

# In vivo commitment to yeast cotranscriptional splicing is sensitive to transcription elongation mutants

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Spliceosome assembly in the budding yeast *Saccharomyces cerevisiae* was recently shown to occur at the site of transcription. However, evidence for cotranscriptional splicing as well as for coupling between transcription and splicing is still lacking. Using modifications of a previously published chromatin immunoprecipitation (ChIP) assay, we show that cotranscriptional splicing occurs ~1 kb after transcription of the 3' splice site (3'SS). This pathway furthermore protects most intron-containing nascent transcripts from the effects of cleavage by an intronic hammerhead ribozyme. This suggests that a high percentage of introns are recognized cotranscriptionally. This observation led us to screen a small deletion library for strains that sensitize a splicing reporter to ribozyme cleavage. Characterization of the  $\Delta mud2$  strain indicates that the early splicing factor Mud2p functions with U1 snRNP to form a cross-intron bridging complex on nascent pre-mRNA. The complex helps protect the transcript from ribozyme-mediated destruction and suggests an intron-definition event early in the spliceosome assembly process. The transcription elongation mutant strains  $\Delta dst1$  and  $\Delta paf1$  show different cotranscriptional splicing phenotypes, suggesting that different transcription pathways differentially impact the efficiency of nascent intron definition.

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Nuclear pre-mRNA processing consists of three covalent steps: capping of the 5' end with a 7-methyl-guanosine nucleotide, 3' end formation and polyadenylation, and splicing. Capping and 3' end formation are cotranscriptional, and there is good evidence that splicing is also cotranscriptional in many cases (for review, see Bentley 2005). These processes are accompanied and often preceded by the noncovalent recruitment of factors important for these steps as well as nascent RNP assembly more generally. The C-terminal domain of RNA polymerase II (PolII CTD) has been shown to play an important role in coupling transcription and pre-mRNA processing. It consists of a heptad consensus sequence, YSPTSPS, which is repeated 52 times in higher eukaryotes and 26 times in yeast, as well as dynamically phosphorylated at serines 2 and 5 throughout the transcription cycle. Serine 5 phosphorylated CTD is enriched at the 5' end of genes and contributes to capping machinery recruitment (Cho et al. 1997, 1998; McCracken et al. 1997a; Rodriguez et al. 2000). Serine 2 phosphorylated CTD is enriched throughout coding regions and helps recruit a variety of complexes, including the 3' end formation machinery (Hirose and Manley 1997; Ahn et al.

2004). All of these interactions are conserved from yeast to humans.

Splicing and transcription are also related in metazoans; i.e., the PolII CTD has also been shown to physically interact with splicing factors (Mortillaro et al. 1996; Vincent et al. 1996; Yuryev et al. 1996; Du and Warren 1997; Kim et al. 1997) and positively influence the splicing reaction, both in vivo and in vitro (McCracken et al. 1997b; Hirose et al. 1999). Splicing factors concentrate within dynamic nuclear bodies called speckles (Spector 1993) and are recruited to genes upon transcription activation (Misteli et al. 1997), presumably through interactions with PolII CTD (Misteli and Spector 1999). A reverse relationship has also been reported; namely, the presence of a promoter proximal intron has been shown to increase the amount of nascent transcription (Furger et al. 2002). Moreover, the targeting of splicing factors to a gene resulted in the stimulation of transcription elongation via the TAT-SF1 transcription elongation factor (Fong and Zhou 2001). Taken together, all of these observations suggest a reciprocal relationship between transcription and splicing: PolII helps recruit splicing factors to nascent introns, which in turn encourage further transcription (Rosonina and Blencowe 2002). Finally, alternative splicing can be influenced by transcription from different promoters and/or by faster or slower

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polymerases (Kadener et al. 2002; de la Mata et al. 2003). Although many of these data only indicate interactions between the transcription machinery and splicing factors, splicing itself can also be cotranscriptional (Osheim et al. 1985; Beyer and Osheim 1988; LeMaire and Thummel 1990; Bauren and Wieslander 1994; Wuarin and Schibler 1994; Dye and Proudfoot 1999; Wetterberg et al. 2001).

In contrast to metazoa, little direct evidence links splicing and transcription in yeast (*Saccharomyces cerevisiae*). Two splicing factors have been shown to associate with PolII: the U1 snRNP protein Prp40p and the splicing/export factor Sub2p (Morris and Greenleaf 2000; Libri et al. 2001; Strasser and Hurt 2001; Strasser et al. 2002). Introns and splicing factors have also been shown to inhibit the cotranscriptional recruitment of mRNA export factors, suggesting a competition between intron recognition by the spliceosome and the nascent deposition of export-relevant RNP proteins (Lei et al. 2001; Lei and Silver 2002; Abruzzi et al. 2004). It was more recently shown that spliceosome assembly occurs cotranscriptionally and probably follows the traditional stepwise pathway of snRNP association long indicated by *in vitro* splicing assays (Kotovic et al. 2003; Gornemann et al. 2005; Lacadie and Rosbash 2005). All of these data suggest that yeast splicing itself might occur on nascent transcripts and that there might also be functional connections between splicing and transcription in this model system.

In this manuscript, we introduce two novel assays to demonstrate and then study yeast cotranscriptional splicing. The first shows that cotranscriptional cleavage of an intronic ribozyme (RZ) is efficiently bypassed by the splicing pathway. The second shows directly that yeast splicing occurs cotranscriptionally and is easily detectable when polymerases are ~1 kb past the position that encodes the 3'SS. A plate assay based on competition between RZ cleavage and cotranscriptional splicing events facilitated a small pilot screen, which identified mutant strains that influence cotranscriptional splicing efficiency. Characterization of three strains suggests that commitment to the cotranscriptional splicing pathway can be influenced by transcription as well as by spliceosome assembly. Moreover, deletions of two different transcription elongation factors impact splicing in different ways, indicating that these assays access the interface between transcription and cotranscriptional splicing in yeast.

