

### Growth differentiation factor 11 signals through the transforming growth factor- $\beta$  receptor ALK5 to regionalize the anterior–posterior axis

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Growth differentiation factor 11 (GDF11) contributes to regionalize the mouse embryo along its anterior–posterior axis by regulating the expression of Hox genes. The identity of the receptors that mediate GDF11 signalling during embryogenesis remains unclear. Here, we show that GDF11 can interact with type I receptors ALK4, ALK5 and ALK7, but predominantly uses ALK4 and ALK5 to activate a Smad3-dependent reporter gene. Alk5 mutant embryos showed malformations in anterior–posterior patterning, including the lack of expression of the posterior determinant Hoxc10, that resemble defects found in Gdf11-null mutants. A heterozygous mutation in Alk5, but not in Alk4 or Alk7, potentiated Gdf11<sup>-/-</sup>-like phenotypes in vertebral, kidney and palate development in an  $Acvr2b^{-/-}$  background, indicating a genetic interaction between the two receptor genes. Thus, the transforming growth factor- $\beta$  (TGF- $\beta$ ) receptor ALK5, which until now has only been associated with the biological functions of TGF-b1 to TGF-b3 proteins, mediates GDF11 signalling during embryogenesis.

Keywords: BMP-11; Hox genes; homeotic transformation; kidney agenesis; cleft palate

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#### INTRODUCTION

The transforming growth factor- $\beta$  (TGF- $\beta$ ) superfamily comprises a large group of structurally related ligands with pleiotropic functions. Many TGF- $\beta$  superfamily members have key roles in patterning processes during embryogenesis (Chang et al, 2002). A large body of in vitro work has been devoted to the characterization of TGF- $\beta$  superfamily signalling through heteromeric complexes of type I and type II receptors. Their signalling specificity in vivo, however, remains largely unknown (Chang et al, 2002; Shi & Massague, 2003). Type I receptors act as the main

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intracellular effectors of  $TGF-B$  superfamily signalling by the phosphorylation of different sets of Smad proteins. Although more than 30 ligands are known in the TGF- $\beta$  family, only seven type I receptors (ALK1–7) have been identified. ALK4, ALK5 and ALK7 activate Smad2 and Smad3 in response to activin, TGF- $\beta$ and Nodal ligands. Conversely, ALK1, ALK2, ALK3 and ALK6 phosphorylate Smad1, Smad5 and Smad8 in response to TGF-b and bone morphogenetic protein (BMP) ligands.

Growth differentiation factor 11 (GDF11) is a member of the  $TGF-\beta$  superfamily that has been shown to regulate several patterning events, including anterior–posterior regionalization, kidney development and closure of the palate (Gad & Tam, 1999; McPherron et al, 1999; Esquela & Lee, 2003). Previous biochemical experiments have demonstrated that GDF11 can activate Smad2 in ectodermal explants from Xenopus embryos (Oh et al, 2002), suggesting the involvement of ALK4, ALK5 or ALK7 receptors in GDF11 signalling. In agreement with this, GDF11 was also shown to be capable of interacting with ALK4 when coexpressed with either Acvr2 (also known as ActRIIA) or Acvr2b (also known as ActRIIB; Oh et al, 2002). The possible roles of these type I receptors were not tested in that study, and the identity of the type I receptors that mediate GDF11 signalling in vivo remains unknown. In this work, we set out to investigate GDF11 signalling and ligand–receptor interactions to determine which type I receptor—that is, ALK4, ALK5 or ALK7—mediates GDF11 signalling both *in vitro* and *in vivo*.

