

DNA Binding to Crystalline Silica Characterized by Fourier-Transform Infrared Spectroscopy

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The interaction of DNA with crystalline silica in buffered aqueous solutions at physiologic pH has been investigated by Fourier-transform infrared spectroscopy (FT-IR). In aqueous buffer, significant changes occur in the spectra of DNA and silica upon coinubation, suggesting that a DNA-silica complex forms as silica interacts with DNA. As compared to the spectrum of silica alone, the changes in the FT-IR spectrum of silica in the DNA-silica complex are consistent with an Si—O bond perturbation on the surface of the silica crystal. DNA remains in a B-form conformation in the DNA-silica complex. The most prominent changes in the DNA spectrum occur in the 1225 to 1000 cm⁻¹ region. Upon binding, the PO₂⁻ asymmetric stretch at 1225 cm⁻¹ is increased in intensity and slightly shifted to lower frequencies; the PO₂⁻ symmetric stretch at 1086 cm⁻¹ is markedly increased in intensity; and the band at 1053 cm⁻¹, representing either the phosphodiester or the C—O stretch of DNA backbone, is significantly reduced in intensity. In D₂O buffer, the DNA spectrum reveals a marked increase in intensity of the peak at 1086 cm⁻¹ and a progressive decrease in intensity of the peak at 1053 cm⁻¹ when DNA is exposed to increasing concentrations of silica. The carbonyl band at 1688 cm⁻¹ diminishes and shifts to slightly lower frequencies with increasing concentrations of silica. The present study demonstrates that crystalline silica binds to the phosphate-sugar backbone of DNA. The close proximity of the silica surface to the DNA molecule, brought about by this binding, may contribute to DNA strand breakage produced by silica-derived free radicals. The ability of silica to form stable complexes with DNA may play an important role in the mechanisms of silica-induced toxicity and carcinogenesis. — Environ Health Perspect 102(Suppl 10):165–171 (1994)

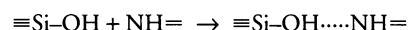
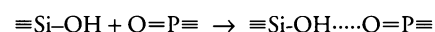
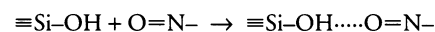
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Introduction

The deposition of crystalline silica particles (hereafter referred to as silica) in the lung of humans or experimental animals leads to silicosis, a progressive pulmonary disease characterized by a fibrotic granulomatous reaction (1). Since the early 1980s, epidemiologic studies have reported the association of silicosis with an increased risk for lung cancer (2–4). Experimental treatment of rats with silica by inhalation or by intratracheal instillation induced high incidences of fibrosis-associated pulmonary carcinomas (2,3,5–7). In cell culture assays, silica has been shown to stimulate the proliferation of fibroblasts (8) and alveolar epithelial cells (9,10) and to induce neoplastic transformation (11,12).

It is generally believed that the surface reactivity of silica with cellular components is a key to understanding its mechanism of action. As proposed by Nash et al. (13),

the nonionized silanol group on the hydrated silica surface is a hydrogen donor group, which can form hydrogen bonds with a hydrogen acceptor. For example:



This hypothesis, supported by the observation that silica can react with protein, phospholipids, and biologic membrane systems, has been considered by most investigators as a general model of interaction of silica with biologic macromolecules (14,15). However, silica has also recently been shown to generate free radicals detectable by electron spin resonance (ESR) spectroscopy under a variety of experimental conditions (16–18). It was proposed that these free radicals play an important role in the mechanism of silica toxicity and carcinogenicity (19–23).

The present study was initiated to further investigate the mechanisms by which silica can cause DNA strand breaks *in vitro* (24). The application of Fourier-transform infrared (FT-IR) absorption spectroscopy to the study of biomolecules in an aqueous environment has several advantages (24,25). High quality spectra of DNA and

protein can be obtained with relative ease in aqueous solutions without associated background fluorescence, which is an overwhelming effect for the complementary vibrational technique of Raman spectroscopy (25). FT-IR spectroscopy can monitor absorption from all bonds of the biomolecules and does not rely on the use of additional probe molecules, as is required with some other spectroscopic methods. FT-IR spectroscopy has been successfully used to study DNA-peptide and DNA-drug interactions (26–28).

