

The *ica* Operon and Biofilm Production in Coagulase-Negative Staphylococci Associated with Carriage and Disease in a Neonatal Intensive Care Unit

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Coagulase-negative staphylococci (CoNS) are a major cause of sepsis in the neonatal intensive care unit (NICU). We evaluated the hypothesis that the *ica* operon and biofilm production are associated with CoNS disease in this setting. CoNS associated with bacteremia or blood culture contamination and from the skin of infants with CoNS bacteremia or healthy controls were obtained during a prospective case-control study on a busy NICU. A total of 180 strains were identified, of which 122 (68%) were *Staphylococcus epidermidis* and the remainder were *S. capitis* ($n = 29$), *S. haemolyticus* ($n = 11$), *S. hominis* ($n = 9$), *S. warneri* ($n = 8$), and *S. auricularis* ($n = 1$). The presence of the genes *icaA*, *icaB*, *icaC*, and *icaD* was determined by PCR, and biofilm production was examined using qualitative (Congo red agar [CRA]) and quantitative (microtiter plate) techniques. There were no significant differences in the presence of the *ica* operon or CRA positivity among the four groups of strains. However, quantitative biofilm production was significantly greater in strains isolated from either the blood or the skin of neonates with *S. epidermidis* bacteremia. We conclude that the quantity of biofilm produced may be associated with the ability to cause CoNS infection. This conclusion suggests that the regulation of biofilm expression may play a central role in the disease process.

Coagulase-negative staphylococci (CoNS) are the major cause of late-onset sepsis in preterm infants (27, 39, 42, 44). Approximately one in six very-low-birth-weight (<1,500-g) neonates develops an episode of CoNS bacteremia (21, 44), an event that is associated with a significant increase in morbidity and mortality (44), duration of hospital stay (18, 21, 44), and overall cost of treatment (44). There is thus a need to reduce the risk of sepsis in this setting, a goal which depends in part on defining the pathogenesis of infection.

The role of biofilm formation as a determinant of CoNS infection has been the subject of ongoing study since the observation that some isolates of *Staphylococcus epidermidis* produced mucoid growth in vitro that adhered to the walls of culture tubes (2). A series of studies subsequently reported that this material, termed slime, was more commonly produced by isolates associated with sepsis, including intravenous-catheter-related bacteremia and other prosthetic device infections (5, 6, 10, 14, 28, 31). The relevance to the neonatal intensive care unit (NICU) setting of slime production by CoNS isolates has been studied in relation to both carriage flora and isolates causing infection. The proportion of slime-producing CoNS in carriage flora increased on repeated sampling of neonates during the first 4 weeks of age in one unit (9) but did not vary in two other units over either 2 weeks (35) or a mean of 8 weeks (24). Endemic clones of CoNS that were associated with disease and that were slime producers were demonstrated to persist over a period of 10 years in one unit (26); however,

carriage flora was not examined, and an association between slime production and either biological fitness or virulence cannot be assumed. One study compared CoNS from carriage flora and blood culture isolates and found that 79% of blood culture isolates were slime producers, compared with 75 and 58% of skin flora isolates from infected and noninfected infants, respectively (22). Infants with bacteremia were more frequently colonized by *S. epidermidis*, and it is difficult to determine whether the higher rate of slime production by isolates colonizing sick neonates was a direct association or whether it reflected a relationship between slime production and species. Weekly mucocutaneous culturing for preterm infants over 3 months revealed that infants with slime-positive CoNS colonization were more likely to develop invasive CoNS disease than infants with slime-negative or no CoNS colonization (23).

The mechanism by which CoNS attach to prosthetic material and elaborate what is now termed biofilm is being increasingly understood. This is a complex and multistep process (33, 47), and the relevance of each major component will require study in relation to human disease. One important element in this process is the *ica* operon, a gene cluster encoding the production of polysaccharide intercellular adhesin (PIA), which mediates the intercellular adherence of bacteria and the accumulation of multilayer biofilm (25). The presence of this operon has been compared between unmatched strain collections of *S. epidermidis* associated with carriage and infection outside the NICU setting (1, 16, 19, 48). A study comparing a collection of 52 *S. epidermidis* isolates (comprising 51 isolates from blood cultures and 1 isolate from cerebrospinal fluid) with 36 isolates obtained from the skin and mucosa of healthy volunteers found *ica* genes to be present in 85 and 2%, respectively (48).

