strong relationship between the normal specimens, and a similarly strong relationship among the AC specimens. The BE specimens

**Table 1.** Characterization of Cases Analyzed by Oligonucleotide Microarray Hybridization.

Case	Age	Site	Histology	TNM	Stage	Grade	Barrett's
<i>Normals</i>							
N334	59	E					N
N445	74	E					N
N4	57	S					N
N257	68	S					N
N285	68	S					N
N334	59	S					N
NP	50	S					N
<i>Barrett's esophagus</i>							
B1	69	E					Y
334	59	E					Y
B5	66	E					Y
B6	60	E					Y
<i>Xenografts</i>							
X11	67	EGJ	D		II	PD	N
X20	66	EGJ	I	T <sub>1</sub> N <sub>1</sub> M <sub>0</sub>	IIB	MD	Y
X43	78	MID	I	T <sub>4</sub> N <sub>1</sub> M <sub>0</sub>	IV	PD	N
X46	70	EGJ	I	T <sub>4</sub> N <sub>1</sub> M <sub>0</sub>	IV	MD	Y
X49	64	EGJ	D	T <sub>3</sub> N <sub>1</sub> M <sub>0</sub>	III	PD	Y
X51	44	EGJ	I	T <sub>4</sub> N <sub>1</sub> M <sub>1</sub>	IV	MD	N
X55	62	EGJ	I	T <sub>2</sub> N <sub>1</sub> M <sub>0</sub>	IIB	MD	Y
X56	70	EGJ	I	T <sub>3</sub> N <sub>1</sub> M <sub>0</sub>	IIIA	MD	Y
X57	64	EGJ	I	T <sub>3</sub> N <sub>1</sub> M <sub>1</sub>	IV	MD	N
X58	64	CARD	I	T <sub>4</sub> N <sub>0</sub> M <sub>0</sub>	IIIA	PD	N
X65	46	DIST	I	T <sub>3</sub> N <sub>1</sub> M <sub>0</sub>	IIIA	PD	N
X71	64	MID	M	T <sub>2</sub> N <sub>1</sub> M <sub>0</sub>	IIB	PD	N
X75	60	E	I	T <sub>3</sub> N <sub>1</sub> M <sub>0</sub>	III	MD	Y
X76	60	CARD	I	T <sub>3</sub> N <sub>1</sub> M <sub>0</sub>	IIIA	WD	N
X79	72	E	I	T <sub>2</sub> N <sub>0</sub> M <sub>0</sub>	IIA	MD	Y
X102	72	DIST	I	T <sub>3</sub> N <sub>1</sub> M <sub>0</sub>	IIIA	UD	N
X116	59	EGJ	I	T <sub>3</sub> N <sub>1</sub> M <sub>0</sub>		MD	ND
X143	48	E	I	T <sub>3</sub> N <sub>1</sub> M <sub>0</sub>	III	PD	Y
X155	62	MID	D	T <sub>2</sub> N <sub>2</sub>	IIIA	MD	ND
X156	77	EGJ	I	T <sub>2</sub> N <sub>0</sub> M <sub>0</sub>	IB	MD	ND
<i>Primary tumors</i>							
G208	60	CARD	I	T <sub>3</sub> N <sub>1</sub> M <sub>0</sub>	IIIA	WD	N
G234	58	E	I	T <sub>4</sub> N <sub>0</sub> M <sub>0</sub>	III	MD	N
G280	68	CARD	D	T <sub>3</sub> N <sub>2</sub> M <sub>0</sub>	IIIB	PD	N
G296	42	CARD	D	T <sub>3</sub> N <sub>1</sub> M <sub>0</sub>	IIIA	PD	Y
G328	84	EGJ	M	T <sub>3</sub> N <sub>1</sub> M <sub>0</sub>	IIIA	PD	Y

E = esophagus; S = stomach; EGJ = gastroesophageal junction; CARD = gastric cardia; MID = midstomach; DIST = distal stomach; WD = well-differentiated; MD = moderately differentiated; PD = poorly differentiated; UD = undifferentiated; ND = not determined.

were also highly associated with one another (Figure 1). The reproducibility of this analysis was underscored by the significant coclustering of duplicate samples studied from the same patient (X155a and X155b), as well as the almost identical coclustering of a xenograft (X71) and primary tumor sample (G208) from the same patient.

