

Parallel genetic origins of pelvic reduction in vertebrates

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Despite longstanding interest in parallel evolution, little is known about the genes that control similar traits in different lineages of vertebrates. Pelvic reduction in stickleback fish (family Gasterosteidae) provides a striking example of parallel evolution in a genetically tractable system. Previous studies suggest that cis-acting regulatory changes at the *Pitx1* locus control pelvic reduction in a population of threespine sticklebacks (*Gasterosteus aculeatus*). In this study, progeny from intergeneric crosses between pelvic-reduced threespine and ninespine (*Pungitius pungitius*) sticklebacks also showed severe pelvic reduction, implicating a similar genetic origin for this trait in both genera. Comparative sequencing studies in complete and pelvic-reduced *Pungitius* revealed no differences in the *Pitx1* coding sequences, but *Pitx1* expression was absent from the prospective pelvic region of larvae from pelvic-reduced parents. A much more phylogenetically distant example of pelvic reduction, loss of hindlimbs in manatees, shows a similar left-right size bias that is a morphological signature of *Pitx1*-mediated pelvic reduction in both sticklebacks and mice. These multiple lines of evidence suggest that changes in *Pitx1* may represent a key mechanism of morphological evolution in multiple populations, species, and genera of sticklebacks, as well as in distantly related vertebrate lineages.

development | limb | parallel evolution | *Pitx1* | stickleback

Animal evolution abounds with examples of parallelism, the independent evolution of similar traits in separate but related lineages that were not present in their most recent common ancestor (1). Among animals, parallelisms range from traits such as similar wing spot patterns in phylogenetically divergent lineages of butterflies (2) to more substantial changes in body plan, such as the independent loss of limbs in multiple lineages of lizards (3).

The ecological factors that influence the independent evolution of similar traits in different lineages have attracted considerable attention, yet less is known about the genetic basis for parallel evolution (1, 4). A fundamental question in studies of parallel evolution is whether the same gene or genes control similar adaptive phenotypes in different populations and species. Among vertebrates, this issue has been difficult to address because of the paucity of appropriate model organisms; however, recent studies demonstrate that natural populations of organisms, not just laboratory strains, can be used to dissect the genetic and developmental basis of adaptive organismal diversity (5–16).

The stickleback fish family (Gasterosteidae) provides numerous opportunities to study the genetic basis of parallel evolution. Threespine (*Gasterosteus aculeatus*) and ninespine (*Pungitius pungitius*) sticklebacks show repeated evolution of similar adaptive traits among different populations within each genus, and these two genera have also evolved similar derived traits in parallel (4, 17, 18). Among the most striking examples of parallel evolution in sticklebacks is the reduction of the pelvic complex, which consists of a large ventral spine (an enlarged fin ray) and the supporting plate-like pelvic girdle. A complete pelvis is present in all marine and most freshwater populations of both genera (Fig. 1*a, c, and d*); however, heritable reduction or loss

of the pelvic girdle occurs in several derived freshwater populations throughout the circumpolar distribution of threespine and ninespine sticklebacks, likely as an adaptive response to reduced piscine predator loads and/or water chemistry (Fig. 1*b*) (9, 19–25). Pelvic reduction evolved in parallel among freshwater populations within each genus no longer than 10,000–20,000 years ago, at the end of the last glacial period when marine sticklebacks began to colonize new freshwater habitats (26). In contrast, the most recent common ancestor of threespine and ninespine sticklebacks lived at least 10 million years ago, based on fossil data (27).

Previously, we demonstrated that a cis-regulatory change in *Pitx1*, a homeobox-containing transcription factor that is critical for hindlimb identity and outgrowth (28, 29), was responsible for pelvic reduction in a British Columbian population of threespine sticklebacks (9). Furthermore, complementation tests showed that pelvic reduction has a similar genetic basis in conspecifics from an Icelandic lake (9), and genetic mapping and complementation tests showed that pelvic reduction in several southern Alaskan lakes maps to the linkage group containing *Pitx1* (11).