### RESULTS AND DISCUSSION

We first characterized GDF11 signalling *in vitro* in receptor binding and reporter gene assays. Soluble Fc-fusion proteins of the extracellular domains of ALK4, ALK5, ALK7 and Acvr2b were incubated with haemagglutinin (HA)-tagged GDF11 and used in pull-down assays. GDF11 could only bind directly to Acvr2b but not to any type I receptor (Fig 1A). Several TGF- $\beta$  superfamily ligands engage in a complex with a cognate type I receptor only after they have bound to a type II receptor (Shi & Massague, 2003). We therefore crosslinked <sup>125</sup>I-labelled GDF11 to COS cells that had been transfected with different combinations of HA-tagged ALK4, ALK5 or ALK7, together with Acvr2b receptors. Robust Received 13 March 2006; revised 16 June 2006; accepted 16 June 2006;<br>published online 14 July 2006 under 2006; accepted 16 June 2006; https://embing of <sup>125</sup>I-GDF11 to all three type I receptors was observed in

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Fig 1 | GDF11 binding to Acvr2b and type I receptors ALK4, ALK5 and ALK7. (A) Pull-down assay of haemagglutinin (HA)-tagged GDF11 with soluble Fc-fusion proteins of Acvr2b, ALK4, ALK5 and ALK7. The first lane in the western blot (WB) corresponds to 5% input of HA-tagged GDF11 (50 ml conditioned media). (B) Crosslinking binding assay in COS cells transfected with the indicated constructs and incubated with 125I-GDF11. After crosslinking, receptor complexes were immunoprecipitated (IP) with anti-HA antibodies. The lower panel shows reprobing with anti-HA antibodies. GDF11, growth differentiation factor 11.

the presence but not in the absence of co-transfected Acvr2b (Fig 1B), indicating that GDF11 can interact with ALK4, ALK5 and ALK7 in an Acvr2b-dependent manner. We then examined the ability of GDF11 to elicit intracellular signals through distinct receptors in receptor reconstitution experiments using the Smad3 dependent gene reporter CAGA-Luc. To examine which type II receptors are able to mediate GDF11 signalling, we used HepG2 cells, which are highly sensitive to addition of type II receptors and endogenously express ALK4 and ALK5 (Reissmann et al, 2001). GDF11 had a modest effect on CAGA-Luc activity in these cells (Fig 2A). Transfection of Acvr2b greatly increased responsiveness to GDF11, whereas the TGF- $\beta$  type II receptor and BMP type II receptor did not affect GDF11 signalling (Fig 2A). An increase in reporter activity could also be seen after transfection of Acvr2, which is consistent with previous studies (Oh et al, 2002). To investigate which type I receptors are able to mediate GDF11 signalling, we used R4-2 cells, which express low levels of type I receptors. As expected, TGF-b1 activated the CAGA-Luc reporter only in cells that received ALK5, whereas Xnr-1 (Xenopus nodal related 1) did so in cells that received either ALK4 or ALK7 together with the Nodal co-receptor Cripto (Fig 2B). Although GDF11 could generate signals through all three type I receptors, it was significantly more potent when either ALK4 or ALK5 was expressed (Fig 2B). We further analysed GDF11 signalling by combinations of type I and Acvr2 receptors in R4-2 cells (Fig 2C). Both Acvr2 and Acvr2b were able to potentiate GDF11 signalling through ALK4, ALK5 or ALK7 in these cells, indicating that these type I receptors might use either Acvr2 or Acvr2b receptors to transmit GDF11 signalling in transfected cells. Together, these experiments demonstrated that GDF11 can use type II receptors Acvr2 and Acvr2b and the type I receptors ALK4 and ALK5 to mediate intracellular signalling.

Inactivation of the Gdf11 gene in mice leads to defects in anterior–posterior patterning and in kidney and palate development (McPherron et al, 1999). To identify the type I receptors that mediate GDF11 signalling in vivo, we investigated the occurrence of Gdf11-null phenotypes in mice that carry inactivating mutations in the Alk4, Alk5 or Alk7 genes. Unfortunately, the early embryonic lethality of  $Alk4^{-/-}$  and  $Alk5^{-/-}$  homozygous mutants prevented us from directly assessing phenotypes in these mice (Gu et al, 1998; Larsson et al, 2001; Seki et al, 2006). However, we hypothesized that haploinsufficiency of either Alk4 or Alk5, which per se results in no visible defects, might potentiate the phenotypes observed in  $Acvr2b^{-/-}$  mice, which show malformations that are similar to, but less severe than, those seen in  $Gdf11^{-/-}$ mutants (Oh & Li, 1997). Unlike  $Acvr2b^{-/-}$  mutants,  $Acvr2^{-/-}$ animals show no overt abnormalities in axial patterning (Oh et al, 2002), which suggests a less prominent role for this receptor in GDF11 signalling in vivo. Although  $Alk7^{-/-}$  single mutants are viable, fertile and do not show any axial patterning malformations (Jörnvall et al, 2004),  $Alk7^{-/-}$ ;Acvr2b<sup>-/-</sup> double knockouts were also generated and analysed.

