Use of Fourier-Transform Infrared Spectroscopy for Typing of *Candida albicans* Strains Isolated in Intensive Care Units

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Comparative studies of *Candida albicans* **strains are essential for proving cross-infections in epidemiological investigations. Typing of** *C***.** *albicans* **strains is mainly based on genotypic methods. Fourier-transform infrared (FTIR) spectroscopy is described in this study as a novel phenotypic approach to the typing of** *C***.** *albicans***. The first step in the approach was the standardization of sample preparation (culture conditions and sampling parameters) and acquisition and classification parameters (spectral acquisition, spectral window selection, classification algorithm, and heterogeneity threshold). The second step consisted of validating the established parameters with a set of 79 strains of** *C***.** *albicans* **isolated over 4 months from nine patients hospitalized in two intensive care units. Strains were isolated from multiple anatomical sites with repeated sampling. FTIR spectroscopy results were compared to randomly amplified polymorphic DNA (RAPD) results; this analysis showed that the amplification patterns of strains isolated from a given patient were identical and that different patients had different profiles. FTIR spectroscopy data were analyzed by hierarchical clustering performed with the second-derivative spectra. This classification revealed nine groups, one per patient. Only one spectrum out of 79 was misclassified by the FTIR spectroscopy method. RAPD and FTIR spectroscopy results were in good agreement, showing that, when nosocomial candidiasis transmission is suspected and urgent information is needed, this technique may be useful as a quick identification tool to give solid clues before confirmation by a genotypic method.**

Fungal infections occur mainly in immunodeficient patients or those harboring several risk factors, such as broad-spectrum antibiotic therapy, digestive surgery, catheter implantation, or graft transplantation. Thus, intensive care unit (ICU) patients are high-risk patients since they generally have several of these factors. *Candida* spp. constitute the third or fourth most common cause of nosocomial infections in ICUs, according to data from the National Nosocomial Infections Surveillance System and the European Prevalence of Infection in Intensive Care (1, 22). *Candida albicans* is generally responsible for up to 50 to 70% of the infections, and its endogenous origin is generally implicated (3, 7, 21, 23). However, cases of exogenous contamination have been described (4, 6, 13, 14, 16). Demonstration of the exogenous origin of a contaminant pathogen is based on the identification and comparison of strains. Among the different techniques used, genotypic methods are favored over phenotypic methods. Randomly amplified polymorphic DNA (RAPD) and other DNA fingerprinting methods, PCR-restriction fragment length polymorphism analysis, multilocus enzyme electrophoresis, DNA sequencing, and pulsed-field gel electrophoresis are generally used to check strain identity. These techniques are successful but require time, expensive consumables, and highly trained staff to be performed adequately.

In this study, we applied a novel phenotypic approach based on infrared absorption spectroscopy to the typing of *C*. *albicans* isolates collected over a 4-month period from longitudinally monitored patients in two ICUs. Fourier-transform infrared (FTIR) spectroscopy allows analysis of molecular composition through the interaction between the infrared radiation and the sample. This promising method has been demonstrated able to identify microbial genera and species with a high degree of confidence (10, 11, 19). FTIR spectroscopy has been proven very simple to use and very sensitive to small changes in the composition of cells (5, 12), leading to the conjecture that the identification of yeasts at the strain level might be possible under well-controlled conditions (15, 19, 20). Here, RAPD and FTIR spectroscopy analyses were performed in parallel, and the results are discussed in view of evaluating the potential of FTIR spectroscopy for typing strains belonging to the same species.

MATERIALS AND METHODS

Origins and identification of the strains. *C*. *albicans* strains were collected by the mycology laboratory of Reims University Hospital, Reims, France. Patients in two ICUs were placed under systematic surveillance for the detection and prevention of fungal infections. For each patient, different anatomical sites (e.g., trachea, throat, rectum, and surgical site) were sampled every 10 days or more frequently if required; each positive culture was classically identified by culturing on *Albicans* ID chromogenic medium (bioMérieux, Marcy l'Etoile, France) and by testing for germ tube formation and chlamydospore formation. For each positive *C*. *albicans* culture, a single colony was isolated and stored before analysis by both the RAPD method and FTIR spectroscopy. During this 4-month study, 79 strains of *C*. *albicans* were obtained from nine ICU patients whose