## Results

### *Effects of an intronic hammerhead ribozyme*

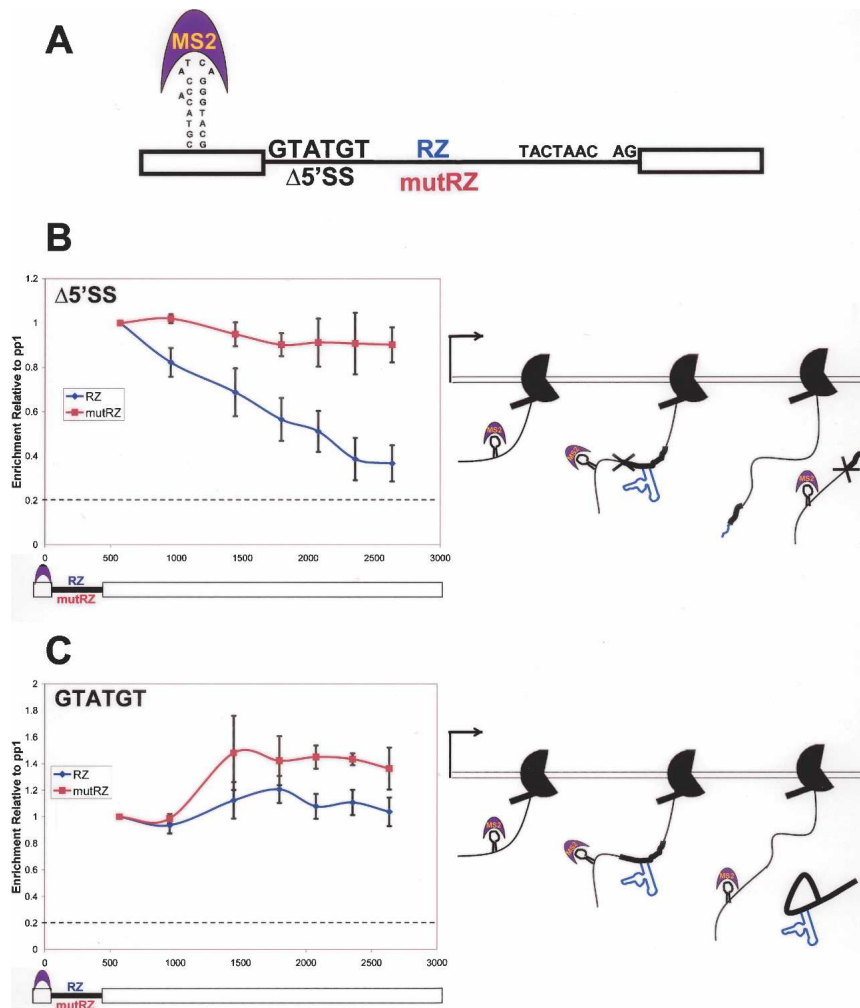
To address the control of cotranscriptional spliceosome assembly and splicing, we established a competitive situation between splicing and the cleavage activity of a hammerhead ribozyme sequence situated in the middle of an intron. The ribozyme was inserted midway between the 5'splice site (5'SS) and branchpoint (BP) regions of the *RP51A* intron within the high copy plasmid

lacZ reporter gene *HZ18* (Teem and Rosbash 1983); a control point mutant ribozyme sequence was inserted in parallel (RZ, mutRZ) (Fig. 1A; Samarsky et al. 1999). These same two insertions were also made within an *HZ18* variant missing its 5'SS ( $\Delta$ 5'SS) (Fig. 1A; Lacadie and Rosbash 2005). To assay cotranscriptional cleavage by the RZ sequence, we used our previously described chromatin immunoprecipitation (ChIP) assay for the phage RNA binding coat protein MS2 (Abruzzi et al. 2004) and inserted a single MS2 stem-loop within the 5' exon of the four RZ-mutRZ (GTATGT or  $\Delta$ 5'SS) splicing reporters (Fig. 1A). The high copy reporter genes were each transformed into yeast along with a plasmid expressing HA-tagged MS2, and anti-HA ChIPs were followed by quantitative real-time PCR of the lacZ open reading frame.

To ask if the ribozyme could cut cotranscriptionally, the two reporters containing the 5'SS deletion (Fig. 1A,  $\Delta$ 5'SS) were assayed by ChIP, and the data are presented as a ratio of IP to input relative to the first primer pair, i.e., the first data point just after the intron (Fig. 1B, Y axis). Both genes failed to splice (Lacadie and Rosbash 2005) and had interesting ChIP profile differences: The mutRZ showed significant signal approximately fivefold over background, which was apparent at ~100 bp past the intron and remained high for an additional 2 kb (Fig. 1B, red; note that in all graphs the X-axis is plotted as distance from the start codon and not distance from the intron). In contrast, the RZ signal progressively declined and approached background levels near the end of the lacZ gene (Fig. 1B, blue). The data indicate that the intronic ribozyme efficiently cleaves cotranscriptionally as previously suggested (Fig. 1B, right; Huertas and Aguilera 2003; West et al. 2004). In the case of the wild-type intron (Fig. 1C), however, the signal from the active RZ containing reporter showed little difference from its inactive mutRZ counterpart (Fig. 1C, cf. red and blue). This is because the RZ sequence has little effect on the ChIP signal in a wild-type intron context (cf. blue in Fig. 1C and 1B). These comparisons indicate that the splicing pathway is efficiently inhibiting or bypassing cotranscriptional ribozyme cleavage activity (Fig. 1C, right).

### *Splicing occurs cotranscriptionally in yeast ~1 kb after the 3'SS*

The RZ cleavage assay suggests that a large fraction of nascent introns are spliced cotranscriptionally before RZ cleavage takes place. Alternatively, it is possible that only a fraction of the spliceosome assembly pathway is necessary to inhibit or bypass effective RZ cleavage. To address the possibility of cotranscriptional splicing in yeast, we again resorted to MS2 ChIP assays. In one reporter system, a single MS2 stem-loop was placed halfway between the 5'SS and BP of *HZ18*, with and without a functional 5'SS (GTATGT,  $\Delta$ 5'SS) (Fig. 2A, top). These two intron MS2 reporters were each transformed into yeast along with the HA-MS2 plasmid, and anti-HA ChIPs were performed followed by quantitative real-time PCR.



**Figure 1.** Cleavage activity of an intronic ribozyme occurs cotranscriptionally and is averted by the splicing pathway. (A) Four splicing reporter plasmids containing a single 5' exon MS2 stem-loop were derived from the galactose-driven *RP51a-lacZ* fusion gene *HZ18* (Teem and Rosbash 1983) with and without a 5' splice site (GTATGT,  $\Delta 5'SS$ ) and with either an active ribozyme (RZ [blue]) or a point mutation killing the RZ activity (mutRZ [red]). HA-MS2 ChIPs were performed as described (see Materials and Methods) on the wild-type reporter genes (GTATGT) with (RZ [blue]) and without (mutRZ [red]) an active ribozyme. The Y-axis represents fold enrichment relative to the first primer pair. The gene model shows the location of the MS2 site (purple), the intron (black line), the RZ sequences (rectangle), and the lacZ coding sequence (rectangle), and is drawn to scale with the X-axis, which represents the distance from the start codon. The dashed line within the graph shows the level of background MS2 signal as measured by the amount of DNA bound from the *PMA1* gene relative to the first primer pair. (B) MS2 ChIPs for the reporters lacking a 5' splice site ( $\Delta 5'SS$ ) with the active RZ (RZ [blue]) or inactive RZ (mutRZ [red]) show that RZ cutting occurs cotranscriptionally when splicing is abrogated. (C) The patterns of the RZ and mutRZ curves are very similar, suggesting that little cleavage activity is occurring before splicing. The schemes to the right of each graph display a pictorial interpretation of the data.