As expected, normal esophageal specimens exhibited expression of genes specific to stratified squamous epithelial cells. These included multiple cytokeratins, specifically CK-1, CK-4, CK-5, CK-6a, CK-6b, and CK-13 to CK-17 (Figure 1) [23,24]. Other than CK-17, which was expressed in some of the AC specimens, none of these cytokeratin genes was expressed in any of the normal stomach or AC specimens. Additionally, several members of the EF hand calcium binding proteins, notably S100A2, S100A7 to S100A9, S100A11, and S100A14, were found to be highly

expressed in normal esophageal specimens. Again, these genes were not expressed in any of the normal stomach or AC samples in our analysis. Similarly, specimens derived from normal stomach expressed genes known to be specific to this tissue, including gastric intrinsic factor, gastric lipase, gastrin, and progastrin (Figure 1) [25–27].

Three types of esophageal and gastric AC specimens were studied: primary human tumors, xenografted human tumors, and human gastric cancer cell lines. These were found to cluster together, separate from normal and BE tissue samples. Primary tumors and xenografts were either clustered on a subbranch that also subtended the normal and BE cases, or entirely within a separate branch. Of the specimens in the latter branch, a subset was shown to cluster directly with the cell lines. This tight association appeared to be driven by the high relative expression of genes involved in cell cycle progression and cellular replication, including MCM2, PCNA, and UBCH10, each of which has been previously described as a marker of aggressive tumor invasion and poor prognosis in various malignancies [28–36]. Statistical comparison of the clinical features of the tumors that clustered in each of the subbranches, such as TNM stage, pathologic grade, or mortality, did not reveal any distinct differences among these groups.

BE specimens expressed two clearly discernable profiles, one of which was shared to a significant extent by the normal stomach mucosal biopsy samples (termed “BE1”; Figure 1) and another that was shared by a subgroup of AC samples (termed “BE2”; Figure 1). BE1 included several genes that have been implicated as tumor-suppressor genes, most notably trefoil factor 1 (*TFF-1*) [18,37–46]. BE2 included several genes that have been previously shown to be overexpressed in cancer such as carboxylesterase 2 (*CES-2*), galectin-4, glycoprotein A33, and liver-intestine cadherin (*LI cadherin*) [13,22,47–49]. Interestingly, this subgroup of cancers that shared the BE2 profile also expressed intestinal-like genes such as *A33* and *LI cadherin*, and are unique from the highly proliferative subset. We also identified genes that were coexpressed between BE and normal esophagus, notably *CK-4*, *CK-5*, *CK-6a*, *CK-6b*, and *CK-13* to *CK-16*, as well as *S100A2* and *S100A7* to *S100A9*. Thus, BE is molecularly similar to stomach and, in part, esophagus, as well as a small subgroup of the carcinomas.

Subgroup analysis was performed to compare the expression profiles of all BE and AC specimens combined with that of all normal esophageal and stomach tissues. Genes were manually ranked by both foldchange and *t*-test. Several genes emerged as being highly expressed in the BE and AC specimens, but showed a low level of expression in normal esophagus and stomach. These included claudin 3, RhoGTPase 8, highly expressed in cancer/rich in heptad repeats (HEC), and E2F-3.

#### Supervised Classification of Tissue Specimens

The results of agglomerative clustering suggested that specimens representing either unique cell types (e.g., normal esophagus *versus* stomach) or normal *versus* meta-

plastic (e.g., BE) *versus* neoplastic lesions (e.g., AC) could be readily differentiated. To more carefully evaluate the molecular differences between tissues and cell types, a supervised learning analysis was performed.

For these experiments, the group membership of each specimen was defined, and a *k*-NN algorithm was used to assess the extent to which specimens in the four groups could correctly be classified by leave-out-one cross-validation (LOOCV). Supervised classification with a 100-gene classifier correctly predicted all of the samples according to their specified group membership, confirming and extending the results of unsupervised clustering. Although the *k*-NN method used different genes to build a predictive model during each successive loop of the LOOCV procedure, the same genes were typically common to each model.

