

# Ubiquitin-Dependent Regulation of TGF $\beta$ Signaling in Cancer<sup>1</sup>

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## Abstract

The transforming growth factor $\beta$  (TGF $\beta$ ) superfamily regulates a broad spectrum of biological responses throughout embryonic development and adult life, including cell proliferation and differentiation, epithelial-to-mesenchymal transition, apoptosis, and angiogenesis. TGF $\beta$  members initiate signaling by bringing together a complex of serine/threonine kinase receptors that transmit signals through intracellular Smad proteins. Genetic alterations in numerous components of the TGF $\beta$  signaling pathway have been associated with several human cancers. In addition, tight regulation of TGF $\beta$  signaling is pivotal to the maintenance of homeostasis and the prevention of carcinogenesis. The ubiquitin/proteasome system is one mechanism by which cells regulate the expression and activity of effectors of the TGF $\beta$  signaling cascade. Mounting evidence also suggests that disruption of the ubiquitin-dependent degradation of components of the TGF $\beta$  pathway leads to the development and progression of cancer. Therefore, understanding how these two pathways intertwine will contribute to the advancement of our knowledge of cancer development.

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## Introduction

The transforming growth factor  $\beta$  (TGF $\beta$ ) superfamily is a large family of multifunctional cytokines involved in a number of biological responses during embryonic development and adult tissue homeostasis [1,2]. Because it promotes cell growth inhibition, apoptosis, and differentiation, TGF $\beta$  has been described as a potent tumor suppressor [3,4]. Supporting this notion, mutations in the components of the TGF $\beta$  signaling cascade have been identified in a number of human cancers, including hereditary nonpolyposis colon cancer, hepatocellular carcinoma (HCC), and pancreatic and ovarian cancers [5]. TGF $\beta$  also functions as a tumor promoter by stimulating angiogenesis, immunosuppression, and epithelial-to-mesenchymal transition (EMT) in later stages of the disease [4,6]. In recent years, ubiquitin-dependent proteosomal degradation has proven to be an important mechanism by which cells control TGF $\beta$  signaling output. Therefore, it is likely that disruptions in the proteosomal degradation of TGF $\beta$  pathway components may

promote the development and progression of tumors. This review will focus on how the TGF $\beta$  signaling cascade is regulated by the ubiquitin/proteasome pathway and how deregulation of this may contribute to cancer.

## The TGF $\beta$ Signaling Pathway

TGF $\beta$  is the prototypic member of the TGF $\beta$  superfamily, which also includes activins, nodals, bone morphogenetic proteins (BMPs), and anti-Müllerian factor. The cytokines signal through a heteromeric complex of type I and type II serine/threonine kinase receptors. Activation of the receptor complex through ligand binding results in the phosphorylation of the type I receptor by the type II receptor kinase [1–3,7,8]. Subsequently, active type I receptors transiently interact with and phosphorylate receptor-regulated Smads (R-Smads), which are intracellular transducers of TGF $\beta$  signals. The specificity of TGF $\beta$  or BMP responses is dictated by the ability of BMP type I receptors to phosphorylate and activate the R-Smads, Smad1, Smad5, and Smad8, whereas TGF $\beta$  or activin type I receptors phosphorylate the R-Smads, Smad2 and Smad3. Phosphorylated R-Smads then associate with Smad4, the common Smad (co-Smad), and shuttle to the nucleus [1,2,7–9]. By interacting with a large repertoire of transcription factors such as FoxH1, Mixer, LEF-1/TCF, OAZ, GATA-4, or Runx-related proteins, and cofactors such as CBP/p300, c-ski, SnoN, and histone deacetylases (HDACs), Smads either positively or negatively regulate specific transcriptional responses to TGF $\beta$  and BMP signaling [1,2,7–9]. A third class of Smads—the inhibitory Smads (I-Smads), which include Smad6 and Smad7—has been identified as negative regulators of TGF $\beta$  and BMP signaling. By interacting with type I receptors, I-Smads block the access of R-Smads to their specific receptors and inhibit signaling. In addition, I-Smads can downregulate signaling by targeting cell surface receptors for ubiquitin-dependent proteosomal degradation [1,2,7–9].

Smads contain two well-conserved globular domains known as MH1 and MH2 domains, which are coupled to each other by a

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divergent proline-rich linker region [1,2,7–9]. Although the C-terminal MH2 domain is highly conserved across all Smads, the amino-terminal domain of I-Smads shows only a weak sequence similarity to the N-terminal MH1 domain of other Smads. Both the MH1 and MH2 domains interact with transcription factors, but only the MH1 domain is able to directly interact with DNA [1,2,7–9]. Furthermore, the MH1 domain contains nuclear localization signals and plays a pivotal role in the nuclear shuttling of Smads [10]. In addition to mediating association with DNA-binding partners, the MH2 is crucial for Smad oligomerization and receptor interaction. It has also been shown to mediate the interaction between Smad2 and Smad3 with the Smad anchor for receptor activation (SARA). This FYVE domain-containing protein, which is mainly localized into early endosomes, enhances the recruitment of R-Smads to TGF $\beta$  receptors and facilitates TGF $\beta$  signaling [11]. Although the linker region is less conserved among Smads, this region comprises a PY motif that mediates the recruitment of E3 ubiquitin ligases and a number of phosphorylation sites that are important for crosstalk with other signaling pathways, such as receptor tyrosine kinase-mediated pathways [8,12].

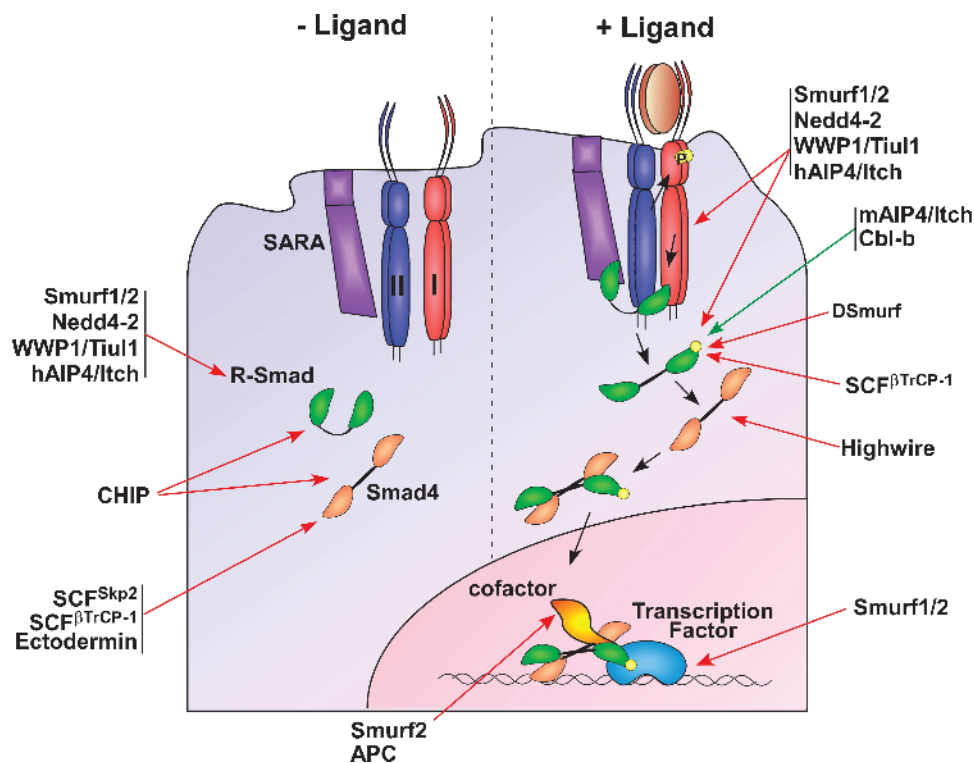
Smads are the classic intracellular effectors of TGF $\beta$  signaling; however, mounting evidence shows that biological responses can also be elicited through Smad-independent pathways [12]. Furthermore, there is evidence demonstrating that TGF $\beta$ s and BMPs can signal through MAP kinases (such as ERK, JNK, and p38), PKB/Akt, and LIM kinase 1 [12]. More recently, TGF $\beta$  signaling has been shown to