By expanding this complementation approach to different genera, we can test directly whether the same genes control pelvic reduction in *Gasterosteus* and *Pungitius*. Although prezygotic behavioral barriers reproductively isolate threespine and ninespine stickleback genera in the limited areas where they cooccur (30, 31), postzygotic barriers are incomplete, and some populations of the two genera can be crossed to produce viable hybrid progeny (30–33), sometimes referred to as “*Stichlingsbastarden*” (30). This makes a complementation approach feasible.

In this study, we find that pelvic reduction alleles do not complement across genera, thereby suggesting that variation at the *Pitx1* locus underlies this trait in both *Gasterosteus* and *Pungitius*. We also show that a mammalian example of pelvic modification, hindlimb loss in manatees, shares a morphological signature of *Pitx1*-mediated pelvic reduction with sticklebacks and genetically modified mice and may, thus, represent an example of convergence in pelvic-reduction mechanisms.

Results

Pelvic Phenotypes in Stickleback Hybrids. Because threespine and ninespine stickleback lineages diverged millions of years ago, it was conceivable that stickleback hybrids might show morphological defects attributable to developmental instability or other epigenetic factors (34). Furthermore, threespine and ninespine stickleback pelvises have minor structural differences (35). To

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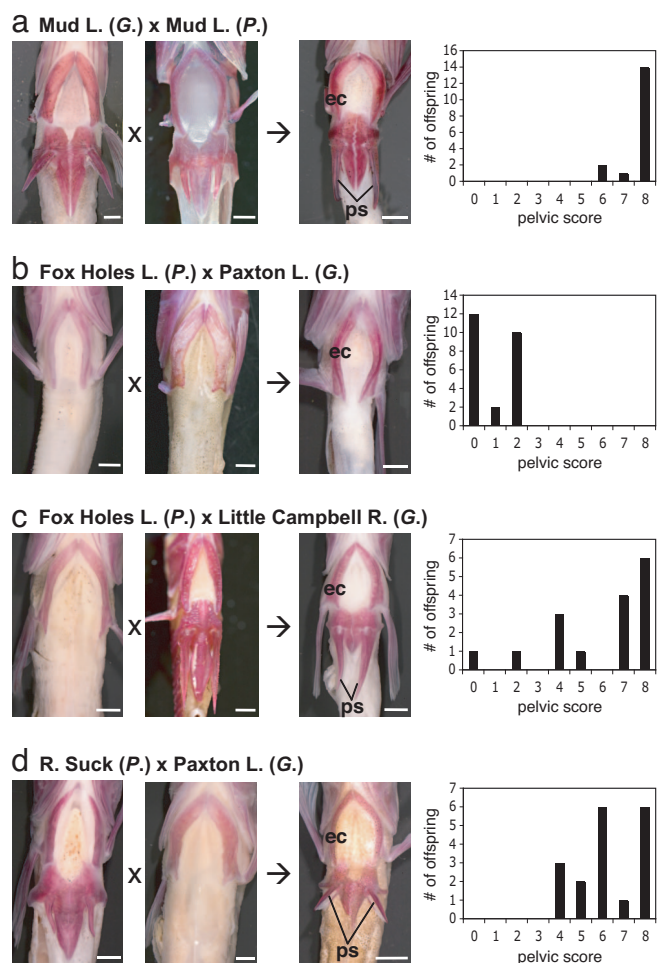


Fig. 1. Pelvic morphology of intergeneric hybrid progeny. Total bilateral pelvic scores range from 0 (absent pelvis) to 8 (complete pelvis with 4 elements on each side). (a) Parental and representative hybrid fish from Mud Lake control cross showing strong development of pelvic structures. Distribution of scores is skewed toward complete pelvises. (b) In contrast, hybrid progeny from pelvisless parents show very weak or no pelvic development. (c and d) In crosses with one complete-pelvis and one pelvisless parent, distributions of hybrid progeny pelvic scores are skewed toward strong pelvic development. ec, left ectocoracoid; ps, pelvic spine. (Scale bars, 2 mm.)

verify that intergeneric hybrid sticklebacks can have complete and recognizable pelvises, we crossed *Gasterosteus* and *Pungitius* with complete pelvises from Mud Lake, AK (Fig. 1a). The resulting hybrid progeny showed expression of a robust, and usually complete, pelvis in all offspring, thereby confirming that hybrid progeny are capable of normal pelvic development.

