

GABA_A receptor α 4 subunits mediate extrasynaptic inhibition in thalamus and dentate gyrus and the action of gaboxadol

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The neurotransmitter GABA mediates the majority of rapid inhibition in the CNS. Inhibition can occur via the conventional mechanism, the transient activation of subsynaptic GABA_A receptors (GABA_A-Rs), or via continuous activation of high-affinity receptors by low concentrations of ambient GABA, leading to “tonic” inhibition that can control levels of excitability and network activity. The GABA_A-R α 4 subunit is expressed at high levels in the dentate gyrus and thalamus and is suspected to contribute to extrasynaptic GABA_A-R-mediated tonic inhibition. Mice were engineered to lack the α 4 subunit by targeted disruption of the *Gabra4* gene. α 4 Subunit knockout mice are viable, breed normally, and are superficially indistinguishable from WT mice. In electrophysiological recordings, these mice show a lack of tonic inhibition in dentate granule cells and thalamic relay neurons. Behaviorally, knockout mice are insensitive to the ataxic, sedative, and analgesic effects of the novel hypnotic drug, gaboxadol. These data demonstrate that tonic inhibition in dentate granule cells and thalamic relay neurons is mediated by extrasynaptic GABA_A-Rs containing the α 4 subunit and that gaboxadol achieves its effects via the activation of this GABA_A-R subtype.

tonic inhibition | THIP | analgesia | sedative/hypnotic

GABA is the major inhibitory neurotransmitter in the mammalian CNS. Its primary target, GABA_A receptors (GABA_A-Rs), are pentameric complexes that function as ligand-gated chloride ion channels. Two types of inhibitory neurotransmission are mediated via GABA_A-Rs (1, 2). Phasic inhibition results from the activation of receptors at the synapse by intermittent release of high concentrations of GABA from presynaptic terminals. Tonic inhibition, in contrast, is mediated by the continuous activation of receptors located outside the synaptic cleft by low concentrations of ambient GABA. These “extrasynaptic” GABA_A-Rs have a higher affinity for GABA and have faster channel deactivation rates (3, 4) and, more importantly, slower rates of desensitization (1–5), relative to the classical “synaptic” GABA_A-Rs.

There are a variety of subunit families that make up GABA_A-Rs; a total of 19 distinct subunits have been cloned, α 1–6, β 1–3, γ 1–3, δ , ϵ , π , θ , and ρ 1–3 (6). This diversity in subunit composition results in substantial anatomical, functional, and pharmacological heterogeneity. GABA_A-Rs containing the α 4 subunit are highly expressed in the thalamus and dentate gyrus, with lower levels in cortex, striatum, and other brain areas (7–9). GABA_A-Rs containing α 4 subunits often are found with the γ 2 or δ subunits, in combination with β subunits; the α 4 β δ subtypes are proposed to be localized to extrasynaptic sites and contribute to tonic inhibition (5, 10–13). Other extrasynaptic receptor subtypes include α 5 β 3 γ 2 in hippocampal CA1 pyramidal cells (14) and α 6 β δ in cerebellar granule cells (15). Notably, the α 4 subunit containing GABA_A-Rs, especially α 4 β γ 2, are not ex-

clusively extrasynaptic; some are found within dentate gyrus synapses and others are located perisynaptically, where they are expected to affect both the rise time and decay of synaptic currents (12, 13). There is also evidence that a significant portion of α 4 subunit-containing GABA_A-Rs do not contain γ or δ subunits (16). The α 4 subunit-containing receptors are insensitive to benzodiazepines but show high sensitivity to other sedative-hypnotic drugs, including ethanol (17, 18), neurosteroids (19–21), etomidate (20), and the novel hypnotic drug, gaboxadol (GBX, formerly known as THIP) (11, 20, 22).

To investigate the contribution of α 4-containing GABA_A-Rs to inhibition, we have created and analyzed a strain of α 4 subunit knockout (KO) mice.

Results

Absence of α 4 Protein in GABA_A-R α 4 KO Mice. GABA_A-R α 4 subunit KO mice were generated by using standard gene targeting and embryonic stem cell technologies. The KO mice were viable, healthy, and superficially indistinguishable from their WT littermates. For details regarding mouse construction and general characterization, see *Supporting Text*, which is published as supporting information on the PNAS web site.