Materials and Methods

Silica Preparations

A sample of min-U-sil 5 α -quartz (MQZ, Pennsylvania Glass Sand Co., (Pittsburgh PA) was obtained through the Illinois Institute of Technology Research (Chicago, IL) and tested for biologic activity *in vivo* and *in vitro* (5,6,12,21). Chinese standard α -quartz (CSQZ), which is used as a standard sample in China (29), was obtained from Nanjing University (Nanjing, China). The purity of silica was >99% and the particle size was mostly between 0.5 and 3 μm in both samples. The median distribution by number was 1.1 to 2 μm and 0.5 to 1 μm for MQZ and CSQZ, respectively, and the surface areas (by nitrogen adsorption) (30) were 3.15 mm²/ μg and 11.66 mm²/ μg (31).

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Silica samples were dried in an oven at 110°C for 24 hr before each experiment and then suspended in buffer at a concentration of 20 mg/ml. Homogeneous silica suspensions were obtained by sonication in a Branson model 220 cleaning sonicator (Shelton, CT) for 3 min. The suspensions were diluted to a desired concentration and used immediately.

Chemical modification of silica surfaces was performed using poly(2-vinylpyridine-*n*-oxide)(PVPNO)(Polysciences, Inc., Warrington, PA), which is known to bind to silanol groups on the silica surface (32). For each silica modification, 1.5 ml of a freshly suspended 10 mg/ml silica suspension was added to 1.5 ml of a 100 µg/ml PVPNO, 5 mM phosphate buffer solution, pH 7.4, in a 15 ml polystyrene tube and tightly capped. Following incubation at 37°C in a shaking incubator for 1 hr, samples were then divided into two 2-ml plastic tubes and centrifuged 30 min at 12,000 rpm in an Eppendorf microcentrifuge. The pellet was resuspended in 1.5 ml phosphate buffer.

DNA and Chemicals

λ-DNA was purchased from BRL (Gaithersburg, MD). Calf thymus DNA, AMP, CMP, GMP, TMP, sodium phosphate, and deuterium oxide (D₂O, 99.9%) were all obtained from Sigma (St. Louis, MO). Phosphate buffers were titrated to pH 7.4 at 25°C by mixing divalent and monovalent stock solutions and were treated with Chelex resin (BioRad, Richmond, CA). The buffer was diluted to 5 mM by H₂O or D₂O. The pH or pD of the buffers was 7.4. The pD of the phosphate buffer in D₂O was determined by adding 0.45 to the pH meter reading (33).

FT-IR Studies

Attenuated Total Reflectance Fourier-Transform Infrared (ATR FT-IR) Spectra. The ATR FT-IR spectroscopic techniques employed were based on previously published methods (26). A Digilab single-beam FTS-45 FT-IR spectrophotometer (BioRad, Cambridge, MA) was used to obtain spectra of the samples at ambient temperatures using a DTGS detector. The spectral resolution was 8 cm⁻¹. This spectral resolution has been shown to give optimal signal to noise ratios with reproducibly accurate band position assignments within less than 1 cm⁻¹ (26,27,34). A triangular apodization was applied after recording 1000 scans for each sample. The samples were scanned in a Bio-Rad liquid ATR cell (part number