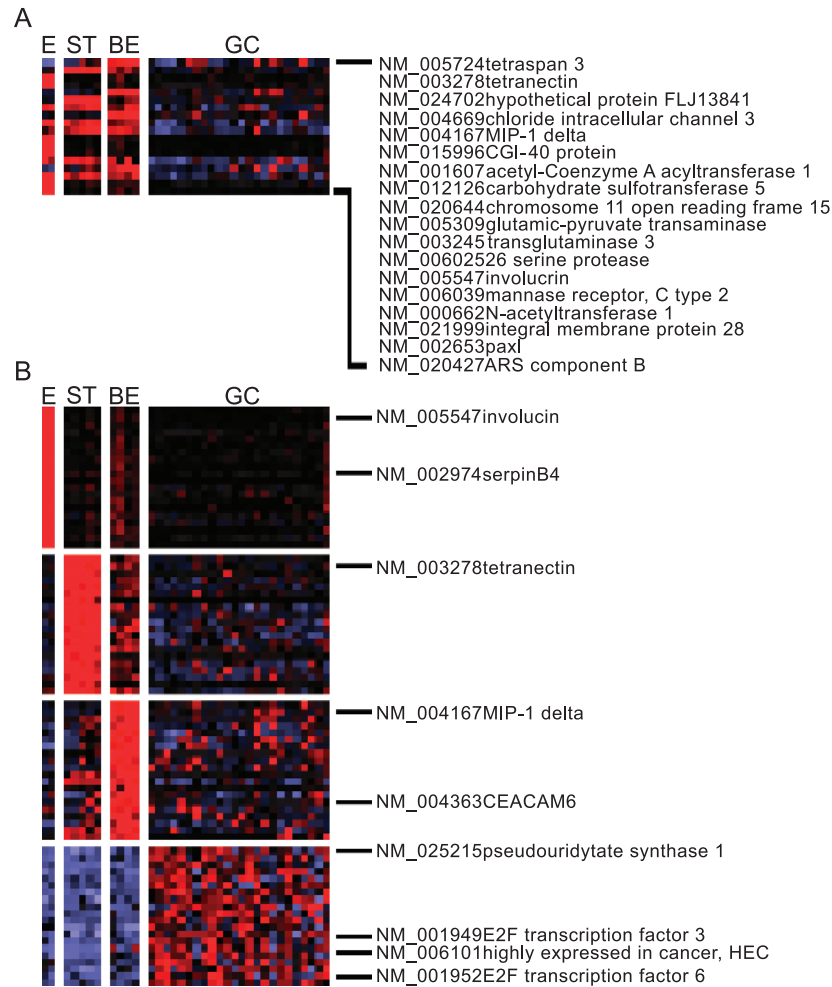
The 18 genes used in all models are depicted in Figure 2A, and the top 20 genes that best discriminated each of the groups by a S2N metric are depicted in Figure 2B. Although we could classify BE from all of the other tissue samples by supervised classification, we found very few instances of genes with BE unique expression. Genes that were strongly expressed in these lesions were also typically expressed to some degree in one or more normal stomach or AC specimens.

In an effort to identify sequences that were most predominantly expressed in BE, genes were manually ranked according to their near-uniform expression in BE, selecting against those with expression in normal tissues to the highest extent possible (see Materials and Methods). Expression in AC was also selected against; however, this criterion was less stringent. This analysis yielded 21 genes as highly and near-uniformly positive in all BE specimens, with low expression in most AC specimens (Table 2). Many of these have been previously described as being specific to human intestinal epithelium [50–57]. Genes with high expression in BE that exhibited low or absent expression in 21 of 25 AC specimens included sucrose-isomaltase and microsomal aminopeptidase (*CD-13*). Other genes, such as fatty acid binding protein 1 (*FABP-1*) and *mepirin A $\alpha$*  (PABA peptide hydrolase), exhibited low or absent expression in 17 of 25 AC specimens.

#### IHC

To extend the results of the expression profiles generated by the microarray analysis, immunohistochemistry was performed. One gene from each of the two sets of molecular profiles previously described as expressed in BE (from BE1, *TFF-1*; from BE2, *CES-2*) was selected for further study. An additional gene found in the profile unique to BE (*CD-13*) was included in this analysis.