Hybrid sticklebacks from threespine and ninespine parents show a mix of morphological traits from both genera in this and other studies (30, 32, 33). Nevertheless, previous studies did not show that progeny of intergeneric crosses were, indeed, genetic hybrids. To verify that our hybrid fish inherited alleles from both parents at loci throughout the genome, we genotyped all fish with a series of microsatellite markers described in ref. 5. These assays showed that hybrid progeny in all crosses inherited alleles throughout the genome from both parents, including two markers closely linked to the *Pitx1* locus in *Gasterosteus*.

To test for complementation of pelvic reduction alleles, we crossed *Pungitius* (no pelvis) from Fox Holes Lakes, Northwest Territories, to *Gasterosteus* (no pelvis) from the Paxton Lake benthic population, British Columbia (Fig. 1b). Pelvic reduction is not a dominant trait in Paxton benthic *Gasterosteus* (9) or in

several populations of *Pungitius* (23, 24). If pelvic reduction has a different genetic basis in the parents of the intergeneric hybrid cross, we would expect expression of a complete pelvis in the hybrid progeny. However, in marked contrast to the cross with complete-pelvis parents, all hybrid progeny from pelvisless parents showed severe, bilateral pelvic reduction, suggesting that pelvic-reduction alleles in the two genera failed to complement each other. This finding raised the intriguing possibility that the same genes might underlie pelvic reduction in these two genera of stickleback.

To further explore this hypothesis, we performed additional control crosses to rule out the possibility that pelvic-reduction alleles in one genus were dominant over alleles in the other genus. We performed two small crosses, each with *Pungitius* (no pelvis) from Fox Holes Lake and *Gasterosteus* (complete pelvis) from Little Campbell River, British Columbia (Fig. 1c). In contrast to the cross between pelvisless parents of both genera, the crosses with only a pelvisless *Pungitius* parent produced multiple progeny with strong development of the pelvic complex. Similarly, the reciprocal control cross between a *Pungitius* (complete pelvis) from the River Suck catchment, Ireland, and a *Gasterosteus* (no pelvis) from Paxton Lake also yielded progeny with high pelvic scores (Fig. 1d).

Together, these crosses demonstrate that hybrid progeny can develop a pelvis if at least one parent from either genus has a pelvis. Hence, pelvic-reduction alleles from one genus do not show simple dominance over complete pelvis alleles from the other genus. Consequently, the severe pelvic reduction observed in the Fox Holes Lakes *Pungitius* (no pelvis) by Paxton Lake *Gasterosteus* (no pelvis) cross is not due to dominant alleles in either genus but, rather, to a failure to complement pelvic-reduction alleles at a similar locus or loci.

Conservation of *Pitx1* Coding Sequences. To test for coding changes between *Pitx1* alleles from pelvisless and complete-pelvis *Pungitius* populations that might be responsible for pelvic reduction, we isolated and sequenced mRNA transcripts from Fox Holes Lakes and control populations. The *Pitx1* transcript in *Pungitius* comprises 5 exons and shows high sequence conservation with its ortholog in *Gasterosteus* (Fig. 2) and other vertebrates (9). Two splice variants of the *Pitx1* transcript were identified in *Pungitius*, and neither variant contained any amino acid coding differences in the Fox Holes Lakes population relative to the control population. Therefore, we could rule out coding changes in *Pitx1* as a potential molecular basis for pelvic reduction in Fox Holes *Pungitius*. The five-exon genomic structure and alternate splice forms of this gene, with other organisms having only three exons (36, 37).