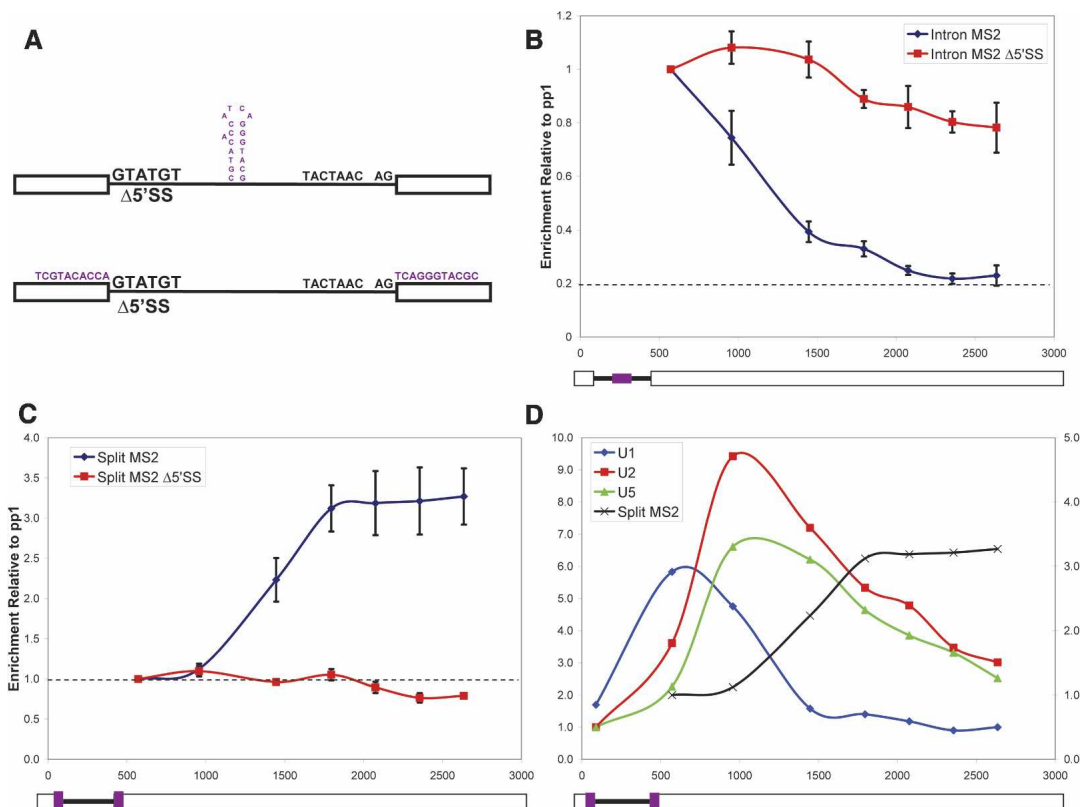
The wild-type intron MS2 reporter showed significant ChIP signal  $\sim 100$  bp past the intron (intron MS2) (Fig. 2B, blue), similar to that seen for the 5' Exon MS2 (Fig. 1). The intron MS2 signal then gradually decreased to background levels by  $\sim 2$  kb past the intron (Fig. 2B). The data suggest that a fraction of intron removal has occurred by  $\sim 500$  bp and that most or all nascent introns have been spliced out by transcription 1.5 kb past the intron sequence. In contrast, the intron MS2  $\Delta 5'SS$  reporter maintained a high signal within the same gene regions (intron MS2  $\Delta 5'SS$ ) (Fig. 2B, red), consistent with the notion that splicing is responsible for removal of the MS2 sequence from the nascent chromatin.

In a second splicing-reporter system, the single MS2 binding sequence (with two extra bases to maintain the open reading frame [ORF]) was divided between the 5' and 3' exons so that only splicing could reconstitute the intact stem-loop (split MS2) (Fig. 2A). HA-MS2 ChIPs for this reporter showed the opposite pattern to the intron stem-loop: Signals just after the intron were comparable to background and increased down the gene (split MS2) (Fig. 2C, blue). Significant split MS2 signal was first detected  $\sim 1$  kb past the intron and peaked by 1.5 kb (Fig. 2C). In contrast, the same split MS2 sequences inserted

into a  $\Delta 5'SS$  gene maintained background binding, indicating that splicing is indeed necessary to constitute the MS2 sequence (split MS2  $\Delta 5'SS$ ) (Fig. 2C, red). A superposition of the split MS2 ChIP curve with ChIP curves for the cotranscriptional recruitment of U1, U2, and U5 snRNPs performed as published previously (Lacadie and Rosbash 2005) indicates that significant splicing increases in parallel with U2 and U5 recruitment and dissociation (Fig. 2D). We conclude that cotranscriptional spliceosome assembly and/or splicing protects the nascent transcript from RZ cleavage and destruction.

#### *Deletion of the MUD2 gene sensitizes an intron to cotranscriptional ribozyme cleavage*

We then exploited these assays to establish a screen for factors that altered the balance between splicing/spliceosome assembly on the one hand and RZ cleavage on the other. To this end, we also made use of the third feature of these reporters, namely, the splicing-dependent synthesis of lacZ.  $\beta$ -Galactosidase levels should be (and are; see below) similar between an intronic RZ-containing *HZ18* reporter and its mutRZ counterpart. However, a mutation that delays the timing of splicing or spliceo-