*TFF-1* Staining with monoclonal antibody to *TFF-1* was performed on 15 esophageal biopsy specimens demonstrating a variety of epithelial subtypes, including normal squamous, gastric cardia-like, specialized intestinal metaplasia (BE), and BE with LGD or HGD. In addition, primary esophageal and gastric AC tumor specimens procured from a variety of geographic regions including the University of Virginia, Indiana University, Johns Hopkins University, as



**Figure 2.** Supervised classification of tissue specimens. Tissue samples were divided into four groups (E = esophagus, ST = stomach, BE = Barrett's esophagus, GC = adenocarcinoma) and subjected to supervised learning using *k*-NN. The 18 genes in panel A are those that were used in all models to correctly predict all of the tissue samples correctly. Panel B depicts the 20 genes per group best correlated with the distinction between the four types of tissue with a *S*<sup>2</sup>*N* ranking metric.

well as from Siena, Italy, were examined on TMA analysis. Collectively, these TMAs contained 266 primary tumor specimens and 42 xenografted specimens from 293 individual patients. Among these were 16 tissue specimens included in the oligonucleotide microarray hybridization analysis. Primary tumor sites included the esophagus ( $n = 30$ ), EGJ ( $n = 79$ ), and stomach ( $n = 114$ ), which were subdivided in 46 cases into the proximal stomach ( $n = 8$ ), midstomach ( $n = 21$ ), and distal stomach ( $n = 17$ ).

In examining the representative tissue biopsies, gastric cardia-like tissue was visualized in eight specimens and stained markedly positive for the presence of *TFF-1* in each. BE without dysplasia stained markedly positive in three of five cases, and each of the remaining two cases stained at least weakly positive. In the five cases of BE with LGD or HGD, four stained markedly positive and one stained strongly positive. Staining intensity did not correlate with degree of dysplasia (Figure 3).

In contrast, staining for *TFF-1* was found to be negative or weakly positive in 248 (84.6%) of the 293 AC specimens on the TMAs: 213 demonstrated an IHC score of 0, and 35 had an IHC score of 1 (Table 3). Only 45 (15.4%) of the AC

specimens stained at least moderately positive for the presence of *TFF-1*. This ratio was consistent across all anatomic sites of tumor origin (Table 3). As histopathologic grade worsened, *TFF-1* expression decreased somewhat: well-differentiated ( $n = 12$ ), moderately differentiated ( $n = 33$ ), and poorly differentiated ( $n = 88$ ) tumors had negative or weak expression in 75.0%, 81.8%, and 84.1% of specimens, respectively. However, this trend was not shown to be statistically significant ( $P = .73$ ).

Of the 119 combined cases of esophageal, EGJ, and proximal gastric ACs, 13 were known definitively to have arisen in association with biopsy-proven BE. Subgroup analysis of tissue from these cases demonstrated that nine (69.2%) had negative or weak staining for the presence of *TFF-1*. In the remaining 106 cases, for which association with BE was either not present or unknown, 84 (79.2%) had negative or weak staining. This difference was not shown to be statistically significant ( $P = .41$ ).

*CES-2* TMAs that included 281 primary tumor specimens and 42 xenografted specimens from 306 individual patients were studied with an antibody to *CES-2*. Among these were

**Table 2.** Genes Predominantly Expressed in Barrett's Esophagus.

Microsomal aminopeptidase
Sucrase-isomaltase
Hepatocellular carcinoma antigen gene 520
Enterokinase
FABP-1
Meprin A (alpha PABA peptide hydrolase)
GW112
Carbohydrate ( <i>N</i> -acetylglucosamine 6- <i>O</i> ) sulfotransferase 5 (CHST5)
Hypothetical protein FLJ22800 (FLJ22800)
Claudin 15
MIP-1 delta
Breast cancer resistance protein (BCRP)
FLJ22893 fis, clone KAT04792
MGC:12387
Liver-intestine cadherin
Mucin 5, subtype B, tracheobronchial
Vanin 1
Cystic fibrosis transmembrane conductance regulator, ATP-binding cassette (subfamily C, member 7) (CFTR)
Zinc finger protein (ZNF7)
Caudal type homeobox transcription factor 1 (CDX1)
Retinoic acid receptor responder (tazarotene-induced) 1

17 tissue specimens included in the oligonucleotide microarray hybridization analysis. Primary tumor sites included the esophagus ( $n = 28$ ), EGJ ( $n = 80$ ), and stomach ( $n = 121$ ), which were subdivided into 54 cases into the proximal stomach ( $n = 9$ ), midstomach ( $n = 21$ ), and distal stomach ( $n = 24$ ).