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Patient ^a	ICU stay (wks)	Age (yr)	Sex^b	Reason for hospitalization	No. of samples	Body site or type of sample ^{c} (no. of samples)
		66	M	Posttraumatic respiratory insufficiency		$T(2)$, t (4), A
		68	M	Respiratory insufficiency		$T(3)$, t (2) , U
		59	M	Polytrauma		$T(2)$, t (2) , A, N
	8	51	M	Respiratory insufficiency		$T(4)$, t (5), A (4), S, U (3)
		73	M	Cardiac surgery, respiratory insufficiency, kidney failure	12	$T(2)$, t (3), A (4), U (2), SS
		76	F	Septic shock after peritonitis	8	$T(2)$, t (1) , A (2) , N (2) , U
		59	M	Polytrauma	₍	$T(2)$, t (2) , A, stools
		55	M	Polytrauma	10	T, A, U (2) , AD (4) , S (2)
		33	Е	Multiple sclerosis, respiratory insufficiency		$T(4)$, t (3)

TABLE 1. Origins of isolates and clinical characteristics of patients

a Patients 1, 3, 4, 5, 6, and 8 were in the first ICU, and patients 2, 7, and 9 were in the second ICU. *b* M, male: F, female.

^c T, trachea; t, throat; A, perianal swab; U, urine; N, nasal swab; S, stoma; AD, abdominal drain; SS, sternotomy scar swab.

duration of stay exceeded 2 weeks (Table 1). In addition, four *C*. *albicans* collection strains, ATCC 10231, ATCC 90028, ATCC 28367, and ATCC 38696, were used. One strain from patient 4 was arbitrarily chosen for reproducibility testing. For blind-test experiments, 40 samples originating from an unknown (to the experimenter) number of strains were provided by the mycology laboratory.

Culture conditions and sample preparation for FTIR spectroscopy. Two cell preservation modes were tested. Strains were stored either at -80°C in Sabouraud-glucose broth (Merck, Nogent sur Marne, France) with 10% glycerol (Sigma, St. Quentin Fallavier, France) or at room temperature in water prior to spectroscopic identification. For frozen samples, overnight thawing on Sabouraud-gentamicin-chloramphenicol agar (bioMérieux) was performed. For both types of samples, culturing was carried out with the same medium at 37°C for 24 or 48 h. Cells were harvested by carefully scraping the agar plate with a 10-µl plastic loop and were resuspended in 1 ml of distilled water. The concentration of each suspension was spectrophotometrically measured (Uvikon; Kontron Instruments, Thiais, France) at 500 nm and adjusted to an optical density of 3 to control film thickness. Thirty-five microliters of each suspension was deposited on a sample carrier and desiccated under a mild vacuum to form a thin film of biological material suitable for FTIR spectroscopy measurements (8). Sample desiccation was necessary to avoid strong water absorption in the protein region of the infrared spectra.

FTIR spectroscopy, data treatment, and analysis. Mid-infrared spectra were recorded by using an Equinox 55 (Bruker, Wissemburg, France) spectrometer equipped with a KBr beam splitter, a deuterated triglycine sulfate detector, and a MICOR-ID sample changer compartment and by using a zinc selenide (ZnSe) sample carrier with 15 positions. The spectrometer is continuously purged with dry air generated by a model 75-62 FTIR spectroscopy purge gas generator (Whatman, Villeneuve d'Ascq, France).

Spectra were recorded from 500 to $4,000 \text{ cm}^{-1}$, and 64 or 256 scans were averaged at a resolution of 6 or 4 cm^{-1} . A zero filling factor was applied to give a final resolution of one point per wave number. Spectra were analyzed with Opus NT 3.1 software (Bruker). Derivation of the spectra to the second order was used to increase the number of discriminative features present in the spectra, and this goal was achieved by using a Savitsky-Golay algorithm with a nine-point filter. Spectra were classified by using Opus Ident cluster analysis based on a hierarchical classification algorithm (8) and implemented in the Opus NT 3.1 software.