Wild-type mice normally have 26 presacral vertebrae in a characteristic pattern that comprises seven cervical (C), 13 thoracic (T) and six lumbar (L) vertebrae, herein referred to as C7/T13/L6. By contrast, and owing to defects in anterior–posterior axis formation, most  $Gdf11^{-/-}$  mutants have 33 presacral vertebrae in a C7/T18/L8 pattern (McPherron et al, 1999). Conversely,  $Acvr2b^{-/-}$  mice most often showed 29 presacral vertebrae in a C7/T16/L6 pattern (Fig 3A; Table 1), consistent with what has previously been described (Oh & Li, 1997). Interestingly, the severity of this phenotype was potentiated in  $Alk5^{+/-}$ ;Acvr2b<sup>-/-</sup> mutants, in which the number of presacral vertebrae were increased to 30 (Table 1). Potentiation of this homeotic transformation contributed to an increase in either thoracic or lumbar segments, which manifested as either C7/T16/L7 or C7/T17/L6 vertebral patterns in the compound mutants (Fig 3B; Table 1). The anterior transformation was most clear at the thoracic level, at which there was an increased number of ribs. Thus, whereas wildtype mice typically presented seven vertebrosternal ribs—that is, the ribs that articulate the vertebral column with the sternum—  $Acvr2b^{-/-}$  mutants most often had nine vertebrosternal ribs and  $Alk5^{+/-}$ ;Acvr2b<sup>-/-</sup> mutants had ten (Fig 3C,D; Table 1). In addition, the incidence of a related  $Gdf11^{-/-}$  phenotype—that is, fusion of the two most anterior thoracic ribs (T1/T2 fusion)—was also augmented in  $Alk5^{+/-}$ ;Acvr2b<sup>-/-</sup> compound mutants (83%,  $n = 24$ ) compared with Acvr2b<sup>-/-</sup> single mutant littermate (57%,  $n = 33$ ). In contrast to these observations, neither  $Alk4^{+/-}$ ; Acvr2b<sup>-/-</sup> nor Alk7<sup>-/-</sup>;Acvr2b<sup>-/-</sup> compound mutants showed any enhanced homeotic transformation compared with  $Acvr2b^{-/-}$ single mutant littermate (Table 1), which suggests that these type I receptors do not have a role in vertebral column patterning. Together, these data indicate that ALK5 is important in anterior– posterior skeletal patterning and support a role for this type I receptor in mediating GDF11 activities in vivo.

To clarify the mechanism by which Alk5 affects anteriorposterior patterning, we examined the induction of specific Hox genes. This class of transcription factors comprises 39 members,



Fig 2 | Characterization of GDF11 signalling through type I and type II receptors. Gene reporter assays in (A) HepG2 and (B,C) R4-2 cells. The results are relative luciferase activity of triplicate determinations  $\pm$ s.d. A2a, Acvr2; A2b, Acvr2b; BRII, bone morphogenetic protein type II receptor; GDF11, growth differentiation factor 11; T $\beta$ II, transforming growth factor- $\beta$  type II receptor.



Fig 3 | Genetic interaction between Acvr2b and Alk5 during vertebral patterning. Representative skeleton preparations of (A,C) Acvr2b<sup>-/-</sup> and (B,D)  $Alk5^{+/-}$ ;Acvr2b<sup>-/-</sup> littermate mutants in frontal (A,B) and lateral (C,D) views. The numbers of thoracic vertebrae (A,B) and vertebrosternal ribs (C,D) are indicated. T1/T2 denotes fused first and second ribs.

