# Immunohistochemically Demonstrated Lymph Node Micrometastasis and Prognosis in Patients With Gallbladder Carcinoma

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**Objective:** To investigate whether immunohistochemically demonstrated lymph node micrometastasis has a survival impact in patients with advanced gallbladder carcinoma (pT2–4 tumors).

**Summary Background Data:** The clinical significance of immunohistochemically detected lymph node micrometastasis recently has been evaluated in various tumors. However, few reports have addressed this issue with regard to gallbladder carcinoma.

**Methods:** A total of 1476 lymph nodes from 67 patients with gallbladder carcinoma (pN0, n = 40; pN1, n = 27) who underwent curative resection were immunostained with monoclonal antibody against cytokeratins 8 and 18. The results were correlated with clinical and pathologic features and with patient survival.

Results: Lymph node micrometastases were detected immunohistochemically in 23 (34.3%) of the 67 patients and in 37 (2.5%) of the 1476 nodes examined. Of the 37 nodal micrometastases, 21 (56.8%) were single-cell events, and the remaining 16 were clusters. Five micrometastases were detected in the paraaortic nodes. Clinicopathologic features showed no significant associations with the presence of lymph node micrometastases. Survival was worse in the 27 patients with pN1 disease than in the 40 with pN0 disease (5-year survival; 22.2% vs. 52.6%, P = 0.0038). Similarly, survival was worse in the 23 patients with micrometastasis than in the 44 without micrometastasis (5-year survival; 17.4% vs. 52.7%, P = 0.0027). Twenty-eight patients without any lymph node involvement had the best prognosis, whereas survival for the 11 patients with both types of metastasis was dismal. The grade of micrometastasis (single-cell or cluster) had no effect on survival. The Cox proportional hazard model identified perineural invasion, lymph node micrometastasis, and microscopic venous invasion as significant independent prognostic factors.

**Conclusions:** Lymph node micrometastasis has a significant survival impact in patients with pN0 or pN1 gallbladder carcinoma who underwent macroscopically curative resection. Extensive lymph node sec-

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ISSN: 0003-4932/06/24401-0099

DOI: 10.1097/01.sla.0000217675.22495.6f

tioning with keratin immunostaining is recommended for accurate prognostic evaluation for patients with gallbladder carcinoma.

(Ann Surg 2006;244: 99-105)

The majority of patients with gallbladder carcinoma are treated at late stages of the disease, resulting in a dismal prognosis overall.<sup>1–5</sup> Lymph node spread is the most common form of progression of gallbladder carcinoma, and nodal status is known to be an important predictor of survival after surgery.<sup>1,3,6,7</sup> We have demonstrated that perineural invasion and lymph node metastasis are important prognostic factors in gallbladder carcinoma.<sup>8</sup> In addition, we documented that paraaortic and regional lymph nodes frequently are involved in advanced gallbladder carcinoma and that extended lymphadenectomy possibly provides a survival benefit in selected patients.<sup>9,10</sup>

Identification of lymph node involvement represents an integral component of tumor staging. Traditional histologic examination consists of single sectioning of nodes sampled from resected specimens, with hematoxylin and eosin staining. This practice may underestimate the incidence of micrometastasis in lymph nodes, leading to understaging of patients. Immunohistochemical techniques using antibodies against cytokeratin can identify lymph node micrometastasis missed by routine hematoxylin and eosin staining. In recent years, the clinical significance of immunohistochemically detected lymph node micrometastases has been evaluated in various cancers including those of breast,<sup>11,12</sup> lung,<sup>13,14</sup> esophagus,<sup>15–17</sup> stomach,<sup>18,19</sup> and colon.<sup>20,21</sup> However, only three reports<sup>22–24</sup> have addressed this issue in gallbladder carcinoma. Of the three reports, two<sup>22,23</sup> were from the same institution, and the remaining one<sup>24</sup> did not show survival data.

The purpose of this study was to investigate whether immunohistochemically detected lymph node micrometastasis has prognostic significance in patients with advanced gallbladder carcinoma (pT2–4 tumors). For this purpose, a large number of lymph nodes were examined immunohistochemically, and the impact of lymph node micrometastasis on prognosis was assessed.