Previously, we identified only the three 3'-most exons of *Pitx1* in *Gasterosteus* (9). However, further sequencing studies confirm that the *Gasterosteus Pitx1* transcript also has 5 exons and splice variants similar to those in *Pungitius* (Fig. 2). Our findings suggest greater diversity in *Pitx1* genomic and transcript structure in sticklebacks, and this diversity may extend to other fish. For example, a nonannotated EST clone from medaka (*Oryzias latipes*; GenBank accession no. BJ732832) suggests a splicing scheme similar to that seen in sticklebacks. The amino acid sequence of all five exons in *Gasterosteus* is conserved between the Paxton Lake benthic and complete pelvis (control) populations, also ruling out coding changes as a potential molecular basis for pelvic reduction in the former population.

Expression Changes in *Pitx1* in Pelvic-Reduced Sticklebacks. In *Gasterosteus*, *Pitx1* expression is missing from the prospective pelvic region of larvae from populations with severe pelvic reduction (9, 38). To test for similar changes in *Pungitius*, we examined larval expression patterns of *Pitx1* in Fox Holes Lakes and a

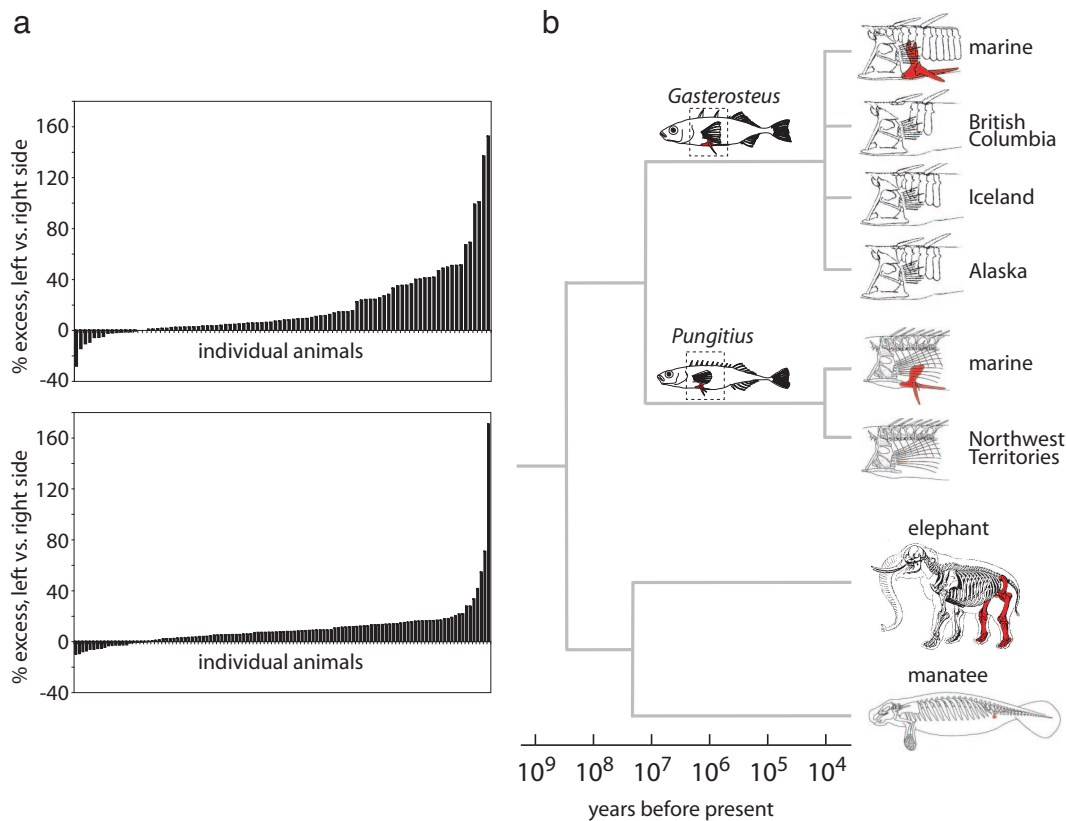


Fig. 4. Asymmetry is a morphological signature of pelvic reduction in multiple, distantly related vertebrates. (a) In both an F₂ threespine stickleback cross (Upper) and a natural population of Florida manatees (Lower), pelvic remnants tend to be larger on the left side of the body than on the right. Each bar on the histograms represents a different individual; negative values indicate a larger right remnant. (b) Complementation and mapping crosses suggest that *Pitx1* is involved repeatedly in the evolution of pelvic reduction in different populations of threespine (*Gasterosteus*) and ninespine (*Pungitius*) sticklebacks. Freshwater populations diverged from marine populations 10,000–20,000 years ago, whereas the two genera shared a complete-pelvis common ancestor at least 10 million years ago. Similar genetic mechanisms may underlie pelvic reduction in manatees, which diverged hundreds of millions of years ago from the lineage that includes sticklebacks and tens of millions of years ago from complete-pelvis relatives, such as elephants. Animal drawings in (b) are modified after refs. 19, 35, 52, 64.

in natural populations (40, 41). Previous studies suggest that viable hybrids can be generated between different mammalian species that have diverged within the last 2–3 million years and between fish, frog, and bird species separated by >20 million years (42–44).