099-1031) with a ZnSe (45°) crystal. For such an arrangement, the total volume of sample used for one experiment was 1.8 ml. The cell and the crystal were thoroughly cleaned by washing with water and air-dried after each experiment. The spectral region scanned was between 2500 and 740 cm⁻¹ for the H₂O solution and between 2000 and 740 cm⁻¹ for the D₂O solution. Analysis of the spectra, however, was limited to the 1800 to 800 cm⁻¹ range. All ATR spectra were recorded under a nitrogen atmosphere to eliminate water vapor interference. Spectra were obtained for the silica suspension alone (0.2, 1, and 5 mg/ml), calf thymus DNA alone (10 mg/ml), and a mixture (silica 0.2, 1, or 5 mg/ml, and DNA 10 mg/ml). Suspensions were mixed in 5 mM phosphate buffer at pH 7.4. The DNA was allowed to dissolve in 5 mM phosphate buffer for at least 24 hr to insure the formation of a homogeneous solution. Infrared absorbance by buffer components was not detectable and incubations in plain water gave similar results (data not shown). The samples were incubated for 15 hr at 37°C before measurement. Vibrational signals arising from buffer components were subtracted from all sample spectra using the Digilab software. The criteria (35,36) for water buffer subtraction were: absence of the H₂O association band at 2130 cm⁻¹ (neither DNA nor silica absorb at this frequency, so this line is a suitable internal standard for water subtraction); presence of a flat baseline in the 2000 to 800 cm⁻¹ range; and no negative lobes in the spectrum. The criterion for subtraction of D₂O buffer was to obtain a flat baseline in the 1900 to 1725 cm⁻¹ region after the subtraction step.

To observe the effects of coincubation of silica with DNA on the respective spectra, the spectrum of each component was subtracted from the spectrum of the combination (DNA + silica). The peaks in the silica spectrum at 779 and 799 cm⁻¹ identified by transmission IR (see below) are also seen using ATR in D₂O. These peaks were not affected by coincubation with DNA (data not shown) and therefore were used as an internal normalization standard for silica to obtain a correct silica subtraction from the combination (DNA + silica) spectra. The value of the subtraction factor (about 1.025) was selected when the D₂O band in the 1900 to 1725 cm⁻¹ region became flat and the peaks at 779 and 799 cm⁻¹ disappeared. The same subtraction factor for silica was used for (DNA + silica) combination in H₂O. The criterion for DNA subtraction was the presence

of a flat baseline in the 1750 to 1200 cm⁻¹ range.

Transmission FT-IR Spectra. For transmission FT-IR spectroscopy, 40 µl of a 5 mg/ml silica suspension was spread as a film on a ZnSe window and then exposed to vacuum to dry the sample before placing it in the infrared cell. Phosphate buffer was added in a variable amount to give a desired degree of hydration to the dry silica films. The transmission FT-IR spectrum for silica as a dried film was similar to previously published studies (37).

Results

Changes in DNA Spectra after Silica-DNA Coincubation

DNA Interaction with Silica in Buffered H₂O. Figure 1 demonstrates a typical ATR FT-IR spectrum of calf thymus DNA and the spectra of DNA coincubations with silica (minus a silica control). The control DNA spectrum (Figure 1A) is essentially identical with those reported by others (24,26), and the assignment of the bands in the spectrum is given in Table 1. Only the spectral interval range between 1800 and 900 cm⁻¹ was examined.

Both tested quartz samples, MQZ and CSQZ, produced significant alterations of the DNA spectra. In comparison with the spectrum of DNA (Figure 1A), the carbonyl stretching modes at 1715 cm⁻¹ in the spectrum of the DNA with either MQZ or CSQZ (Figure 1B,C) remained relatively constant, indicating that the B conformation of DNA persisted in the DNA-silica complex.

The intensities and frequencies of bands between 1715 and 1250 cm⁻¹ changed only slightly with silica coincubation. By contrast, there were considerable changes in the 1250 to 900 cm⁻¹ region. The peak corresponding to the phosphate asymmetric stretch in DNA shifted from 1225 cm⁻¹ to 1223 and 1222 cm⁻¹ for DNA + MQZ and DNA + CSQZ, respectively (Figure 1A-C). The intensities of these peaks increased as well. Moreover, the intensity of the peak corresponding to the phosphate symmetric stretching mode at 1086 cm⁻¹ in DNA markedly increased in the DNA plus silica complex. The band at 1053 cm⁻¹ was reduced in intensity and slightly shifted to a higher frequency. In addition, the relative intensities in the peaks at 1086 and 1053 cm⁻¹ changed, and the ratio of the peaks increased markedly from 1.56 to 3.46.

