

## Immunity to Recombinant *Plasmodium falciparum* Merozoite Surface Protein 1 (MSP1): Protection in *Aotus nancymai* Monkeys Strongly Correlates with Anti-MSP1 Antibody Titer and In Vitro Parasite-Inhibitory Activity

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**A number of malarial blood-stage candidate vaccines are currently being tested in human clinical trials, but our understanding of the relationship between clinical immunity and data obtained from in vitro assays remains inadequate. An in vitro assay which could reliably predict protective immunity in vivo would facilitate vaccine development. Merozoite surface protein1 (MSP1) is a leading blood-stage malaria vaccine candidate, and anti-MSP1 antibodies from individuals that are clinically immune to malaria inhibit the invasion of *Plasmodium* merozoites into erythrocytes in vitro. Using expression in *Escherichia coli* and subsequent refolding, we have produced two allelic forms of MSP1<sub>42</sub> (FVO and 3D7). *Aotus nancymai* monkeys were immunized with MSP1<sub>42</sub>-FVO, MSP1<sub>42</sub>-3D7, or a combination of FVO and 3D7 allelic forms, (MSP1<sub>42</sub>-C1) and were subsequently challenged with *Plasmodium falciparum* FVO parasites. Sera obtained prior to challenge were tested by standardized enzyme-linked immunosorbent assay (ELISA) to determine antibody titer, and immunoglobulin G (IgG) fractions were also obtained from the same sera; the IgG fractions were tested in an in vitro growth inhibition (GI) assay to evaluate biological activity of the antibodies. Regardless of the immunogen used, all monkeys that had >200,000 ELISA units against MSP1<sub>42</sub>-FVO antigen before challenge controlled their infections. By contrast, all monkeys whose purified IgGs gave <60% inhibition activity in an in vitro GI assay with *P. falciparum* FVO required treatment for high parasitemia after challenge. There is a strong correlation between ELISA units (Spearman rank correlation of greater than 0.75) or GI activity (Spearman rank correlation of greater than 0.70) and protective immunity judged by various parameters (e.g., cumulative parasitemia or day of patency). These data indicate that, in this monkey model, the ELISA and GI assay values can significantly predict protective immunity induced by a blood-stage vaccine, and they support the use of these assays as part of evaluation of human clinical trials of MSP1-based vaccines.**

Vaccination against *Plasmodium falciparum* has the potential to reduce malaria-associated severe morbidity and mortality in areas with the most intense transmission, and it may do so without completely preventing blood-stage infection (10, 20, 22, 23). Efforts are under way by various groups to test a number of blood-stage vaccine antigens and formulations in both animals and humans. However, at present there is no demonstrable in vitro assay which correlates with in vivo protective immunity. Such an assay would greatly accelerate the selection of antigens produced in different expression systems, as well as different formulations of these antigens. Choices could be made based on clinical vaccination studies with small numbers of volunteers as opposed to expensive and time-consuming efficacy studies with children living in areas where malaria is endemic. An in vitro assay which correlates with protective immunity in humans may eventually be identified retrospectively, once some clinical efficacy is shown with blood-stage antigens. Until some protection is achieved in humans, it

is possible to evaluate selected assays by using immunization and challenge of nonhuman primates. *Aotus* monkeys of various species are some of the few nonhuman primates that are susceptible to infection with the human malarial parasite *Plasmodium falciparum*. Identification of laboratory assays that correlate with protection induced by vaccination in monkeys would serve as a basis for extending such assays to human clinical vaccine trials.

The *P. falciparum* merozoite surface protein 1 (MSP1) is a leading malarial vaccine candidate (10). The MSP1 gene encodes a 185- to 215-kDa protein that is cleaved into several polypeptides during merozoite maturation and red cell invasion (1–4). Previous studies with rodent malaria parasites and immunization challenge studies with *P. falciparum* in nonhuman primates indicated that vaccines based on the carboxy-terminal portion of MSP1—either the C-terminal MSP1<sub>19</sub> or the larger MSP1<sub>42</sub>—can confer protection against challenge with virulent malaria parasites (5, 6, 11, 12, 29, 31). Thus, evaluating these constructs as vaccine candidates is a focus of several laboratories.

Genetic variation in the *P. falciparum* MSP1 gene has been extensively investigated, since it may represent an obstacle for the development of vaccines based on this molecule (26). The gene may be divided into 17 blocks according to levels of

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interallelic sequence divergence (25). Amino acid sequences may be categorized into one of two allelic groups, known as K1 and MAD20 (24, 33, 34). There is considerable nucleotide substitution and length variation between the two groups but much less variation within each group (33). Amino acid polymorphisms appear for the most part when comparisons are made between the two allele groups, whereas amino acid, as well as synonymous, polymorphisms are very low within each allele group (1, 8, 9). Block 17 encodes MSP1<sub>19</sub>, the 19-kDa C-terminal product of enzymatic processing of MSP1 that remains anchored to the merozoite surface at the time of erythrocyte invasion (1). The amino acid sequence of block 17 is highly conserved except for major variations at four positions. Since protective immunity has been induced both in rodent malarias and in nonhuman primates with this portion of MSP1 (27–29), successful immunization of humans might elicit cross-reactive protection against parasites carrying different allelic variants of MSP1<sub>19</sub>.