regulate EMT and cell migration through PAR6, an important component of the epithelial polarity complex and a regulator of tight junction assembly [13].

### Ubiquitin-Dependent Regulation of R-Smads

R-Smads play a pivotal role in the transmission of TGF $\beta$ /BMP signaling, and their degradation through the ubiquitin-dependent proteosomal pathway is an important mechanism by which cells tightly control Smad steady-state levels and activity. [14]. Ubiquitination occurs through a three-step process involving ubiquitin-activating (E1), ubiquitin-conjugating (E2), and ubiquitin ligase (E3) enzymes [15]. E3 ubiquitin ligases are generally divided into three classes: HECT (homologous to the E6-associated protein C-terminus) type, RING (really interesting gene) type, and U-box type [15]. Although HECT domain-containing E3 ligases directly catalyze the transfer of ubiquitin to the substrate, RING and U-box domain E3 ligases act as molecular scaffolds that facilitate the ubiquitination of target proteins [15]. Although structurally related, the U-box domain differs from the RING finger domain, as it uses hydrogen bonds, instead of zinc binding, to stabilize its structure [16].

Smad ubiquitination-related factor 1 (Smurf1) was the first E3 ubiquitin ligase of the C2/WW/HECT domain class to be identified as a regulator of TGF $\beta$ /BMP signaling (Figure 1, Table 1) [17]. It was shown to target noninduced BMP-specific Smad1 and Smad5 for degradation through a specific interaction between the Smurf1 WW domain and the PY motif



**Figure 1.** E3 ubiquitin ligases regulating the TGF $\beta$  signaling pathway. HECT domain, RING type, and U-box E3 ubiquitin ligases regulate both basal levels and activated components of the TGF $\beta$  signaling pathway. Black arrows illustrate TGF $\beta$  signal transduction. Positive (green arrows) and negative (red arrows) regulation of TGF $\beta$  signaling components by the ubiquitin/proteasome pathway are also indicated.

**Table 1.** E3 Ubiquitin Ligases Targeting Components of the TGF $\beta$  Signaling Pathway.

E3 Ub Ligase	Target	Signaling Dependence	Adaptor	Modulator	Effect	Reference	
<b>HECT domain</b>							
Smurf1	T $\beta$ RII/I	A	Smad6/7			[49]	
	BMPRII/I	A	Smad6/7		Inhibition of BMP signaling in <i>Xenopus</i> and mammalian cells	[52]	
	Smad1, Smad5	B				Downregulation of Smad1 target genes and secondary axis formation	[17]
		B			LMP-1	Interference with Smurf/Smad interaction; enhancement of BMP responsiveness	[78]
	Smad4 Smad7	A (TGF $\beta$ )		R/I-Smad			[32]
		B			p300	Acetylation decreases ubiquitination and enhances the stability of Smad7	[75]
		B			HDAC1	Deacetylation enhances ubiquitination and decreases the stability of Smad7	[43,76]
						Enhancement of Smad7 Ub and promotion of renal fibrosis by Smurf1/2	[91]
	RhoA	B			Cdc42/PAR6/aPKC	Cell migration, neurite outgrowth	[106,107]
		A (TGF $\beta$ )			PAR6/aPKC	EMT	[104]
		B			Synaptodin	Interference with Smurf1/RhoA interaction; inhibition of podocyte cell migration	[108]
	MEKK2 Runx2	A (BMP2)				Regulation of osteoblast activity	[87]
		B		Smad6		Inhibition of BMP-dependent Runx2-induced transcription	[83]
		A (BMP2)			p300 and HDAC4/5	Regulation of Runx2 ubiquitin-dependent degradation and bone formation by dynamic acetylation	[85]
		TNF				Induction of Smurf1/Smurf2 expression (which mediates Runx2 degradation) by TNF	[86]
Runx3	A (TGF $\beta$ )			p300 and HDAC5	Regulation of Smurf1/2-mediated Runx3 degradation by dynamic acetylation	[84]	
Smurf2	T $\beta$ RII/I	A	Smad7		Downregulation of TGF $\beta$ transcriptional activity	[39]	
	Alk4 (ActRIB)	A	Smad7	FKBP12	Regulation of the duration of activin signaling	[53]	
	Smad1, Smad2	B			Robust decrease of Smad1 levels but modest decrease of Smad2 levels; specific inhibition of Smad1 function	[18,19]	
	Smad2	A			Downregulation of Smad2 levels; inhibition of TGF $\beta$ transcriptional activity	[18,19]	
	Smad4	B	I-Smad			[32]	
	Smad4	A (TGF $\beta$ )	R-Smad			[32]	
	SnoN	A (TGF $\beta$ )	Smad2			[23]	
DSmurf Nedd4-2	RNF11	B			Blocks Smurf2-dependent inhibition of TGF $\beta$ signaling	[99]	
	Mad	A			Morphologic defects in larvae cuticle	[88]	
Smad2 Smad4	T $\beta$ RII/I	A	Smad6/7		Downregulation of TGF $\beta$ -dependent transcriptional activity	[24]	
	Smad2	A			Downregulation of TGF $\beta$ -dependent transcriptional activity	[24]	
	Smad4	B				[32]	
WWP1/Tiul1	T $\beta$ RII/I	A	Smad7		Downregulation of TGF $\beta$ R complex and Smad signaling; inhibition of TGF $\beta$ growth arrest	[25,26]	
	Smad2	A		TGIF	Enhancement of Smad2 turnover; inhibition of TGF $\beta$ growth arrest	[26]	
hAIP4/Itch	Smad4	B	R/I-Smad			[32]	
	Smad7	B			Stabilization of activated T $\beta$ RI/Smad7 complex; inhibition of TGF $\beta$ signaling in a Ub-independent manner	[54]	
mAIP4/Itch	HEF1	B	Smad3		Induction of HEF1 degradation through Smad3 by TGF $\beta$	[58,59]	
	Smad2	A			Increase in Smad2 phosphorylation and TGF $\beta$ growth arrest	[112]	
<b>RING type</b>							
<b>Single subunit</b>							
Highwire	BMP-like signaling Medea	A			Regulation of synaptic boutons	[36]	
Ectodermin	Smad4	B			Restriction of excessive BMP signaling in vegetal hemisphere of <i>Xenopus</i> blastula; restriction of TGF $\beta$ /nodal signaling to animal pole; TGF $\beta$ growth inhibition in HepG2 cells	[37]	
Cbl-b	Smad2	A			Increase in Smad2 phosphorylation; <i>in vivo</i> resistance of Cbl-b <sup>-/-</sup> T cells to TGF $\beta$	[31]	
<b>Multisubunit</b>							
APC	SnoN	A (TGF $\beta$ )	Smad3	CDH1	Regulation of cell cycle	[56,57]	
<b>F-box type</b>							
SCF <sup>Skp2</sup>	Smad4 R100T	B			Accelerated degradation	[65]	
	Smad4 G65V	B			Accelerated degradation	[65]	
ROC-1/SCF <sup>TrCP1</sup>	Smad3	A		p300		[27]	
	Smad4	A (TGF $\beta$ )		Jab1	Inhibition of TGF $\beta$ growth arrest	[33,34]	
	Smad4 R100T	B			Accelerated degradation	[65]	
	Smad4 G65V	B			Accelerated degradation	[65]	
MFB1	DAF-7 pathway				Negative regulation of Dauer formation	[28]	
<b>U-box type</b>							
CHIP	Smad1, Smad4	B				[21]	