The findings from the tests in H₂O indicate structural changes in the DNA

changes in the spectrum may be due to the replacement of H₂O by hydrogen-bonded DNA at the Si-OH group on the silica surface.

Effect of a Surface-modifying Agent on DNA-Silica Interaction

The toxic effect of quartz on cells can be lowered markedly by pretreatment of silica with PVPNO (32,38,39). We therefore investigated the effect of PVPNO on the

infrared spectrum of a DNA-silica mixture. The major changes induced in the bands between 1225 cm and 900 cm⁻¹ of the DNA spectrum were almost completely abolished by pretreatment of quartz particles with PVPNO (Figure 6).

Discussion

This study demonstrates that DNA binds strongly to silica particles at physiologic pH. This is the first evidence of a specific

binding of silica to DNA *in vitro*. The binding takes place despite the fact that the silanol and phosphate moieties involved are both acidic and thus negatively charged in an aqueous environment. Counterions, either in the buffer or as metal impurities on the silica surface, may be involved in lessening the energy barrier that must be overcome before hydrogen bonding takes place. The possible interaction of silica particles with the nuclear material inside living cells has not been investigated in the current study; cationic proteins or other molecules bound to DNA could have a similar counterion effect *in vivo*.

The observation that small silica particles may sometimes be seen by electron microscopy inside the nuclei of cultured cells (40) has emphasized the possibility of a direct silica-DNA interaction in cells. Such a binding, if present, could be important in the mechanisms of silica-induced disease, especially carcinogenesis. DNA bound to silica would be especially sensitive to the hydroxyl radicals produced by silica surfaces (23) and could suffer damage by DNA strand breakage as well as base alteration. Hydroxyl radicals, although highly damaging, are active only over distances of approximately 15 Å or one-half the diameter of a DNA helix (41,42). Thus DNA-silica binding could be important in bringing hydroxyl radicals close enough to cause damage to DNA, and the orientation of the binding sites may influence the type of DNA damage that takes place. In addition to hydroxyl radical mediated DNA damage, other potential effects of intracellular binding of silica to DNA might include interference with the action of DNA polymerase and physical disruption of the mitotic spindle during

Table 2. Wave numbers and intensity of bands of FT-IR spectra (800–1200cm⁻¹) of DNA and DNA + SiO₂ in buffered D₂O (10 mg/ml DNA; 1.0 mg/ml SiO₂).

DNA alone		DNA + MQZ (minus MQZ)		DNA + CSQZ (minus CSQZ)		Assignment ^a
Wave number, cm ⁻¹	Relative absorbance	Wave number, cm ⁻¹	Relative absorbance	Wave number, cm ⁻¹	Relative absorbance	
1087	0.025	1087	0.028	1088	0.039	Sym PO ₂ ⁻
1055	0.017	1055	0.010	1052	0.005	DNA backbone
1026	0.007	1022	0.004	1022	0.005	DNA backbone
1008	0.006	1007	0.006	1008	0.007	DNA backbone
	absent	988	0.005	988	0.006	DNA backbone
971	0.013	968	0.018	968	0.019	Asym O-P-O
935	0.006	938	0.010	938	0.011	DNA backbone
	absent	909	0.009	909	0.010	DNA backbone
899	0.006	894	0.011	895	0.012	DNA backbone
873	0.004	absent		absent		DNA backbone
853	0.009	853	0.009	854	0.010	DNA backbone
840	0.006	839	0.012	838	0.013	DNA backbone
823	0.004	absent		absent		DNA backbone

^aFrom Taillandier and Liquier (24) and Dev and Walters (26).

