

Efficient generation of retinal progenitor cells from human embryonic stem cells

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The retina is subject to degenerative conditions, leading to blindness. Although retinal regeneration is robust in lower vertebrates, regeneration does not occur in the adult mammalian retina. Thus, we have developed efficient methods for deriving retinal neurons from human embryonic stem (hES) cells. Under appropriate culture conditions, up to 80% of the H1 line can be directed to the retinal progenitor fate, and express a gene expression profile similar to progenitors derived from human fetal retina. The hES cell-derived progenitors differentiate primarily into inner retinal neurons (ganglion and amacrine cells), with functional glutamate receptors. Upon coculture with retinas derived from a mouse model of retinal degeneration, the hES cell derived retinal progenitors integrate with the degenerated mouse retina and increase in their expression of photoreceptor-specific markers. These results demonstrate that human ES cells can be selectively directed to a neural retinal cell fate and thus may be useful in the treatment of retinal degenerations.

photoreceptors | eye development | neurogenesis

The neural retina is subject to a number of degenerative conditions, including retinitis pigmentosa, age-related macular degeneration, and glaucoma. Although there are a number of sources of progenitors for regeneration in nonmammalian vertebrates, these are greatly reduced or absent in the adult mammalian retina (1). By contrast, recent reports show that retinal progenitor cells can be derived from mouse ES cells (2, 3), and may provide an alternative to adult derived retinal stem cells. In other regions of the central nervous system, the transplantation of neurons derived from embryonic stem cells has led to some promising results. Dopaminergic neurons derived from mouse, monkey, and human embryonic stem cells have been shown to integrate into the brain after transplantation and partially restore function in animal models of Parkinson's disease (4–8). Oligodendrocytes derived from ES cells can repair some of the damage caused by spinal cord trauma (9) as well as in mouse models of spinal demyelination (10–12).

Results

We have developed methods for deriving retinal neurons from human embryonic stem (hES) cells. The current molecular genetic model of vertebrate embryogenesis (13) suggests that there are several sequential induction steps. Forebrain development requires that both BMP and Wnt signaling are antagonized (14–19). Although the specific molecular signals required for eye field specification are not completely defined in any model system, insulin-like growth factor-1 (IGF-1) mRNA injections into *Xenopus* embryos specifically promote eye induction (20). Therefore, to direct the ES cells to an anterior neural fate, we treated embryoid bodies with a combination of noggin (a potent endogenous inhibitor of the BMP pathway) and Dickkopf-1 (*dkk1*; a secreted antagonist of the Wnt/ β -catenin signaling pathway (14, 21)) and IGF-1. The embryoid bodies were cultured for 3 days in the three factors (Fig. 1A) and then transferred to six-well plates coated with either Matrigel or laminin where they were allowed to attach. The cells were then maintained in the

same medium, with bFGF added, for an additional 3 weeks; we refer to this protocol as retinal determination (RD) conditions.

Previous work has shown that the presumptive eye field is defined by a group of transcription factors expressed in this region (eye field transcription factors; EFTFs), including ET, Rx, Pax6, Six3, Lhx2, *tll* and Optx2/Six6 (22). After the ES cells had been exposed to the RD conditions for one, two, or three weeks, we harvested the mRNA and analyzed the levels of expression for the EFTFs. Fig. 1J shows the relative expression levels for cells after 1 week in RD conditions for H-1 cell line (Hsf6 data in Fig. 5B, which is published as supporting information on the PNAS web site). We found a 6–8 cycle (75- to 165-fold) increase in the expression of the EFTFs, including Rx, Pax6, Lhx2, and Six3 (Fig. 1J) over the levels in the undifferentiated cells or cells differentiated without inducers present. By contrast, genes expressed in nonneural tissues or other regions of the CNS, like the cerebral cortex [*Emx-1*, *Arx* (not expressed)], or hindbrain (*Engrailed-1*) show no significant increase over the undifferentiated ES cells (Fig. 5A). Although previous reports indicated Noggin or Dkk-1 would promote anterior neural identity, the addition of IGF-1 specifically promotes retinal progenitor identity; leaving this factor out of the RD medium dramatically reduced the level of retinal progenitor gene expression (Fig. 1J). We also characterized the hES cells with immunofluorescence for retinal progenitor markers. Fig. 1E–I shows the extensive labeling for Pax6 and Chx10, two transcription factors characteristic of retinal progenitors. Quantitative analysis of the cultures showed that 82% (SD \pm 23%) of the cells were labeled with Pax6 antibodies at the end of 3 weeks in RD conditions. Of these, 86% coexpressed Chx10 (SD \pm 14%). Most of the Pax6-labeled cells were also labeled with antibodies to Sox2 (data not shown).