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A study of *S. epidermidis* associated with prosthetic-material-related joint infection demonstrated that 44 of 54 isolates were *ica* positive, compared with 2 of 23 isolates from eight healthy individuals (19). Similarly, 33 of 68 isolates associated with intravenous-catheter-related infection were *ica* positive, compared with none of 10 isolates from the skin or mucosa of healthy volunteers (1). Comparison of *ica* in *S. epidermidis* associated with either bacteremia, blood culture contamination, or colonized intravenous devices and *S. epidermidis* from normal flora of healthy volunteers who were not hospitalized showed that *ica* was more than twice as frequent in isolates associated with infection (16).

The aim of this study was to evaluate the hypothesis that isolates of CoNS associated with disease in neonates are more likely to be positive for the *ica* operon and to produce biofilm than are isolates drawn randomly from NICU carriage flora. This aim was achieved by studying bacterial isolates collected from blood cultures and the skin of healthy and infected neonates during a prospective case-control study of CoNS sepsis.

MATERIALS AND METHODS

Study design. The study was conducted on the NICU at John Radcliffe Hospital, Oxford, United Kingdom. This unit has the capacity to care for 27 neonates, with 20 high-dependency incubators or cots and 7 intensive care incubators. Ethical approval for the study was obtained from the Central Oxford Research Ethics Committee. A library of CoNS isolates was assembled during a prospective case-control study conducted between May 1999 and July 2000. This was performed to compare phenotypic characteristics between isolates associated with carriage and disease and to assess whether hypervirulent clones of CoNS exist in this setting (the results are reported in reference 13).

Blood samples for culturing were taken from peripheral sites rather than from previously placed lines. Neonates with blood cultures positive for CoNS were entered into the study, and the clinical relevance of all positive cultures was assigned as either invasive isolates (causing bacteremia) or contaminants. Bacteremia was defined as (i) two or more independent blood cultures positive for identical isolates of CoNS in association with a clinical picture consistent with sepsis or (ii) a single positive culture with no alternative explanation for the clinical picture of sepsis in an infant who responded to antistaphylococcal antibiotics. Skin specimens were taken by using a swab wash method from the ear lobe and axilla of (i) neonates with bacteremia (defined above) and (ii) two control neonates who had no features of sepsis and who were swabbed within 24 h of definition of a case of bacteremia. Control neonates were chosen at random from the ward list and, to avoid overmatching, were not matched for gestational age, location, or length of stay in the NICU.

Bacterial isolates and antibiotic susceptibility testing. Blood culture isolates were obtained from the Department of Microbiology, John Radcliffe Hospital. Skin swab specimens were plated on blood agar and incubated at 37°C in air for 48 h. Presumptive CoNS, based on colonial morphology, were plated to purity, and identification was confirmed on the basis of a positive catalase test and negative coagulase and DNase tests. Species identification was performed by using the API ID32 system (bioMérieux Ltd.) according to the manufacturer's recommendations. Antibiotic susceptibility testing was performed by using a comparative disk diffusion method (20). Susceptibility to penicillin, oxacillin, gentamicin, netilmicin, amikacin, erythromycin, tetracycline, ciprofloxacin, vancomycin, fusidic acid, rifampin, and trimethoprim was tested. Isolates were stored in tryptic soy broth (TSB) with glycerol (15% [vol/vol]) at -80°C.

Detection of biofilm production. Qualitative detection of biofilm formation was performed by using Congo red agar (CRA) as previously described (17). Strains were streaked onto the agar to obtain single colonies and incubated overnight at 37°C in air and a further 24 h at room temperature. PIA-positive strains appear as black colonies, and PIA-negative strains were red.

Quantitative determination of biofilm production was performed by using a microtiter assay as previously described (7), with the exception that the stain used was safranin rather than crystal violet. In brief, bacteria were inoculated into 10 ml of TSB with 0.25% glucose and incubated overnight with shaking at 37°C in air. This solution was diluted 1:100 in TSB with glucose, and 200 μ l was inoculated into 96-well polystyrene microtiter plates. The plates were incubated overnight at 37°C in air, washed, and stained with 0.1% safranin. The optical density

of the adherent biofilm was determined by using an enzyme-linked immunosorbent assay plate reader at 490 nm. Each plate contained *S. epidermidis* RP62A (ATCC 35984) and *S. carnosus* TM300 (kindly provided by Wilma Ziebuhr) as positive and negative biofilm-producing controls, respectively, and TSB but no bacteria (background control). Eight wells were inoculated per strain in a given experiment, and all strains were tested independently on three occasions. The absorbance was taken to be the optical density of the test isolate minus the mean of the background control values for the same plate.