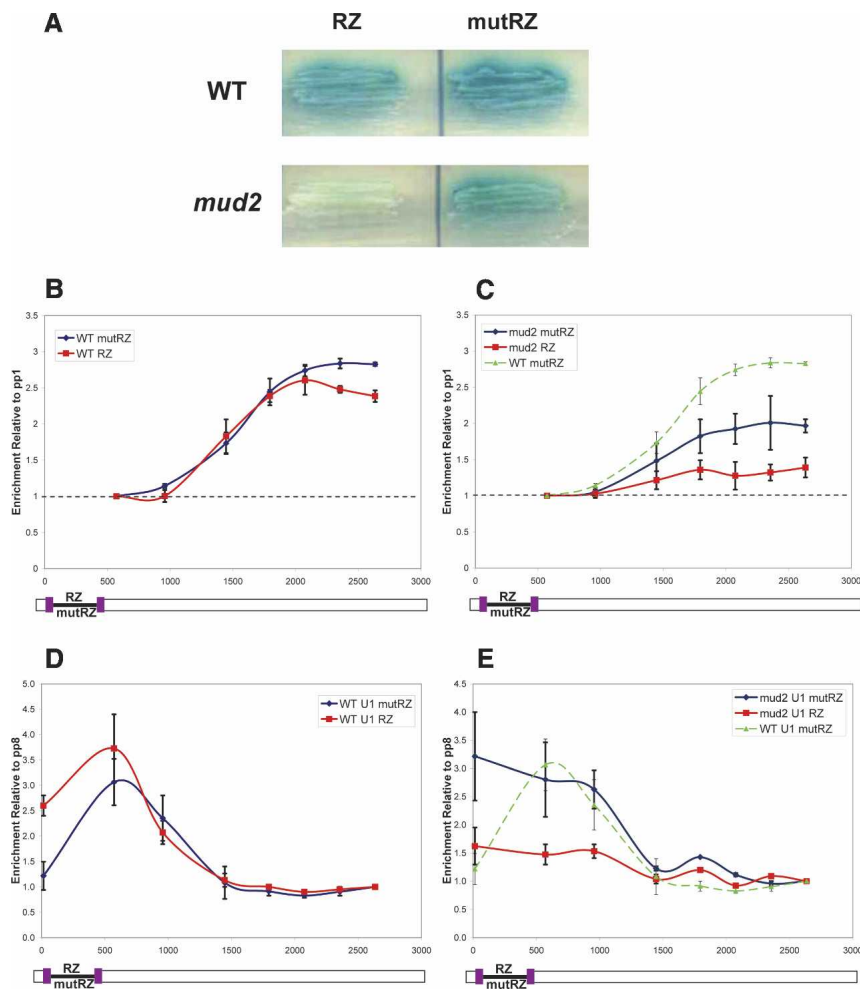


**Figure 2.** MS2 ChIPs to intronic MS2 or split MS2 reporters show that splicing occurs cotranscriptionally  $\sim 1$  kb past the 3'SS. (A) Derivatives of the *HZ18* gene were constructed to incorporate a single MS2 stem-loop (purple) within the intron (*top*) or split between exons one and two (*bottom*). Aside from the wild-type intron (GTATGT) each reporter was also made with a corresponding deletion of the 5' splice site ( $\Delta 5'SS$ ) to kill splicing (Lacadie and Rosbash 2005). HA-MS2 ChIPs were performed as described (see Materials and Methods), and the graphs are presented as described in the legend to Figure 1. (B) MS2 ChIPs to the intron MS2 (blue) and intron MS2  $\Delta 5'SS$  (red) reporters showed that the intron is removed cotranscriptionally dependent upon a wild-type 5'SS. (C) MS2 ChIPs to the split MS2 (blue) and split MS2  $\Delta 5'SS$  (red) show that exon ligation occurs cotranscriptionally dependent upon a wild-type 5'SS. (D) ChIPs for U1, U2, and U5 snRNPs were performed as described (see Materials and Methods) to the split MS2 reporter and overlaid with the MS2 ChIP data from C. U1 snRNP recruitment (blue) peaks before U2 (red) and U5 (green). The dissociation of U2 and U5 correlate with the appearance of split MS2 signal (black).

some assembly might cause a reduction in RZ lacZ activity compared to the mutRZ lacZ activity, all of which can be assayed on plates. We also combined the RZ (and mutRZ) insertion with the split MS2 exons to provide a biochemical subscreen, i.e., a split MS2 RZ versus mutRZ ChIP assay in candidate mutant backgrounds. In fact, this pair of split MS2 *HZ18* (RZ vs. mutRZ) reporters was used for the initial plate screen. A group of  $\sim 75$  viable deletion mutants from the yeast knockout library (Open Biosystems) (Winzeler et al. 1999) with proposed functions in mRNA export, mRNP formation, pre-mRNA processing, transcription initiation, transcription elongation, and chromatin remodeling was assayed (see Materials and Methods for a list of tested mutants). Each strain was transformed with each of the two reporters and with the plasmid expressing HA-MS2, plated on X-Gal-containing media with galactose to induce *HZ18* expression, and analyzed after 2–3 d of growth for blue color intensity as a readout of  $\beta$ -galactosidase activity.

In a wild-type background the  $\beta$ -galactosidase activity is marginally less for the split MS2 RZ reporter com-

pared to the split MS2 mutRZ reporter (Fig. 3A, top panels). This observation is consistent with the RZ ChIP assay (Fig. 1) and suggests that a high percentage of nascent introns are able to bypass or inhibit RZ cleavage. We noticed that a deletion of the splicing factor Mud2p gave a robust intensity difference between RZ and mutRZ signals (Fig. 3A, bottom panels). The mutRZ construct is noticeably less active in the  $\Delta mud2$  background than in a wild-type strain, suggesting that even splicing of the mutRZ-containing intron is dependent on the presence of Mud2p (see Discussion). Much more impressive, however, was the RZ reporter difference between the two strains, as it was almost completely white in the  $\Delta mud2$  background, suggesting that a lack of Mud2p sensitizes the intron to RZ cleavage activity (Fig. 3A, bottom panels). Similar observations were made with liquid  $\beta$ -galactosidase assays, which showed reduced expression of both reporter genes as well as increased RZ cleavage in the  $\Delta mud2$  background: RZ cleavage was  $\sim 55\%$  in wild-type and  $\sim 75\%$  in  $\Delta mud2$  (data not shown; see Discussion).



To confirm this observation, we assayed these split MS2 reporters by ChIP in the wild-type and  $\Delta mud2$  backgrounds. As suspected, very little difference in cotranscriptional splicing was observed in the wild-type strain, indicating that these nascent transcripts splice cotranscriptionally and are resistant to RZ-cleavage (Fig. 3B). As shown previously (Fig. 2C), the split MS2 RZ and mutRZ genes manifest background levels just after the intron, which increase significantly by 1 kb past the intron and peak by  $\sim 1.5$  kb (Fig. 3B). In contrast, the  $\Delta mud2$  background showed reduced split MS2 mutRZ ChIP signal, which was reduced even further with the active RZ. These differences between RZ and mutRZ could not be attributed to differences in levels of RNA PolIII as assayed by ChIP with the 8WG16 antibody (Supplementary Fig. 1A,B). These split MS2 ChIP data agree well with the observed plate phenotypes, suggesting that the absence of Mud2p affects cotranscriptional splicing efficiency in a manner that sensitizes the intron to RZ cleavage activity (see Discussion).

Because Mud2p binds to the intronic branchpoint region and impacts *in vitro* spliceosome assembly at the

level of commitment complex formation (U1 snRNP complex formation) (Abovich et al. 1994; Rain and Legrain 1997; Rutz and Seraphin 1999), we suspected that the RZ phenotypes might also be evident at the level of cotranscriptional U1 snRNP recruitment. To address this possibility, we exploited the U1 snRNP ChIP assay (Kotovic et al. 2003; Gornemann et al. 2005; Lacadie and Rosbash 2005) and transformed the split MS2 reporters into a yeast strain with a TAP tag on the U1 snRNP protein U1C (Lacadie and Rosbash 2005). This was done either in a wild-type or a  $\Delta mud2$  strain background, and ChIPs with IgG-sepharose were performed as described (Lacadie and Rosbash 2005); the data are presented as a ratio of IP to input relative to the last primer pair.

With the exception of the beginning of the curves, the U1 snRNP patterns in a wild-type strain background are virtually indistinguishable between both reporter genes and similar to what had been previously reported for the wild-type *HZ18* gene (Fig. 3D; Lacadie and Rosbash 2005). In contrast, the U1 patterns in the  $\Delta mud2$  background were flatter and had their peaks shifted from the second to the first primer pair for both the mutRZ and