Previous efforts to address this issue experimentally have been primarily limited to immunization and challenge studies with rodent malarias, where immunization with a recombinant C-terminal fragment of *Plasmodium yoelii* merozoite surface protein 1 protects mice against homologous but not against heterologous challenge (27, 28). Using recombinant MSP1 as an immunogen, studies of protection against homologous challenge have been carried out with *Aotus* monkeys (5, 18, 19); the effect of infection with heterologous parasites has not been studied. To address these questions, we have produced two allelic forms of MSP1<sub>42</sub>, one based on the sequence of the Vietnam Oak Knoll (FVO) parasite clone (K1 type) and the second based on the sequence of the 3D7 clone (MAD 20 type). Using these sequences produced as clinical-grade recombinant MSP1<sub>42</sub> proteins, we immunized *Aotus nancymai* monkeys with MSP1<sub>42</sub>-FVO, MSP1<sub>42</sub>-3D7, or a mixture of FVO and 3D7 (designated MSP1<sub>42</sub>-C1) and then challenged them with FVO parasites. Blood was obtained from the monkeys just prior to challenge, and serum samples were analyzed both by enzyme-linked immunosorbent assay (ELISA) and by an in vitro growth inhibition (GI) assay. The latter involves measuring the ability of antibodies to inhibit the invasion and growth of *P. falciparum* parasites in red cells in vitro. Both data sets were then compared with the in vivo data for protection against parasite challenge. This study shows that there are significant correlations between the two assays, i.e., ELISA titer and GI activity in vitro, and that both types of assays also correlate with in vivo protection against FVO parasite challenge, regardless of the immunogen used. These results provide a rationale for future evaluation of both of these candidates in human clinical trials of malaria blood-stage vaccines.

#### MATERIALS AND METHODS

**Expression, refolding, and purification of MSP1<sub>42</sub>.** The production and purification of two allelic forms of the *P. falciparum* MSP1<sub>42</sub> by using the *Escherichia coli* expression system will be described elsewhere (S. Singh et al., unpublished data). Briefly, recombinant MSP1<sub>42</sub> based on the protein sequence of the FVO parasite line (EcMSP1<sub>42</sub>-FVO; lot WRAIR0997) was expressed, refolded, and purified at the Walter Reed Army Institute of Research Pilot Bioproduction Facility, Silver Spring, MD, under the current Good Manufacturing Practice conditions. The final purity (percentage in a single band run under reduced conditions on sodium dodecyl sulfate-polyacrylamide gel electrophoresis) was ~95.0%, with a host cell protein contamination level of 0.036% and an endotoxin

contamination level (as measured by a *Limulus* amoebocyte lysate gel clot assay) of 0.12 endotoxin unit/mg. The second allele of MSP1<sub>42</sub> (EcMSP1<sub>42</sub>-3D7; lot WRAIR0984) was also expressed, refolded, and purified at the Walter Reed Army Institute of Research Pilot Bioproduction Facility, based on the protein sequence of the 3D7 parasite line. The final purity was ~97.4% (percentage in a single band run under reduced conditions on sodium dodecyl sulfate-polyacrylamide gel electrophoresis), with a host cell protein contamination level of 0.096% and an endotoxin contamination level of 6.7 endotoxin units/mg.

**Vaccination and challenge infection of malaria-naïve *A. nancymai* monkeys.** *A. nancymai* monkeys were housed at the Primate Research Facility, National Institutes of Health (NIH), in compliance with a protocol (LPD-8E) approved by the NIH Animal Care and Use Committee. Twenty-eight monkeys were randomly assigned to four groups of seven. Group assignment was masked from the primary investigators who cared for or vaccinated the animals, read blood films, or determined when a monkey should be drug cured. The monkeys were immunized subcutaneously three times at 3-week intervals with the following antigens emulsified in complete Freund's adjuvant (Sigma Chemical Co., St. Louis, MO) (first vaccination) or Montanide ISA51 (SEPPIC Inc., Fairfield, N.J.) (subsequent vaccinations). Group 1 received 50 µg/dose of a recombinant form of the *Plasmodium falciparum* sexual-stage protein Pfs25H (21) as a control; group 2 received 50 µg/dose of EcMSP1<sub>42</sub>-FVO, homologous to the challenge parasite; group 3 received 50 µg/dose of EcMSP1<sub>42</sub>-3D7; and group 4 received an equal-mass mixture of EcMSP1<sub>42</sub>-FVO and EcMSP1<sub>42</sub>-3D7 (designated EcMSP1<sub>42</sub>-C1) for a total of 100 µg/dose.

Seventeen days after the third immunization, animals were challenged by intravenous infusion of a freshly passed preparation of  $5 \times 10^4$  red blood cells (RBCs) infected with the highly virulent *P. falciparum* strain FVO according to our established protocol (30, 31). Hematocrits and Giemsa-stained thin films were made from blood collected by puncture of superficial veins in the dorsum of the calf. Parasitemia was monitored daily by inspection of Giemsa-stained thin blood smears until treatment and was calculated based on examination of approximately 2,000 RBCs; if no parasites were seen, then 40 more high-power fields were examined. Monkeys were drug treated when parasitemia reached 5% or when their hematocrit fell below 25%. All monkeys not treated previously were treated on day 28. Treatment consisted of mefloquine administered in a single dose of 25 mg/kg of body weight by intubation.

**ELISA.** Ninety-six-well ELISA plates were coated with 100 ng/well of MSP1<sub>42</sub>-FVO or MSP1<sub>19</sub>-FVO protein at 4°C overnight. The MSP1<sub>19</sub> was produced in *Saccharomyces cerevisiae* as described previously (17). After the plates were blocked with 5% skim milk, diluted sera were added to antigen-coated wells in triplicate and incubated for 2 h at room temperature. After extensive washing, the plates were incubated with alkaline phosphatase-labeled goat anti-human immunoglobulin G (IgG) (Kirkegaard & Perry Laboratories, Inc., Gaithersburg, MD) for 2 h at room temperature. Bound antibodies were visualized by adding *p*-nitrophenyl phosphate Sigma 104 substrate (Sigma Chemical Co., St. Louis, MO). The absorbance at 405 nm was read using a SPECTRAMax 340PC microplate reader (Molecular Devices Co., Sunnyvale, CA).