A, interaction enhanced by signaling; B, interaction observed in basal state.

of BMP-regulated R-Smads [17]. The cytoplasmic pool of Smad1 and Smad2 is also regulated by Smurf2 [18,19], a Smurf1-related E3 HECT-containing ubiquitin ligase shown to be expressed in response to TGF $\beta$  signaling [20]. For its part, the U-box E3 ligase, CHIP (carboxyl terminus of Hsc70-interacting protein), has also been shown to downregulate Smad1 and Smad4 steady-state levels (Figure 1, Table 1) [21].

Ubiquitin-mediated proteosomal degradation is important not only for controlling spurious activation of TGF $\beta$ /BMP signaling cascades but also for turning off signaling output once the biological response has occurred. The growing number of E3 ubiquitin ligases that are able to downregulate activated R-Smads and their ubiquitous expression in adult tissues reflect the importance of the proper regulation of these signaling molecules for the maintenance of tissue homeostasis. Degradation of phosphorylated Smad2 was first observed in human keratinocytes, and although the E2-conjugating enzymes UbcH5b/c and Ubc3 were implicated in the transfer of ubiquitin moieties onto phospho-Smad2, a candidate E3 ubiquitin ligase responsible for substrate specificity had not been identified at the time [22]. In recent years, a number of E3 ubiquitin ligases have been shown to target activated Smad2 for proteosomal degradation (Figure 1, Table 1). Although Smurf2 constitutively regulates R-Smads, the association between Smurf2 and Smad2/3 is enhanced on TGF $\beta$  stimulation, suggesting a role for Smurf2 in the regulation of activated R-Smads [18,23]. Two other members of the E3 HECT domain ubiquitin ligase class, Nedd4-2 and WWP1/Tiul1, constitutively bind Smad2, and as with Smurf2/Smad2 interaction, these constitutive interactions are enhanced in response to an activated TGF $\beta$  type I receptor (T $\beta$ RI) (Figure 1, Table 1) [24–26]. Interestingly, although Nedd4-2 enhanced the polyubiquitination and degradation of Smad2 in the presence of an activated T $\beta$ RI, the ability of WWP1/Tiul1 to target activated Smad2 for ubiquitin-dependent proteosomal degradation is not as clear. Although Komuro et al. [25] demonstrated that the interaction between WWP1/Tiul1 and Smad2 was enhanced in the presence of activated T $\beta$ RI, WWP1/Tiul1 does not appear to promote the polyubiquitination and degradation of activated Smad2. However, Seo et al. [26] found that WWP1/Tiul1 was able to induce the ubiquitin-dependent degradation of Smad2 in the presence of the transcriptional corepressor, TGIF. Therefore, it is possible that the ability of WWP1/Tiul1 to mediate ubiquitin-dependent proteosomal degradation of Smad2 relies on the presence of additional protein partners such as TGIF. Interestingly, Smurfs, Nedd4-2, and WWP1/Tiul1 show a distinct pattern of expression in human tissues and human carcinoma cell lines [24,25]. Although WWP1/Tiul1 protein expression levels were found to be moderate to high in the heart, liver, skeletal muscles, and kidneys, only low levels of Smurf1 expression were observed in these tissues [25]. Similarly, Nedd4-2 and Smurf2 also have distinct distribution patterns in certain tissues such the kidneys, prostate, and testes [24].

The regulation of activated R-Smads is not exclusive to HECT domain-containing E3 ubiquitinating ligases, but may also occur through the multisubunit RING E3 ligase, Skp-1/Cul-1/

Box (SCF) complex (Figure 1, Table 1). Roc-1, a component of SCF<sup>Fbw1a/ $\beta$ TrcP1</sup>, interacts with Smad3 and promotes the SCF<sup>Fbw1a/ $\beta$ TrcP1</sup>-dependent ubiquitination and degradation of phosphorylated Smad3 in the cytoplasm [27]. As the interaction between Roc1 and Smad3 is enhanced in the presence of the transcriptional coactivator p300, it is thought that SCF/Roc1-mediated proteosomal degradation is necessary to terminate Smad3 transcriptional activity [27]. Although molecular targets have not been identified, MFB-1, a novel F-box-type ubiquitin ligase, negatively regulates Dauer formation in *Caenorhabditis elegans* by modulating the DAF-7/TGF $\beta$ -like signaling pathway [28]. Proteosomal degradation of activated Smad1 has also been reported to occur through a complex comprising the ornithine decarboxylase antizyme (Az) and the 20S proteasome  $\beta$  subunit, HsN3 [29]. The targeting of R-Smads for degradation by all three classes of E3 ubiquitin ligases (Figure 1, Table 1) suggests that ubiquitin-dependent proteosomal degradation is an important mechanism by which a cell controls its ability to respond to both TGF $\beta$  and BMP signaling and that this occurs only when appropriate, thereby preventing aberrant activation of cascades.