Detection of the *ica* operon. Chromosomal DNA was extracted by using a Puregene DNA extraction kit (Gentra Systems), with the modification that lysostaphin at 30 μ g/ml (Sigma) was added at the cell lysis step. PCR was used to detect the presence of *icaA*, *icaB*, *icaC*, *icaD*, and the insertion sequence element IS256. The primer sequences and predicted product lengths for *icaA*, *icaB*, and *icaC* of *S. epidermidis* and IS256 of *S. aureus* were described by Ziebuhr et al. (49). The primer sequences for *icaD* were 5'-AGGCAATATCCAACGG TAA-3' (forward) and 5'-GTCACGACCTTTCTTATATT-3' (reverse), corresponding to bases 1891 to 2262 of the *ica* operon (GenBank accession number U43366). The PCR cycling conditions used were 30 cycles of 1 min of denaturation at 94°C and 2.5 min of elongation at 72°C for all reactions, with annealing for 1 min at 60°C (*icaA*), 59°C (*icaB*), 45°C (*icaC*), 59°C (*icaD*), or 59°C (IS256). PCR was performed by using a PTC-200 Peltier thermal cycler (MJ Research) with *Taq* polymerase (BioLine). The magnesium concentration was 3 mM for all genes. Reaction mixtures were analyzed by 1% agarose gel electrophoresis.

Analysis. For neonates with multiple blood cultures positive for the same isolate, the results for testing of biofilm production and the presence of the *ica* operon were counted once, using the first isolate obtained. Isolate identity was based on pulsed-field gel electrophoresis (PFGE). Isolates with identical PFGE patterns were regarded as genotypically indistinguishable. Spectrophotometric absorbance measurements from the microtiter plate assay were found to be log transformable toward normality. Geometric mean absorbances were compared between groups by using analysis of variance or Student's *t* test. The distributions were displayed by using plots of the Epanechnikov kernel density, which are similar in interpretation to a histogram but give a continuous distribution curve. In addition, a histogram requires intervals or bins into which the data are divided, and the shape of the histogram can change considerably if the start point of these bins is altered. Kernel density plots do not have this disadvantage. Categorical variables were compared between groups by using Fisher's exact test. Spearman's rho test was used to assess nonparametrically the correlation between continuous variables. All analyses were performed using Stata 7 (StataCorp., College Station, Tex.).

RESULTS

Cases and isolates. Sixteen neonates with CoNS bacteremia were recruited; 2 had a second episode with a new strain of CoNS, as determined with an antibiogram (and confirmed by PFGE), giving a total of 18 strains that were associated with infection. Skin swab samples obtained from these infected neonates resulted in the isolation of a further 35 strains of CoNS. A total of 71 strains were isolated from the skin of 32 well control babies. There were 39 neonates with blood cultures positive for CoNS strains that were considered to be contaminants; many of these cultures yielded multiple strains, so a total of 56 contaminating strains were identified. Thus, there was an overall total of 180 strains, of which 122 (68%) were *S. epidermidis* and the remainder were *S. capitis* ($n = 29$), *S. haemolyticus* ($n = 11$), *S. hominis* ($n = 9$), *S. warneri* ($n = 8$), and *S. auricularis* ($n = 1$). There was no statistical difference in the distribution of species among the four clinical groups ($P = 0.97$).

Presence of the *ica* operon. The primers used to determine the presence of genes in the *ica* operon were based on the sequence of the *S. epidermidis* genome. Of the 122 strains of *S. epidermidis*, 40% were positive for the *ica* operon, with PCR products being obtained for the *icaA*, *icaB*, *icaC*, and *icaD* genes in all of these strains (Table 1). The same primers were used to evaluate whether amplification would occur from DNA

TABLE 1. Presence of genes in the *ica* operon and results of qualitative (CRA) and quantitative (microtiter plate) assays for biofilm production