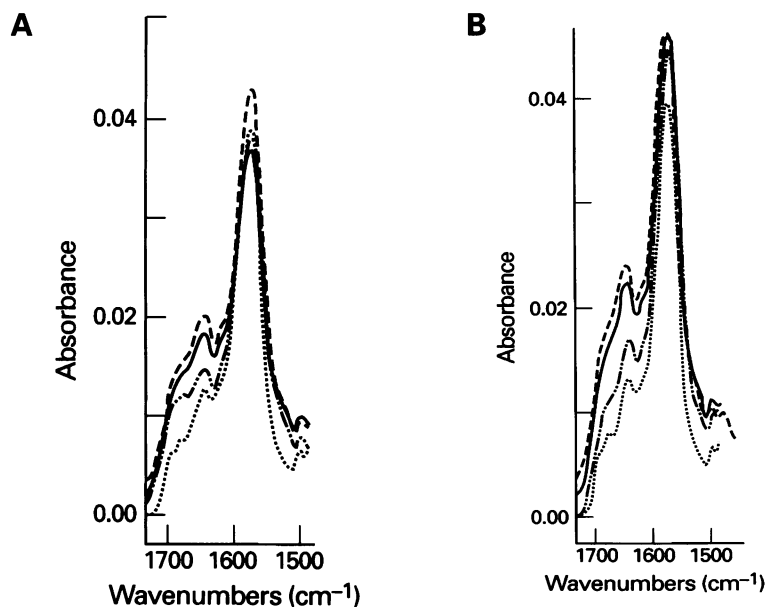


Figure 3. ATR FT-IR spectrum of calf thymus DNA (10 mg/ml in pH 7.4 phosphate-buffered D₂O) in the region 1500 to 1700 cm⁻¹ and the spectra of DNA coincubations with different concentrations of silica. (A) (DNA + MQZ) - MQZ (subtraction factor is 1.025). (****), DNA alone; (-*-), (DNA + MQZ 0.2 mg/ml) - MQZ 0.2 mg/ml; (—), (DNA + MQZ 1.0 mg/ml) - MQZ 1.0 mg/ml; (- - -), (DNA + MQZ 5.0 mg/ml) - MQZ 5.0 mg/ml. (B) (DNA + CSQZ) - CSQZ (subtraction factor is 1.030). (****), DNA alone; (-*-), (DNA + CSQZ 0.2 mg/ml) - CSQZ 0.2 mg/ml; (—), (DNA + CSQZ 1.0 mg/ml) - CSQZ 1.0 mg/ml; (- - -), (DNA + CSQZ 5.0 mg/ml) - CSQZ 5.0 mg/ml.

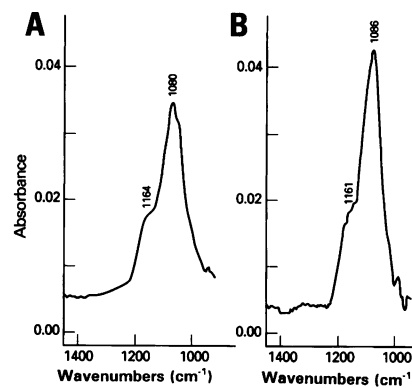


Figure 4. ATR FT-IR spectra of MQZ and (MQZ + DNA) - DNA. (A) MQZ alone (5 mg/ml in pH 7.4 phosphate-buffered H₂O); (B) (MQZ 5 mg/ml + DNA 10 mg/ml) - DNA 10 mg/ml.