#### Table 1 | Analysis of skeletal patterning in compound mutants



organized into four genomic clusters, that act together to regionalize the embryo along the anterior–posterior axis (Dubrulle & Pourquie, 2004; Deschamps & van Nes, 2005). The induction of specific domains of Hox gene expression is determined by opposing gradients of retinoic acid from the anterior end of the embryo and fibroblast growth factor (FGF) and GDF11 from the posterior. GDF11 has been shown to cooperate with FGF in the induction of Hoxc10 expression in explants from chick embryos (Liu *et al, 2001)*, and in  $Gdf11^{-/-}$  mouse embryos, the onset of expression of genes in the Hoxc cluster was delayed and shifted posteriorly (McPherron et al, 1999).  $Alk5^{-/-}$  mutant mice have retarded growth at embryonic day (E)9.5, and die around E10 owing to impaired vasculogenesis of the yolk sac (Larsson et al, 2001; Seki et al, 2006). Hox gene expression was therefore examined up to E9 in these mutants. Hoxb1 is the earliest expressed Hox gene, first present in the posterior part of the primitive streak during gastrulation (Forlani et al, 2003). At E7.5,  $Alk5^{-/-}$  mutants showed normal expression of Hoxb1 in the paraxial mesoderm along the primitive streak ( $n = 7$ ; Fig 4A). At E8.5, the expression of Hoxc8 along the posterior part of  $Alk5^{-/-}$ embryos was also normal ( $n = 6$ ; Fig 4B). Interestingly, however, expression of Hoxc10 was absent in the posterior paraxial mesoderm of Alk5<sup>-/-</sup> mutant embryos at E9 ( $n = 6$ ; Fig 4C), resembling the phenotype observed in  $Gdf11^{-/-}$  embryos and suggesting a common mechanism for the defects observed in both mutants. Consistent with a role for ALK5 in Hoxc10 induction, robust expression of this receptor was detected in the posterior part of the paraxial mesoderm of wild-type embryos between E9 and E10.5 (Fig 4D; data not shown). Importantly, Gdf11 expression was normal in  $Alk5^{-/-}$  mutant embryos (Fig 4E), indicating that Alk5 does not function upstream of Gdf11 in

anterior–posterior patterning. By contrast, neither Alk4 (Fig 4F) nor Alk7 (O.A. and C.F.I., unpublished observations) showed any significant expression in structures implicated in axial patterning at those ages. Together with previous work, these findings support the idea that GDF11 signals through ALK5 at posterior levels to regionalize the mouse embryo along its anterior–posterior axis.

Genetic evidence has also linked GDF11 to kidney organogenesis and palate closure (McPherron et al, 1999; Esquela & Lee, 2003). GDF11 has been shown to direct the initial outgrowth of the ureteric bud during E11, and therefore mice lacking Gdf11 show kidney agenesis at birth (Esquela & Lee, 2003).  $Acvr2b^{-/-}$ mutant mice also show malformations in those structures but, again, to a lesser extent than  $Gdf1^{-/-}$  mutants, probably owing to redundancy between Acvr2 and Acvr2b receptors (Oh & Li, 1997; Oh et al, 2002). We found that the incidences of kidney agenesis and cleft palate were increased in  $Alk5^{+/-}$ ;Acvr2b<sup>-/-</sup> mutants compared with  $Acvr2b^{-/-}$  single mutants (Fig 5A–D; Table 2). In head skeletal preparations, the secondary palate was not fused, whereas all other bones of the head developed normally (Fig 5E,F). By contrast, no significant increase in kidney agenesis or cleft palate was found in either  $Alk4^{+/-}$ ;Acvr2b<sup>-/-</sup> or  $Alk7^{+/-}$ ;  $Acvr2b^{-/-}$  mutants, indicating that these type I receptors do not have a role in kidney formation or palate closure (Table 2). Collectively, our data indicate that Alk5 genetically interacts with Acvr2b to mimic several phenotypes found in Gdf11 mutant mice.

The fact that a mutation in Alk5 had an effect in the Acvr2bnull background indicates that Alk5 must also be coupling to another type II receptor, most probably Acvr2, to mediate the effects of GDF11 on embryonic development. In support of this idea, mutations in Acvr2 have been shown to augment the phenotypes observed in  $Acvr2b^{-/-}$  animals (Oh et al, 2002).