Species (no. of strains)	No. (%) of strains positive			Geometric mean OD ₄₉₀ (95% CI) in the microtiter plate assay for the following strains ^a :			
	For <i>icaA</i> , <i>icaB</i> , <i>icaC</i> , and <i>icaD</i>	For <i>icaC</i> and <i>icaD</i>	CRA test	<i>icaCD</i> negative	<i>icaCD</i> positive	CRA test negative	CRA test positive
<i>S. epidermidis</i> (122)	49 (40)	49 (40)	29 (24)	0.07 (0.04–0.10)	0.22 ^b (0.16–0.30)	0.09 (0.06–0.13)	0.22 ^c (0.15–0.36)
<i>S. capitis</i> (29)	0	12 (41)	12 (41)	0.03 (–0.01–0.08)	0.01 (–0.04–0.06)	0.02 (–0.01–0.06)	0.02 (–0.04–0.9)
<i>S. haemolyticus</i> (11)	0	0	0	0.01 (–0.04–0.09)		0.01 (–0.04–0.09)	
<i>S. hominis</i> (9)	0	0	4 (44)	0.02 (–0.03–0.08)		0.05 (–0.2–0.13)	–0.01 (–0.10–0.17)
<i>S. warneri</i> (8)	0	0	0	0.07 (–0.01–0.16)		0.07 (–0.01–0.16)	
<i>S. auricularis</i> (1)	0	0	0	0.01		0.01	

^a OD₄₉₀, optical density at 490 nm; CI, confidence interval.

^b The *P* value was <0.0001 in a comparison with the *icaCD*-negative group.

^c The *P* value was 0.0005 in a comparison with the CRA test-negative group.

isolated from the five other CoNS species. No products were obtained for strains of *S. haemolyticus*, *S. hominis*, *S. warneri*, and *S. auricularis*. However, 12 of 29 *S. capitis* strains yielded a positive PCR result for the *icaC* and *icaD* genes (although all were negative for *icaA* and *icaB*), suggesting that a homolog of the *S. epidermidis* operon exists in some members of this species.

Biofilm production. Biofilm production assessed by using CRA was expressed by 45 strains (25%), with positive CRA reactions being found among *S. epidermidis*, *S. capitis*, and *S. hominis* strains but not among strains of the other species tested (Table 1). Quantitative biofilm production determined by a microtiter plate assay was significantly higher in strains which were found to be biofilm producers by the CRA method (geometric mean [95% confidence interval] optical density, 0.14 [0.08 to 0.21] versus 0.08 [0.06 to 0.11]; *P* = 0.04), although there was considerable overlap in the distributions (Fig. 1). Part of this overlap can be explained by the finding that although CRA-positive strains were detected for three species of CoNS, the difference in quantitative biofilm production between CRA-positive and CRA-negative strains was significant only for *S. epidermidis* (*P* = 0.0005) (Table 1). Thus, an association between CRA positivity and biofilm production assessed by a microtiter plate assay does not hold true for CoNS species, other than *S. epidermidis*, isolated during this study.

Relationships between presence of the *ica* operon and phenotype. Of the 49 *S. epidermidis* strains found positive for the *ica* operon, 29 (59%) were found to be biofilm producers by the CRA method. All of the 73 *S. epidermidis* strains which lacked the *ica* operon were found to be CRA negative. As might be predicted, quantitative biofilm production was significantly higher in the *S. epidermidis* strains found positive for the *ica* operon (*P* < 0.0001) (Fig. 1). There was a significant association between a positive PCR result for *icaC* and *icaD* and a positive CRA test result for *S. capitis* (*P* = 0.001) but not between PCR positivity and quantitative biofilm production. This result suggests that the CRA test can detect the gene products of *icaC* and *icaD* in this species but that the microtiter plate assay cannot. For the four species consistently found negative for the *ica* operon by PCR, the absorbance results for the biofilm microtiter plate assay were uniformly low and the CRA test was negative for all but four strains of *S. hominis*.

***ica* operon, biofilm production, and clinical groups.** There were no significant differences in *ica* operon or CRA positivity among the four groups (invasive blood culture isolates, blood culture contaminants, sick baby skin isolates, and well baby

[control] skin isolates) (Table 2). However, quantitative biofilm production was significantly higher in strains isolated from either the blood or the skin of neonates with CoNS bacteremia than in strains isolated from the skin of well (control) neonates