α 4 Subunit protein levels in mice were examined by using Western blot analysis and immunohistochemistry. In membrane preparations of hippocampus, thalamus, and cortex from WT mice, an α 4-specific antibody (16) specifically recognized a \approx 67-kDa protein (Fig. 1A). This protein band was absent in membranes from KO mice. The selective pattern of α 4 subunit immunolabeling in sagittal sections from WT mice showed strong α 4 subunit labeling in the thalamus, and moderate α 4 immunoreactivity in the striatum and molecular layer of the dentate gyrus (Fig. 1B). Lighter immunolabeling was seen in the cerebral cortex and CA1 field of the hippocampus (12, 23). No specific α 4 immunolabeling was evident in brains of KO mice (Fig. 1B).

Dramatically Reduced Tonic Inhibition in Dentate Granule Cells (DGCs) of KO Mice. To investigate possible alterations in GABA_A-R function of α 4 KO mice, we recorded GABA_A-R currents in

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Abbreviations: DGCs, dentate granule cells; GABA_A-R, GABA_A receptor; GBX, gaboxadol; KO, knockout; mIPSC, miniature inhibitory postsynaptic current; VB, ventrobasal.

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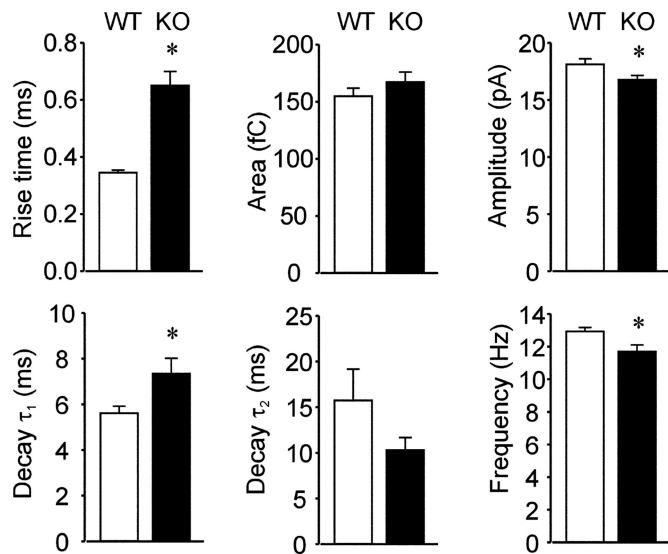


Fig. 3. Summary graphs of differences in mIPSC kinetics between DGCS from WT and KO mice. mIPSC amplitude and frequency were significantly reduced in neurons from KO mice compared with WT controls. Also note the slower rise and early decay of mIPSCs in DGCS from KO mice. Each bar represents a mean \pm SEM value from 10–11 neurons (5 mice per group). *, $P < 0.05$, *t* test.

(Fig. 6*A*; repeated measures ANOVA; $F_{1,31} = 55$, $P < 0.0001$). Ataxic response to 15 mg/kg GBX also was greatly reduced in KO compared with WT mice (Fig. 6*B*; $F_{1,28} = 14$, $P < 0.001$). We also tested flunitrazepam, which is a benzodiazepine that is thought to exert its effects via synaptic GABA_A-Rs. We observed that KO mice did not differ significantly from WT littermates in recovery from ataxia after injection with 2 mg/kg flunitrazepam (data not shown).

The radiant tail-flick assay was used to measure thermal pain sensitivity and to study the analgesic effect of 10 mg/kg GBX. WT and KO mice did not differ in their basal thermal pain sensitivity, as measured by their latency to tail flick in the absence of drug (Fig. 6*C*). In KO mice, GBX produced only a slight but significant ($P = 0.05$) increase in tail-flick latency compared with baseline. In contrast, GBX markedly prolonged the latency to tail flick compared with basal responses in WT mice ($P < 0.005$). These data indicate that KO mice are largely insensitive to the analgesic effect of GBX as determined by the radiant tail-flick assay.