Although the polyubiquitination of R-Smads by a variety of E3 ligases appears to negatively regulate TGF $\beta$ /BMP signaling, there is also emerging evidence that suggests a role for this posttranslational modification in the enhancement of TGF $\beta$  signaling (Figure 1, Table 1). Loss of the AIP4/Itch E3 ligase in mouse embryonic fibroblasts results in resistance to TGF $\beta$ -induced cell growth inhibition [30]. Although the turnover rate of T $\beta$ RI and Smad2 remained unchanged, phosphorylation of Smad2 was decreased in AIP4/Itch<sup>-/-</sup> cells when compared to AIP4/Itch<sup>+/-</sup> cells [30]. Biochemical studies demonstrated that wild-type, but not catalytically inactive, AIP4/Itch mediated the TGF $\beta$ -dependent ubiquitination of Smad2, as well as enhanced the interaction between Smad2 and activated T $\beta$ RI [30]. Recently, the E3 ubiquitin ligase Cbl-b has also been shown to enhance TGF $\beta$ -dependent Smad2 phosphorylation in T cells [31]. Therefore, by promoting Smad2 phosphorylation, E3 ubiquitin ligases may also function as positive regulators of TGF $\beta$  signaling.

### Regulation of Smad4 through the Ubiquitin-Dependent Proteosomal Pathway

Being a common intracellular effector of both the TGF $\beta$  and BMP signaling pathways, Smad4 is a critical point at which both cascades can be modulated to maintain homeostasis. Like R-Smads, Smad4 levels are also regulated by HECT domain E3 ubiquitin ligases such as Smurf1, Smurf2, Nedd4-2, and WWP1/Tiul1 (Figure 1, Table 1) [32]. However, because Smad4 lacks a PY motif, it cannot directly associate with HECT-containing E3 ligases, but rather recruits the enzymes through adaptors such as I-Smads and R-Smads [32]. Consequently, mutations disrupting the interaction between adaptors and Smad4 also interfere with the ubiquitin-dependent degradation of Smad4 [32]. Overexpression of the Jun-activating domain binding protein 1 (Jab1), a subunit of COP9 signalosome, promotes the interaction

between Smad4 and the Roc1/SCF<sup>TrCP1</sup> complex, resulting in the ubiquitination and proteosomal degradation of Smad4 [33,34]. Ectopic expression of oncogenic Ras has also been shown to enhance Smad4 proteosomal degradation. However, the molecular mechanism regulating this process has yet to be defined [35]. *Drosophila* Highwire (Hiw), a RING-H2-type E3 ligase, was shown to bind the Smad4-like protein Medea (Med) in yeast-two hybrid and *in vitro* binding assays [36]. Although ubiquitination and proteosomal degradation of Med were not directly demonstrated, complete genetic removal of Med in *hiw* mutants suppresses excessive synaptic growth displayed in *hiw* single mutants. The *hiw* phenotype is also suppressed in *wit* (a BMP type II receptor) mutants [36]. Although the neuronal overexpression of yeast UBP2 or *Drosophila* Fat Facet (Faf) deubiquitinases resulted in synaptic overgrowth in wild-type larvae, ectopic expression of UBP2 and Faf did not cause synaptic overexpansion in *med* or *wit* mutants [36]. Taken together, these observations suggest that Hiw regulates BMP signaling through Med in a ubiquitin-dependent mechanism [36]. Recently, Ectodermin, a single-subunit RING-type E3 ligase, was shown to prevent excessive BMP signaling in the animal pole of *Xenopus* blastula, allowing for the proper development of ectodermal and neuronal tissues, as well as the restriction of TGF $\beta$ /nodal-mediated mesodermal induction to the vegetal hemisphere of the embryo [37]. Furthermore, human Ectodermin was also shown to restrict TGF $\beta$ -induced growth arrest in HepG2 cells [37]. Ectodermin appears to mediate these biological responses by targeting Smad4 for ubiquitination and proteosomal degradation, which result in the downregulation of both TGF $\beta$  and BMP signaling cascades [37].

In addition to being an important posttranslational modification by which Smad4 protein levels are controlled, ubiquitination is also a mechanism by which Smad4 activity is modulated [38]. Monoubiquitination of lysine 507 in the MH2 domain of Smad4 enhances the association of co-Smad with R-Smads and promotes Smad4 transcriptional activity [38]. Therefore, as for Smad2, ubiquitination of Smad4 can act to both positively and negatively regulate Smad4 function.

### Role of Smads as Adaptor for E3 Ligases

Although Smads have clearly been shown to be substrates for E3 ubiquitin ligases, they can also function as adaptors to recruit ubiquitin ligases to other target proteins. This novel role for Smads was first described by Kavsak et al. [39]. It was shown that, upon TGF $\beta$  stimulation, the I-Smad, Smad7 interacts with Smurf2 and promotes the export of the complex from the nucleus to the cell surface, where Smad7 acts as a bridge to target Smurf2 to the TGF $\beta$  receptor complex (Figure 1, Table 1) [39]. Although the Smad7 MH2 domain interacts with activated T $\beta$ RI, its PY motif associates with the WW domains of Smurf2 [17,39–41]. Furthermore, the amino-terminal domain (NTD) of Smad7, through a leucine-rich motif, recruits the E2-conjugating enzyme UbcH7 to the HECT domain of Smurf2 and stimulates Smurf2 catalytic activity [42]. Once recruited to the receptor complex, Smurf2 ubiquitinates Smad7 and promotes the degradation of both

Smad7 and the receptors [39], which occurs in the lipid-raft/caveolar-dependent endocytic pathway [43]. Alternatively, TGF $\beta$  receptors also internalize through the clathrin-dependent endocytic route where they associate with SARA and cPML and promote Smad-dependent signaling [11,43–47]. Because TGF $\beta$  ligand does not seem to preferentially target the receptors to one compartment over another, it is not known what causes receptors to partition into two different internalization compartments [43]. However, it is likely that proper partitioning is required for the fine-tuning of TGF $\beta$  superfamily signaling. In fact, a recent study demonstrated that memory of activin exposure relied on the time spent by the activin–activin receptor signaling complex in the clathrin-dependent endocytic pathway and was abolished by Smad7/Smurf2 [48].