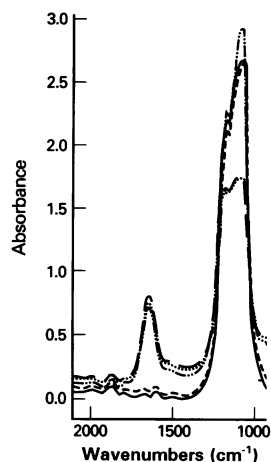


Figure 5. Transmission FT-IR spectra of the dried silica films. Only Figure 5 represents transmission FT-IR spectra; all other figures show ATR spectra. (—), dried silica film (DSF) (0.2 mg silica); (---), DSF + 10 μ l phosphate-buffered H_2O ; (-·-·-), DSF + 20 μ l phosphate buffered H_2O ; (·····), DSF + 30 μ l phosphate-buffered H_2O ; (- - - -), DSF + 40 μ l phosphate-buffered H_2O . Note: the water band at 1640 cm^{-1} is absent in the film to which 10 μ l water was added because the sample did not become hydrated after addition of this small amount of water. The spectrum of the film is essentially that of the dried silica film and not indicative of partial hydration as are the other water amounts.

cell division. These could also result in genetic damage during DNA replication or repair.

DNA contains two kinds of potential nucleophilic sites which can function as hydrogen receptors: the exocyclic nitrogens or carbonyl oxygens of the purine and pyrimidine bases, and the phosphate oxygen atoms. Each of these groups is capable of forming a hydrogen bond with a hydrogen donor molecule under specific chemical conditions (43). The molecular vibrations arising from these different moieties of DNA are observed in specific regions of the IR spectrum.

The most meaningful changes observed in the FT-IR spectra of DNA in the silica-DNA complexes appear in the region between 1250 and 800 cm^{-1} . The shift in frequency and increase in intensity of the PO_2^- asymmetric stretch at 1225 cm^{-1} suggests that the phosphate group is an important site with which silica interacts on DNA, and is the direct target for silica binding. The intensity of the PO_2^- symmetric stretch at 1086 cm^{-1} is also increased. Although silica subtraction was normalized based on an internal standard, analysis of the changes in the latter region is more difficult due to the presence of a silica peak in this region which also changes. The

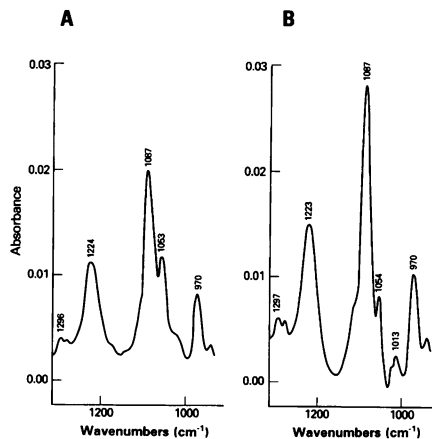


Figure 6. ATR FT-IR spectra of [(MQZ + PVPNO) + DNA] - [(MQZ + PVPNO)] and [(MQZ + DNA) - MQZ]. (A) - (5 mg/ml MQZ + 100 μ g/ml PVPNO) + 10 mg/ml DNA - (5 mg/ml MQZ + 100 μ g/ml PVPNO) (subtraction factor is 1.025); (B) - (5 mg/ml MQZ + 10 mg/ml DNA) - MQZ 5 mg/ml (subtraction factor is 1.025).

observed intensity changes in the DNA spectra at 1086 cm^{-1} , cannot, however, be explained on the basis of alterations of the silica spectra used in the subtraction procedure. For example, the ATR spectrum of CSQZ (5 mg/ml) was essentially the same as that of MQZ, with an absorbance of about 0.3 at 1086 cm^{-1} (data not shown). The absorbance of DNA (10 mg/ml) in this region is 0.2 (Figure 1). These absorbances sum to 0.5, but the change in intensity at 1086 cm^{-1} in the DNA spectrum associated with CSQZ coinubation is from 0.2 to 0.65, even after subtraction of the CSQZ spectrum (Figure 1C). The distinct narrowing of the band width is indicative of a restriction in the mobility of this functional group and implies strong binding of the silica to DNA.