To measure the sedative effects of GBX, total locomotor activity was recorded in an open field assay in WT and KO mice 20 min after treatment with either saline or 10 mg/kg GBX. Two-way ANOVA revealed an effect of treatment ($F_{1,28} = 6$, $P < 0.05$), a genotype by treatment interaction ($F_{1,28} = 6$, $P < 0.05$), but no effect of genotype. Post hoc analysis revealed no difference in total activity between WT and KO mice treated with saline (Fig. 6*D*). Treatment of WT mice with GBX markedly decreased locomotor activity ($P < 0.02$). In contrast, treatment of KO mice with GBX had no significant effect on activity. Thus, deletion of the $\alpha 4$ subunit of the GABA_A-R eliminated the sedative effects of GBX as determined in the open-field assay.

Discussion

The GABA_A-R $\alpha 4$ subunit has attracted a great deal of attention despite its limited abundance in the brain, partly because of its specific localization in the thalamus, dentate gyrus, and striatum. At the cellular level, we found that $\alpha 4$ KO mice were highly deficient in tonic inhibition in DGCS, where picrotoxin-sensitive tonic currents were reduced by $\approx 80\%$ in the KO mice. This result is consistent with a decrease in the number of functional

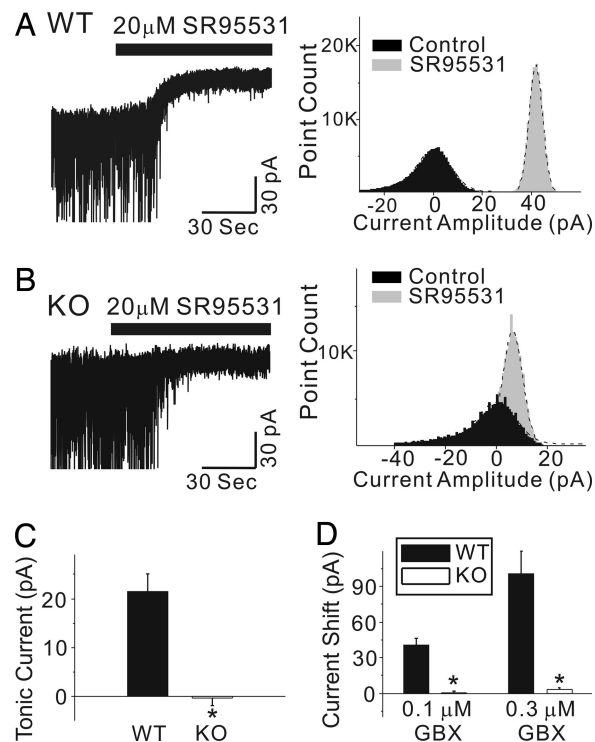


Fig. 4. Reduced tonic currents in KO VB thalamic neurons. (*A Left*) The current recorded from a thalamic VB neuron from a WT mouse before and after application of 20 μ M SR95531. SR95531 abolished spontaneous IPSCs and also induced a positive shift in the holding current due to blockade of a tonic inward current. (*A Right*) The all-points histograms corresponding to the 30-sec traces. The black and gray histograms illustrate the holding current in the absence and presence of SR95531, respectively. The dashed lines represent best-fit curves to a single Gaussian distribution. SR95531 caused a rightward shift and reduced the width of the all-point distribution. (*B Left*) The current recorded from a KO mouse VB neuron before and after application of 20 μ M SR95531. SR95531 abolished spontaneous IPSCs without causing a shift in the holding current. (*B Right*) The corresponding all-points histograms. (*C*) Summary data for the WT and KO mice show that thalamic VB neurons from KO mice have no tonic inhibition ($n = 9$ and 17 for WT and KO, respectively). (*D*) VB neurons from KO mice also were insensitive to currents elicited by 0.1 and 0.3 μ M GBX ($n = 7$ –12; *, $P < 0.05$). Averaged data are expressed as mean \pm SE.

extrasynaptic GABA_A-Rs on DGCS. The biological functions of these extrasynaptic receptors largely are unknown. We also observed a small but significant decrease in the frequency of mIPSCs in the KO mice and a highly significant slowing of mIPSC rise and decay τ_1 times. The change in mIPSC kinetics is suggestive of a functional reorganization of synaptic GABA_A-Rs in DGCS of the KO mice. Increases in synaptic $\alpha 4$, but not δ subunit-containing GABA_A-Rs, results in faster mIPSC kinetics of DGCS (13). Therefore, the change in mIPSC kinetics of KO mice is consistent with the loss of a small quantity of synaptically localized $\alpha 4\gamma 2$ isoforms, as observed in refs. 12 and 13, even if most synaptic GABA_A-Rs are other subtypes. Of course, the lack of $\alpha 4$ could lead to other changes in GABA_A-Rs.