I-Smads can recruit HECT-E3 ligases other than Smurf2 to receptor complexes. Smad7 was also shown to associate with Smurf1 and to recruit it to the TGF $\beta$  receptor complex (Table 1) [49]. The nuclear export of the Smad7/Smurf1 complex is mediated by chromosomal region maintenance 1 (CRM1), an importin  $\beta$ -related nuclear transport receptor, and the nuclear export signal located in the HECT domain of Smurf1 [50,51]. As with TGF $\beta$  receptor complexes, Smad6 and Smad7 are capable of targeting Smurf1 to cell surface activin and BMP receptors, and of promoting their ubiquitination and turnover [52,53]. Interestingly, the recruitment of Smad7/Smurf1 to the activin type I receptor, ALK4 (ActRIB), is enhanced by FKBP12, an intracellular inhibitor of TGF $\beta$  signaling [53]. Furthermore, Smad6 and Smad7 also recruit non-Smurf HECT E3 ligases such as Nedd4-2, WWP1/Tiul1, and human AIP4/Itch [24–26,54] to TGF $\beta$  receptor complexes (Figure 1, Table 1). Nedd4-2 and WWP1/Tiul1 promote the ubiquitin-dependent degradation of TGF $\beta$  receptor complexes, which results in the downregulation of TGF $\beta$ -dependent transcription and growth arrest [24–26]. Although the mechanism by which human AIP4/Itch inhibits TGF $\beta$  signaling has not been described, it appears to be independent of the ubiquitin-dependent proteosomal degradation of receptors and Smads [54]. Interestingly, although human AIP4/Itch inhibits TGF $\beta$  signaling, the mouse homolog promotes the phosphorylation of Smad2 and the induction of TGF $\beta$  signaling [30]. This difference may be due to tissue or cell type-specific effects. Recent evidence also suggests an important role for deubiquitinases such as UCH37 in the regulation of TGF $\beta$  receptor complexes [55]. A balanced recruitment of both deubiquitinases and E3 ubiquitin ligases is most likely required to assure proper TGF $\beta$  and BMP responses.

In addition to I-Smads, R-Smads play an important role in recruiting E3 ubiquitin ligases to specific substrates. Smad2 is known to recruit Smurf2 and to promote the ubiquitination and proteosomal degradation of the transcriptional corepressor SnoN (Figure 1, Table 1) [23]. Likewise, the anaphase-promoting complex (APC) requires Smad3 as an adaptor for the efficient ubiquitination and degradation of SnoN (Table 1) [56,57]. The TGF $\beta$ -dependent degradation of SnoN, either through Smurf2 or APC, is thought to be a mechanism through which the amplitude of TGF $\beta$  signals is modulated

as SnoN is itself a negative regulator of TGF $\beta$  target genes. Smad3 has also been shown to bind human AIP4/I $\kappa$ B and HEF1, and to promote ubiquitin-dependent proteosomal degradation of this Cas family member (Figure 1, Table 1) [58,59].

### Ubiquitination and Degradation of Oncogenic Smad Mutants

A number of inactivating mutations have been identified in Smad2 and Smad4 in a wide range of human carcinomas, including colorectal, pancreatic, and lung carcinomas [3–5]. In most cases, missense and nonsense mutations cluster in the MH2 domain of Smads and have been shown to interfere with Smad homo-oligomerization, hetero-oligomerization, DNA binding, and nuclear translocation [5]. However, several mutations also affect Smad protein stability. The HCC-derived mutation glutamine 407 to arginine (Q407R), as well as the colorectal cancer-associated mutation leucine 369 to arginine (L369R), in the MH2 domain of Smad2 is highly unstable and, in the case of Q407R, rapidly targets Smad2 for ubiquitin-dependent proteosomal degradation [60,61]. A nonsense mutation of Smad4 identified in pancreatic adenocarcinomas, which results in the deletion of the last 38 amino acids of the MH2 domain, not only inhibits Smad2 recruitment and DNA binding but also targets Smad4 for degradation [62].

Although most Smad mutations localize to the MH2 domain, several mutations have also been described in the MH1 domain [38,63,64]. An arginine-to-cysteine mutation at residue 133 of the MH1 domain of Smad2 leads to the increased ubiquitination and degradation of Smad2 [63]. Likewise, tumor-derived Smad4 L43S, G65V, R100T, and P130S mutants all exhibit accelerated polyubiquitination and proteosomal degradation when compared to wild-type Smad4 [38,63,64]. A recent study shows that the SCF complex, comprising either  $\beta$ TrCP-1 or Skp2 as the F-box component, exhibits stronger binding to cancer-derived Smad4 mutants (R100T and G65V) and catalyzes a more rapid degradation of these mutants when compared to wild-type Smad4 (Table 1) [65]. In summary, a number of inactivating mutations in Smad2 and Smad4 cause accelerated ubiquitin-dependent proteosomal degradation and likely result in aberrant TGF $\beta$  signaling, thereby promoting cancer development.

### Regulation of Smads by other Posttranslational Modifications

Protein stability and function are regulated by not only ubiquitination but also a number of other ubiquitin-like modifications, such as SUMOylation, NEDDylation, and ISGylation [66–69]. Of these three posttranslational modifications, SUMOylation is the only one to date to be implicated in the regulation of TGF $\beta$  pathway components. SUMOylation of target substrates appears to play an important role in the modulation of subcellular localization, protein–protein, and protein–DNA interactions, as well as enzyme activity and ubiquitin-dependent degradation [66–69]. Several studies have demonstrated that the SUMO E3 ligase PIAS $\gamma$  (protein inhibitor of activated STAT $\gamma$ ) interacts with Smad4 and pro-

motes its SUMOylation, which results in enhanced nuclear accumulation, protein stability, and transcriptional activity [70–72]. However, a recent report also demonstrates that SUMOylation decreases the ability of Smad4 to transactivate an artificial GAL4 promoter, suggesting that SUMOylation may affect Smad4 transcriptional activity either positively or negatively on different promoters [73]. PIAS $\gamma$  has also been shown to modify Smad3 [74]; therefore, the contradictory effects resulting from Smad4 SUMOylation may be, in part, explained by the simultaneous SUMOylation of Smad3. Smad3 modification may inhibit complex formation with Smad4 or regulate Smad3 binding to DNA, which could both result in the downregulation of Smad4 transcriptional activity. Alternatively, SUMOylation of Smad4 may lead to the recruitment of cofactors, and the specificity of this recruitment may be cell type–specific, which could also explain the different effects observed on Smad4 transcriptional activity.

A number of proteins, including transcription factors and other nuclear proteins, have been found to be modified by the addition of an acetyl group on the  $\epsilon$  amino group of lysine residues. Like SUMOylation, the functional consequences of acetylation are as diverse as increasing protein stability, regulating protein–protein and protein–DNA interactions, and inhibiting nuclear export. The histone acetyltransferase p300 was shown to interact and acetylate Smad7 on two lysine residues located in the amino-terminus of the I-Smad. Although acetylation neither interfered with Smad7/Smurf1 complex formation nor prevented nuclear export or recruitment of the complex to cell surface receptors, it did appear to protect Smad7 from polyubiquitination [75]. Furthermore, deacetylation of Smad7 by HDACs enhances both Smad7 polyubiquitination and turnover [76]. Taken together, these observations suggest that a balance between acetylation and deacetylation controls Smad7 protein stability. Acetylation of Smad7 may protect it from premature Smurf1-mediated degradation, allowing the recruitment of the Smad7/Smurf1 complex to cell surface receptors. However, once Smad7/Smurf1 is recruited to the receptors, deacetylation may be induced to promote the ubiquitination and degradation of the TGF $\beta$  receptor/Smad7/Smurf1 complex.