Annals of Surgery • Volume 244, Number 1, July 2006

# PATIENTS AND METHODS

# Patients

Between January 1982 and December 2003, 139 patients with gallbladder carcinoma underwent macroscopically curative resection of the primary cancer with systematic extended lymphadenectomy at the First Department of Surgery, Nagoya University Hospital. Fifty-one patients (36.7%) had M1 disease (paraaortic lymph node metastasis, small liver metastasis, and/or local dissemination), while 8 others died of postoperative complications. The remaining 80 patients had no lymph node metastasis (pN0 disease) or regional node metastasis (pN1 disease) detected by routine pathologic examination with hematoxylin and eosin staining. Eight patients were excluded because their archival histologic specimens of dissected lymph nodes could not be located. Five others with pT1 disease were also excluded because pT1 tumors usually spread only locally without nodal involvement and the outcome after resection is excellent.<sup>1,6,23</sup> The remaining 67 patients represented the study population, including 23 men and 44 women with a mean age of  $63 \pm 11$  years (range, 33-82 years). Of the 67 study patients, 40 had no lymph node disease (pN0) and the remaining 27 had regional lymph node involvement (pN1). Patient survival was determined from the time of surgery to the time of death or most recent follow-up. No patient was lost to follow-up.

Several types of hepatectomy were performed in 57 (85.1%) of the 67 patients. Combined resection of the extrahepatic bile duct with bilioenteric anastomosis (n = 55, 82.1%), and pancreatoduodenectomy (n = 10, 14.9%) were performed additionally in selected patients (Table 1). Extended lymph node dissection was carried out as follows. After en bloc resection of the primary tumor and nodes of the hepatoduodenal ligament and head of the pancreas with skeletonization of the portal vein and hepatic arteries, the paraaortic connective tissue containing lymph nodes was dissected between the levels of the celiac and inferior mesenteric arteries. The left renal vein and the right renal artery were skeletonized between the aorta and the inferior vena cava.

Lymph node group and staging were evaluated using the TNM Classification of Malignant Tumors by the International Union Against Cancer.<sup>25</sup> The definitions of some regional node groups in the TNM classification are obscure; accordingly, the General Rules for Surgical and Pathologic Studies on Cancer of the Biliary Tract edited by the Japanese

TABLE 1.	Surgical Procedures Performed in 67 Study
Patients	-

Type of Surgery	Total	With EBR	With PD
Right hepatectomy	30	29	6
S4+5+8*	4	1	1
S4a+5+(6)*	9	9	1
Liver bed resection	14	9	1
Cholecystectomy	10	7	1

EBR, extrahepatic bile duct resection with bilioenteric anastomosis; PD, pancreatoduodenectomy; S4a, inferior part of segment 4.

\*Expressed as Couinaud's hepatic segments resected.

# Immunohistochemistry

A total of 1476 lymph nodes (22.0 nodes/patient), including 836 regional, 415 paraaortic, and 225 paragastric or paracolic nodes, were retrieved from the 67 surgical specimens. Five serial sections were cut from archival formalinfixed, paraffin-embedded specimens of lymph nodes. The first and fifth 5- $\mu$ m sections were stained with hematoxylin and eosin for histologic reexamination for metastatic tumor cells, and the remaining 3 sections were stained with CAM 5.2 monoclonal antibody (Becton Dickinson, San Jose, CA). Immunohistochemical staining was performed with a standard streptavidin-biotin method.<sup>27</sup> Briefly, the paraffin sections were dewaxed, hydrated, and treated with 0.1% trypsin (Sigma Chemical, St. Louis, MO) in 0.1% calcium chloride, at pH 7.8, at 37°C for 30 minutes. Endogenous peroxide activity was blocked with 0.3% hydrogen peroxide in absolute methanol. Sections were incubated with the primary monoclonal antibody CAM 5.2 at 25 µg/mL at room temperature for 1 hour. After rinsing, the sections were incubated with secondary antibody, followed by peroxidase-labeled streptavidin (Nichirei, Tokyo, Japan). Reaction products were visualized with diaminobenzidine as the chromogen, and sections were counterstained with hematoxylin.