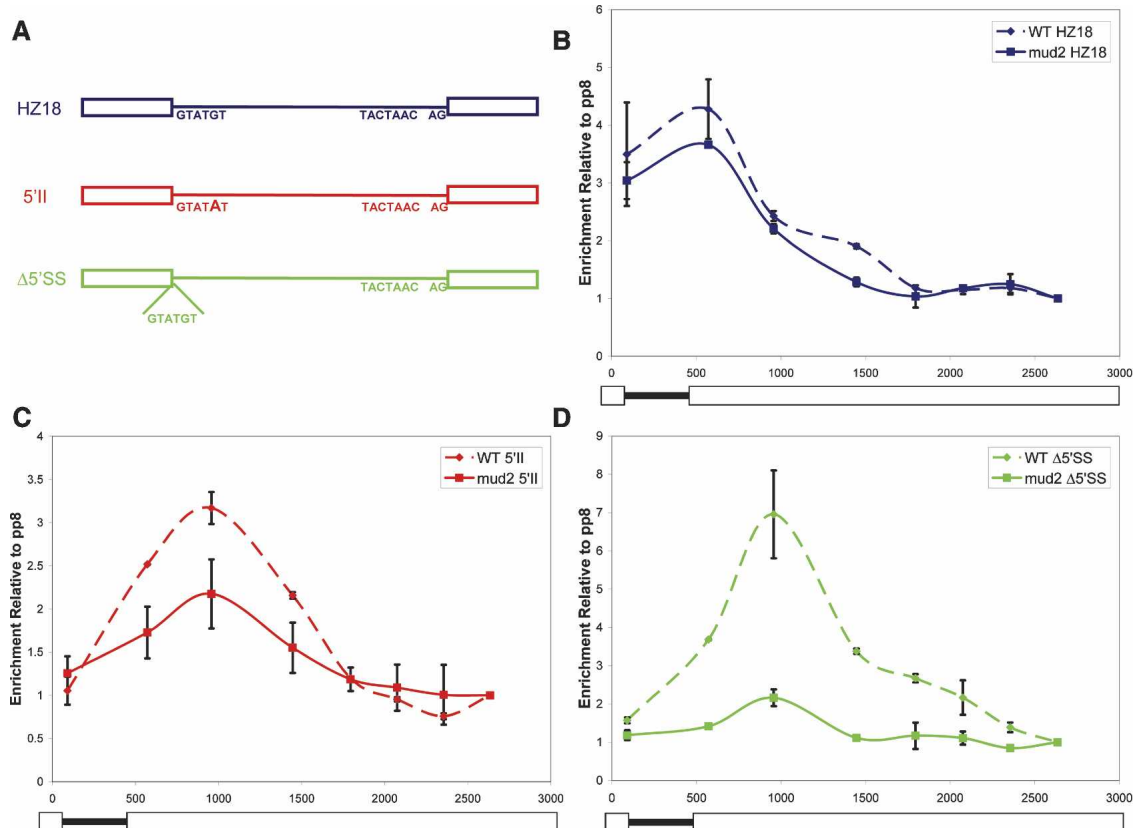
**Figure 3.** Deletion of *MUD2* sensitizes an intron to RZ cleavage. (A) Split MS2 derivatives of *HZ18* with the RZ or mutRZ were transformed into wild-type (top panels) or  $\Delta mud2$  (bottom panels) strains and assayed for  $\beta$ -gal activity as described (see Materials and Methods).  $\Delta mud2$  increases the difference in blue intensity between the RZ and mutRZ reporters (bottom panels) compared to wild-type (top panels). (B,C) HA-MS2 ChIPs were performed as described (see Materials and Methods), and the data are presented as described in the legend to Figure 1. (B) MS2 ChIPs for the split MS2 mutRZ (blue) and RZ (red) reporters show little to no difference in a wild-type background. (C) In the  $\Delta mud2$  background the split MS2 mutRZ (blue) signal is reduced compared to the same experiment in the wild-type strain (green), and the  $\Delta mud2$  split MS2 RZ (red) signal is even further reduced. (D,E) U1 snRNP ChIPs were performed as described (see Materials and Methods), and the data are presented as described in the legend to Figure 1, except that the Y-axis represents the enrichment of DNA relative to the background signal seen at the last primer pair. (D) In a wild-type strain, U1 snRNP recruitment to the split MS2 RZ (red) is very similar to that for the split MS2 mutRZ (blue) aside from a modest increase at the first primer pair. (E) U1 snRNP recruitment in the  $\Delta mud2$  strain is altered for both the split MS2 mutRZ (blue) as well as the split MS2 RZ (red) compared to the wild-type split MS2 mutRZ (green), and there is indeed a RZ-dependent reduction (compare red to blue).

RZ reporters (Fig. 3E). Importantly, U1 snRNP levels were also significantly reduced for the active RZ compared to the *mutRZ* in this background (Fig. 3E, cf. red and blue). This explains the reduced  $\beta$ -gal and split MS2 signals for RZ relative to *mutRZ* and implicates Mud2p in a cross-intron bridging role during cotranscriptional commitment complex formation in vivo, as predicted from in vitro experiments (Abovich and Rosbash 1997; Berglund et al. 1997, 1998).

We recently reported that branchpoint mutations influence U1 recruitment; moreover, robust U1 recruitment was still observed on an intron with no 5' splice site (Lacadie and Rosbash 2005). Taken together with the observations shown above, we suspected that Mud2p was particularly important for recruiting U1 to the branchpoint region in the absence of wild-type 5' splice site activity. To test this hypothesis, we used the original *HZ18* reporter and two variants with a weakened 5' splice site for U1 ChIP assays: The 5' splice site construct contains a GUAUaU instead of the wild-type GUAUGU, resulting in 50% of wild-type protein expression, and the  $\Delta$ 5' splice site construct cannot

splice and therefore has no  $\beta$ -galactosidase activity (Fig. 4A; Jacquier et al. 1985; Lacadie and Rosbash 2005). The two 5' splice site mutant reporters along with the wild-type gene were transformed into TAP-tagged U1C strains, either in a wild-type background or in  $\Delta$ *mud2*.

The  $\Delta$ *mud2* strain has no detectable effect on U1 recruitment to a wild-type intron (cf. Fig. 4B; see Discussion). When the 5' splice site is compromised by the 5' splice site II mutation, however, U1 levels are significantly reduced in the  $\Delta$ *mud2* background (Fig. 4C, solid vs. dashed). This effect is more extreme than that of the 5' splice site II mutation alone, which shifts the signal of U1 recruitment by  $\sim$ 500 bp downstream as previously shown (cf. Fig. 4C and 4B; Lacadie and Rosbash 2005). When the 5' splice site is further impaired by the  $\Delta$ 5' splice site mutation, peak U1 levels are even more reduced than by the 5' splice site II mutation (cf. solid and dashed in Fig. 4C,D). The data indicate that the branchpoint region interaction with U1 snRNP is strongly dependent on Mud2p, which explains the sensitivity of U1 recruitment to an intronic RZ element in the  $\Delta$ *mud2* background (see Discussion).



**Figure 4.** Mud2p facilitates U1 snRNP recruitment to introns with compromised 5' splice sites. (A) *HZ18* (blue) and two derivatives containing weak (5' splice site II [red]) or strong ( $\Delta$ 5' splice site [green]) 5' splice site mutations were transformed into wild-type or  $\Delta$ *mud2* yeast tagged for the U1 snRNP protein U1C (Lacadie and Rosbash 2005). (B–D) U1 snRNP ChIPs were performed as described (see Materials and Methods), and the data are presented as described in the legend to Figure 3. (B) U1 snRNP recruitment to *HZ18* in the  $\Delta$ *mud2* background (solid line) is very similar to that seen in the wild-type background (dashed line) (Lacadie and Rosbash 2005). (C) The shifted U1 snRNP recruitment observed to the 5' splice site II reporter (dashed line) (Lacadie and Rosbash 2005) is significantly reduced in the  $\Delta$ *mud2* strain (solid line). (D) The shifted and elevated U1 snRNP recruitment observed for the  $\Delta$ 5' splice site reporter (dashed) (Lacadie and Rosbash 2005) is even more significantly reduced by the Mud2p deletion (solid line).