An *Aotus* anti-MSP1<sub>42</sub> standard serum was prepared using sera from monkeys immunized with both MSP1<sub>42</sub> antigens and was stored at -80°C until use. Serially diluted standard sera were tested and assigned unit values as the reciprocal of the dilution giving an optical density at 405 nm of 1 for both plate antigens (MSP1<sub>42</sub> and MSP1<sub>19</sub>). We assigned 55,000 units for MSP1<sub>42</sub>-FVO-coated plates and 15,000 units for MSP1<sub>19</sub>-coated plates. Duplicates of serially diluted standard sera were included on each test plate in order to generate a standard curve. A four-parameter hyperbolic curve was generated from the standard curve values, and this curve was used to convert the absorbance of individual test sera into antibody units (SOFTmax PRO version 3; Molecular Devices Co.).

**In vitro GI assay.** The IgG fraction of an individual monkey serum taken on 17 days after the final immunization (just prior to parasite challenge) was obtained using Protein G PLUS columns (Pierce, Rockford, IL) with binding and elution buffers supplied by manufacturer (Pierce). The eluted IgGs were dialyzed against RPMI 1640, concentrated to 10 mg/ml, and subsequently sterilized with a 0.22-µm filter (Millipore, Billerica, MA). The purified IgGs were preadsorbed with uninfected human O<sup>+</sup> RBCs (25 µl of RBCs per 1 ml of sample) to remove anti-human RBC immunoglobulins, and then the samples were aliquoted and frozen at -80°C until use. ELISA units of the purified IgGs were also determined using MSP1<sub>42</sub>-FVO as outlined above. Because of technical limitations, the IgG was purified only from sera for which more than 800 µl was available.

The in vitro biological activity of the purified IgG samples (25% [vol/vol] in the test well) was analyzed as described previously (20). Briefly, synchronized late-stage *P. falciparum*-parasitized erythrocytes were incubated with IgG fractions of

TABLE 1. Course of infection in *A. nancymai* monkeys challenged with *P. falciparum* (FVO) parasites

Vaccine group	Monkey	ELISA units		Days to patency	Days to treatment <sup>a</sup>	GI assay activity (% inhibition)	Peak parasitemia (%)	Outcome <sup>b</sup>
		MSP1 <sub>42</sub>	MSP1 <sub>19</sub>					
Pfs25H	T1208	2,458	1,745	7	11	14	10.20	Virulent
	T1307	1,718	1,525	7	11	ND <sup>c</sup>	30.30	Virulent
	T1378	138	460	7	11	ND	11.60	Virulent
	T1418	1,858	460	7	15	ND	1.60	Anemic
MSP1 <sub>42</sub> -FVO	2944	107,840	30,075	7	21	78	0.32	Anemic
	T1163	124,560	45,750	27	28	82	0.01	Controlled
	T1332	40,480	14,405	5	18	ND	0.50	Anemic
	T1373	149,920	34,000	9	21	87	0.21	Anemic
	T1376	53,920	11,640	7	16	64	5.60	Virulent
MSP1 <sub>42</sub> -3D7	2969	32,430	16,080	6	11	62	6.05	Virulent
	T1309	50,160	18,100	7	24	ND	0.55	Anemic
	T1352	469,260	111,850	25	28	ND	0.01	Controlled
	T1366	23,700	6,535	5	21	69	0.29	Anemic
	T1413	71,100	21,000	6	11	ND	7.90	Virulent
	T1414	108,000	15,345	7	16	ND	5.10	Virulent
	T923	95,400	34,150	7	14	ND	6.80	Virulent
MSP1 <sub>42</sub> -C1	2983	135,090	47,150	8	23	76	0.24	Dead <sup>d</sup>
	T1315	485,190	119,950	NP <sup>e</sup>	28	80	NP	Controlled
	T1379	215,190	76,100	11	28	ND	0.04	Controlled
	T1428	42,570	15,260	7	16	ND	5.10	Virulent
	T1434	140,490	51,800	12	21	82	1.10	Anemic
	T1442	198,180	76,450	10	28	83	0.03	Controlled

<sup>a</sup> If not already treated, all monkeys were treated on day 28.

<sup>b</sup> Course of infection: virulent, uncontrolled parasitemia requiring treatment (parasitemia of >5%); anemic, monkey required treatment for anemia (hematocrit of <20%); controlled, monkey controlled parasitemia (<0.05 %) without intervention through day 28.

<sup>c</sup> ND, not determined.

<sup>d</sup> Monkey 2983 was found dead on day 23. The hematocrit on day 21 was 39%; the parasitemia on day 22 was 0.19%.

<sup>e</sup> NP, never patent.

*Aotus* sera for 40 h, and the growth of parasites was evaluated by biochemical assay of parasite lactate dehydrogenase.

**Statistical methods.** (i) **Analysis of ELISA and GI assay data.** To compare antibody units in the prechallenge sera of the four groups, a one-way analysis of variance (ANOVA) and multiple comparisons with the *t* distribution were performed. To test the correlation between (i) anti-MSP1<sub>42</sub> ELISA units and anti-MSP1<sub>19</sub> ELISA units and (ii) anti-MSP1<sub>42</sub> ELISA units and GI assay data sets, a Spearman rank correlation was used. Statistical analyses were done with UNISTAT 5.0 (P-STAT Inc., Hopewell, NJ). Probability values of less than 0.05 are considered significant. Curve fitting analyses were performed using Sigma Plot (SPSS Inc., Chicago, IL).

(ii) **Analysis of parasite challenge data.** Trial outcomes were measured with a primary statistical end point and several secondary end points. In the past, we have found in general that *Aotus* monkeys that control their parasitemia either self-cure or suffer anemia. Thus, monkeys that control their parasitemia but suffer anemia will, at some stage, require treatment for anemia. Previously we have used the day that the first monkey was treated for anemia (hematocrit of <25%) as the primary end point of *Aotus* immunization-challenge studies (29, 31, 32). At this point, it is impossible to say what would have occurred to such a monkey's parasite burden without treatment; the monkey may have self-cured or continued to control the parasite load, or it may have lost control and suffered acute parasitemia. Thus, for the primary end point in the present trial, we included data up until the first monkey was treated for low hematocrit rather than high parasitemia. On that day, all monkeys were ranked as follows. Monkeys treated for parasitemia were ranked according to the day of treatment. When two or more monkeys were treated for parasitemia on the same day, then monkeys were ranked in order of decreasing cumulative parasitemia at the time of treatment.