Gasterosteus and *Pungitius* diverged ≥ 10 million years ago. Although hybrids have never been reported in the wild (31), these genera can be crossed by using artificial fertilization in the laboratory (30, 32, 33). Here, we used intergeneric crosses to compare the genetic basis of pelvic reduction in these two distantly related stickleback genera. Intergeneric hybrids can clearly form a robust pelvis, as long as one of the parents of the cross comes from a population with complete pelvises. Some phenotypic heterogeneity is present in control crosses with one pelvic-reduced parent, which could arise from either heterozygosity still present in wild populations or from variable penetrance and expressivity of genes controlling pelvic reduction in the hybrid state. In contrast, all of the hybrid progeny are severely pelvic-reduced in the Paxton benthic *Gasterosteus*-by-Fox Holes *Pungitius* cross. Previous genome-wide linkage mapping and expression studies suggest that *Pitx1* is the major locus controlling pelvic reduction in Paxton benthic fish (9), and absence of complementation between Paxton benthic *Gasterosteus* and Fox Holes *Pungitius* strongly suggests that a similar genetic mechanism involving *Pitx1* underlies pelvic reduction in these two separate genera.

We cannot exclude the possibility that failure of complementation is due to nonallelic noncomplementation, perhaps because of

heterozygosity for different components of single or parallel pathways controlling pelvic development. However, pelvic reduction in Northwest Territories *Pungitius* populations shows several other characteristic features that match those of *Pitx1*-mediated pelvic reduction in threespine sticklebacks: (i) loss of *Pitx1* expression at the site where the pelvic fin would normally develop, (ii) retention of normal coding sequence and normal expression at other sites, and (iii) directional asymmetry, with greater morphological reduction on the right than on the left side (19).

Pelvic reduction has evolved repeatedly in many other groups, including fish, amphibians, reptiles, and mammals (3, 35, 45). Previous marker gene-expression studies show significant alteration of *Hoxb9*, *Pitx1*, and *Tbx4* expression in pufferfish; *Shh*, *Hox*, and apical ridge marker expression in snake; and *Hand2*, *Shh*, and *Fgf8* expression in whale embryos (46–48). However, crosses between forms with and without a pelvis are difficult or impossible in each of these groups. As a result, outside of sticklebacks, it is not clear whether the phenotypic trait of pelvic reduction maps genetically to the *Pitx1* locus or to any other candidate loci that have been examined by expression analysis.