### Biological Role of Smurfs

As negative regulators of TGF $\beta$  and BMP signaling, Smurfs have been proven to have key functions during both normal biological responses (such as EMT, cellular migration, and bone formation) and pathogenic processes (such as fibrosis and cancer).

Recently, a number of *in vitro* and *in vivo* studies have provided insight on the physiological role of the ubiquitin/proteasome pathway in the downregulation of BMP signaling during bone development. Ectopic expression of Smurf1 induces the proteosomal degradation of Smad5 and thereby blocks BMP-induced osteogenic conversion of pluripotent C2C12 myoblasts [77]. Recent studies have also demonstrated that LMP-1, a LIM domain protein, inhibits Smad1 and Smad5 recruitment to Smurf1 and subsequent degradation, resulting in enhanced BMP signaling and bone nodule

mineralization [78]. Gain-of-function studies in mice have demonstrated that overexpression of *Smurf1*, under the control of the *Col1a1* promoter, leads to inhibition of osteoblast differentiation and reduced bone formation [79]. In contrast, a subsequent study showed that mice in which ectopic expression of *Smurf1* was driven by the *Col1a2* promoter exhibited no appreciable phenotype [80]. However, mating *Smurf1* and *Smad6* transgenic animals produced double-transgenic pups with a similar but more severe phenotype than that of the *Smad6* transgenic mice, which included delayed chondrocyte hypertrophy and postnatal dwarfism with osteopenia [80]. This phenotype was due to an impairment of BMP signaling, as decreased phospho-Smad1, Smad5, and Smad8 were observed in trabecular bone sections [80]. Although these studies show different phenotypes for *Smurf1* transgenic mice, likely due to the use of different promoters to drive the overexpression of the transgene, both studies show that *Smurf1* plays a specific role in bone formation *in vivo*, even if only a supportive role to *Smad6*. Interestingly, *Smurf1* has also been shown to regulate BMP-induced embryonic lung growth by downregulating *Smad1* and *Smad5*, suggesting that the requirement of *Smurf1* for proper BMP signaling is important for homeostasis in a number of tissues [81].

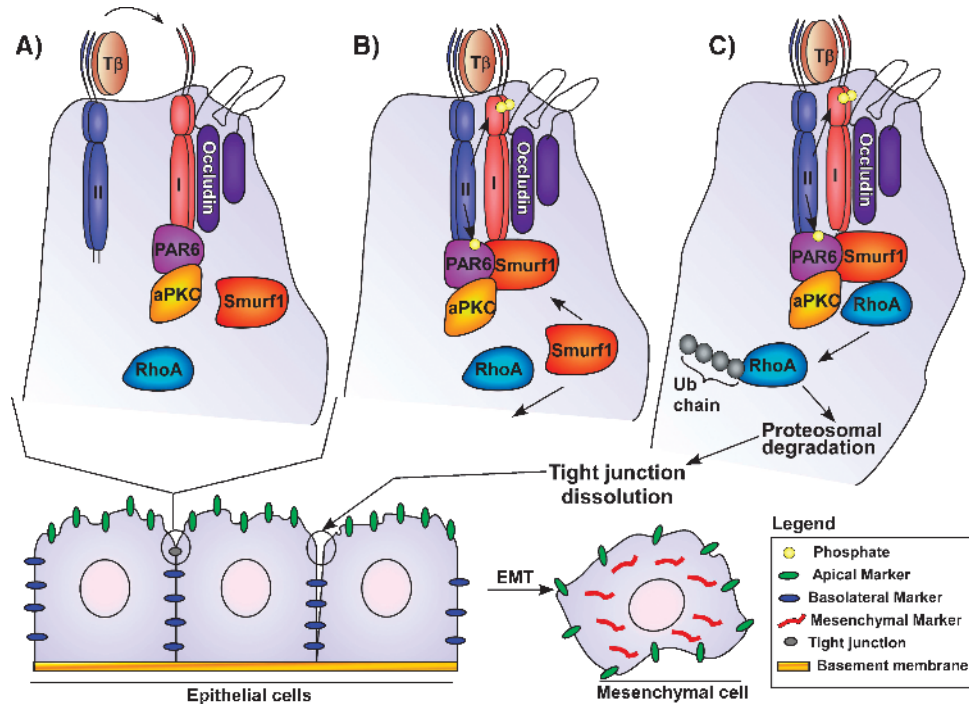
The Runx family of transcription factors plays critical functions during development and disease, and all three Runx proteins have been shown to interact with R-Smads and to regulate several TGF $\beta$ /BMP target genes [82]. In addition, Runx proteins are themselves regulated by components of the TGF $\beta$ /BMP pathway [82]. Overexpression of *Smurf1* in 2T3 osteoblasts downregulates both *Smad1* and *Runx2* protein levels and inhibits terminal osteoblast differentiation [79]. Moreover, *Smad6* recruits *Smurf1*, *Smurf2*, and *WWP1/Tiul1* to downregulate *Runx2* protein levels (Table 1) [83]. Recent evidence also suggests that, although TGF $\beta$ /BMP-dependent acetylation of *Runx2* and *Runx3* by p300 counteracts *Smurf1*-dependent ubiquitination and degradation, HDAC4- and HDAC5-mediated deacetylation of Runx proteins appears to promote their turnover [84,85]. Interestingly, tumor necrosis factor promotes *Runx2* ubiquitin-dependent proteosomal degradation by upregulating *Smurf1* and *Smurf2* protein expression in osteoblasts [86]. Therefore, regulation of *Runx2* by Smurfs may also occur independently of BMP signaling (Table 1).

Although overexpression studies in cell culture or gain-of-function studies in mice have confirmed the importance of Smurfs in Smad-dependent TGF $\beta$ /BMP signaling, loss-of-function studies have demonstrated that disruption of the mouse *Smurf1* gene does not alter Smad-dependent signaling but rather affects BMP-induced osteoblast activity by promoting the ubiquitination and destruction of MEKK2, an activator of JNK signaling (Table 1) [87]. In *Drosophila*, disruption of DSmurf activity leads to both spatial and temporal expansions of phosphorylated MAD, an R-Smad-like protein [88]. Morphologically, expansion of phospho-MAD results in the appearance of a posterior hole in the cuticle, as well as hindgut defects, in mutant embryos (Figure 1, Table 1) [88]. Overlapping the expression and activity of numerous E3

ubiquitin ligases may explain why loss of *Smurf1* activity does not significantly affect TGF $\beta$  or BMP signaling in mice. However, in *Drosophila*, where only two E3 ubiquitin ligases (DSmurf and Hiw) have been described to negatively regulate BMP-like signaling, disruption of DSmurf activity has more severe effects on Smad-dependent signaling, thereby highlighting their pivotal role in regulating Smad function *in vivo*.