Secondary statistical comparisons were also made using two other end points: (i) day at which parasites first became patent in each monkey and (ii) final outcome. All monkeys were ranked using each end point, and the data were compared with anti-MSP1<sub>42</sub> ELISA units (before parasite challenge) or with GI assay data by using a Spearman rank correlation test. To compare the protection

ranking between the groups, the one-way ANOVA and multiple comparisons with the *t* distribution were performed.

## RESULTS

**Study design modifications.** During the immunization, four animals (two from the Pfs25H group and two from the MSP1<sub>42</sub>-FVO group) were excluded from the study for technical reasons as a deviation from the original design. Two animals died of cardiomyopathy (one in the Pfs25H group [vaccinated but removed from the study before challenge] and one in the MSP1<sub>42</sub>-C1 group [1 day prior to the second vaccination]). Prior to parasite challenge, the health of all the enrolled *Aotus* monkeys was evaluated. Thus, the challenge study was reduced to 22 animals distributed as follows: 4 animals in the Pfs25H group, 5 animals in the MSP<sub>42</sub>-FVO group, 7 animals in the MSP<sub>42</sub>-3D7 group, and 6 animals in the MSP1<sub>42</sub>-C1 group.

**ELISA units before challenge.** Four groups of monkeys were immunized three times at 3-week intervals with 50 µg/dose of Pfs25H, 50 µg/dose of MSP1<sub>42</sub>-FVO, 50 µg/dose of MSP1<sub>42</sub>-3D7, or 100 µg/dose of MSP1<sub>42</sub>-C1. Antiserum was obtained 17 days after the last immunization just before parasite challenge, and all sera were tested by ELISA with MSP1<sub>42</sub>-FVO-coated plates. As shown in Table 1, the Pfs25H group showed less than 3,000 ELISA units to MSP1<sub>42</sub>-FVO, and the geometric mean of ELISA units in the group was 1,019. The geometric

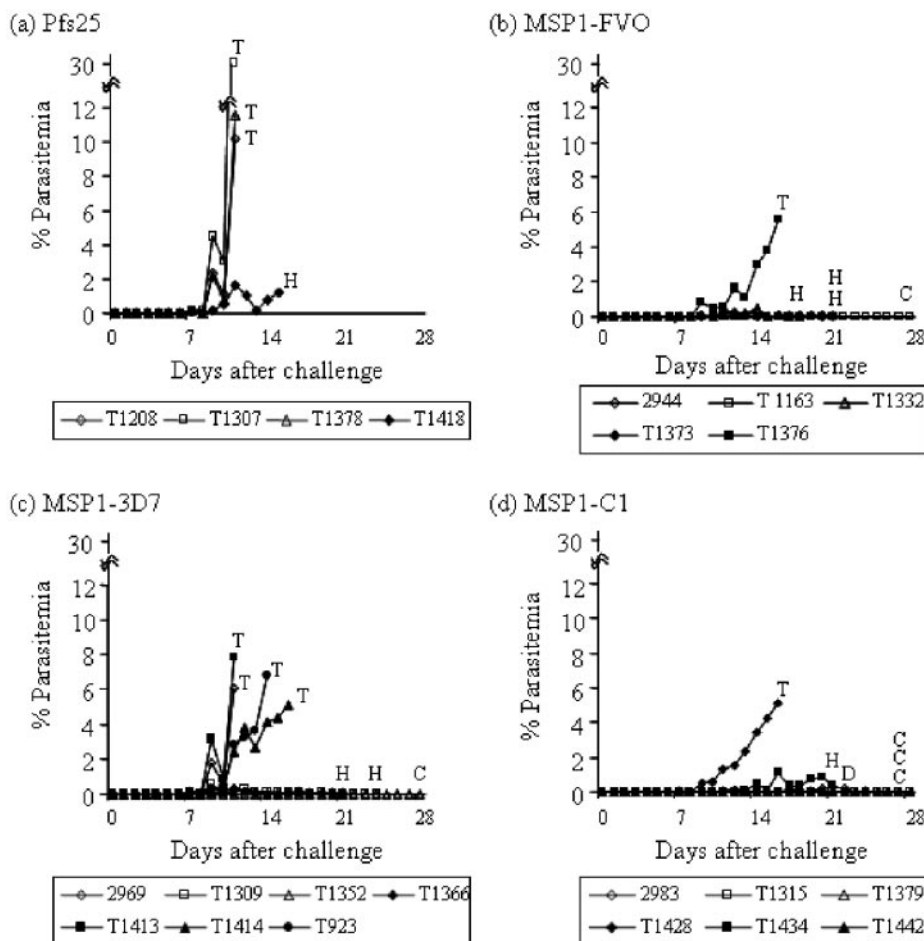


FIG. 1. Daily parasitemia in *A. nancymai* monkeys after challenge. Monkeys were vaccinated three times with 50  $\mu\text{g}/\text{dose}$  of Pfs25H (a), 50  $\mu\text{g}/\text{dose}$  of MSP1<sub>42</sub>-FVO (b), 50  $\mu\text{g}/\text{dose}$  of MSP1<sub>42</sub>-3D7 (c), or 100  $\mu\text{g}/\text{dose}$  of MSP1<sub>42</sub>-C1 (d). Seventeen days after the final vaccination, they were challenged with  $5 \times 10^4$  *P. falciparum* FVO parasitized red blood cells. Parasitemia was monitored daily by inspection of Giemsa-stained thin blood smears until treatment and was calculated based on examination of approximately 2,000 RBCs; if no parasites were seen, then 40 more high-power fields were examined. Monkeys were treated with a curative dose of mefloquine when parasitemia reached 5%, when their hematocrit fell below 25%, or at day 28. T, monkey was treated for high parasitemia; H, monkey was treated for anemia; C, monkey was treated at day 28. D, one monkey in the MSP1<sub>42</sub>-C1 group was found dead on day 23; the hematocrit on day 21 was 39%, and the parasitemia on day 22 was 0.19%.