Both hematoxylin and eosin and immunohistochemically stained sections were examined independently for metastasis by an experienced pathologist. Micrometastasis was recognized when tumor cells were detected only by immunostaining, having not been evident by hematoxylin and eosin staining. Lymph node micrometastases were classified into 3 grades as follows: grade I, single-cell metastasis; grade II, a small cluster of cancer cells; and grade III, a large cluster or multiple clusters of cancer cells (Fig. 1).

# Statistics

Results are expressed as mean  $\pm$  SD. Statistical analysis was performed using the Fisher exact test probability test and the Mann-Whitney *U* test, where appropriate. Postoperative survival was calculated using the Kaplan-Meier method. Differences in survival curves were compared using the log-rank test. Cox proportional hazard model was used for multivariate analysis. *P* < 0.05 was considered statistically significant.

## RESULTS

## **Detection of Nodal Micrometastases**

In the 40 patients with pN0 disease, micrometastases were detected in 12 (30.0%) patients and in 16 (1.9%) of 856 lymph nodes examined. In the 27 patients with pN1 disease, micrometastases were found in 11 (40.7%) patients and in 21 (3.4%) of 620 lymph nodes examined. The micrometastases were found more frequently in the latter group; patient-based

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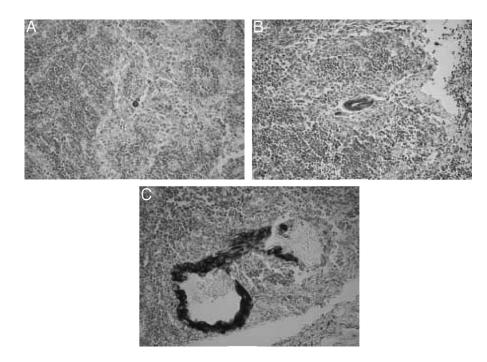


FIGURE 1. Immunohistochemical staining of lymph node micrometastasis by CAM 5.2 monoclonal antibody, showing (A) grade I, (B) grade II, and (C) grade III micrometastasis.

incidence did not differ significantly (P = 0.365) between pN0 and pN1 groups, and lymph node-based incidence was marginal (P = 0.065). The 37 micrometastases included 21 grade I micrometastases (56.8%), 9 grade II micrometastases (24.3%), and 7 grade III micrometastases (18.9%). Nodal micrometastases were most frequently found in the pericholedochal nodes, followed by the common hepatic nodes. Five micrometastases in the paraaortic nodes were found in 4 patients (Table 2).

Clinicopathologic details of the 67 study patients with (n = 23) and without lymph node micrometastases (n = 44) are shown in Table 3; no statistically significant associations were found for the presence of lymph node micrometastases.

# Impact of Lymph Node Micrometastasis on Prognosis

Survival was worse in the 27 patients with overt lymph node metastasis (pN1 disease) than in the 40 patients without overt lymph node metastasis (pN0 disease) (5-year survival rate; 22.2% vs. 52.6%, P = 0.0038; Fig. 2). Similarly, survival for the 23 patients with lymph node micrometastasis was worse than that for the 44 patients without lymph node micrometastasis (5-year survival rate; 17.4% vs. 52.7%, P =0.0027; Fig. 3). For the combination of overt metastasis and micrometastasis, the 28 patients without either type of metastasis had the best prognosis, showing a 5-year survival rate of 61.7%. In contrast, survival for the 11 patients with both types of metastasis was dismal; most died within 3 years. Survival curves in patients with either of the 2 types of metastasis were essentially similar, and the difference in survival was not statistically significant (P = 0.932; Fig. 4). Four patients who had micrometastasis in the paraaortic

# **TABLE 2.** Location and Grade of Lymph Node Micrometastases Provide the second secon

Nadal	Detiont	Regional					Distant
Nodal I Status	Patient No.	PC	РР	PD	СН	SM	Distant PA
pN0	1				0		
	2	$\bigcirc$					
	3	0					
	4	$\bigtriangleup$					
	5	0		$\bigtriangleup$			
	6	$\bigtriangleup$					
	7				0	0	
	8						0
	9				0		
	10		$\triangle$				
	11						
	12	$\triangle$					
pN1	13				$\bigcirc \triangle$		
	14	0					$\bigcirc \triangle$
	15	0					
	16						
	17		0		00		
	18				0		
	19						
	20	$\bigtriangleup$					
	21		0	0	0	0	
	22		0				
	23						$\triangle$

 $\bigcirc$ , single-cell metastasis (grade 1);  $\triangle$ , small cluster of cancer cells (grade II);  $\square$ , large cluster or multiple clusters of cancer cells (grade III). Each symbol represents one lymph node micrometastasis. PC, pericholedocal; PP, periportal; PD, pancreaticoduodenal; CH, common hepatic; SM, superior mesenteric; PA, para-aortic.