Although TGF $\beta$ s have been shown to inhibit the proliferation of most cell types, their principal effect on mesenchymal cells is to stimulate the proliferation and production of the extracellular matrix, and to mediate fibrogenesis [89]. Fibrotic diseases such as scleroderma, pulmonary fibrosis, liver cirrhosis, and a variety of nephropathies have been linked to aberrant TGF $\beta$  signaling [89]. Moreover, evidence suggests that disruption of the ubiquitin/proteasome-dependent regulation of TGF $\beta$  signaling promotes fibrosis. A recent study of glomeruli isolated from rats with antithymocyte serum nephritis demonstrated downregulation of *Smad2* protein levels that are inversely correlated with increased *Smurf2* levels [90]. Similarly, progressive fibrosis and enhanced TGF $\beta$  signaling in kidneys from a mouse model with progressive tubulointerstitial fibrosis were associated with increased *Smurf1/2* protein levels and a concomitant decreased in *Smad7* protein levels (Table 1) [91]. Interestingly, gene expression profiling of scleroderma-associated lung fibroblasts revealed increased *Smad7* and *Smurf2* expression in response to TGF $\beta$  stimulation [92]. Furthermore, two studies have shown that deregulated *Smad7* expression is associated with impaired TGF $\beta$  signaling in scleroderma (Ssc) fibroblasts [93,94]. Dong et al. [93] showed that decreased *Smad7* expression in Ssc fibroblasts was associated with increased phospho-Smad2/3 levels and enhanced TGF $\beta$ -dependent *PAI-1* gene expression, suggesting that decreased *Smad7* expression resulted in enhanced TGF $\beta$  signaling. In contrast, Asano et al. [94] reported that Ssc fibroblasts exhibited a marked increase in *Smad7* expression and enhanced phospho-Smad2 and T $\beta$ RI protein levels, and hypothesized that impaired *Smad7*-dependent degradation of T $\beta$ RI could be due to mutations in either *Smad7* or Smurfs. However, overexpression of wild-type *Smad7* or Smurfs in Ssc fibroblasts did not affect T $\beta$ RI levels, suggesting that other components of the ubiquitin/proteasome pathway may be disrupted in Ssc fibroblasts [94]. UbcH7, which is recruited by *Smad7* to *Smurf2* [42], may be mutated in Ssc fibroblasts, and this may affect T $\beta$ RI turnover. Alternatively, Caveolin-1, which was shown to regulate *Smad7*/*Smurf2*-mediated receptor degradation [43], is downregulated in lung fibroblasts of scleroderma patients [95], and this may be responsible for impaired receptor degradation.

Disruption of TGF $\beta$  signaling is commonly observed in human cancers, and genetic alterations of different components of the TGF $\beta$  signaling cascade, such as the receptors *Smad2*, *Smad4*, and *Smad7*, have been described in a number of pancreatic, lung, breast, gastrointestinal, and gynecologic cancers [2,3,5]. Being important regulators of various components of the TGF $\beta$  signaling cascade, misregulated expression or aberrant function of E3 ubiquitin ligases, such as Smurfs, Nedd4-2, *WWP1/Tiul1*, *AIP4/Itch*,



**Figure 2.** *Smurf1*-regulated RhoA degradation mediates EMT. (A) T $\beta$ RI is restricted to tight junctions by occludin. In tight junctions, T $\beta$ RI interacts with PAR6. (B) In response to TGF $\beta$ , T $\beta$ RII is recruited to tight junctions and forms a complex with T $\beta$ RI and PAR6. T $\beta$ RII phosphorylates PAR6, thereby stimulating the recruitment of Smurf1 to tight junctions. (C) Smurf1 promotes the ubiquitination and degradation of RhoA, resulting in tight junction dissolution and EMT.

Ectoderm, and the SCF complex, would gravely affect TGF $\beta$  signal transmission and potentially result in human cancer development and progression. cDNA microarray-based comparative genomic hybridization analysis of a set of pancreatic carcinoma cell lines has identified Smurf1 in DNA amplifications [96]. Likewise, reverse transcription-polymerase chain reaction studies have shown that human carcinoma cell lines such as colon HT-29, breast MDA-MB-231, gastric MKN-1, and ovarian OVCAR-5 all display high levels of one or more E3 ligases, including Smurf2, Ectoderm, Nedd4-2, and WWP1/Tiul1 [24,25,37]. In addition, PRAJA, a RING-H2 E3 ubiquitin ligase that targets ELF (a positive regulator of Smad4) for degradation is overexpressed in two gastric cancer cell lines (NCI-187 and SNU-1) and likely blocks TGF $\beta$  signaling by downregulating Smad4 activity through ELF [97]. Because HT-29 and MKN-1 cells have also been described as being resistant to TGF $\beta$  growth inhibition [24], overexpression of E3 ubiquitin ligases in proliferating cells likely results in downregulation of TGF $\beta$  signaling, and, consequently, allows these cells to escape TGF $\beta$ -induced growth inhibition and to participate in tumor development.

Although evidence of aberrant TGF $\beta$  signaling resulting from altered E3 ubiquitin ligase activity is still scarce in human cancers, high expression levels of Smurf2, associated with low levels of Smad2 phosphorylation, have been detected in esophageal squamous carcinoma [98]. This suggests that downregulation of TGF $\beta$  signaling by Smurf2 is not limited to cell lines maintained in culture indefinitely but actually promotes tumor development in humans. In contrast, upregulation of TGF $\beta$  signaling through downregulation of E3 ubiquitin

ligase activity is also likely to enhance the tumor promoter activity of TGF $\beta$  in later stages of the disease. RNF11, a RING-H2 finger protein highly expressed in prostate and invasive breast cancers, has been shown to block Smurf2-dependent activity and to promote TGF $\beta$  signaling in human tumors [99,100]. RNF11 has also been shown to interact with Smurf1, AIP4/Itch, and WWP1/Tiul1; thus, it may be a novel common adaptor for E3 ubiquitin ligases that regulate TGF $\beta$  signaling [99,100]. Interestingly, recent studies have also shown that Smurf2, upregulated by telomere attrition, uses the p53 and Rb pathways to induce replicative senescence through E3 ubiquitin ligase-independent activity [101]. These data also suggest a novel function for Smurf2 in tumor development, which is independent of its role in the TGF $\beta$  signaling cascade.