means of the MSP1<sub>42</sub>-FVO, MSP1<sub>42</sub>-3D7, and MSP1<sub>42</sub>-C1 groups were 84,840, 74,923, and 159,905 units, respectively. There is a significant difference between the four groups (one-way ANOVA,  $P = 0.007$ ), and the value for the Pfs25H group is significantly lower than those for the other three groups;  $P$  values comparing Pfs25H with MSP1<sub>42</sub>-FVO, MSP1<sub>42</sub>-3D7, and MSP1<sub>42</sub>-C1 are 0.004, 0.007, and 0.0001, respectively, by multiple comparisons with the  $t$  distribution. The MSP1<sub>42</sub>-C1 group shows significantly higher antibody units than the MSP1<sub>42</sub>-3D7 group ( $P = 0.033$ ), but there is no significant difference between the MSP1<sub>42</sub>-C1 and MSP1<sub>42</sub>-FVO groups ( $P = 0.128$ ) or between the MSP1<sub>42</sub>-FVO and MSP1<sub>42</sub>-3D7 groups ( $P = 0.591$ ).

To assess the specificity of the antibody responses, ELISAs were compared using the FVO allele of MSP1<sub>42</sub> or the MSP1<sub>19</sub> as plate antigens. For the MSP1<sub>42</sub>-immunized groups, the MSP1<sub>19</sub>/MSP1<sub>42</sub> ratio was constant regardless of immunogen; the mean of MSP1<sub>19</sub>/MSP1<sub>42</sub> ratios in the MSP1<sub>42</sub>-FVO, MSP1<sub>42</sub>-3D7, and MSP1<sub>42</sub>-C1 groups were 0.29, 0.31, and 0.34,

respectively, and the anti-MSP1<sub>42</sub> ELISA units correlated significantly with the anti-MSP1<sub>19</sub> units (Spearman rank correlation, 0.946; 95% confidence interval [CI], 0.872 to 0.978;  $P < 0.0001$ ).

**Correlation between ELISA units and protection against *P. falciparum* challenge.** Three of the four monkeys in the control group vaccinated with Pfs25H were treated for uncontrolled parasitemia (Table 1 and Fig. 1). On the other hand, one of five in the MSP1<sub>42</sub>-FVO group, four of seven in the MSP1<sub>42</sub>-3D7 group, and one of six in the MSP1<sub>42</sub>-C1 group required drug treatment before the study was terminated. All monkeys that had more than approximately 200,000 ELISA units measured against the MSP1<sub>42</sub>-FVO protein controlled their infections, while all monkeys requiring treatment for uncontrolled parasitemia had less than 108,000 units against MSP1<sub>42</sub>-FVO prior to parasite challenge.

To express the level of protection in a more quantitative fashion, all monkeys were ranked by a number of outcome variables as described in Materials and Methods. There is a

TABLE 2. Statistical comparison between four groups of immunized and challenged *A. nancymai* monkeys by using different outcome parameters

Rank of protection	One-way ANOVA	P value for multiple comparison with <i>t</i> distribution					
		Pfs25H vs MSP1 <sub>42</sub> -FVO	Pfs25H vs MSP1 <sub>42</sub> -3D7	Pfs25H vs MSP1 <sub>42</sub> -C1	MSP1 <sub>42</sub> -FVO vs MSP1 <sub>42</sub> -3D7	MSP1 <sub>42</sub> -FVO vs MSP1 <sub>42</sub> -C1	MSP1 <sub>42</sub> -3D7 vs MSP1 <sub>42</sub> -C1
Parasitemia <sup>a</sup>	0.017	0.009	NS <sup>d</sup>	0.002	NS	NS	0.017
Patency <sup>b</sup>	0.048	NS	NS	0.014	NS	NS	0.013
Treatment <sup>c</sup>	0.040	0.016	NS	0.004	NS	NS	NS

<sup>a</sup> The monkeys were ranked based on the cumulative parasitemia on the day that the first monkey was treated for anemia. Monkeys treated for high parasitemia prior to that day were ranked first, and then the other monkeys were ranked.

<sup>b</sup> The monkeys were ranked based on the day that any parasite was first observed in the Giemsa-stained thin blood smears. If several monkeys showed parasites on the same day, they were ranked in the order of their percentage of parasitized erythrocytes.

<sup>c</sup> Initially, the monkeys were grouped based on the reason for treatment. The monkeys treated for high parasitemia were grouped first, those treated for anemia were grouped second, and those not requiring treatment throughout the study were in the third group. In each group, monkeys were ranked in order of the day of treatment and then by cumulative parasitemia. Monkey 2983 was excluded from this analysis, since it had died on day 23 with 0.19% parasitemia and 39% hematocrit.

<sup>d</sup> NS, no significant difference between the groups ( $P \geq 0.05$ ).

significant difference in the degree of protection among the four groups with all of the parameters tested, using a one-way ANOVA test (Table 2). With all the criteria there are consistent significant differences between the Pfs25H and MSP1<sub>42</sub>-C1 groups, but the comparisons with the other groups show various statistical results depending on the protection parameter selected.

There are significant correlations between the prechallenge anti-MSP1<sub>42</sub>-FVO antibody titers determined by ELISA and protection (Fig. 2 and Table 3). With three different outcome variables (parasitemia, patency, and treatment) used to rank protection, the Spearman rank correlation between protection and ELISA titers ranked from 0.75 to 0.85, all with  $P$  values of  $<0.001$ . Even when the monkeys were ranked based on other outcomes of infection (e.g., peak parasitemia during the study or average parasitemia until the monkey was treated for any reason), there were significant correlations between prechallenge ELISA titer and subsequent protection (Spearman rank correlation, approximately 0.7;  $P < 0.001$ ).