	Lymph Node Micrometastasis			
	Absent $(n = 44)$	Present $(n = 23)$	Р	
Age (yr)	63.0 ± 10.9	$61.5 \pm 11.5$	0.74	
Gender (male/female)	16/28	7/16	0.63	
pT classification				
pT2	11	3	0.96	
pT3	20	7		
pT4	13	13		
Lymphatic invasion (present)	33 (75%)	20 (87%)	0.52	
Venous invasion (present)	11 (25%)	9 (39%)	0.27	
Perineural invasion (present)	23 (52%)	16 (70%)	0.20	
Margin status (positive)	2 (5%)	4 (17%)	0.17	
Histologic differentiation				
Papillary	6	3	0.58	
Well	7	1		
Moderate	23	15		
Poor	2	2		
Others	6	2		
Overt metastasis (present)	16 (36%)	11 (48%)	0.36	
No. of dissected lymph nodes	20.1 ± 14.4	25.3 ± 12.9	0.11	

nodes died of recurrence 10, 12, 18, and 32 months after surgery.

Outcomes in patients with lymph node micrometastasis also were analyzed according to grade of micrometastasis. Survival rates were similar between the 10 patients with only grade I micrometastasis and the 13 with grade II or III micrometastasis (5-year survival rate; 20.0% versus 15.4%, P = 0.576).

### **Analysis of Prognostic Factors**

Ten independent clinicopathologic variables were analyzed as possible prognostic factors in pN0 or pN1 gallbladder carcinoma. On univariate analysis, microscopic venous invasion, perineural invasion, overt lymph node metastasis, lymph node micrometastasis, histologic differentiation, and microscopic resection margin status were statistically significant factors. pT classification showed a marginal impact (Table 4).

Multivariate analysis by the Cox proportional hazard model was performed using the 6 significant and one marginal variables noted above. On multivariate analysis, perineural invasion, lymph node micrometastasis, and microscopic venous invasion were identified as significant independent prognostic factors in patients with pN0 or pN1 gallbladder carcinoma who underwent macroscopically curative resection (Table 5).

### DISCUSSION

Many authors have reported on the survival impact of immunohistochemically detected lymph node micrometastases in various carcinomas.<sup>11–23</sup> Some investigators have shown that this finding is a significant prognostic factor, <sup>11,14,15,18,23</sup> while others suggest that it is not.<sup>13,16,17,20,21</sup> Overall, no consensus on the clinical significance of lymph node micrometastasis has been reached. In the present study, we have demonstrated that nodal micrometastasis is an independent prognostic factor in gallbladder carcinoma, being compatible with findings of a previous study by Nagakura et al.<sup>23</sup>

Previously, we documented that lymph node micrometastasis has no survival impact in patients with pN0 hilar cholangiocarcinoma.<sup>28</sup> The 3- and 5-year survival rates, respectively, were 63.6% and 43.6% in patients with lymph node micrometastasis, and 66.9% and 42.1% in those without micrometastasis. Survival curves in these 2 patient groups were essentially similar (P = 0.983). Microscopic venous invasion, microscopic resection margin status, and histologic differentiation were significant prognostic factors in patients with pN0 hilar cholangiocarcinoma. Therefore, we first pos-

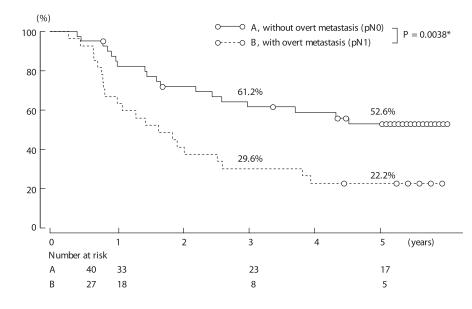


FIGURE 2. Survival according to the presence or absence of overt lymph node metastasis. \*By log-rank test.