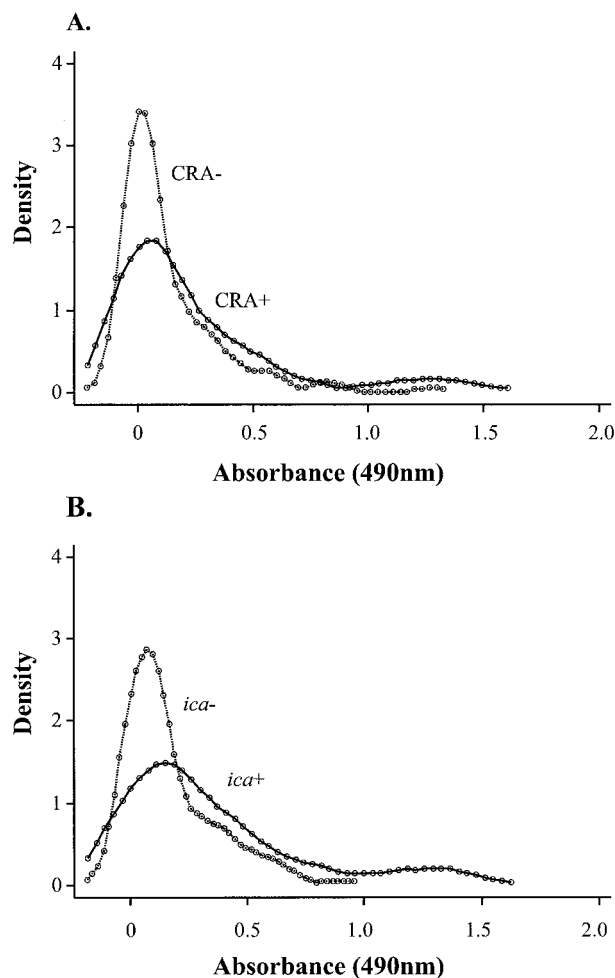


FIG. 1. Density plots showing results of assessment of biofilm production. (A) Distribution of biofilm production in CRA-positive CoNS strains and CRA-negative strains. (B) Distributions of biofilm production in *S. epidermidis* strains with and without the *ica* operon. Absorbance in the microtiter plate assay is plotted against the Epanechnikov kernel density (see the text for details).

TABLE 2. Relationships among the presence of genes in the *ica* operon, qualitative (CRA) and quantitative (CRA) and quantitative (microtiter plate) assays for biofilm production, and clinical groups

Sample	Results for:						
	All species				<i>S. epidermidis</i> only		
	CRA test positive ^a	Biofilm production ^b	Positive for:		CRA test positive ^a	Biofilm production ^b	Positive for <i>icaA</i> , <i>icaB</i> , <i>icaC</i> , and <i>icaD</i> ^a
<i>icaA</i> , <i>icaB</i> , <i>icaC</i> , and <i>icaD</i> ^a			<i>icaC</i> and <i>icaD</i> ^a				
Blood culture isolates							
Clinical positives	7/18 (39)	0.14 (0.03–0.32)	5/18 (28)	8/18 (44)	3/12 (25)	0.25 (0.07–0.56)	5/12 (42)
Clinical contaminants	16/56 (29)	0.11 (0.07–0.16)	15/56 (27)	17/56 (30)	12/39 (31)	0.13 (0.08–0.19)	15/39 (38)
All	23/74 (31)	0.12 (0.08–0.16)	0/74 (27)	22/74 (31)	15/51 (29)	0.15 (0.10–0.21)	20/51 (39)
Skin controls							
Sick babies	7/35 (20)	0.15 (0.10–0.21)	12/35 (34)	15/35 (43)	5/25 (20)	0.19 (0.13–0.27)	12/25 (48)
Well babies	15/71 (21)	0.03 (0.01–0.06)	17/71 (24)	22/71 (31)	9/46 (20)	0.04 (0.01–0.07)	17/46 (37)
<i>P</i> ^c	0.31	0.0001	0.53	0.46	0.49	0.0001	0.72

^a Reported as number of strains positive/number tested (percent).

^b Reported as optical density at 490 nm (95% confidence interval).

^c *P* value is for the three-way comparison of results obtained with blood culture isolates, sick baby skin controls, and well baby skin controls.

(*P* < 0.001) (Fig. 2). This was true for the *S. epidermidis* subgroup but not for the other CoNS species when considered together as a “non-*S. epidermidis*” group.

Relationships among *ica* operon, biofilm production, and PFGE types. PFGE had previously been performed on all isolates (data not shown), and the results were used to evaluate whether the presence of the *ica* operon was conserved within the bacterial clones identified. The 122 strains of *S. epidermidis* were represented by 53 PFGE types. The *ica* operon either was found in all strains within each PFGE type or was universally absent. The three most frequent PFGE types, containing a total of 36 strains, were all negative for the *ica* operon and, consequently, negative by the CRA test. In contrast, all 29 *S.*

capitis strains were of a single PFGE type, but the PCR and CRA test results were positive for less than half of these. All four *S. hominis* strains found positive by the CRA test belonged to the same PFGE type.