**Correlation between ELISA units, growth inhibition assay data, and protection.** Because of the limitations of the blood volumes available, sera from 11 monkeys were used for IgG preparation (1 sample from the Pfs25H group, 4 from the MSP1<sub>42</sub>-FVO group, 2 from the MSP1<sub>42</sub>-3D7 group, and 4 from the MSP1<sub>42</sub>-C1 group). The biological activities of the purified IgGs were then tested with *P. falciparum* FVO parasites in an in vitro GI assay involving a biochemical measurement of parasite lactate dehydrogenase as a readout of parasite growth. As shown in Fig. 3, there is a significant correlation between antibody units judged by ELISA and growth-inhibitory activity judged by GI assay against FVO parasites (Spearman rank correlation, 0.864; 95% CI, 0.547 to 0.964;  $P = 0.0003$ ). A plot of the percent inhibition versus antibody concentration followed a hyperbolic curve, regardless of immunogen ( $r^2 = 0.962$ ;  $I = M - M/(1 + Ab/Ab_{50})$ , where  $I$  is percent inhibition,  $M$  is maximum inhibition,  $Ab_{50}$  is the antibody ELISA unit that gives 50% inhibition, and  $Ab$  is the experimental concentration of antibodies in the GI well). All IgGs from monkeys requiring treatment for uncontrolled parasitemia showed less than 60% inhibition in vitro. The growth inhibition activities in vitro are also significantly correlated with protection data in vivo (Table 3).

## DISCUSSION

In this study we have utilized the *Aotus nancymai* immunization-challenge model to demonstrate that monkeys immunized with either MSP1<sub>42</sub>-FVO, MSP1<sub>42</sub>-3D7, or a combination of the two (MSP1<sub>42</sub>-C1) induced comparable levels of antibody and protective immunity against challenge with *P. falciparum* FVO parasites. We have also shown that the antibody titers determined by ELISA on MSP1<sub>42</sub>-FVO plate antigen and the in vitro GI activity in prechallenge sera are significantly correlated with each other. More importantly, both sets of data also correlate with protective immunity against parasite challenge regardless of the immunogen used.

Immunization of *Aotus* monkeys with MSP1<sub>42</sub>-3D7 induced anti-MSP1<sub>42</sub>-FVO antibodies at the same level as in the monkeys immunized with MSP1<sub>42</sub>-FVO (Table 1). Within MSP1<sub>42</sub>, the MSP1<sub>19</sub> carboxy-terminal region has been proposed to be the most significant, since it can elicit protective immune responses in rodent and nonhuman primate models of malaria (6, 19). Moreover, genetically modified *P. falciparum* parasites have been used to develop evidence that a significant portion of the parasite-inhibitory activity in sera taken from those living in areas where malaria is endemic is directed to MSP1<sub>19</sub> (16, 25). Consequently, it is of interest that levels of antibodies to MSP1<sub>19</sub>-FVO were also indistinguishable in the MSP1<sub>42</sub>-FVO and MSP1<sub>42</sub>-3D7 groups. This result is similar to the observation by other investigators that *Aotus* monkeys inoculated with *P. falciparum* FVO or FUP (Uganda Palo Alto strain), where the amino acid sequence of MSP1<sub>42</sub> is a recombinant between the 3D7 (33-kDa sequence) and FVO (19-kDa sequence), induced antibodies that did not discriminate between those two allelic types of MSP1<sub>42</sub> as judged by indirect ELISA and competitive ELISA (15). This suggests that humoral immune responses of *Aotus* monkeys recognize conserved epitopes in MSP1<sub>19</sub>, even though there are polymorphisms in this polypeptide. The parasite challenge data also support this hypothesis, since there are no significant differences between MSP1<sub>42</sub>-FVO and MSP1<sub>42</sub>-3D7 groups observed using three different types of outcome criteria (Table 2). However, ELISA data and two of three different analyses using the protection data sets show significant differences between the MSP1<sub>42</sub>-3D7 and MSP1<sub>42</sub>-C1 groups, but no statistical