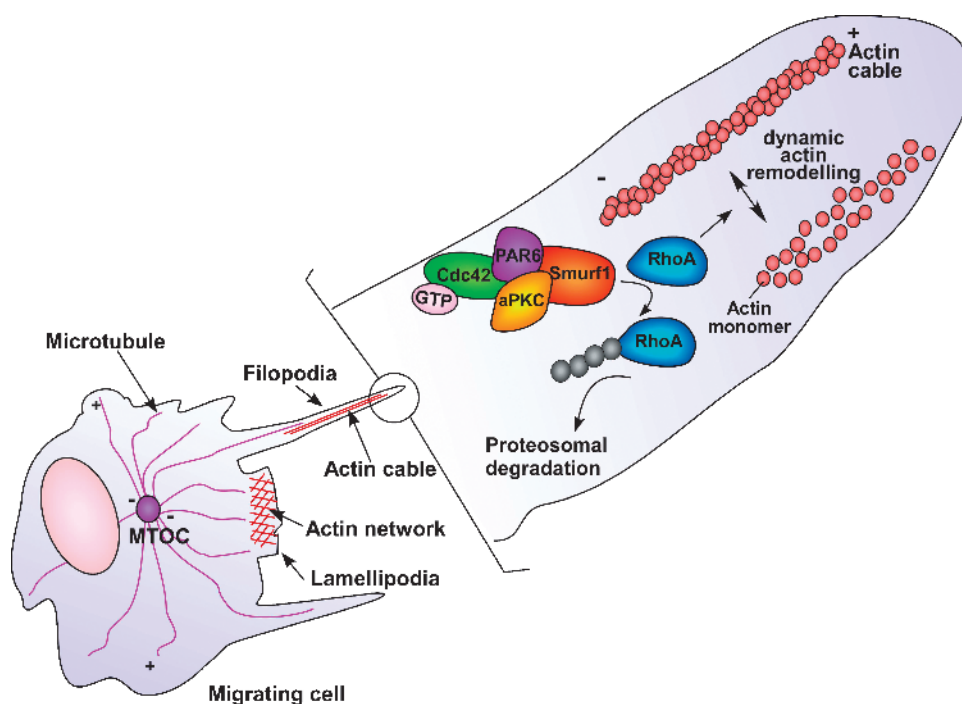
The importance of TGF $\beta$  signaling in cancer is not limited to its capacity to promote growth inhibition and apoptosis in early cancer development, but also includes its ability to induce angiogenesis, immunosuppression, and epithelial-to-mesenchymal (EMT) transition (Fig. 2) in later stages of the disease [2]. EMT is a multistep process involving dissolution of epithelial tight junctions; disruption of adherens junctions; cytoskeletal reorganization; loss of cell polarity; repression of epithelial markers such as E-cadherin, ZO-1, and  $\beta_4$  integrin; and upregulation of mesenchymal proteins such as vimentin [102]. Regulation of EMT by TGF $\beta$  is commonly thought to occur through the induction of a mesenchymal gene expression profile in either a Smad-dependent or a Smad-independent mechanism [103]. However, recent evidence reveals a new mechanism by which TGF $\beta$  regulates EMT in a Smad-independent and transcription-independent



manner (Figure 2) [104]. In polarized epithelial cells, T $\beta$ RI and TGF $\beta$  type II receptors (T $\beta$ RII) have distinct localization patterns [104]. Although T $\beta$ RII is localized to puncta distributed over the cell surface, occludin restricts T $\beta$ RI to tight junctions where it recruits PAR6 (Figure 2A) [104,105]. In response to TGF $\beta$  stimulation, TGF $\beta$ RII is recruited to tight junctions where it interacts with T $\beta$ RI and directly phosphorylates PAR6 (Figure 2B) [104]. TGF $\beta$ -dependent phosphorylation of PAR6 allows the recruitment of Smurf1, which in turn mediates the localized ubiquitination and degradation of RhoA, resulting in tight junction dissolution and EMT (Figure 2C) [104]. Smurf1, through the Cdc42/PAR6/PKC $\zeta$  complex, also regulates dynamic actin cytoskeletal remodeling by mediating localized RhoA degradation in filopodia and lamellipodia (Figure 3) [106]. Altogether, the Smurf1-mediated degradation of RhoA appears to be involved in multiple steps during the progression of cancer. By contributing to the dissolution of tight junctions, Smurf1 supports the transdifferentiation of epithelial cells to a fibroblastoid phenotype and, subsequently, by regulating cytoskeletal remodeling, promotes cell migration. Smurf1-dependent downregulation of RhoA has also been reported to regulate neurite outgrowth in Neuro2A neuroblastoma cells, as well as cell migration in kidney podocytes [107,108]. Synaptopodin, a proline-rich actin-associated protein, regulates podocyte cell migration through RhoA ubiquitin-dependent degradation by competing with Smurf1 for RhoA binding [108]. Taken together, these data suggest that both normal and transformed cells regulate dynamic actin cytoskeletal remodeling through localized Smurf1-mediated ubiquitination and degradation of RhoA (Figure 3).

## Conclusions

Like many other signaling cascades, the TGF $\beta$  and BMP pathways are tightly regulated at different levels by ubiquitin-dependent proteosomal degradation. The complexity by which the ubiquitin/proteasome pathway regulates what appears, at first, to be a simple linear TGF $\beta$  signaling pathway is astounding. By regulating unactivated cytoplasmic pools of R-Smads, the ubiquitin/proteasome pathway prevents spurious activation of the TGF $\beta$ /BMP cascade and assures that cells remain competent to receive incoming signaling cues. In addition, the targeted ubiquitination and degradation of receptors, Smads, and transcription factors, in response to TGF $\beta$  or BMP stimulation, are a means by which signaling is turned off. A growing list of HECT domain, RING type, and U-box E3 ubiquitin ligases, both directly or through adaptors, targets components of the signaling pathway for degradation and thus assures proper signaling over a wide variety of tissues and organs. Exciting new evidence shows that E3 ubiquitin ligases not only act as negative regulators but also enhance TGF $\beta$  signaling by promoting R-Smad phosphorylation. By controlling the turnover of many tumor suppressors and oncoproteins, the ubiquitin/proteasome pathway plays a pivotal role in the development and progression of cancer [15,109–111]. Alteration of ubiquitin-dependent proteosomal degradation of TGF $\beta$  signaling pathway components is also associated with cancer development. Overexpression of E3 ubiquitin ligases, as described in a number of human carcinomas and cancer cell lines, likely contributes to cancer development by downregulating TGF $\beta$  pathway components, resulting in decreased TGF $\beta$ -dependent expression of genes involved in growth



**Figure 3.** Smurf1-dependent RhoA degradation mediates cell migration. The Cdc42/PAR6/aPKC complex recruits Smurf1 to filopodia and lamellipodia where it locally degrades RhoA and promotes cell migration.

inhibition and apoptosis. Adventitious expression of Smurf1 may promote cancer invasion and metastasis by potentiating EMT and cell migration [104]. Undeniably, the pivotal role held by E3 ubiquitin ligases in the regulation of TGF $\beta$ -dependent biological responses makes it a worthy target for the development of small-molecule or peptide-based inhibitors for use in future therapeutic treatments.

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