***ica* operon and IS256 in *S. epidermidis*.** Insertion and excision of insertion sequence element IS256 have been reported to be associated with phase variation in the production of PIA in two laboratory isolates of *S. epidermidis* (49). We evaluated whether the presence of an insertion element was responsible for the failure of biofilm expression in *S. epidermidis* isolates that were found positive for the *ica* operon but negative by the CRA test. All PCR products from *S. epidermidis* (both CRA positive and negative) were of the predicted sizes. The presence of IS256 in

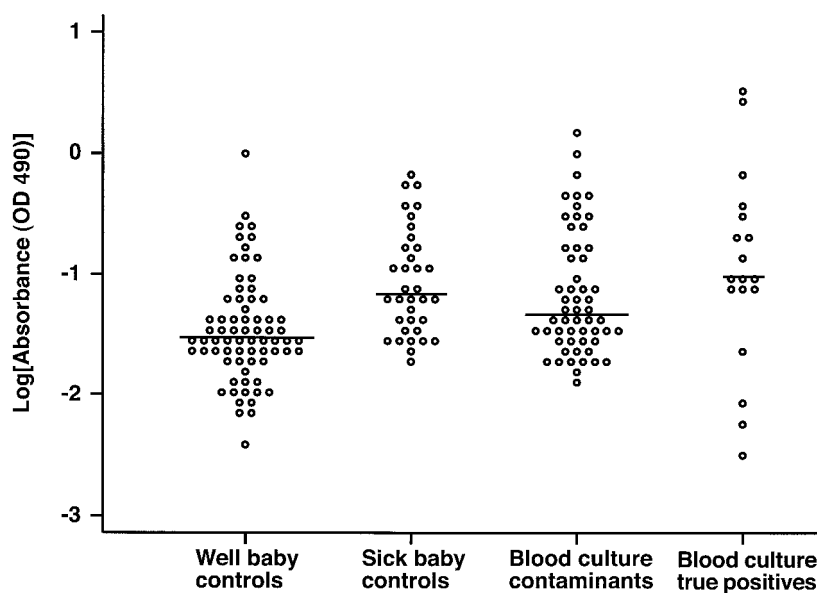


FIG. 2. Biofilm production by strains in the four clinical groups. As some of the microtiter plate assay absorbance values were negative after subtraction of background, 0.2 was added to all values prior to log transformation. The horizontal line represents the median value of each distribution. For statistical comparisons between groups, see the text. OD, optical density.

TABLE 3. Relationships among the *ica* operon, biofilm production, and antibiotic resistance^a

Antibiotic	No. of strains positive/no. tested (%) with the following characteristics				OD ₄₉₀ (95% CI) in the microtiter plate assay for 176 strains of all species that were ^d :	
	<i>ica</i> operon present ^b		CRA test positive ^c		Resistant	Sensitive
	Resistant	Sensitive	Resistant	Sensitive		
Penicillin	48/113 (42)	0/7 (0)	45/168 (27)	0/8 (0)	0.09 (0.06–0.11)	0.05 (0.0–0.11)
Oxacillin	48/105 (46)	0/15 (0)	44/155 (28)	1/21 (5)	0.1 (0.07–0.22)	0.01 (–0.02–0.05)
Erythromycin	23/52 (44)	25/68 (37)	11/64 (17)	34/112 (30)	0.07 (0.04–0.11)	0.09 (0.07–0.13)
Tetracycline	19/33 (58)	29/87 (33)	13/49 (27)	32/127 (25)	0.05 (0.02–0.09)	0.1 (0.07–0.13)
Trimethoprim	21/55 (38)	27/65 (42)	18/74 (24)	27/102 (26)	0.12 (0.08–0.16)	0.07 (0.04–0.09)
Gentamicin	42/97 (43)	6/23 (26)	40/146 (27)	5/30 (17)	0.1 (0.07–0.13)	0.03 (0.0–0.07)
Netilmicin	20/33 (61)	28/87 (32)	14/44 (32)	31/132 (23)	0.21 (0.13–0.30)	0.05 (0.04–0.07)
Amikacin	13/23 (57)	35/97 (36)	8/30 (27)	37/109 (25)	0.23 (0.13–0.36)	0.06 (0.04–0.08)
Rifampin	14/17 (82)	34/103 (33)	3/17 (18)	42/159 (26)	0.19 (0.1–0.3)	0.08 (0.06–0.1)
Fusidic acid	9/20 (45)	39/100 (39)	4/22 (18)	41/154 (27)	0.13 (0.06–0.22)	0.08 (0.06–0.1)
Ciprofloxacin	10/11 (91)	38/109 (35)	2/17 (12)	43/159 (27)	0.11 (0.03–0.23)	0.08 (0.06–0.11)

^a Results shown in bold signify a *P* value of <0.01. The *P* value was calculated in a test of association between antibiotic sensitivity and the characteristic for which a result is shown.