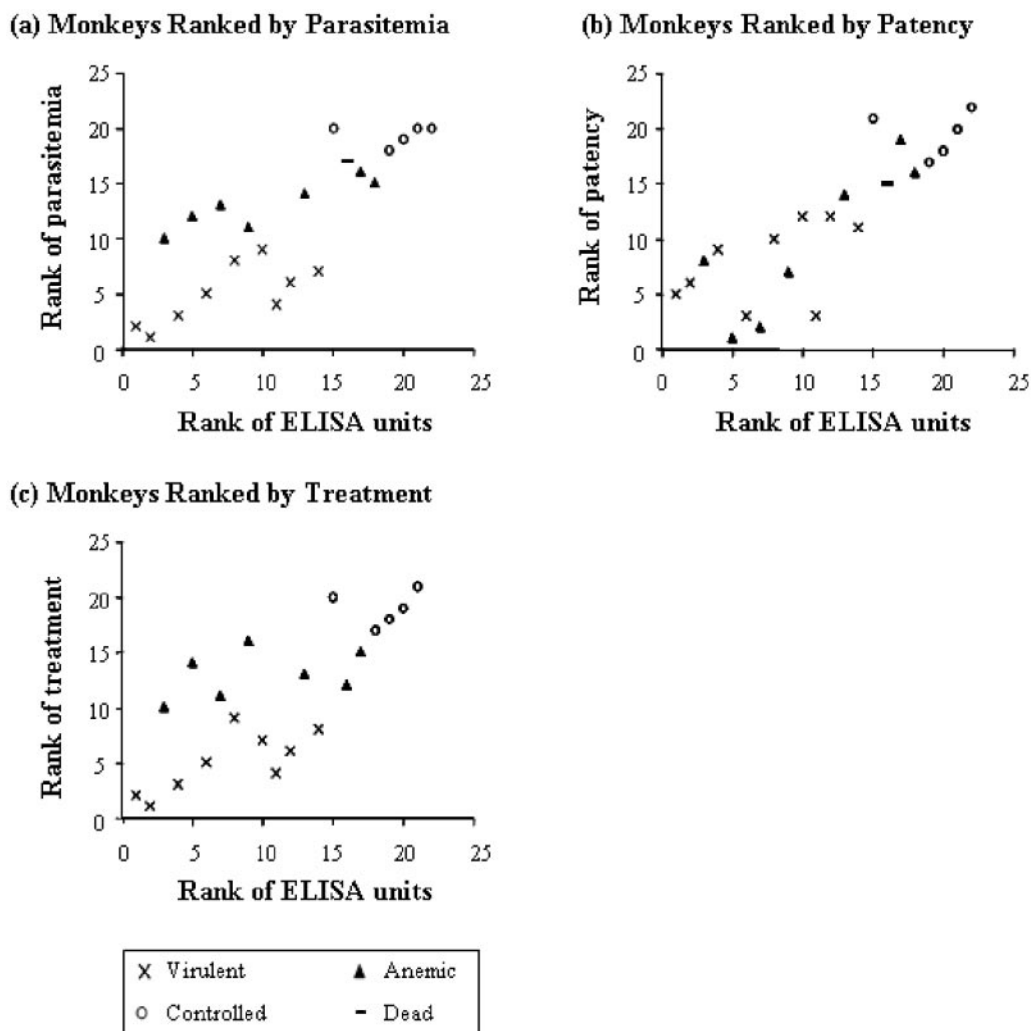


FIG. 2. Correlation between ELISA units and protection data. Monkeys were vaccinated and challenged with *P. falciparum* as described in the legend to Fig. 1. The rank of protection data using various outcome measures is plotted against the rank of anti-MSP1<sub>42</sub>-FVO ELISA units. (a) Ranking by parasitemia. Monkeys were ranked based on the parasitemia on the day that the first monkey was treated for anemia (15 days after challenge). There is a significant correlation between the ranks of ELISA and of parasitemia (Spearman rank correlation, 0.79;  $P < 0.001$ ). (b) Ranking by day of patency. Monkeys were ranked based on the day that parasites were first observed in Giemsa-stained blood smears. There is a significant positive correlation between the rank of ELISA and of patency (Spearman rank correlation, 0.85;  $P < 0.001$ ). (c) Ranking by treatment. Monkeys were ranked based on the day of treatment. There is a significant positive correlation between the rank of ELISA and day of treatment (Spearman rank correlation, 0.75;  $P < 0.001$ ).

differences were detected between the MSP1<sub>42</sub>-FVO and MSP1<sub>42</sub>-C1 groups in any data sets tested. Therefore, it may be possible that MSP1<sub>42</sub>-3D7 induced slightly lower levels of anti-MSP1<sub>42</sub>-FVO antibodies and consequently less protective ac-

tivity against FVO parasite challenge. However, the small group sizes in this current *Aotus* challenge study do not provide a definitive test of significance using a nonparametric test.

While we and others have shown that the ELISA titer of MSP1-immunized *Aotus* correlates with protective immunity against homologous parasite challenge (7, 29, 31), there has not been a direct comparison between homologous and heterologous challenges in primates. Interestingly, in our study even when monkeys were immunized with MSP1<sub>42</sub>-3D7, protective immunity against *P. falciparum* FVO parasite challenge correlated with anti-MSP1<sub>42</sub>-FVO ELISA units, and the Spearman rank correlations were more than 0.7 when three different outcome parameters of challenge data were used. This suggests that the level of antibody against the relevant allelic protein is the critical factor for protection in this *Aotus* model for testing the efficacy of vaccine candidates. One might expect that there are cross-reactive and strain (allele)-specific anti-

TABLE 3. Outcome of challenge infection with *P. falciparum* FVO parasites in immunized *A. nancymai* monkeys correlated with in vitro ELISA and growth inhibition assay

Variable used to rank protection <sup>a</sup>	ELISA, MSP1 <sub>42</sub> -FVO			GIA, FVO parasites		
	SRC <sup>b</sup>	95% CI	P	SRC	95% CI	P
Parasitemia	0.79	0.54–0.91	<0.001	0.78	0.29–0.94	0.004
Patency	0.85	0.66–0.93	<0.001	0.75	0.26–0.93	0.004
Treatment	0.75	0.48–0.89	<0.001	0.70	0.12–0.92	0.013

<sup>a</sup> The monkeys were ranked based on the various parameters as described in the footnotes to Table 2.

<sup>b</sup> SRC, Spearman rank correlation.

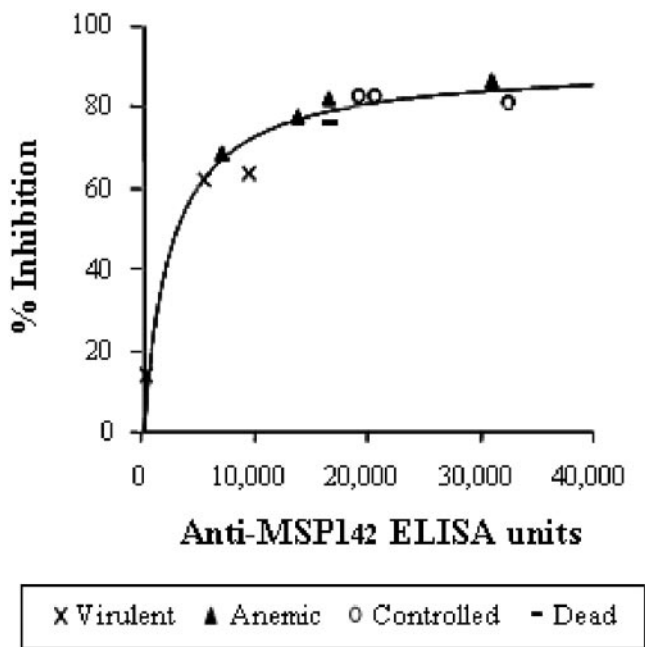


FIG. 3. Correlation between ELISA units and growth inhibition activity in vitro. From the individual monkey serum on 17 days after the final immunization (just before parasite challenge), IgG was purified using protein G columns. The antibody titers of the purified IgGs were determined by ELISA, and the GI activities were determined by a standardized GI assay with *P. falciparum* FVO parasites in vitro. The antibody units in the GI assay wells as determined by ELISA units against MSP1<sub>42</sub> FVO antigen were plotted on the abscissa and the percent inhibition in the GI assay on the ordinate. There is a significant positive correlation between the ELISA units and the percent inhibition by GI assay (Spearman rank correlation, 0.864;  $P = 0.0003$ ). The line is the best fit for the hyperbolic function.