These data demonstrate that a large fraction of the hES cells in cultures kept under RD conditions develop characteristics of retinal progenitors. To determine whether the hES cell-derived progenitors have the capacity for multilineage differentiation characteristic of retinal progenitors, we used immunofluorescence for specific types of retinal neurons, including HuC/D, Neurofilament-M, and Tuj-1 for ganglion and amacrine cells, Crx, Nrl, recoverin, S-opsin, and rhodopsin for photoreceptors, and Prox1 for amacrine and horizontal cells. All of these markers have been previously described in retinal neurons of various species, and their expression is shown in developing human retina in Figs. 6 and 7, which are published as supporting information on the PNAS web site. As noted above, most cells in the cultures express Pax6; however, some cells showed a distinct increase in the Pax6 level, characteristic of ganglion cells and amacrine cells (Fig. 2A). The cells that

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Abbreviations: hES, human embryonic stem; IGF-1, insulin-like growth factor I; RD, retinal determination.

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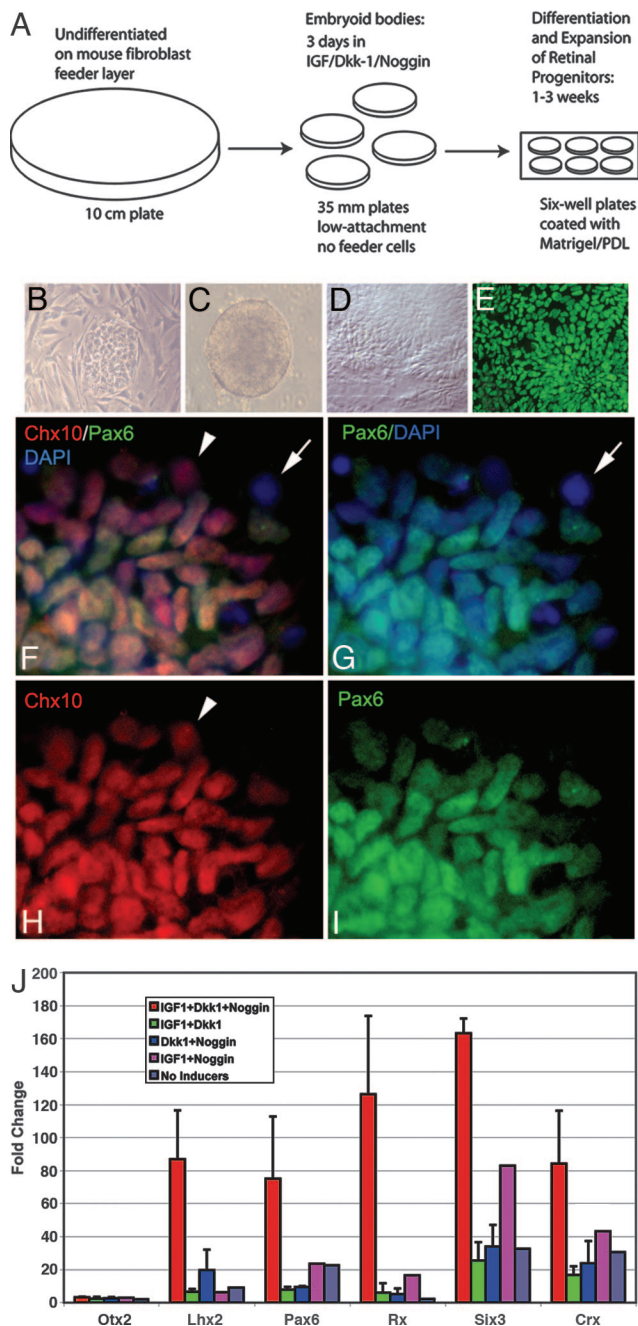