As expected, *CES-2* staining was weak in the representative normal gastric specimens. All of the BE tissues that were examined demonstrated at least weak staining for *CES-2* (Figure 3). This was expected, given the high level of expression of *CES-2* by the BE specimens in the microarray analysis.

At least moderately positive staining for *CES-2* was seen in 176 (57.5%) of all AC specimens combined (Table 3). There was no variation across anatomic sites: 15 (53.6%) esophagus, 47 (58.7%) EGJ, and 70 (57.8%) gastric (Table 3). Well-differentiated ( $n = 11$ ) and moderately differentiated ( $n = 34$ ) tumors had moderate to marked expression in 63.6% and 67.6% of specimens, respectively. However, poorly differentiated ( $n = 86$ ) tumors had moderate to marked expression in only 41.8%. The difference between well- and moderately differentiated tumors, compared to poorly differentiated tumors, was shown to be statistically significant ( $P = .026$ ). Of 95 Italian specimens, 47 (49.5%) demonstrated at least moderate positive staining, compared with 129 (61.1%) of 211 American specimens. This was not shown to be statistically significant ( $P = .056$ ).

Of the 114 combined cases of esophageal, EGJ, and proximal gastric ACs, 23 definitively arose in association with biopsy-proven BE. Subgroup analysis of tissue from these cases demonstrated that 18 (78.3%) stained either moderately or markedly for the presence of *CES-2* by IHC. In the remaining 91 cases, for which association with BE was either not present or unknown, 42 (46.2%) stained either moderately or markedly positive. This difference was shown to be statistically significant ( $P = .022$ ).

*CD-13* Staining of esophageal and gastric epithelial cells was negative throughout for *CD-13*, whereas duodenal

epithelium and BE stained markedly positive (Figure 3). Histiocytes and stromal cells in each of these types of specimens stained positive as well. Ten (71.4%) of 14 AC specimens with gene expression profile analysis demonstrated either negative ( $n = 8$ ) or weak ( $n = 2$ ) staining. Only four (28.6%) stained either moderately or markedly positive (IHC score  $\geq 2$ ) for the presence of *CD-13*. These results were consistent with those of the oligonucleotide microarray hybridization analysis, which demonstrated that *CD-13* expression was high in BE, with decreased expression in both normal and neoplastic specimens.