The assignment for the mode at 1053 cm^{-1} (which also shifts) is not certain. This feature has been assigned to either the PO_2^- symmetric stretching vibration (27,28,44,45) or to the C-O stretching vibration of the deoxyribose phosphate bond (27,28,46,47). We found strong absorption in the 1100 to 1000 cm^{-1} region of the FT-IR spectra by both deoxyribose and the constituent mononucleotides of DNA (AMP, CMP, GMP, TMP), using the techniques of the present study (data not shown). Thus, changes in the backbone of DNA after exposure to silica are consistent primarily with phosphate bond alteration, but some component of the nearby deoxyribose moiety cannot be excluded. In addition, some evidence exists for an as yet undefined disturbance of base pairing (as

mentioned above), based on the alteration of the D_2O spectra of DNA-silica coinubations in the 1686 cm^{-1} region.

When the silica surface makes contact with water, hydration of the surface silica-oxygen bonds takes place, resulting in the formation of surface silanol groups (48). The silanol groups, depending on pH, are able to dissociate partially to form ionized SiO groups (32). Some chemicals are able to inhibit silica toxicity on cells through the modification of the silica surface (32,38,49,50). Covering of the silica surface silanol groups by PVPNO also effectively blocks the interaction of silica with the phosphate groups of DNA. This further supports our hypothesis that surface silanol groups are involved in the binding of silica to DNA and may be a significant factor in silica toxicity. The finding that CSQZ produces a greater alteration of the DNA spectrum than an equal weight of MQZ (Figures 1,3; Table 2) may be a consequence of the higher surface area of CSQZ (31), differences in surface crystal structure or differences in the level of trace surface metal impurities (23,29). Based on the concentrations of DNA and silica used in this study, we calculated that the ratio of surface phosphate from DNA to surface silanol from silica would be approximately 100:1 for MQZ and 29:1 for CSQZ. That observable changes in the phosphate stretching modes at 1225 and 1086 cm^{-1} do occur despite the presumably low fraction of interacting phosphate groups is remarkable, but similar results have been obtained by Theophanides (27), who reported changes in the FT-IR spectra of DNA induced by *cis*-platinum at phosphate to platinum ratios of 33:1. We can only speculate as to the mechanism whereby these large changes occur. They may perhaps involve a cooperative effect on the DNA helix or an overwhelmingly large effect on the phosphates which do bind.

In summary, the changes observed in the infrared spectra of DNA and silica after mixing indicate involvement of phosphate backbone and silanol groups, and suggest the formation of hydrogen bonds between the phosphate group in DNA and the silanol group on the surface of silica (Figure 7). Although the energy of each hydrogen bond is weak, the strength of the DNA-silica interaction is magnified by the additive effect of many hydrogen bonds between the polymeric DNA and crystalline silica surfaces.

Binding occurring between the phosphate backbone of DNA and the silica sur-

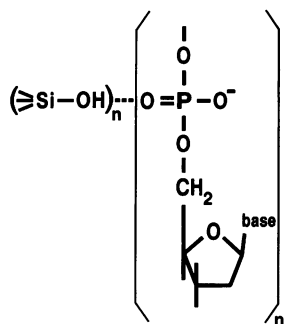
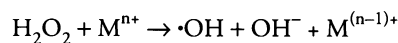


Figure 7. Proposed binding of DNA to silanol groups on the silica surface.

face may predispose DNA to strand breaks by silica through $\cdot\text{OH}$. These radicals are readily produced on silica surface by direct mechanisms (16,17,48) or by Fenton chemistry involving trace metal (M) impurities (23,51,52,53):



Infrared spectroscopy is a sensitive technique for the investigation of chemical interactions between silica and important biologic molecules. *In vitro* hemolysis produced by silica particles may be related to the interaction of silica with membrane

proteins (54) and differences in the infrared spectra of silica preparations have been correlated with their hemolytic activity (37). Infrared spectroscopy can be useful in further elucidating the specific mechanisms of silica toxicity and in developing strategies to lessen silica toxicity by the use of modifying agents. Because each DNA molecule in the cell contains millions of phosphate groups, the potential exists for a strong interaction of DNA with silica particles internalized by the cell. We propose that such interactions represent important mechanisms of silica-induced carcinogenesis.

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