Fig. 1. Efficient Retinal Induction of hES cells. (A) A schematic of the 3-week retinal determination protocol. (B–D) Bright-field phase images of the progression of undifferentiated hES cells (B) through embryoid body (C) to formation of neural rosettes (D). At the end of 3 weeks, $\approx 80\%$ cells show immunoreactivity to retinal progenitor markers like Pax6 (E, G, and I) and Chx10 (F and H). (F–I) Coexpression of Pax6 (green) and Chx10 (red) by a group of cells labeled by DAPI in blue. Arrows in F and G point to a cell that expresses neither proteins, whereas arrowhead in F and H point to a cell expressing Chx10 but not Pax6. For maximal induction of the eye-field transcription factors Pax6, Six3, and Rx, IGF1, Dkk1, and noggin are required. The experiment was performed by using the protocol and concentrations as described except that either IGF1, Dkk1, Noggin, or all three were omitted from the media. At the end of 1 week, comparison of the gene expression for the eye field transcription factors in each of the five cases (IGF1 + Dkk1 + Noggin, Dkk1 + noggin, IGF1 + noggin, IGF1 + Dkk1 and No inducers) was done by using quantitative RT-PCR (J). QPCR analysis of EFTFs at the end of 1 week under retinal determination conditions ($n = 3$; mean \pm SEM) shows a 6–8 cycle (≈ 75 - to 165-fold) increase in various retinal stem cell markers (Pax6, Lhx2, Rx, and Six3). None of the other conditions has a comparable inductive effect.

express a high level of Pax6 are also labeled with antibodies against HuC/D, another protein expressed by amacrine and ganglion cells (Fig. 2B). Primary cell cultures derived from a 78-day human fetal retina show a very similar pattern of labeling with Pax6 and HuC/D (Fig. 2C and D), as do sections from the developing human retina (Fig. 6). Many cells in the hES cultures also label with other markers of ganglion and amacrine cells: Tuj-1 and Neurofilament-M (Fig. 2E and F and Fig. 7A and B), whereas other cells are labeled with photoreceptor-specific antibodies, including Crx, S-opsin, rhodopsin, and Nrl (Fig. 2G–J and L), bipolar cell marker PKC α (Fig. 2K), and horizontal cell marker Prox-1 (Fig. 7C–E). Twelve percent of all cells expressed Crx (SD \pm 2.4), 12% of all cells expressed Hu C/D (SD \pm 6.7), and 5.75% (SD \pm 4.2) of the cells expressed Nrl (1,646 cells counted). S-opsin and rhodopsin were expressed in a very small percentage of cells ($<0.01\%$). In addition to the antibody labeling, we analyzed the cultures for expression of genes associated with retinal photoreceptors by QPCR (Fig. 2N). There is a large and stable increase in the level of Crx (the earliest known photoreceptor marker) in the cells, as early as 1 week in RD conditions. Although the other photoreceptor differentiation markers, like the opsins, PDE- β , and recoverin, show only modest increases at 1 week, they steadily increase over the time *in vitro* (Fig. 2N). This finding is consistent with the developmental timing of expression of these genes in the retina: first Crx, then recoverin, and PDE- β , and lastly the opsin genes.

To more precisely determine the degree to which the hES cell-derived retinal progenitors resemble those from the developing retina, we collected mRNA from the retinas of a human fetus at 91 days after conception, an age when there are both progenitors and newly differentiating neurons. When we compare EFTF expression and the expression of genes specific to differentiating neurons, between the fetal retina and hES cells kept under RD conditions for 3 weeks, we find an excellent correlation (Fig. 2M). The degree of expression of the EFTFs is similar in the hES cell-derived retinal progenitors and those obtained from the fetal retina. However, although all of the photoreceptor markers are expressed in both groups of cells, their expression is somewhat reduced in the hES cell derived progenitors, as compared to the fetal-derived cells, suggesting that the RD conditions promote the expansion of the progenitor pool relative to the differentiating neurons and photoreceptors. These results show that hES cells, under RD conditions, develop a gene and protein expression profile highly reminiscent of normal human retinal progenitors, neurons, and photoreceptor cells.

To analyze the functional maturation of the retinal neurons produced in these cultures, we redissociated the cells and plated them at lower density. This method allowed us to analyze small clusters of cells with calcium imaging techniques. We found that some of the cells, particularly those with distinct neuron-like morphology, respond to glutamate and NMDA with substantial calcium fluxes (Fig. 3A–E). Because most inner retinal neurons have glutamate receptors, and retinal ganglion cells express NMDA receptors, these data lend further support to the immunofluorescent identification of ganglion cells and amacrine cells. In addition to functional glutamate receptors, cells with neuronal morphology displayed synaptophysin labeled puncta, consistent with synaptic development *in vitro* (Fig. 3F and G).