RAPD analysis. After samples were cultured on Sabouraud-gentamicin-chloramphenicol agar, a suspension of 2×10^8 yeasts/ml was prepared. DNA was extracted with a QIAmp DNA mini kit (Qiagen, Courtaboeuf, France). Briefly, after centrifugation of the suspension $(5,000 \times g$ for 5 min), the pellet was incubated with tissue lysis buffer and proteinase K for 1 h. DNA treatment with lysis buffer (70°C for 10 min) was followed by ethanol precipitation. The lysate was bound to microcentrifugation columns, washed twice, and finally eluted with $H₂O$.

For the analysis, we used a Ready to go RAPD Analysis Beads kit (Amersham Pharmacia Biotech). The two oligonucleotides used as primers, B03 (5-CATC CCCCTG-3) and B12 (5-CCTTGACGCA-3), were selected from 25 tested. The DNA content was measured photometrically at 260 nm. PCR was performed with 20 ng of DNA as a template in a final volume of 25μ l. Samples were denatured at 95°C for 5 min with a Hybaid thermocycler; this step was followed by 45 cycles of 1 min at 95°C, 1 min at 36°C, and 2 min at 72°C. The PCR products were analyzed under UV light after electrophoresis through 1.6% (wt/vol) agarose gels containing ethidium bromide. Patterns were digitized by

using a FluorS Multimager (Bio-Rad, Ivry sur Seine, France) with QuantityOne 4.1.0 software (Bio-Rad) and analyzed by using Biodiversity 2.20 (Bio-Rad).

RESULTS AND DISCUSSION

FTIR spectroscopy is increasingly being used for the identification of microorganisms at the species level, but it has been proven to have the potential to discriminate between strains of the same species (9, 17, 18, 20). In this study, we aimed to investigate the capability of this technique to compare strains of *C*. *albicans*, a widely occurring yeast and opportunistic pathogen, in a clinical setting. To do so, we studied isolates from ICU patients and evaluated the ability of this phenotypic technique to detect interpatient fungal transmission.

Methodology standardization. Differentiation of yeast strains by phenotypic methods is complex, since variability can be introduced at the two main stages of the protocol, the culture stage and the analysis stage. Indeed, FTIR spectra can reflect small variations due to culture parameters (e.g., culture time, medium composition, medium pH, temperature, humidity, and storage mode). Our first goal was to standardize culture and sample preparation conditions. As a result, only spectra recorded for the same batch of culture medium, at the same temperature, and during the same precise culture time were compared, as described elsewhere (8, 11).

It was also found that spectra were different when the strains were stored at -80° C in 10% glycerol or were simply conserved in water. Consequently, to standardize this step, we compared only spectra of strains that were stored and processed in the same way, i.e., conserved in water.

Both spectral acquisition parameters and sample preparation are important for the reproducibility of spectra. Dehydrated films with a homogeneous thickness are used for FTIR spectroscopy measurements. When the suspension concentration was adjusted manually, the reproducibility of *C*. *albicans* films was insufficient for consistent typing. Thus, it was necessary to measure and adjust the density of the cell suspension to control the thickness of the deposit. The density at 500 nm was adjusted from 2.99 to 3.01 by using a spectrophotometer prior to film formation and infrared measurements. Desiccation was performed for 15 samples under a weak vacuum at approximately 0.2 bar (1 bar = 10^5 Pa) for 45 min. Although heterogeneity due to culture conditions cannot be completely eliminated and therefore does still influence spectral variability, a

FIG. 1. Second-derivative FTIR spectra in the polysaccharide absorption region (900 to 1,200 cm⁻¹). The polysaccharide region, characterizing essentially cell wall structure and composition, is a primary feature for strain delineation. For each of the two patients considered here (grey lines and black lines), second-derivative spectra of samples isolated from different body sites and on different sampling days are shown.

level of reproducibility sufficient for strain typing can be achieved by abiding by procedures compatible with clinical laboratory practices.