Pelvic reduction mediated by changes in *Pitx1* function has a characteristic morphological signature that is relatively easy to examine in any group for which large population samples are present. Sticklebacks and *Pitx1* knockout mice both show greater reduction of pelvic structures on the right than on the left side of the body, a directional asymmetry thought to arise because of preferential expression of the related gene *Pitx2* on the left but not the right side of developing embryos (29). Left-biased

expression of *Pitx2* has been observed in organisms as distantly related as fish and mammals, suggesting an ancient role in patterning of the left–right body axis, including multiple tissues outside the hindlimb (39, 49, 50).

Several groups of marine mammals evolved from four-legged ancestors during the Tertiary. Fossil sirenians dating to the Eocene and Miocene document several stages in the overall transition from terrestrial to fully aquatic lifestyle, including complete loss of the external hindlimb as part of a series of adaptations for body streamlining (51). Modern manatees have a vestigial pelvic apparatus consisting of small, free-floating, paired pelvic bones located in the body-wall musculature and lacking the femur, tibia, fibula, tarsals, and digits (51, 52). The left–right pelvic pairs studied here clearly show significant directional asymmetry. Both the direction of asymmetry and the overall proportion of animals that show greater pelvic size on the left than on the right side closely resemble the morphological features of *Pitx1*-mediated pelvic reduction seen in mice and sticklebacks.

Further study of the molecular basis of pelvic reduction in sticklebacks, manatees, and other animals will require the identification of the cis-acting regulatory sequences that control *Pitx1* expression in the developing hindlimb. In this and other examples in which morphological evolution has been traced to regulatory rather than coding-region changes, it has been difficult to locate the precise regulatory modules that underlie functional changes in the corresponding gene (53–55). However, enhancer studies of the *Drosophila yellow* gene have recently identified particular sequences that have been gained and lost in fruit fly lineages with different color variants (56, 57). Similar studies should now be possible in mice and fish to identify elements controlling hindlimb-specific expression of the *Pitx1* gene.

Recent genetic and molecular studies have identified several examples of the repeated involvement of the same genes in the evolution of similar traits in independent lineages, including the repeated evolution of similar trichome and pigmentation traits in different species of fruit fly (55–58); pelvic reduction and armor-plate patterning in threespine sticklebacks (9–11, 13); sodium-channel resistance to neurotoxins in snakes and clams (15, 59); *Mc1r*-mediated changes in pigmentation patterns in birds, mammals, and reptiles (6–8, 12, 60); and albinism in blind Mexican cavefish (16). The current work suggests that common genetic mechanisms may also underlie major structural changes in skeletal patterning and limb formation in very distantly related lineages (Fig. 4).

Why are particular genes involved repeatedly in the evolution of similar phenotypes? Perhaps we should not be surprised that the same genetic pathways are involved in parallel evolution of similar traits (1), because the finite number of genes required to build a structure during development limits the realm of possible evolutionary changes (61). However, the total numbers of genes involved in pigmentation, trichome patterning, and limb outgrowth and patterning are not small, and changes in many different genes are known to produce similar phenotypes in laboratory mutants. It is possible that some genes are preferential hotspots for mutations, perhaps because of target size or genomic features that predispose to insertion, rearrangement, or other sequence changes. One of the most important constraints may be avoidance of negative pleiotropic defects in natural populations. Most known examples of parallel evolution consist of coding-region changes in genes with highly specific expression patterns (*Mc1r*, *Oca2*) (6–8, 12, 16, 60), or cis-acting regulatory changes that alter tissue- or region-specific expression of genes that otherwise have complex patterns and multiple functions (*Ubx*, *Ovo/shaven baby*, *Yellow*, *Eda*, *Pitx1*) (9, 13, 54–58). For genes with highly restricted expression patterns, either coding or regulatory mutations can generate new phenotypes that are confined to a particular tissue. For genes expressed in multiple tissues, regulatory mutations in highly modular cis-acting control sequences provide a mechanism to avoid pleiotropic effects and

confine phenotypic changes to a particular tissue type or body region (9, 57, 61).