bodies and that the two types of antibodies have different biological activities. If this were the case, the protection rankings of the MSP1<sub>42</sub>-FVO group and the MSP1<sub>42</sub>-3D7 group should not be the same as the rankings of anti-MSP1-FVO antibody titers, since the MSP1<sub>42</sub>-FVO-immunized group would be expected to produce both cross-reactive and strain-specific antibodies (both reactive to FVO ELISA coating antigens and FVO parasites), while only cross-reactive antibodies in the MSP1<sub>42</sub>-3D7-immunized group would recognize FVO antigens and FVO parasites. However, our data suggest that this is not the case. They suggest that the important parameter is the amount of total antigen-specific antibody specifically to the conserved epitopes on the MSP1<sub>19</sub> portion of the recombinant antigens, as there are only four point mutations. It has been shown that antibody directed to the MSP1<sub>19</sub> portion in the anti-MSP1<sub>42</sub> antibody population is important to the biological activity (13, 18, 29). Since the rank order of the anti-MSP1<sub>42</sub> ELISA units tightly correlated with the anti-MSP1<sub>19</sub> units (Spearman rank test, 0.946), we cannot distinguish whether the rank order of anti-MSP1<sub>42</sub> or anti-MSP1<sub>19</sub> ELISA units is the primary determinant of the rank of protective immunity. However, this result is of importance in leading to the concept that those alleles of MSP1<sub>42</sub> which induce high antibody titers might be sufficient to induce protective immunity to homologous and heterologous strains. It appears that

immunodominant epitopes are conserved and localized within the 19-kDa domain. This hypothesis is supported by three pieces of evidence. (i) The first piece of evidence is antibody responses to recombinant MSP1; in the present study, the ELISA titer induced by homologous antigen reacted equally well with homologous and heterologous recombinant antigen. (ii) The second piece of evidence is antibody responses to parasite challenge in the animal model. Naive *Aotus* monkeys were infected by blood-stage challenge with either one of the two dimorphic MSP1 alleles represented by the FUP and FVO parasites. Sera collected after parasite clearance were analyzed by ELISAs. Monkeys infected with parasites carrying one allelic form of MSP1 had antibodies that were equally reactive with homologous or heterologous MSP1s (15). (iii) The third piece of evidence is natural immunity in the field against MSP1; surveys of antibody responses in both children and adults from areas of the Philippines where malaria is hyperendemic (Palawan District) as well as hypoendemic (Morong District) showed that the overwhelming majority (95 to 97%) of the serum samples recognized all of the MSP1<sub>19</sub> variants tested (14).

We had speculated that inclusion of MSP1<sub>42</sub>-3D7 in a vaccine mixture might inhibit induction of antibodies to MSP1<sub>42</sub>-FVO when the two proteins were used together as an immunogen. Therefore, we decided to use a total of 100  $\mu$ g/dose of MSP1<sub>42</sub>-C1 instead of a total of 50  $\mu$ g/dose. Although it does not reach statistical significance, the geometric mean of anti-MSP1<sub>42</sub>-FVO ELISA units in the MSP1<sub>42</sub>-C1 group was twice that of the MSP1<sub>42</sub>-FVO group; moreover, the MSP1<sub>42</sub>-C1 group was more protected than the MSP1<sub>42</sub>-FVO group as judged by the parameters of infection after the challenge. Overall, the presence of MSP1<sub>42</sub>-3D7 in the vaccine formulation had an additive, not a competitive, effect on specific immunity to *P. falciparum* FVO parasites, suggesting that most of the protective epitopes in MSP1<sub>42</sub>-FVO and MSP1<sub>42</sub>-3D7 are conserved.

One of the major issues in the development of blood-stage vaccines for malaria is to identify a rapid, inexpensive way of evaluating protective immunity. As mentioned above, ELISA is such an assay to evaluate vaccine efficacy in general. However, since ELISA cannot distinguish antibodies that simply bind antigen as opposed to those with biological activity against parasites, an additional assay which reflects functional activity is needed. The GI assay is one such assay that measures the effect of immune IgG on parasite growth in vitro. Our data clearly show that there is a strong correlation (Spearman rank correlation, 0.7 or more) between the GI assay results and protective immunity in vivo (Table 3). Moreover, the growth-inhibitory activity of anti-MSP1<sub>42</sub> IgG on FVO parasites is directly proportional to the anti-MSP1<sub>42</sub>-FVO ELISA units (Fig. 3). Because performing the ELISA or GI assay is much less time-consuming and labor-intensive than performing a parasite challenge study and because we have established that the data from these two assays correlate tightly with protective immunity against parasite challenge in the present system, these assays could be useful tools for MSP1-based malarial vaccine development for assessing biologically active antibodies induced by vaccination.

Using two distinct allelic forms of MSP1<sub>42</sub> produced as clinical-grade products, we have shown that in vivo protective immunity against *P. falciparum* FVO parasites strongly correlates with (i) the amount of specific antibody in the serum at

the time of challenge as judged by ELISA and (ii) in vitro growth-inhibitory activity of the IgG purified from antiserum, regardless of immunogen. These results strongly support conducting similar assays in clinical trials of these blood-stage malaria proteins to assess possible correlations with protective immunity to malaria in humans.

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