Fig 4 | Expression of Hox genes, ALK5, GDF11 and ALK4 in  $Alk5^{-/-}$ mutants. (A) Hoxb1 messenger RNA expression in the posterior streak of wild-type (WT) and  $Alk5^{-/-}$  mutant embryos at embryonic day (E)7.5. (B) Expression of Hoxc8 mRNA in E8.5 WT and  $Alk5^{-/-}$  mutant embryos. (C) Hoxc10 mRNA expression in the paraxial mesoderm of an E9 WT embryo (left). Note the lack of expression in the  $Alk5^{-/-}$  mutant embryo (right). (D) Alk5 mRNA expression in the paraxial mesoderm in a WT E9.5 embryo. The arrowhead marks the anterior limit of Alk5 mRNA expression. The signal in the otic vesicle is background staining caused by trapping of antibody. (E) Expression of Gdf11 mRNA in WT and  $Alk5^{-/-}$  mutant embryos at E9.5. (F) Lack of Alk4 mRNA expression in the paraxial mesoderm in a WT E9.5 embryo. Note the expression of Alk4 mRNA in the first branchial arch (arrowhead). GDF11, growth differentiation factor 11.

Unlike  $Acvr2b^{-/-}$  mutants, however,  $Acvr2^{-/-}$  animals lack any vertebral patterning defects, indicating that although the  $Acvr2b^{-/-}$ strain is genetically sensitized for those phenotypes, the  $Acvr2^{-/-}$ strain is not. Together with the absence of effects of ALK4 and ALK7 in axial patterning, the lack of ALK4 and ALK7 expression in relevant patterning structures and the fact that GDF11, similar to TGF-b, signals through Smad2 and Smad3, our results strongly indicate that GDF11 uses the TGF- $\beta$  receptor ALK5 in vivo to control several developmental events. Our study has not addressed the possible participation of other ALK5 ligands in the regionalization of the anterior–posterior axis of the mouse embryo. Although ALK5 has been shown to mediate signalling by TGF-b1 to TGF-b3 and myostatin, mice lacking all of these ligands have been generated and, unlike  $Gdf11^{-/-}$  mutants, they do not show any abnormalities in anterior–posterior patterning (Chang et al, 2002). It is nevertheless possible that other as-yetunknown ligands of the  $TGF-\beta$  superfamily could potentially have a role in axial patterning through the ALK5 receptor.

Whereas ALK4 has been shown to interact with many members of the TGF- $\beta$  ligand family, including activins A and B, inhibins A and B, Nodal, Vg1, GDF1, GDF3, GDF11 and myostatin (Reissmann et al, 2001; Chang et al, 2002; Shi & Massague, 2003; Lee, 2004; Chen et al, 2006), most previous studies on ALK5—the best studied type I receptor of the TGF- $\beta$  superfamily—have mainly focused on the canonical TGF- $\beta$ 1 to TGF- $\beta$ 3 ligands. One previous study has shown that myostatin can signal through both ALK4 and ALK5 when these receptors are overexpressed in vitro (Rebbapragada et al, 2003), but the receptors that mediate myostatin actions in vivo remain to be defined. Although GDF11 and myostatin have divergent functions, their amino-acid sequence is highly related. The close sequence identity of GDF11 and myostatin is consistent with similar receptor specificity.

Ligand–receptor interactions in the TGF- $\beta$  superfamily have been extensively studied in vitro, and several possible complexes between ligands and type I and type II receptors have been proposed on the basis of those studies. By contrast, much less is known about the in vivo receptor specificities and functions in this important family of molecules. Signalling specificity in vivo is restricted by both temporal and spatial expression patterns and the ability of a certain ligand–receptor combination to confer signalling. Establishing the in vivo functions of ligands and receptors in the  $TGF- $\beta$  superfamily has been hampered by embryonic lethal$ 



Fig 5 | Genetic interaction between Acvr2b and Alk5 during kidney development and palate closure. (A,B) Whole-mount urogenital system from wild type (WT) (A) and  $Alk5^{+/-}$ ; $Acvr2b^{-/-}$  mutant (B). Note the unilateral kidney agenesis in the  $Alk5^{+/-}$ ; $Acvr2b^{-/-}$  mutant. (C,D) Macroscopic view of WT (C) and  $Alk5^{+/-}$ ;Acvr2b<sup>-/-</sup> mutant (D) heads. Arrow in (D) points at the cleft palate. (E,F) Skeleton staining of skulls from embryos shown in (C,D). Arrow in (F) points to the cleft palate, which leads to absence of secondary palate. ad, adrenal gland; bl, bladder; ki, kidney; te, testis.