*Deletion of TFIIS sensitizes an intron to cotranscriptional ribozyme cleavage*

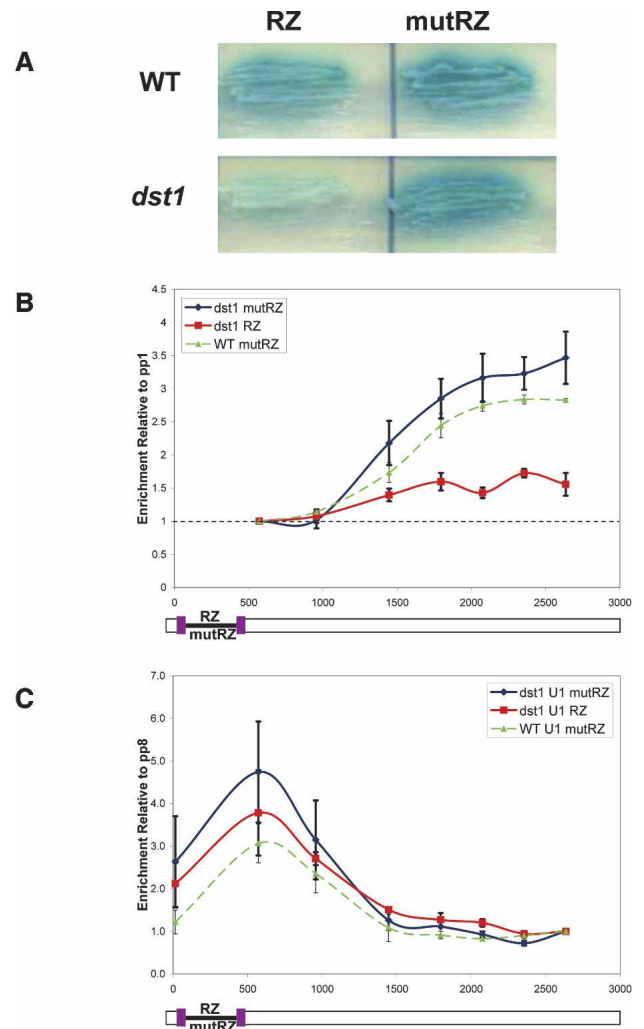
Another strain that gave strong RZ versus mutRZ plate and liquid (65% cutting; see Discussion) phenotypes was  $\Delta dst1$  (Fig. 5A); *DST1* encodes the transcription elongation factor TFIIS. The splitMS2 RZ versus mutRZ ChIP assay in the  $\Delta dst1$  background confirmed that the plate lacZ results largely reflects cotranscriptional splicing events (Fig. 5B). Moreover, the results were similar to those shown above for the  $\Delta mud2$  strain, except that the effect of  $\Delta dst1$  on mutRZ splicing was negligible—also consistent with the plate results (Fig. 5A). The difference between the RZ and mutRZ in the  $\Delta dst1$  background was not due to changes in RNA PolII levels (Supplementary Fig. 1C). We then assayed cotranscriptional U1 snRNP recruitment to the RZ and mutRZ genes in the  $\Delta dst1$  background. Surprisingly, and unlike the results shown above for the  $\Delta mud2$  strain (Fig. 3E), there was no decreased U1 snRNP recruitment in the  $\Delta dst1$  strain (Fig. 5C), suggesting that the RZ sensitivity to splicing may occur at a later stage of spliceosome assembly (see Discussion).

*Deletion of Paf1p does not confer ribozyme sensitivity*

Because of the strong TFIIS effect, we examined another transcriptional elongation factor deletion strain with a lacZ plate phenotype,  $\Delta paf1$ . Paf1p is a component of the polymerase-associated PAF complex, implicated in regulating transcription elongation as well as 3' end formation (Rondon et al. 2004; Penheiter et al. 2005). In contrast to  $\Delta mud2$  and  $\Delta dst1$ , mutRZ and RZ plate phenotypes were comparably reduced in the  $\Delta paf1$  background (Fig. 6A). The split MS2 RZ/mutRZ ChIP assay confirmed the plate phenotype and showed no significant differences between the two reporters (Fig. 6B). Surprisingly, the levels of split MS2 ChIP signal were substantially higher in the  $\Delta paf1$  strain (Fig. 6B). The increased signal is enigmatic and perhaps due to slower polymerase elongation (see Discussion). Indeed, PolII levels are somewhat higher with altered patterns in the mutant strain (see Discussion; Supplementary Fig. 1D). The  $\Delta paf1$  data indicate that a slow elongation phenotype is not sufficient to cause RZ sensitivity. Moreover, a comparison of the  $\Delta paf1$  and the  $\Delta dst1$  data (cf. Figs. 6 and 5) suggests that the two elongation proteins contribute differentially to cotranscriptional spliceosome assembly and splicing.

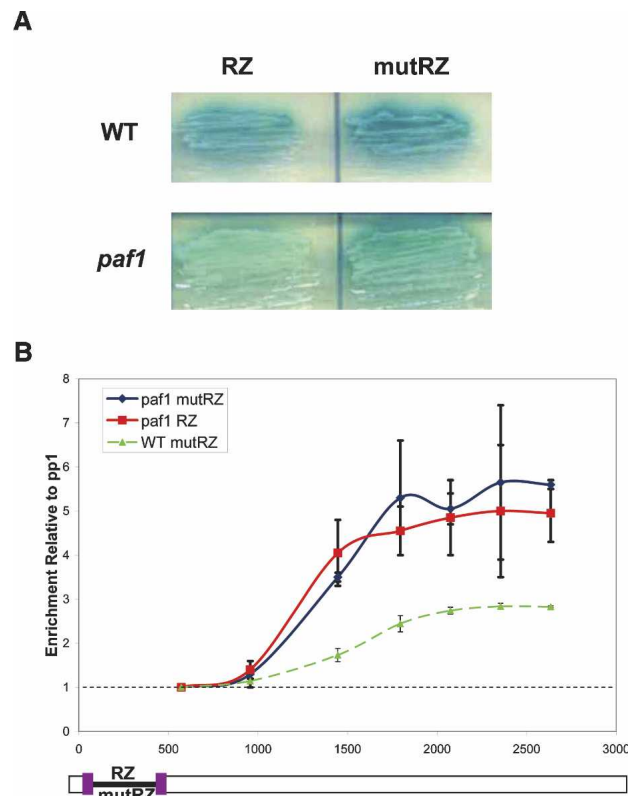
## Discussion

Although substantial spliceosome assembly occurs cotranscriptionally in yeast (Gornemann et al. 2005; Lacadie and Rosbash 2005), there was no previous evidence for cotranscriptional splicing, i.e., RNA phosphodiester bond cleavage and formation. Using a modified version of a previously published ChIP assay for the phage RNA binding protein MS2 (Abruzzi et al. 2004), we describe here two novel assays for cotranscriptional



**Figure 5.** Deletion of *DST1* (TFIIS) sensitizes an intron to ribozyme cleavage. (A) Split MS2 derivatives of *HZ18* with the RZ or mutRZ were transformed into wild-type (top panels) or  $\Delta dst1$  (bottom panels) strains and were assayed for plate  $\beta$ -gal activity as described (see Materials and Methods).  $\Delta dst1$  increases the difference in blue intensity between the RZ and mutRZ reporters (bottom panels) compared to wild-type (top panels). (B) HA-MS2 ChIPs were performed as described (see Materials and Methods), and the data are presented as described in the legend to Figure 1. MS2 ChIPs in the  $\Delta dst1$  background show slightly elevated levels to the split MS2 mutRZ (blue) compared to the same experiment in a wild-type strain (green dashed line), and the signal is substantially reduced to the split MS2 RZ reporter (red). (C) U1 snRNP ChIPs were performed as described (see Materials and Methods), and the data are presented as described in the legend to Figure 3. U1 snRNP recruitment is relatively unaffected in the  $\Delta dst1$  background to both the split MS2 mutRZ (blue) and split MS2 RZ (red) reporters, compared to signal seen to the split MS2 mutRZ reporter in a wild-type background (green dashed line).