^b *S. epidermidis* strains only (*n* = 120).

^c Strains of all species (*n* = 176).

^d See Table 1, footnote *a*.

the genome was confirmed by PCR for 25 of 27 *ica* operon-positive strains of *S. epidermidis* chosen at random.

ica operon, biofilm production, and antibiotic resistance.

With the exception of trimethoprim, antibiotic resistance was more frequent in isolates of *S. epidermidis* found positive for the *ica* operon, this difference reaching statistical significance for oxacillin, netilmicin, rifampin, and ciprofloxacin (Table 3). *S. epidermidis* strains with the *ica* operon were resistant to an average of 5.5 (95% confidence interval, 4.9 to 6.2) of the 12 antibiotics tested, compared with an average of 4.2 (3.8 to 4.6) for those without the operon (*P* = 0.0003). Similarly, for resistant isolates of all species, there was an overall trend for higher quantitative biofilm production which was significantly different for oxacillin, netilmicin, and amikacin. In addition, there was a significant correlation between the number of antibiotics to which a strain was resistant and biofilm production (value for Spearman's rho test, 0.28; *P* < 0.0001). In contrast, CRA positivity was not significantly associated with antibiotic resistance. The association between biofilm production and antibiotic resistance was mirrored by an association between the clinical source of the strains and antibiotic resistance. Strains derived from the blood or skin of patients with clinical CoNS sepsis were on average resistant to more antibiotics than those derived from the skin of healthy (control) neonates (5.3 [95% confidence interval, 4.8 to 5.9] versus 4.1 [3.8 to 4.4]; *P* = 0.0002). As there was an association between quantitative biofilm production and the clinical source of the strains, it is difficult to draw any conclusions concerning the etiology of the increase in antibiotic resistance. Antibiotics administered prior to bacterial isolation were matched between neonates with bacteremia and controls, as were the numbers of patients who left the hospital alive.

DISCUSSION

Production of biofilm by CoNS is widely considered to be an important determinant of prosthetic-device-related infections. Such an effect is likely to be mediated through the ability to colonize and persist on prosthetic material, resist the effects of antibiotics, and evade the immune system. Biofilm production

has also been shown to be associated with virulence in the absence of prosthetic material in an animal model (11). The production of PIA is an important component in the process of biofilm formation, suggesting that the *ica* operon plays an important role in disease pathogenesis. Evidence for this comes from both animal models and clinical studies. Inactivation of *icaA* was reported to be associated with a decrease in the pathogenicity of a strain of *S. epidermidis* in two animal models of foreign-body infection (40, 41). The presence of the *ica* operon was also found to be more common in *S. epidermidis* strains associated with disease than in carriage strains in four studies of human disease (1, 16, 19, 48).

Our results lead us to conclude that there is no association between the presence of the *ica* operon and CoNS bacteremia in our unit. However, we found that quantitative biofilm production was higher in *S. epidermidis* strains isolated from the blood and skin of neonates with CoNS bacteremia than in strains isolated from the skin of well (control) neonates. The biological significance of the magnitude of this difference is a matter for speculation, but there are several possible explanations for the finding. One possibility is that infants with CoNS sepsis represented a subgroup who had a poorer prognosis and who had also received more antibiotics before bacterial isolation. Given this case scenario, antibiotics could select for high biofilm producers, and CoNS sepsis might be a marker of a less fit host. However, we examined antibiotic usage before bacterial isolation together with the number of neonates who left the hospital alive for cases and controls and found that these characteristics did not differ. The alternative explanation is that colonizing strains which produce more biofilm have enhanced pathogenic potential.