Another task was to determine the parameters allowing the identification of the strains on the bases of the spectral information: acquisition of the spectra, selection of the spectral features allowing the differentiation of strains, classification algorithms, and heterogeneity threshold. To do so, a set of test strains was used in a preliminary study. Spectra were recorded by using two different sets of acquisition parameters, and the zero filling factor was adjusted to maintain the same number of points per spectrum: 6-cm⁻¹ resolution and 64 scans and 4 -cm⁻¹ resolution and 256 scans. For each strain, three independent cultures were used and spectra were recorded over the range of 500 to $4,000 \text{ cm}^{-1}$. Only discrete windows in the spectral range of 750 to $3,100 \text{ cm}^{-1}$ were selected for strain classification by using the cluster analysis of the Opus NT 3.1 Ident module. Since the raw spectra were very similar, the classification scheme had to be enhanced by use of secondderivative spectra, which revealed small hypervariable regions spread over the spectral window mentioned above. Part of this spectrum is depicted in Fig. 1 for clarity. These regions allowed us to regroup samples according to strain identity. Correct classification could be attained either with 4 -cm⁻¹-resolution spectra and 256 scans or with 6 -cm⁻¹-resolution spectra and 64 scans after both 24 and 48 h of culturing. We found that the accumulation of 256 scans only moderately enhanced reproducibility while greatly increasing acquisition time. The increased resolution did not reveal new discriminative features. Hence, we continued this study by using the following conditions: 64 accumulations, 6 -cm⁻¹ resolution, and 48 h of culturing (for commonality with the RAPD protocol). This set of parameters was previously described for species identification (8), and we show here that strain identification can be achieved as well. The two analyses can thus be performed in one step.

The reproducibility of FTIR spectroscopy data was investigated by the iterative analysis of a collection strain (ATCC 10231) and an arbitrarily chosen clinical strain over several months. For each of these strains, spectra remained identical over several repeated measurements. However, care must be taken when spectra are compared over longer periods of time because some spectral variations could arise from different medium batches or other environmental conditions of culturing. It is worth noting that standardization could be further improved by the use of synthetic culture media, a controlled atmosphere $(CO₂$ and humidity) (allowing better management of culture conditions), and automation at some stages of the protocol (e.g., suspension deposit on the infrared window).

Blind tests. After standardization of the culture conditions and the sample preparation and acquisition parameters, we tested the robustness of the method by performing a series of four blind tests. In each of these tests, 10 samples originating from an unknown number of strains were analyzed by FTIR spectroscopy. In order to determine the number of strains, an internal heterogeneity threshold based on the maximum variability within a cluster of the same sample was defined. One

FIG. 2. Ethidium bromide-stained gels showing representative RAPD patterns for *C*. *albicans* strains from nine patients (lanes 1 to 9) with each of the two primers used: primer B03 (left) and primer B12 (right). Lane MM, molecular weight marker.

hundred percent correct identification could be achieved for spectra measured from single cultures. For one of these tests, the rate of correct identification fell to 96% when three independent cultures of the same sample were compared. This result emphasizes that interculture variability can influence classification results. Despite this observation, we used three independent cultures for the clinical trial because this approach allows monitoring of spectral variability.

Clinical trial. In this study, which lasted 4 months, we monitored several patients who were placed under ongoing mycological surveillance in two ICUs of Reims University Hospital. For nine of them, colonization by *C*. *albicans* was systematically observed during their hospitalization period, and collection of several isolates from different anatomical sites was possible for each patient. Isolates from these patients were investigated by both FTIR spectroscopy and RAPD methods. The RAPD study showed that the amplification patterns of strains isolated from a given patient were identical and that different patients had different profiles (Fig. 2). For FTIR spectroscopy analysis, three to five spectra, representing independent cultures, were averaged for each isolate, and the means of the second-derivative spectra were calculated. The spectra exhibited small differences over the range of 750 to $3,100 \text{ cm}^{-1}$, particularly in the polysaccharide region (900 to $1,200$ cm⁻¹).

We used multivariate statistical classification to perform accurate and objective clustering of spectra. The classification algorithm used when a small number of strains was involved (standardization and blind tests) had to be improved for a larger set of 79 strains, and some feature selection procedures were used. The variance was computed at every point of the mean spectra. Regions of high interpatient variance were used in the classification. Each of these regions was given equal weighting in the classification, reflecting the facts that interstrain differences were unpredictable and that genetically unrelated strains might differ only in small parts of the spectra. The whole spectral range (700 to 900 cm⁻¹, 900 to 1,200 cm⁻¹, 1,200 to 1,290 cm⁻¹, 1,290 to 1,380 cm⁻¹, 1,380 to 1,720 cm⁻¹, and 2,800 to 3,000 cm^{-1}) was used, except for the lipid ester region $(1,720 \text{ to } 1,760 \text{ cm}^{-1})$. This region, containing absorption from the $C=O$ bond of fatty acid esters, was highly variable, reflecting the dependence of fungal lipid content on culture conditions (2). Furthermore, this region was found to be highly influenced by a light diffraction phenomenon. Other spectral windows, such as the mixed region (1,360 to 1,480 cm^{-1}) and the region from 2,800 to 3,000 cm^{-1} , also contained important contributions from the absorption of lipids, but their variability was attenuated by the absorption of other cell components.