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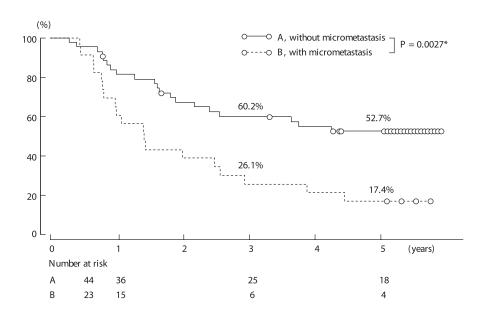


FIGURE 3. Survival according to the presence or absence of lymph node micrometastasis. \*By log-rank test.

tulated that similar findings would be achieved in gallbladder carcinoma because both carcinomas belong to the same disease entity, ie, biliary carcinoma. The incidence of lymph node micrometastasis in pN0 disease was similar between cholangiocarcinoma and gallbladder carcinoma (24.4% vs. 30.0% of study patients, and 1.4% vs. 1.9% of lymph nodes examined, respectively). In addition, clinicopathologic features showed no significant associations with the presence of lymph node micrometastases in both carcinomas. However, results concerning associations in the present study were considerably different from those in our previous study. Although this divergence is difficult to explain, it may involve differences in biologic behavior between these 2 types of biliary carcinoma which we cannot yet clarify. Nagakura et al<sup>23</sup> examined 1136 nodes taken from 63 gallbladder cancer patients who underwent macroscopically curative resection (18.0 nodes examined per patient), finding lymph node micrometastases in 27 nodes (2.3%) from 19 patients (30.2%). We examined 1476 nodes from 67 patients (mean number of nodes examined per patient, 22.0) and found micrometastasis in 37 nodes (2.5%) from 23 patients (34.3%). Numbers of study patients and nodes examined and the incidence of micrometastasis thus were very similar between the 2 studies. They demonstrated that nodal micrometastasis is the strongest independent prognostic factor (relative risk = 11.0) in patients with gallbladder carcinoma, regardless of the overt nodal status. Surprisingly, survival for patients with only micrometastasis was significantly worse

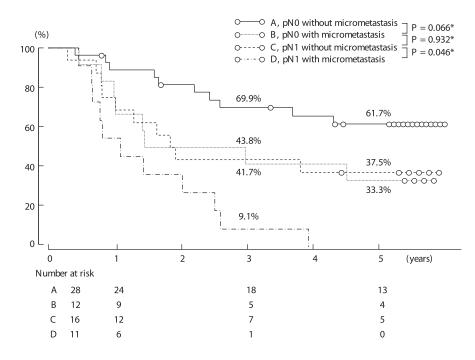


FIGURE 4. Survival according to the presence or absence of overt metastasis and micrometastasis. \*By log-rank test.

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		Overall Survival (%)			
Variable	Modality	3 Years	5 Years	Р	
Age	60 years $\geq$ (23)	43.5	34.8	0.351	
	60 years $<$ (44)	50.7	42.8		
Gender	Male (23)	56.5	42.5	0.653	
	Female (44)	43.7	38.5		
Lymphatic vessel invasion	Absent (14)	67.7	49.4	0.193	
	Present (53)	43.4	37.5		
Microscopic venous invasion	Absent (47)	60.6	48.5	0.0009	
-	Present (20)	20.0	20.0		
Perineural invasion	Absent (28)	77.8	74.1	< 0.000	
	Present (39)	27.4	14.6		
Overt lymph node metastasis	Absent (40)	61.2	52.6	0.0038	
	Present (27)	29.6	22.2		
Lymph node micrometastasis	Absent (44)	60.2	52.7	0.0027	
	Present (23)	26.1	17.4		
Histologic differentiation	Papillary/well (17)	75.0	75.0	0.0033	
	Moderate/poor/others (50)	39.5	28.9		
Microscopic resection margin	Negative (61)	51.4	44.1	0.0051	
	Positive (6)	16.7	0		
pT classification*	II, III (41)	52.7	47.7	0.098	
-	VI (26)	41.1	27.6		

than that for patients with only overt metastasis (18% vs. 80% in 5-year survival rate, P = 0.0108). In their series, tumor relapse occurred predominantly at distant sites in patients with lymph node micrometastasis. They speculated that a single cell or a small cluster of cells constituting micrometastasis could migrate more easily within the lymphatic system to ultimately enter the systemic circulation and disseminate. They concluded that nodal micrometastasis in gallbladder carcinoma closely reflects the aggressiveness of a carcinoma and is also an indicator of systemic spread.