## Discussion

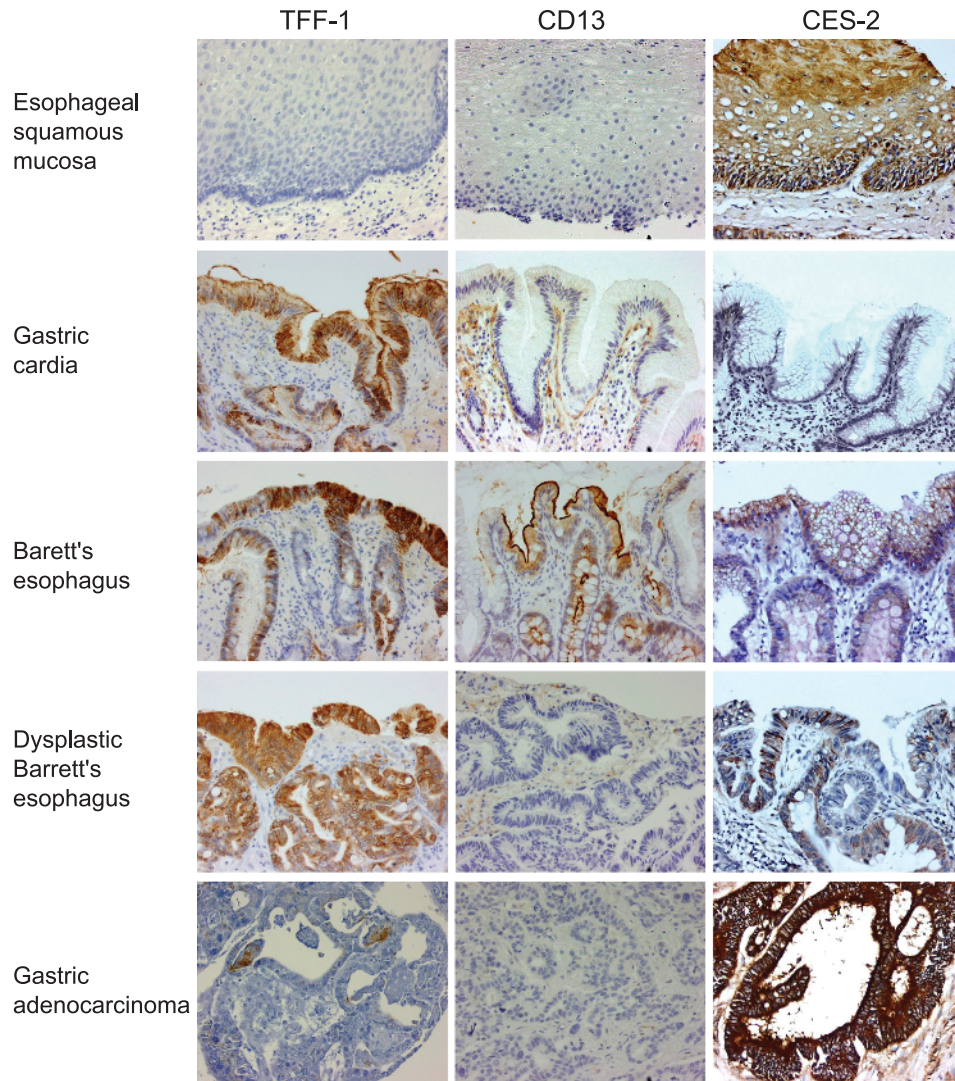
Agglomerative clustering analysis demonstrated a strong relationship between the normal esophageal and gastric specimens, as well as a similarly strong relationship among the AC specimens. Normal esophageal specimens exhibited expression of genes specific to stratified squamous epithelial cells, and specimens derived from normal stomach expressed genes known to be specific to this tissue as expected. Primary tumors and xenografts demonstrated a high relative expression of multiple known oncogenes. Supervised classification of tissue specimens confirmed that the separations observed by agglomerative clustering were primarily driven by the intrinsic transcriptional program of epithelial cells in each specimen, rather than from contamination of specimens by inflammatory or stromal cells.

The BE specimens were highly associated with one another on agglomerative clustering, with a unique gene expression profile distinct from normal and AC specimens. Supervised classification demonstrated these same general features of BE, with shared expression of genes with normal columnar gastric epithelium, and, to a lesser extent, with stratified squamous esophageal epithelium. The 21 sequences that were relatively unique to BE contained several genes known to be expressed in intestinal epithelium.

The presence of two clearly discernable profiles: "BE1," which was shared by stomach mucosal samples, and "BE2," which was shared by AC samples, is consistent with BE occurring as an intermediate step in the normal mucosa-to-AC sequence. One could hypothesize that only a fine balance between the expression of tumor-suppressor genes from BE1 and the expression of oncogenes from BE2 limits the malignant potential of any individual BE lesion. In this exploratory study, none of the four BE specimens analyzed with the microarray technique contained any degree of dysplastic epithelium. Further analyses are warranted to examine an increased number of BE specimens with varying degrees of dysplasia, as well as from some individuals who have progressed to AC. The relative expression of BE1 and BE2 sequences in these varying circumstances may eventually prove useful in risk stratification of these patients.

## *TFF-1*

As was predicted by the results of the microarray analysis, IHC demonstrated absent or minimal expression of the



**Figure 3.** Immunohistochemical analysis. Immunohistochemistry staining of trefoil factor 1 (*TFF-1*), microsomal aminopeptidase (*CD-13*), and Carboxylesterase 2 (*CES-2*) in representative specimens of normal esophagus, normal stomach, Barrett's esophagus, Barrett's esophagus with dysplasia, and adenocarcinoma.

*TFF-1* protein product in the vast majority (84.6%) of the 293 combined esophageal and gastric AC specimens. In addition, staining of normal stomach and BE, with and without dysplasia, confirmed an abundant expression of *TFF-1* in

these tissues. This is the first report of *TFF-1* loss being involved in the transformation of BE to AC.