Although the majority of well differentiated neurons in the cultures display characteristics of amacrine and ganglion cells, many cells also express markers for immature photoreceptors, including Crx and Nrl. However, only a very few cells express markers of more differentiated photoreceptors. Several groups have found that coculturing progenitors or stem cells with developing retina promotes photoreceptor differentiation (3). To stimulate photoreceptor differentiation from the hES cells,

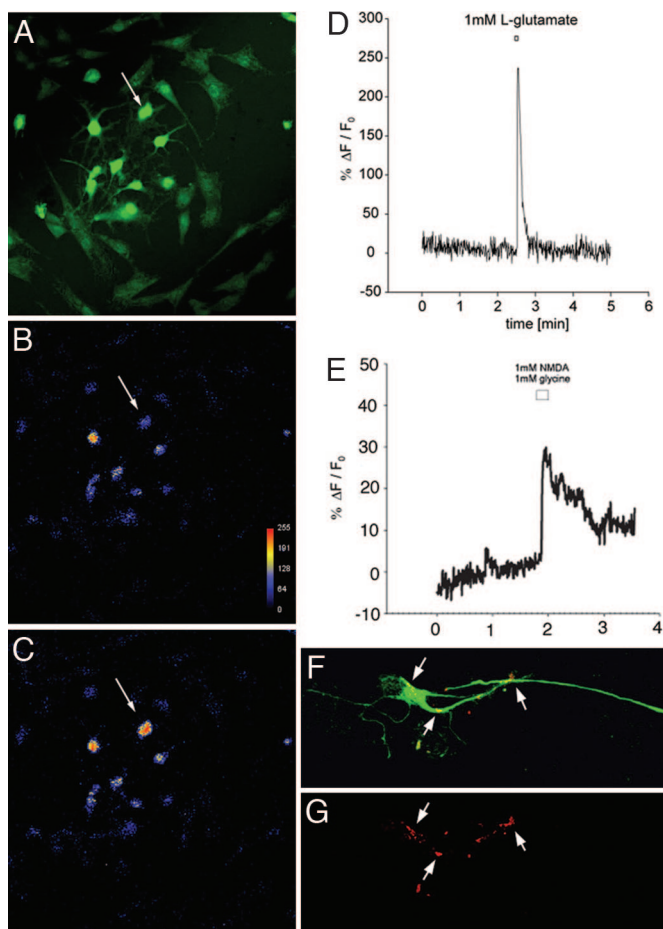


Fig. 3. Glutamate and NMDA induced calcium changes in hES cell-derived retinal neurons. (A) Oregon Green BAPTA-1 a.m. loading of the hES derived neurons. (B) The same field of cells at baseline using a rainbow LUT palette. (C) The same field of cells is shown immediately following application of 1 mM glutamate. Arrows in A–C indicate a cell with large calcium transient after application. (D) The calcium change in the same cell expressed as a pseudoratio of fluorescence change expressed as a $\% \Delta F/F_0$ over time. (E) A similar calcium change in a cell stimulated with 1 mM NMDA in the presence of 1 mM glycine, again expressed as a pseudoratio of fluorescence change. In each case at least four different preparations were analyzed ($n = 4$). (F and G) Cells with neuronal morphology and expressing internexin (green) show punctuate labeling with synaptophysin (red) antibody, a protein expressed in synapses.

human and mouse ES cells appears to be their different response to noggin. Other investigators have tested the Wnt or BMP antagonism in human ES to produce other anterior neural tissues, and so this combination is likely to generally promote production of various regions of anterior CNS, including cerebral cortex (23, 24). However, the addition of IGF-1 to the embryoid bodies specifically and efficiently directs the cells to a retinal progenitor identity, as evidenced by the fact that we found only very low levels of expression of genes associated with cerebral cortex or midbrain in our cultures. This result further strengthens the concept that information from model developmental systems can be applied in the design of conditions to direct hES cells to specific fates.

One of the most striking features of these cultures is that they are accelerated in “developmental time” over normal human embryological development. Less than 2 weeks after an undifferentiated state, the cells have acquired the characteristics of the eye field, and specifically the neural retina part of the optic cup. The optic vesicle does not become distinct in the human