The results also were striking in the recordings from neurons of the VB complex in the thalamus, where tonic inhibition was completely absent in the KO mice. These results are consistent with anatomical and pharmacological evidence, suggesting a role for the $\alpha 4$ subunit in generating tonic inhibition in these regions (10, 11). The lack of changes in mIPSC kinetics of thalamic neurons from KO mice suggests that $\alpha 4$ subunits do not contribute to synaptic currents in this region. Coimmunoprecipitation data also support the idea that the assembly and functions of the extrasynaptic GABA_A-Rs that generate tonic inhibition

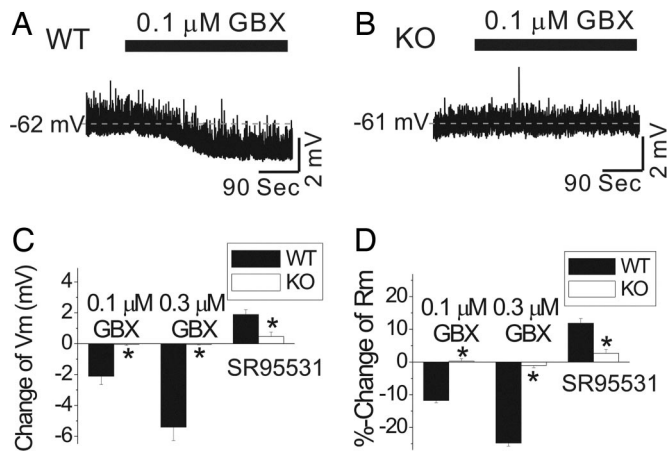


Fig. 5. SR95531 and GBX modulate membrane properties of VB thalamic neurons. (A) A VB neuron from a WT mouse was hyperpolarized by nearly 2 mV after addition of 0.1 μ M GBX. (B) GBX (0.1 μ M) produced no significant membrane potential change in VB neuron from a KO mouse. (C) The summary data shows that VB neurons from WT mice are hyperpolarized by 0.1 and 0.3 μ M GBX, whereas neurons from KO mice are insensitive to GBX. SR95531 (20 μ M) also induces a depolarization in WT but not in KO neurons ($n = 7$ –12 per genotype; *, $P < 0.05$). (D) Similar differences in modulation by GBX and SR95531 also are observed in measurements of membrane input resistance of VB thalamic neurons ($n = 7$ –12 per genotype; *, $P < 0.05$).

require the presence of the $\alpha 4$ and δ subunits (11, 25). We also studied the actions of the novel hypnotic drug GBX in thalamic relay neurons of the VB complex, which are highly sensitive to this agonist (11). As we have found previously, concentrations of GBX (100–300 nM) that are behaviorally relevant (Bjarke Ebert, personal communication) elicited a reproducible and concentration-dependent current inward response in these neurons, which was completely absent in the neurons from the KO mice. Higher concentrations of GBX (1 μ M) produced inward currents in the WT and KO mice (data not shown). This result shows that the extrasynaptic receptor population, which is highly sensitive to low concentrations of GBX, is essentially absent from the VB neurons in the KO mice. This result underlines the selectivity of GBX for GABA_A-Rs that contain the $\alpha 4$ (or $\alpha 6$) and δ subunits (26) over GABA_A-Rs that contain the $\alpha 1$ and $\gamma 2$ subunits (11).

We observed that KO mice were largely insensitive to the behavioral effects of GBX. In the open-field assay, WT mice showed a decrease in locomotor activity after i.p. treatment with 10 mg/kg GBX, presumably reflecting sedative and/or motor impairing effects of the drug. This inhibitory effect of GBX was eliminated in KO mice. The performance of WT mice on the rotarod also was very sensitive to impairment by GBX, but KO mice essentially were insensitive to this effect of GBX, although a modest inhibitory effect remained at the highest dose tested (15 mg/kg). These two tests demonstrate that the sedative and ataxic effects of GBX highly depend on the presence of GABA_A-Rs containing the $\alpha 4$ subunit.