Hierarchical classification of the infrared data gave nine distinct groups, one per patient, and only one spectrum (that for patient 1) was misclassified (Fig. 3). However, this strain did not exhibit different RAPD patterns irrespective of the primer used. No strain obtained from different patients had a spectral signature identical to that of any other strain. The heterogeneity of each group was 7.9 ± 3.3 (mean and standard deviation) (maximum, 13.7), meaning that a correlation coefficient of greater than 98% was achieved between spectra for a given patient over the wave number range used in the classification algorithm. Interpatient spectral heterogeneity was found to be between 19 and 400. The highest within-patient heterogeneity of 13.7 was obtained for patient 4, who had the highest number of isolates. Two subgroups of 12 and 5 spectra could be distinguished. The former subgroup was composed of spectra recorded from isolates collected during the first 6 weeks of hospitalization, and the latter subgroup was composed of spectra recorded from isolates collected in the last 2 weeks. RAPD analysis did not reveal differences in the amplification patterns of strains isolated from this patient. Thus, we assume (i) that this subgrouping did not originate from colonization by two unrelated strains but could have been due to mild modifications of the strain phenotype over a long period of time and (ii) that the FTIR spectroscopy method detects subtle strain changes that cannot be detected by the RAPD method.

A comparison of RAPD and FTIR spectroscopy results obtained during blind tests and the clinical trial reveals the respective advantages of the two methods. The RAPD technique is less hindered by the variations in culture conditions that are the main limitations of phenotypic methods. However, the multiplicity of steps in the RAPD protocol (DNA extraction, amplification, migration, and visualization) hampers reproducibility and rapidity. The protocol for FTIR spectroscopy is simpler and involves fewer steps. The interpretation of RAPD patterns can sometimes be difficult because of variations in band intensities. In our analysis, this problem was addressed by using the criterion that two isolates corresponded to the same strain even when their patterns differed by one weak band.

FIG. 3. Dendrogram showing the hierarchical clustering obtained from the means of the second-derivative spectra of the 79 isolates from patients 1 to 9. Heterogeneity ranged from 19 to 400. Only one spectrum (patient 1) was misclassified (arrow).

Spectral analysis can be performed in a more straightforward and objective manner with the procedures implemented here. Moreover, if there is doubt, samples can be quickly reanalyzed by FTIR spectroscopy at virtually no cost. It is noteworthy that, although the FTIR spectroscopy measurements were obtained for 48-h-old cultures for comparison with the RAPD technique, similar reproducible results have been obtained for 24 h-old cultures (data not shown).

Taken together, the results obtained in this study showed that neither the FTIR spectroscopy method nor the RAPD method detected any cross-contamination, leading to the conclusion that colonization and infection of these patients were of endogenous origin. FTIR spectroscopy combines rapidity, sensitivity, and reproducibility, making it an inexpensive typing method for performing epidemiological comparisons in an automated and objective way. It offers the possibility of rapidly obtaining information on strain relatedness, allowing adapted measures to be taken in case of an outbreak. In situations in which there is doubt, further analysis could necessitate the use of more costly and time-consuming methods, such as genotypic ones. FTIR spectroscopy allows a high number of samples to

be processed in one working day. The number of analyses could, in the long run, be increased by 1 order of magnitude relative to that for genotypic methods. FTIR spectroscopy requires no specific consumables and can therefore be applied to any cultivable microorganism. Thus, this technique could be extended to non-*C*. *albicans Candida* typing with no or minor changes in the experimental protocol.

Other fields of application, including phenotypic characterization of *C*. *albicans* strains involved in pathogenicity, detection of virulence markers, and resistance to antifungal agents, are being considered.

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