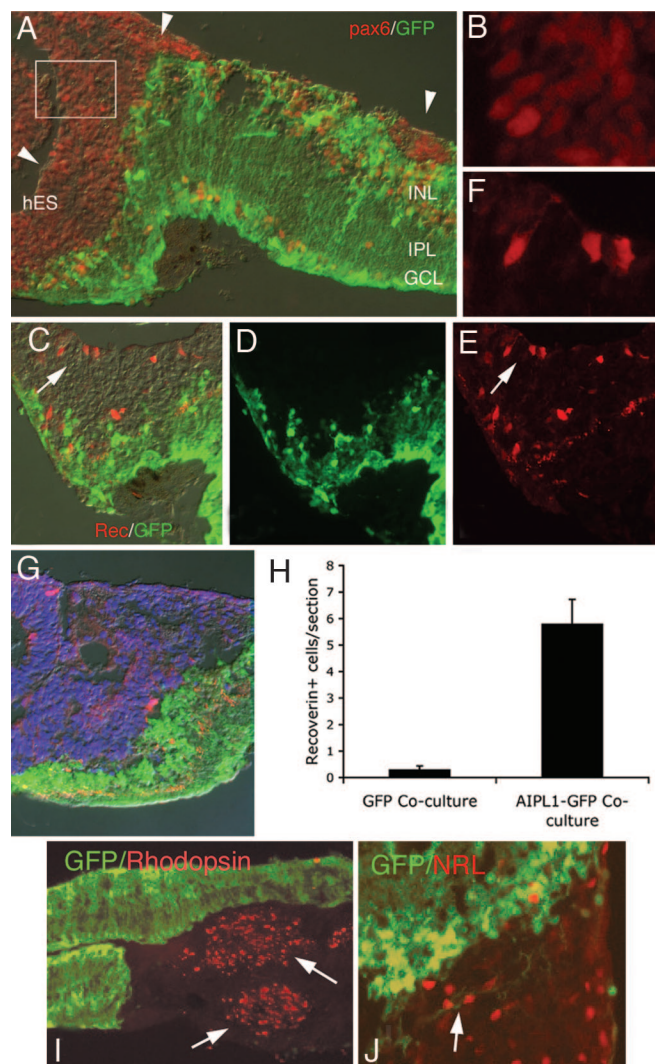


Fig. 4. Explant coculture of hES-derived retinal progenitors with retinas of *Aipl1*^{-/-} GFP mice. (A) The mouse retina in green (GFP) with the outer nuclear layer missing (degenerated). Many of the hES cells express Pax6. (B) An enlarged view of boxed area in a. (C–F) Recoverin expression, a marker of photoreceptors, in the cocultures. (C) A merged view of D and E, where D is showing mouse cells expressing GFP and E shows recoverin expression in a number of hES cell-derived neurons. Some mouse bipolars show recoverin and GFP co-expression. (F) A higher magnification of the region identified by the arrow in C–E showing recoverin expressing hES cell-derived retinal neurons. (G) Confirmation of the identity of the recoverin (red) expressing cells in hES region using colabeling with human specific nuclear marker (blue). (H) Graph showing number of recoverin expressing hES cell-derived neurons per section ($n = 3$; mean \pm SEM) from cocultures with wild-type mice expressing GFP and *Aipl1*^{-/-} GFP mice. (I) Transplanted human cells expressing rod photoreceptor marker, Rho-4D2. (J) Transplanted human cells expressing another rod photoreceptor specific marker, Nrl.

embryo until Streeter’s horizon 11 or 12 (25), or postovulatory day \approx 24–26. The development of an inner “neuroblastic layer” and optic fibers, indicative of the onset of retinal ganglion cell genesis, does not occur until approximately the 6th postovulatory week. Consistent with this finding is the fact that the gene expression profile of the hES cells after 3 weeks in RD conditions resembles that of the 91-day (after conception) fetal retina (albeit with a reduced expression of photoreceptor genes). The hES cells are therefore accelerated by 3–4 weeks over the normal human developmental time course.

The degeneration of neurons and photoreceptors in the retina that occur in a number of disorders are common causes of

(control) or bath solution containing 1 mM of glutamate. The electrode was connected to a PicoSpritzer (PLI-100; Medical Systems, Greenvale, NY), which delivered 100-ms air puffs that propelled 10 μ l of solution over the area of interest during continuous perfusion. All data presented were analyzed by using Student's *t* test.

Human Fetal Eyes. Eyes from 78- 95-days-postconception fetuses, without identifiers, were obtained from therapeutic abortions through the fetal tissue bank at the University of Washington. Individual eyes were rinsed with sterile HBSS; retinas were then dissected from other ocular tissue. The retinas were then either cultured on Matrigel-coated coverslips or fixed in 4% parafor-

maldehyde for subsequent freezing in OCT or used for RNA extraction using TriZol.

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