The insensitivity to the ataxic effects of GBX is interesting and, perhaps, surprising at first glance, in the sense that performance on the rotarod is very sensitive to drugs that influence cerebellar activity. GBX is known to act as a potent agonist of GABA_A-Rs that contain the $\alpha 4$ or $\alpha 6$ subunits in combination with the δ subunit (26). Of these subunits, $\alpha 6$ is highly expressed in the cerebellum but $\alpha 4$ is not (9). If GABA_A-Rs containing the $\alpha 4$ subunit are important for the ataxia produced by GBX, then these receptors must be located elsewhere, with the receptor populations in the striatum, thalamus, and motor cortex being the most obvious possibilities. Future studies using tissue-

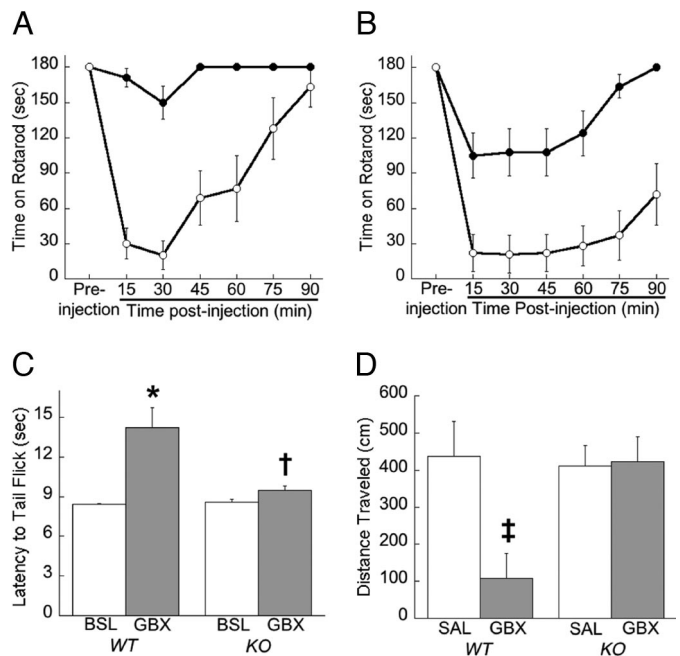


Fig. 6. KO mice are insensitive to the behavioral effects of GBX. The fixed speed rotarod measured GBX's ataxic effects at 10 mg/kg ($n = 19$ KO and 14 WT) (A) and 15 mg/kg ($n = 18$ KO and 12 WT) (B). Ataxic effects of GBX are dramatically reduced in KO mice (filled circles) compared with WT mice (open circles) at both 10 ($P < 0.0001$) and 15 mg/kg ($P < 0.001$). (C) The radiant tail-flick assay was used to measure the analgesic properties of 10 mg/kg GBX ($n = 15$ KO and 17 WT). Baseline latency to flick tail (BSL) or latency after GBX injection is displayed. GBX produced a marked analgesic effect in WT mice by increasing latency to flick tail (*, $P < 0.005$) but only had a small but marginally significant (\dagger , $P = 0.05$) effect in KO mice. (D) The open-field assay was used to measure the sedative effect of 10 mg/kg GBX ($n = 7$ –10 mice per genotype per treatment). Total locomotor activity after injection with saline (SAL) or GBX is displayed. GBX depressed locomotor activity in WT mice (\ddagger , $P < 0.02$) but had no effect in KO mice. No genotypic differences were observed between saline-treated groups.

specific KO of the $\alpha 4$ subunit should be especially informative in this regard.

GBX is also known to possess analgesic activity (27, 28), and this effect also was seen in our experiments by using the radiant tail-flick assay. Once again, the analgesic effect of the drug was reduced significantly/eliminated in the KO mice. These experiments indicate that the analgesic properties of GBX also are mediated by GABA_A-Rs containing the $\alpha 4$ subunit. The location of the $\alpha 4$ containing GABA_A-Rs responsible for this effect is unknown, although the receptor population in the thalamus is one possibility. It should be pointed out that the tail-flick assay potentially could be confounded by motor effects of GBX, so this phenomenon will have to be studied further in these mice by using additional tests.