#### Table 2 | Analysis of kidney agenesis and cleft palate in compound mutants



mutant littermates from the same cross. \*\* $P < 0.01$ , \*\*\* $P < 0.001$ .

phenotypes, redundancy and compensatory effects. Studying gene-dosage effects in compound mutant mice is one way to circumvent such limitations (Chang et al, 2002; Andersson et al, 2006). Using this approach, we have shown novel and important roles for the TGF- $\beta$  receptor ALK5 in anterior–posterior patterning, kidney development and palate closure, as a mediator of GDF11 signalling.

### METHODS

Mouse strains and PCR primers. All mutant mice used in this study have previously been described in detail (Oh & Li, 1997; Gu et al, 1998; Larsson et al, 2001; Jörnvall et al, 2004). Acvr2b and Alk4 were made in the Sv129 sub-strain J1, whereas Alk7 was made in Sv129 OlaHsd. Alk5 mutants were maintained in an Sv129;C57BL/6 hybrid strain. Littermates were used as controls in all experiments. Acvr2b, Alk5 and Alk7 mutant mice were genotyped by PCR as described previously (Oh & Li, 1997; Larsson et al, 2001; Jörnvall et al, 2004), and Alk4 was genotyped with the following set of primers: 5'-CTTGTCTGCAGCCAGTG GT-3' and 5'-CCTCTGAGCCCAGAAAGCGAAGG-3'.

Histology, ligand–receptor interactions and receptor reconstitution experiments. Whole-mount in situ hybridization was performed according to standard protocols. All markers were RNA probes labelled with digoxigenin (DIG RNA Labeling Kit, Roche, Penzberg, Germany). Skeletal staining was performed as described (Oh & Li, 1997). Vertebrae that had different characteristics on each side were scored according to the more posterior identity. Significant differences between groups of mutants were examined using Fisher's exact test. A DNA fragment encoding the mature domain of mouse GDF11 was cloned into a pCDNA3.1 vector backbone consisting of an upstream activin B pro-domain and an HA tag that, after processing, remained fused to the amino terminus of mature GDF11. COS cells were transfected with HA-tagged GDF11, and conditioned media were collected for subsequent pull-down experiments performed in solution. GDF11 was processed and secreted as a mature protein of the expected size (data not shown). A 1  $\mu$ g portion of soluble receptors (Acvr2b– Fc, ALK4–Fc, ALK5–Fc and ALK7–Fc; R&D Systems, Minneapolis, MN, USA) was added to 1 ml of conditioned media and incubated overnight before pull-down with Protein-G–Sepharose beads. Ligand–receptor interactions were detected by western blotting with anti-HA antibodies (Covance, Denver, PA, USA, HA.11). Crosslinking experiments were performed using purified recombinant human GDF11 (R&D Systems) and COS cells transiently transfected with different receptor combinations. GDF11 was radioactively labelled with 125I-Na using lactoperoxidase. Binding and subsequent crosslinking were performed in cell culture plates by addition of the chemical crosslinker ethyl-3-(3-dimethyl-

aminopropyl) carbodiimide hydrochloride and N-hydroxysulfosuccinimide (S-NHS). Receptor complexes were immunoprecipitated from cell lysates with anti-HA antibodies, separated with SDS–polyacrylamide gel electrophoresis, blotted to polyvinylidine difluoride membranes and visualized in a STORM840 phosphorimager. Receptor reconstitution and reporter gene experiments were performed in cells cultured in 24-well plates, as described previously (Reissmann et al, 2001). The amount of plasmid DNA transfected into cells used for reporter assay experiments was 10 ng per three wells for type I receptors, 2 ng per three wells for type II receptors, 30 ng per three wells for Cripto and 750 ng per three wells for all ligands.

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