splicing, which indeed occurs when polymerases are ~1 kb after transcription of the *HZ18* 3'SS. Moreover, the use of an intronic ribozyme sequence shows that the splicing pathway allows most pre-mRNAs to avoid the



**Figure 6.** Deletion of the *PAF1* gene shows no RZ phenotypes. (A) Split MS2 derivatives of *HZ18* with the RZ or mutRZ were transformed into wild-type (top panels) or  $\Delta paf1$  (bottom panels) strains and were assayed for  $\beta$ -gal activity as described (see Materials and Methods).  $\Delta paf1$  decreases the blue intensity for both the RZ and mutRZ reporters (bottom panels) maintaining the relative levels compared to wild-type (top panels). (B) HA-MS2 ChIPs were performed as described (see Materials and Methods), and the data are presented as described in the legend to Figure 1. The  $\Delta paf1$  strain shows increased MS2 levels for both the split MS2 mutRZ (blue) and split MS2 RZ (red) reporters compared to wild-type (green dashed line), and no significant difference between the two.

effects of cotranscriptional cleavage. This indicates that the ribozyme activity and the splicing pathway are in competition and lead to a small screen for mutants that sensitize this lacZ splicing reporter to intronic ribozyme cleavage.

Of the ~75 strains screened (see Materials and Methods for a list), only three gave robust plate RZ versus mutRZ phenotypes: two splicing factor gene deletions,  $\Delta mud2$  and  $\Delta msl1$ , as well as a deletion of a transcription elongation factor,  $\Delta dst1$ . We chose to further characterize two of these strains along with a third strain, a deletion of the transcription elongation factor *Paf1p*.  $\Delta mud2$  provides evidence that the commitment complex factor Mud2p may function in cotranscriptional cross-intron bridging as well as in the cotranscriptional recruitment of U1 snRNP to the branchpoint of introns with weakened 5' splice sites.  $\Delta dst1$  shows similar phenotypes to  $\Delta mud2$ , whereas  $\Delta paf1$  displays a different

cotranscriptional splicing phenotype. The results indicate that this genetic strategy accesses the interface between transcription and splicing in yeast.

One possible reason for the observed "protection from RZ cleavage" is that the intron is removed by splicing before cleavage. Indeed, splicing occurs cotranscriptionally, as shown in Figure 2. The intron MS2 and split MS2 curves are almost exactly reversed (Fig. 2B,C), and lead to similar conclusions: Significant exon ligation and intron removal have occurred when transcription is ~1 kb past the intron. Loss of the intron MS2 signal reached background levels by ~1.5–2 kb past the intron (Fig. 2B, blue), suggesting that most, if not all, nascent introns are cotranscriptionally removed. However, we suspect that some HZ18 transcripts are post-transcriptionally spliced. This would consolidate the observed wild-type cotranscriptional cleavage activity (Fig. 1C) with the small splitMS2 RZ/mutRZ ChIP differences (Fig. 3B) and the observed liquid  $\beta$ -gal cleavage activity of ~55%.

Nonetheless, we favor the notion that an even earlier commitment to spliceosome assembly rather than splicing itself is responsible for the protection from the effects of intronic RZ cleavage (Fig. 1C). A comparison of RZ cleavage (Fig. 1B) with cotranscriptional splicing and snRNP recruitment (Fig. 2D) suggests that the wild-type intron is already protected prior to peak U2 values and significant splicing. Consistent with this idea, a deletion of the commitment complex protein Mud2p sensitizes an intron to RZ cleavage (Fig. 3). Since Mud2p is important for the in vivo recruitment of U1 snRNP to the branchpoint region of an intron with a compromised 5' splice site (Fig. 4), we propose that Mud2p contributes to a cotranscriptional U1 snRNP cross-intron bridging complex. This complex contacts sequences both 5' (the 5'SS) and 3' (the branchpoint) of the ribozyme and provides resistance to RZ cleavage in between. Once a strong bridge has been made, covalent contiguity between the two sides of the intron is unnecessary for successful splicing. Alternatively, Mud2p is necessary for the inhibition of RZ activity by the spliceosome. However, we favor the bridging model because both strains show ~99.9% intron cleavage by RT-PCR (Supplementary Fig. 2). The lack of a  $\Delta mud2$  effect on U1 recruitment or on splicing of the wild-type HZ18 gene (Fig. 4B) presumably reflects the presence of residual (less stable) bridging contacts, which are sufficient for commitment in the absence of RZ cleavage. Cross-intron bridging by yeast U1 snRNP may constitute intron definition and be the in vivo equivalent of commitment complex formation in vitro (Seraphin and Rosbash 1989; Abovich and Rosbash 1997). In contrast, Proudfoot and colleagues (Dye et al. 2006) prefer a PolII exon-tethering model to explain similar splicing-protection from nascent RZ cleavage in a mammalian system; these experiments were published while this manuscript was in preparation.

Interestingly, the RZ/mutRZ plate and ChIP phenotypes of the transcription elongation factor TFIIS deletion strain were similar to those of the  $\Delta mud2$  strain (cf. Figs. 3A,C and 5A,B), suggesting that two very different



biochemical pathways can lead to comparable defects in cotranscriptional splicing. However, there are two important differences between the two strains. The first is the lack of a RZ effect on the U1 recruitment patterns in the  $\Delta dst1$  background. This may indicate that the  $\Delta dst1$  effect is later in spliceosome assembly than the  $\Delta mud2$  effect; i.e., some post-commitment complex addition or rearrangement step is affected by the absence of TFIIS. Alternatively, the U1 recruitment assay is unable to detect a qualitative effect of  $\Delta dst1$  on nascent commitment complex formation. The second difference between  $\Delta mud2$  and  $\Delta dst1$  is the apparent lack of a  $\Delta dst1$  effect on the mutRZ reporter. This is in contrast to  $\Delta mud2$ ; it has an effect on both reporters, although it is more severe on RZ than on mutRZ. This difference between  $\Delta mud2$  and  $\Delta dst1$  may just reflect a stronger quantitative effect of  $\Delta mud2$  on splicing and the fact that the *HZ18*, mutRZ, and RZ reporters are a series of introns with decreasing efficiencies.  $\Delta mud2$  can then (negatively) affect both the mutRZ and RZ reporters but not *HZ18*, whereas the weaker  $\Delta dst1$  can only impact the least efficient RZ intron.

It is perhaps more likely that the  $\Delta dst1$  effects are qualitatively distinct from those of  $\Delta mud2$ . This reflects the abundant evidence indicating that Mud2p is a splicing factor and that TFIIS is a PolII elongation factor (Abovich et al. 1994; Rain and Legrain 1997; Rutz and Seraphin 1999; Wind and Reines 2000). Indeed, plasmid overexpression of TFIIS led to increased exon skipping of a two intron yeast gene and was interpreted to be due to an increase in elongation rate (Howe et al. 2003), which has been shown to influence exon skipping in higher eukaryotes (Kadener et al. 2002; de la Mata et al. 2003). There are, however, reasons to suspect the elongation speed interpretation of this TFIIS splicing result. First, recent experiments directly measured transcriptional elongation and processivity of  $\Delta dst1$  with no detectable effect; similar results were obtained with mutants in two members of the PAF1 complex (see below) (Mason and Struhl 2005). Second, there is no more than a small  $\Delta dst1$  effect on PolII density (Supplementary Fig. 1C), which is insufficient to fully account for the splicing effects. Third, the lack of a detectable U1 snRNP recruitment phenotype suggests little or no  $\Delta dst1$  elongation effect between the RZ sequence and the end of the intron (Fig. 5; Lacadie and Rosbash 2005). These considerations suggest that TFIIS-deficient PolII affects splicing, directly or indirectly. It is also possible that TFIIS-deficient PolII potentiates RZ cleavage in some splicing-independent manner, although an effect of TFIIS overexpression on exon skipping makes this possibility less likely (Howe et al. 2003).