The cellular and behavioral results presented here, along with studies of GBX in δ KO mice (29, 30), indicate that $\alpha 4\beta\delta$ GABA_A-Rs form extrasynaptic receptors that mediate a tonic current that is highly sensitive to potentiation by GBX, and potentiation of this tonic current by GBX is responsible for the behavioral effects of this drug. Potentiation of GABA_A-R tonic currents in various brain regions that are mediated by distinct extrasynaptic receptor isoforms appears to be a common mechanism of action that is shared with sedative-hypnotic drugs, including the anesthetics isoflurane (31) and etomidate (10, 32).

GBX is known to shorten the latency to sleep and to enhance the quality of sleep in man (33, 34) and to produce sedation, the loss of motor coordination, and the loss of righting reflex in

rodents (29, 35), but the mechanism by which these effects occur is unknown. Relay neurons within the thalamus are known to show alterations in firing patterns during the transitions between the awake and the sleeping states (36, 37), and others have shown the ability of GBX to hyperpolarize these neurons (24). Our analysis showed that the GABA antagonist SR95531 caused a small depolarization (of ≈ 2 mV) of VB neurons from WT mice, consistent with the blockade of the hyperpolarizing influence of ambient GABA within the slice. At the same time, SR95531 increased the input resistance of the neurons by $\approx 10\%$. These effects of SR95531 were absent in the KO animals. In contrast, GBX hyperpolarized VB neurons from WT mice by 2 mV at 100 nM and by 5 mV at 300 nM, decreasing input resistance by $\approx 10\%$ and 25% , respectively. GBX (100–300 nM) had no effect on membrane potential or input resistance in the KO mice.

The data reported here in our studies of the KO mice therefore show a strong association between the sedative and motor effects of GBX and the ability of the drug to hyperpolarize thalamic relay neurons. One possibility is that GBX is able to hyperpolarize these neurons sufficiently to induce the transition between silent or tonic firing modes and the burst firing mode that is implicated in the onset of slow-wave sleep (38).

The GABA_A-R $\alpha 4$ KO mice we have produced and characterized should be useful in a variety of experimental settings. There is substantial plasticity in the expression of the GABA_A-R $\alpha 4$ subunit, and this plasticity has been linked to functional changes in inhibitory synaptic activity (39, 40). In this respect, the $\alpha 4$ subunit is especially intriguing, because it shows plasticity in a variety of experimental and pathophysiological situations. For example, $\alpha 4$ expression is markedly altered by electroshock (41), alcohol exposure/withdrawal (13, 42–44), steroid withdrawal (45, 46), social isolation (47), and epilepsy (48, 49).

When a specific subunit undergoes dramatic changes *in vivo*, the effects on its normal subunit partners are also very interesting, e.g., $\alpha 4$ is reduced and $\gamma 2$ is increased in δ KO mice in areas where δ is normally found (23, 50–52), and the δ peptide is totally lost in the cerebellum in the $\alpha 6$ KO (53). The changes in subunit expression associated with these different experimental manipulations remain phenomenological, although provocative. It is not clear, for example, whether the plasticity in the $\alpha 4$ subunit is a cause of, or a consequence of, the neuronal hyperexcitability associated with each model syndrome. However, important clues suggesting the former have been provided by using antisense $\alpha 4$ mRNA (45). The GABA_A-R $\alpha 4$ KO mice reported here should be invaluable in establishing the role of $\alpha 4$ plasticity in these conditions.

The $\alpha 4$ subunit has a unique pharmacology in that $\alpha 4\beta\gamma 2$ subtypes are insensitive to classical benzodiazepine agonists, and receptor function is enhanced, rather than inhibited, by antagonists and inverse agonists (54). Likewise, the $\alpha 4\beta\delta$ subtypes are modulated by nonbenzodiazepine GABAergic drugs like steroids, anesthetics, and ethanol (18, 20, 21), for example, the δ KO is less sensitive to steroids (50). Further analysis of the behavioral and cellular pharmacology of these mice should provide additional valuable insights into the roles of $\alpha 4\beta\delta$ GABA_A-Rs.