In our series, the 3- and 5-year survival rates, respectively, were 41.7% and 33.3% for patients who had pN0 disease with micrometastasis, and 43.8% and 37.5% for patients who had pN1 disease without micrometastasis. Survival curves in these 2 groups were essentially similar (P = 0.932). Survival for the patients who had pN1 disease with micrometastasis as well was the worst. These findings suggest that the survival impact of lymph node micrometastasis is at least equal to that of overt metastasis. Our results were

TABLE 5.	Multivariate Cox Regression Analysis of	
Prognostic	Factors	

Variable	Relative Risk	95% Confidence Interval	Р	
Perineural invasion (present)	6.8	2.5-18.5	0.0002	
Lymph node micrometastasis (present)	2.6	1.2–5.4	0.0106	
Microscopic venous invasion (present)	2.3	1.1–4.8	0.0380	

less dramatic than those of the study by Nagakura et al.<sup>23</sup> However, multivariate analysis identified lymph node micrometastasis, but not overt metastasis, as a significant prognostic factor. From our results and the Nagakura et al study,<sup>23</sup> it is evident that lymph node micrometastasis is a significant prognostic factor in gallbladder carcinoma, unlike cholangiocarcinoma. Unexpectedly, its survival impact may be stronger than that of overt lymph node disease.

A possible reason for contradictory results on the clinical significance of lymph node micrometastasis is different definitions of micrometastasis. Usually, this is defined as tumor cells detectable only by immunostaining.<sup>15,18,19</sup> However, some authors set size criteria for micrometastasis, such as deposits less than 2 mm in diameter,<sup>16</sup> deposits less than 0.5 mm in diameter,<sup>29</sup> and deposits consisting of 5 cancer cells or fewer.<sup>23</sup> In the present study, lymph node micrometastases were classified into 3 groups, and all micrometastases were less than 0.5 mm in diameter but included clusters with more than 5 cancer cells. However, no difference in prognosis was noted between grade I and grade II to III micrometastases, which indicated no effect of micrometastasis size in gallbladder carcinoma, irrespective of definition. Micrometastasis has recently been investigated with molecular assay based on the polymerase chain reaction that is more sensitive for detection of micrometastasis. Demeure et al showed that approximately 70% of patients with stage I pancreas cancer harbor mutant K-ras in at least one regional node, indicating the presence of micrometastases not detected by immunohistochemical staining.<sup>30</sup> A few authors studied "molecular" metastases from pancreas cancer,<sup>30–32</sup> but no reports were found on gallbladder cancer. Further studies using molec-

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ular technique is required to assess clinical impact of lymph node micrometastasis from gallbladder cancer.

Greater controversy exists regarding the surgical treatment of gallbladder carcinoma. Recommendations have ranged from simple cholecystectomy without lymphadenectomy.<sup>9,10</sup> Recent evidence, however, suggests that extended lymphadenectomy may prolong survival in selected patients with gallbladder cancer.<sup>6,7,9,10</sup> Boniest et al<sup>2</sup> reported that regional lymphadenectomy in the hepatoduodenal ligament was effective for improving outcome in gallbladder cancer patients without overt nodal metastasis. The present study showed that lymph node micrometastasis occurred in about one third of patients, and it has a survival impact. Therefore, we recommend dissection of regional nodes including at least the pericholedochal, periportal, common hepatic, and pancreaticoduodenal nodes, even if overt nodal metastasis is absent.

### CONCLUSION

Lymph node micrometastasis is an independent significant prognostic factor in patients with pN0 or pN1 gallbladder carcinoma who underwent macroscopically curative resection. Its survival impact is probably stronger than that of overt lymph node involvement. Therefore, we recommend extensive lymph node sectioning with keratin immunostaining for accurate prognostic evaluation for patients with gallbladder carcinoma.

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