An effect of polymerase or chromatin structure/modification on splicing is also made more likely by the phenotypes of the  $\Delta paf1$  strain, which were different from those of  $\Delta dst1$  in two major ways: (1) Despite a marked plate phenotype, there was little or no RZ versus mutRZ effect, and (2) there was a substantial increase in the split MS2 ChIP signal without a RZ versus mutRZ difference (Fig. 6). The lack of an enhanced RZ effect also argues

that altered transcription elongation cannot fully explain the  $\Delta paf1$  as well as the  $\Delta dst1$  phenotypes. Moreover, the increase in split MS2 signal cannot be attributed to the small apparent PolII level increase, which might even be partially due to an 8WG16 antibody preference and a decrease in PolII ser-2 phosphorylation in the  $\Delta paf1$  strain (see Supplementary Fig. 1D and legend; Mueller et al. 2004). The apparent splicing increase might therefore be due to a true increase in cotranscriptional splicing. The still-lower RZ plate signal would then suggest that only a fraction of *HZ18* splicing is cotranscriptional. Alternatively, the increased signal might reflect unmasking of an inherently low split MS2 signal, e.g., a  $\Delta paf1$ -altered chromatin or nascent RNP structure that makes the stem-loop more ChIP-accessible.

In any case, the different phenotypes of the two "elongation" mutants suggest that TFIIS and the PAF complex differentially affect the cotranscriptional splicing machinery. This conclusion is consistent with splicing-sensitive microarray analyses of  $\Delta dst1$  and  $\Delta paf1$ , which indicate that they both affect splicing but in different ways.  $\Delta dst1$  showed an accumulation of unspliced pre-mRNAs relative to total transcripts, and the detailed phenotype clustered with other mutants like the PolII CTD truncation mutant *rpbd101* and mutants of the CTD kinases, Kin28p and Bur1p (Burckin et al. 2005).  $\Delta paf1$  also showed pre-mRNA accumulation but clustered with mutations in the export factors Sub2p, Yra1p, and Mex67p (Burckin et al. 2005).

It is difficult to use genetics to demonstrate a direct influence of TFIIS and of the PAF complex on splicing. For example, Paf1p influences 3' end formation as well as chromatin modification, which makes the splicing effects even more difficult to interpret mechanistically (Krogan et al. 2003; Ng et al. 2003; Wood et al. 2003; Penheiter et al. 2005). Nonetheless, the cotranscriptional assays presented here should continue to provide insights at the interface of splicing and transcription, and might also lend themselves to more biochemical approaches in the future, such as characterizing the composition of cotranscriptional splicing complexes in different genotypes.

## Materials and methods

### Strains

All strains used in this study are listed in Supplementary Table 1. We used standard methods for yeast manipulations (Guthrie and Fink 1991). TAP-tagged U1C strains in the  $\Delta mud2$  and  $\Delta dst1$  backgrounds were made by PCR amplification of the tagged locus from the wild-type strain (see Table S1) and transformation of the PCR product into the knockout strains selecting for *HIS3+* colonies. Strains deleted for the following proteins were used for the plate screen (note: except for Swi1p deletion, which was a gift from Dr. Fred Winston, these strains were taken from the Open Biosystems knockout collection, and only those presented in the Results section have been verified by PCR): Hrb1p, Cus2p, Hpr1p, Gbp2p, Ctk1p, Mlp1p, Mlp2p, Prp18p, Mud2p, Set2p, Isw1p, Mud13p, Spt4p, Rrp6p, Nam8p, Mud1p, Nab6p, Rsc2p, Cbp80p, Ioc2p, Ioc3p, Ioc4p, Snt309p,

Psy2p, Cdc40p, Mig1p, YPL105Cp, Smy2p, Msl1p, Hsl7p, Pub1p, Nam7p, Lrp1p, Sky1p, Scp160p, Tom1p, Ssm4p, Tho2p, Mft1p, Thp2p, Tex1p, Sus1p, Sac3p, Thp1p, Yra2p, Nup84p, Air1p, Air2p, Apq12p, Zds1p, Gfd1p, Hmt1p, Mip6p, Dst1p, Syc1p, Ref2p, Paf1p, Cdc73p, Ctr9p, Rtf1p, Leo1p, Spt20p, Gcn5p, Bur2p, Cka2p, Chd1p, Isw2p, Itc1p, Rpl39p, Lsm12p, Lhp1p, Brr1p, Swi1p.

#### Plasmid construction

All reporters were constructed using QuikChange Site-Directed Mutagenesis (Stratagene, no. 200,518) on pHZ18 (Teem and Rosbash 1983) or pHZΔ5 (Lacadie and Rosbash 2005) with the primer pairs shown in Table S2.

#### Chromatin immunoprecipitations

Chromatin immunoprecipitations for TAP-tagged snRNPs and RNA polymerase with the 8WG16 were performed as described previously (Abruzzi et al. 2004; Lacadie and Rosbash 2005). Anti-HA ChIPs for HA-MS2 were performed essentially as described (Abruzzi et al. 2004) with the following exceptions: Following de-cross-linking, DNA from input and IP samples was purified using the QIAquick PCR Purification Kit (Qiagen). PCR analysis was performed on a Rotorgene 3000 PCR machine (Corbett Research) with the primer pairs shown in Table S2, and the gene positions graphed were the center of each amplicon. Differences in primer pair efficiencies were accounted for by forming standard curves from serial dilutions of appropriate genomic DNA preparations during each real-time run. All samples in a single PCR run were assayed in triplicate. All data represent the average of at least two independent experiments, with the error bars displaying the average deviation.

#### β-Galactosidase activity assays

Liquid β-galactosidase assays were performed as previously described (Jacquier et al. 1985) with the following changes: Wild-type, Δ*mud2*, and Δ*dst1* strains were grown overnight in 2% raffinose and induced for 2 h with 2% galactose. Plate β-galactosidase assays were performed as follows: Yeast were struck to uracil dropout plates containing 0.1 g/L of the β-galactosidase substrate X-gal (5-Bromo-4-chloro-3-indolyl β-D-galactopyranoside; Sigma B4252-1G) and 2% galactose to induce reporter gene expression. Scans of the plates were made after 3 d of growth at 30°C.

#### RT-PCR

Total yeast RNA was treated with RQ1 DNase according to the manufacturer's protocol (Promega M198A). One microgram of DNased RNA was reverse-transcribed with primer O324 (see Table S2) using Superscript II according to the manufacturer's protocol (Invitrogen 18064-014). cDNA was then quantified using real-time PCR with primers O325, O324, O321, and O322 (see Table S2). Data are presented as the signal observed across the cut site as measured by PCR with oligos O321 and O322 for the active RZ divided by the same signal for the mutant RZ and normalized for total introns as measured by PCR with oligos O325 and O324. This was to account for any differences between reverse transcription reactions.

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