Materials and Methods

Generation of $\alpha 4$ KO Mice. Please see *Supporting Text* for methods used to create $\alpha 4$ KO mice. All KO (homozygous for the Cre recombined allele) and WT (homozygous for the WT allele) littermates used were age-matched and experimenters were blind to genotype.

Western Blot. Membrane preparation and Western blots were carried out as described in ref. 44. Blots were probed with antiserum to the peptide sequence 379–421 of the $\alpha 4$ subunit (16) (1 μ g/ml final concentration), followed by HRP-conjugated anti-rabbit secondary antibody and incubation with an ECL Plus

detection system (Amersham Biosciences, Piscataway, NJ). Blots also were probed with a β -actin antibody (Sigma, St. Louis, MO) to confirm equal loading of protein.

Immunohistochemistry. The same $\alpha 4$ -specific antiserum used for Western blots was used for immunohistochemical labeling (16). The specificity of this antiserum has been demonstrated in refs. 9, 23, 55, and 56. Methods for tissue preparation and immunohistochemical processing have been described in detail in ref. 23.

Hippocampal Slice Electrophysiology. Transverse slices (400- μ m-thick) of mouse dorsal hippocampus were obtained by using standard techniques in ref. 57. Tetrodotoxin, ionotropic glutamate, and GABA_B receptor blockers were present throughout. Detailed methods for recording and analysis of GABA_A-R currents have been described in refs. 13 and 57.

Thalamic Slice Electrophysiology. Postnatal day 28–40 mice were anesthetized with isoflurane, and horizontal thalamic slices were prepared. During whole-cell voltage-clamp recordings, membrane voltage was clamped at -65 mV, and GABA_A-R currents were isolated by bath application of kynurenic acid (3–5 mM). The intracellular solution for voltage-clamp recording contained 140 mM CsCl, 4 mM NaCl, 1 mM MgCl₂, 10 mM Hepes, 0.05 mM EGTA, 2 mM ATP-Mg, and 0.4 mM GTP-Mg. The intracellular solution for current-clamp recordings contained 130 mM K-gluconate, 5 mM NaCl, 2 mM MgCl₂, 10 mM Hepes, 0.5 mM EGTA, 2 mM ATP-K, and 0.3 mM GTP-Na. The holding current (or membrane potential) shift was measured as the difference in the holding current (or membrane potential) before and during drug application. Spontaneous IPSCs were detected and analyzed as described in ref. 11. Averaged data are expressed as mean \pm SE. Data were analyzed by using Student's *t* test.

Rotarod. The Ugo Basile 7650 (Varese, Italy) apparatus rotating at a fixed speed of 6 rpm was used for all ataxia experiments. Mice (8–12 weeks of age) were acclimated to the apparatus by placing them on the rotarod 1–3 times on the day before each drug-induced ataxia experiment. Mice capable of walking on the rotarod for 180 sec were used for drug-ataxia experiments. Mice were evaluated once again before drug injection. Mice were injected with 10 mg/kg GBX (i.p.; THIP hydrochloride; Sigma) and then placed on the rotarod every 15 min after injection up to 90 min. The time a mouse was able to stay on the rotarod was recorded. The experiment was repeated on the same mice the following week with 15 mg/kg GBX, and the week after that with 2 mg/kg Flunitrazepam (i.p.; Sigma). Data were analyzed by two-way ANOVA.

Tail-Flick Assay. A radiant tail-flick assay was used as described in refs. 58 and 59. Briefly, mice were lightly restrained and tested on a tail-flick analgesia meter (IITC Life Sciences, Woodland Hills, CA). Mice were tested for basal nociception, and 1 day later, mice were injected with GBX (10 mg/kg, i.p.) and tested for latency to tail flick 30 min after injection. Data were analyzed by using a paired Student's *t* test.

Open-Field Assay. The sedative effect of GBX was determined in 8- to 10-week-old mice that were injected with normal saline or 10 mg/kg GBX. Twenty minutes after injection, mice were placed into a walled arena (43.2 cm \times 43.2 cm \times 30.5 cm) for 6 min. Distance traveled (centimeters) was measured automatically (Med Associates, St. Albans, VT). Data were analyzed by using two-way ANOVA and Fisher's post hoc test.

Supporting Information. For more details, see Fig. 7, which is published as supporting information on the PNAS web site.

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