*TFF-1* has been extensively characterized as a tumor-suppressor gene in gastric AC, with decreased expression demonstrated at both the mRNA and protein levels [18,37–39,41–43,45]. In addition, *TFF-1* knockout mice have been observed to develop gastric lesions [40]. The results of this study indicate that loss of *TFF-1* may be involved in the development of BE-associated malignancies. Given its apparent abundant expression in BE with varying degrees of dysplasia, but decreased expression in AC, it appears that loss of *TFF-1* expression may be one of the genetic alterations encountered in the transition to invasive AC. This finding has potential important biologic as well as practical implications on the controversial issue of treatment of patients with BE and HGD. Management of such individuals can consist either of aggressive medical therapy and surveillance endoscopy in some centers, or automatic referral for esophagectomy in others. The use of a biomarker

**Table 3.** Immunohistochemistry Scores of Tissue Microarrays of Adenocarcinomas by Anatomic Site of Origin.

IHC Score	"Negative-to-weak" 0 + 1 (%)	"Moderate-to-marked" 2 + 3 (%)
<i>TFF-1</i> staining		
Esophagus (n = 31)	24 (80.0%)	6 (20.0%)
EG Jxn (n = 72)	62 (78.5%)	17 (21.5%)
Stomach (n = 103)	96 (84.2%)	18 (15.8%)
<i>CES-2</i> staining		
Esophagus (n = 28)	13 (46.4%)	15 (53.6%)
EG Jxn (n = 80)	33 (41.3%)	47 (58.7%)
Stomach (n = 115)	51 (42.2%)	70 (57.8%)



such as loss of *TFF-1* may aid in the ability to predict the likelihood of progression to malignancy in these patients, and help guide treatment decisions in the future. Validation studies are planned to demonstrate this potential clinical utility.

### *CES-2*

As predicted by the microarray analysis, overexpression of *CES-2* protein product in BE was confirmed by IHC. In addition, a major fraction (57.5%) of AC demonstrated significant expression of *CES-2*. Noteworthy, of the AC specimens that definitively arose in association with BE, 78.3% demonstrated abundant expression of *CES-2*. This was statistically significantly higher than that seen with AC specimens for which association with BE had not been established (46.2%) ( $P = .022$ ). These results suggest that the differentiation of normal stratified squamous esophageal mucosa into BE is accompanied by increased expression of *CES-2*. In addition, it appears that *CES-2* continues to be overexpressed in a substantial majority of AC that arise from BE. To our knowledge, this is the first report of *CES-2* being preferentially expressed in malignancies known to occur in association with BE.

*CES-2* is a hydrolytic enzyme present in a wide variety of organs and tissues. The highest expression is seen in cells of the liver, small intestine, adrenal cortex, and renal proximal tubule [22]. It has also been identified as the key enzyme in the conversion of the chemotherapeutic agent irinotecan—currently utilized in the treatment of malignancies such as colorectal and gastric AC—into its active metabolite. Recent literature suggests that expression of *CES-2* in target tumor tissue may correlate with the efficacy of irinotecan therapy [49].

Thus, the presence of *CES-2* expression in cancers known to have developed in the setting of BE may ultimately be utilized as a guide for the use of, and predictor of therapeutic response to, irinotecan. This could be particularly applicable in patients with esophageal, EGJ, or proximal gastric AC who are at high risk for complications from surgical intervention, and in whom chemotherapy, external beam radiation, and therapeutic endoscopy are currently the only available treatment options, with limited efficacy. Once validated for clinical utility, *CES-2* expression in BE-associated cancers may add to our armamentarium to combat this lethal disease.

### Conclusions

This comprehensive utilization of microarray technology to study BE, ACs that arise from this lesion, and normal tissues has facilitated the identification of signature gene expression alterations in these cases for further characterization. The analysis examined the expression of over 22,000 sequences in 38 specimens from all relevant tissue subtypes, including normal epithelium and xenografted tumors, for optimal samples to analyze molecularly. Furthermore, confirmatory analysis was performed, with IHC utilizing TMAs containing nearly 300 tissue specimens

of tumors from various sites and pathologic stages from four institutions.

BE-associated AC is a significant source of expense, morbidity, and mortality in developed nations. Further knowledge of the molecular mechanisms responsible for the metaplasia-to-neoplasia sequence may lead to improved understanding of this disease and, eventually, better outcomes. The results of this study reveal novel, enticing findings regarding the unique molecular profile of BE as it compares with normal epithelial tissue and AC of the esophagus, EGJ, and stomach. Future analyses are planned to validate potential biomarkers such as *TFF-1* and *CES-2* in more expanded studies.

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