Our findings suggest that it may be gene regulation rather than the presence or absence of the *ica* operon that is involved in bacterial virulence. Using transposon mutagenesis, three gene loci have been shown to have a regulatory influence on the expression of the synthetic genes for PIA (34). The genetic defect has been described for one of these loci, the transposon insertion site being located in *rsbU* (30). This is the first gene of an operon that is highly homologous to the *sigB* operons of

S. aureus and *Bacillus subtilis* and that acts as a positive regulator of alternative sigma factor σ^B (30). Biofilm is also known to be phase variable, and a study demonstrated that phase variation of PIA produced by *S. epidermidis* strains RP62A and 229 under laboratory conditions was due to alternating insertion and excision of insertion sequence element IS256 (49). This element is localized at the termini of aminoglycoside resistance-mediating transposon Tn4001 and is present in multiple copies on the chromosome of aminoglycoside-resistant staphylococci (15). Our study found that of the 49 *S. epidermidis* strains positive for the *ica* operon, only 29 were found to be biofilm producers by the CRA method. We confirmed the presence of IS256 in a subset of *ica*-positive *S. epidermidis* strains, but there was no evidence for insertion of this element into the *ica* operon, the PCR products of the *ica* genes being of the predicted sizes. These results are consistent with those of a study which found no evidence for an insertion sequence associated with a 2.8-kb PCR product from the *ica* operon of 10 *S. epidermidis* isolates that were *ica* positive but biofilm negative (19). These data suggest that the variability in expression of the *ica* operon in natural bacterial populations cannot be explained by IS256 insertion in this region.

Given that several previous investigators found a link between the *ica* operon and invasive *S. epidermidis* disease in other clinical settings, is it possible that the lack of an association in this study is a false-negative finding arising from an aspect of the study design? Possible factors that could have influenced our results include clinical definitions and the populations sampled. Distinguishing between true CoNS bacteremia and blood culture contamination is notoriously difficult; this is particularly true for neonates, since it is often difficult to take a blood sample in a sterile manner. Furthermore, defining sepsis in these individuals is complex. However, we believe that our definitions are robust and were applied in a rigorous fashion by the primary physicians at the bedside during this prospective study. A second factor is that comparisons between CoNS associated with nosocomial disease and carriage flora of either healthy volunteers or inpatients from a different hospital or unit may be influenced by bacterial clonality. Endemic clones of CoNS were shown to be present in several hospital settings, including an NICU and a hematology-oncology unit (3, 36, 45, 46), and appeared to be unit specific. Such a scenario may lead to the finding that a given determinant is either common or rare in a given setting, depending on its presence in the most common genotypes. Thus, comparison between predominant clones present in different hospital settings may be misleading. The genetic population structure of CoNS colonizing healthy volunteers in the community is unknown, but these strains are under less antibiotic pressure, and there may be little overlap with those found in the hospital setting. To address such issues, we chose a case-control study design. This design was used in preference to a cohort study, as in a cohort study a very large number of infants would have to be recruited for a reasonable number of cases to be prospectively identified.

Our finding that the production of biofilm was associated with antibiotic resistance is consistent with the findings of several previous studies (12, 32). Under laboratory conditions, variants of *S. epidermidis* RP62A with diminished slime production became susceptible to oxacillin, penicillin, and ampicillin, suggesting a direct association between slime and resis-

tance (4). This is not always the case, however (37, 38), and it is also possible that biofilm production and antibiotic resistance have been independently selected as determinants that confer a selective advantage for colonization and survival in the NICU environment.

S. epidermidis is the most common cause of nosocomial infections in neonates, and putative virulence determinants have been studied most intensively for this species of CoNS. However, slime production has been reported for *S. hominis* and *S. haemolyticus* (5, 22, 29, 38, 43), *S. warneri* (22), *S. saprophyticus* (12, 29), and *S. simulans* (12). Cross-species hybridization with DNA blots and simultaneous probes for *S. epidermidis* and *S. aureus icaA* has also been demonstrated for *S. auricularis* and *S. capitis* but not *S. haemolyticus*, *S. hominis*, or *S. warneri* (8). The difference in results for hybridization and slime production may reflect the fact that only a single strain of each species was tested by hybridization for genes that are variably present. We found that 12 of 29 *S. capitis* isolates were positive by PCR for *icaC* and *icaD*, indicating the presence of an *ica* homolog. However, all 29 isolates appeared to belong to a single clone on the basis of PFGE, suggesting either that the *ica* genes have undergone changes at the DNA level resulting in a lack of primer annealing or that genes have been gained or lost in about half of the clone. The findings that PCR positive *S. capitis* isolates were positive for biofilm production by the CRA test but that *ica* negative strains did not produce biofilm suggest that the latter explanation is more likely.

In conclusion, this study has demonstrated an association between quantitative biofilm production and CoNS associated with disease but a lack of an association for the *ica* operon. These results suggest that regulation of biofilm expression plays a central role in the disease process and represents a potentially important area for further research.

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