More examples are clearly needed to determine whether specificity and modularity are key constraints that lead to reuse of particular genes when similar phenotypes arise in different populations and distantly related species. The large number of examples of parallel phenotypic evolution in sticklebacks provides an excellent system to study the molecular basis of many different traits in multiple populations, species, and genera. As illustrated by studies of pelvic reduction, the genetic mechanisms originally found in studies of local populations of sticklebacks may have broad generality, including the identification of pathways that are used repeatedly to control parallel or convergent phenotypic changes across a wide range of other animals.

Materials and Methods

Fish Collection, Husbandry, and Phenotyping. Intergeneric hybrid crosses were performed *in vitro*, and progeny were fixed in ethanol and stained with alizarin red for analysis (5). Pelvic structures in fish with standard length (SL) = 22–67 mm were scored for presence of anterior process, posterior process, ascending process, and spine for a maximum pelvic score of 4 on each side (25). All pelvic elements are strongly ossified in complete-pelvis populations of *Pungitius* by SL = 20 mm (M.D.S., personal observations) and in *Gasterosteus* by SL = 16.5 mm (62). Larvae for *in situ* hybridization studies came from crosses from Fox Holes Lakes (Northwest Territories) and an unnamed creek near Anchorage, AK.

Genotyping. Nine *Gasterosteus* microsatellite markers (5) that amplified PCR products from *Pungitius* DNA were used to genotype all crosses to test for inheritance of alleles from both parents in hybrids: Stn329 (linkage group (LG) 1 in *Gasterosteus*), Stn79 and Stn81 (LG7), Stn85 (LG8), Stn102 and Stn108 (LG9), Stn294 and Stn315 (LG16), and Stn194 (LG19). Five markers amplified PCR products from parents and progeny in most, but not all, crosses: Stn242 (LG1), Stn336 (LG7), Stn144 and Stn287 (LG12), and Stn186 (LG19). Genotyping reactions were performed as described (5) and analyzed by using an ABI 3730xl automated sequencer (Applied Biosystems, Foster City, CA).

***Pitx1* mRNA Transcript Analysis.** We isolated total RNA from larval stickleback progeny of complete-pelvis adult fish from an unnamed creek (Alaska), generated RACE-ready cDNA (SMART kit; Clontech, Mountain View, CA) and amplified the complete 5' UTR, coding region, and part of the 3' UTR (5'-to-3' primer sequences: CTGCTCGGGGCTCTCGGTAAGTGAA for 5' RACE; CACGCATGAAGTGGATTACTG and CTCCCGTCAGCT-GTTGTACTG for the coding region; TTCAACTCCATGAGC-CCGCTCACCT for 3' RACE; universal primers from the kit also used for RACE). Amplification products were cloned (pCR-TOPO2.1; Invitrogen, Carlsbad, CA) and sequenced. The same protocol and primers were also used for marine (complete pelvis) and Paxton Lake benthic (no pelvis) *Gasterosteus*.

***In Situ* Hybridization.** *Pungitius Pitx1* coding fragments were cloned into pCR-TOPO4 (Invitrogen), transcribed (DIG mix; Roche, Indianapolis, IN), and hydrolyzed. Stage-30 larvae (63) from Fox Holes and unnamed creek (Alaska) populations were fixed in 4% PFA, and whole-mount *in situ* hybridization was performed as described (9). Both splice variants gave comparable results; Fig. 3 shows the variant without exon 3.

Manatee Pelvic Measurements. Left and right pelvic vestiges were prepared in a large necropsy study of Florida manatees (52). Weights for each side were determined to the nearest 0.01 gram on an electronic balance. Left–right ratio, and percent excess of left

over right side was calculated as (left mass/right mass) and [(left-right)/right \times 100], respectively. Asymmetry in the Paxton benthic cross was determined from the anterior-posterior length of the pelvic girdle as described (9). Mean ratios of left to right pelvic size were tested for significant difference from unity by two-tailed *t* test.

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