

Molecular Pathogenesis of Genetic and Inherited Diseases

Deregulation of the Phosphatidylinositol-3 Kinase Signaling Cascade Is Associated with Neurodegeneration in *Npc1*^{-/-} Mouse Brain

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Niemann-Pick type C (NPC) disease is caused by mutations to genes that encode proteins critical to intracellular lipid homeostasis. The events underlying NPC progressive neurodegeneration are poorly understood but include neurofibrillary tangles of the type found in Alzheimer's disease. Here we investigated possible contributions of a phosphatidylinositol-3 kinase cascade [PI3K, Akt, glycogen synthase kinase-3 β (GSK-3 β)] that is linked to apoptosis and various degenerative conditions. Brain concentrations of phosphorylated Akt, which phosphorylates and inactivates GSK-3 β , were significantly elevated in *Npc1*^{-/-} mice relative to *Npc1*^{+/+} mice. Accordingly, levels of inactive GSK-3 β were 50 to 100% higher in mutant brains than in controls. Increases in inactive GSK-3 β occurred early in postnatal development, well before neuronal loss, and were most prominent in structures with intracellular cholesterol accumulation, suggesting a contribution to subsequent degeneration. Perturbations of nuclear factor (NF)- κ B, which is regulated by GSK-3 β , occurred in *Npc1*^{-/-} mouse brains. Nuclear concentrations and DNA binding activity of NF- κ B's transactivation subunit, p65, were significantly reduced in *Npc1*^{-/-} mice compared to *Npc1*^{+/+} mice. Cytoplasmic levels of the p50 subunit and its precursor, p105, were higher in *Npc1*^{-/-} mice. These results suggest that excessive activity in the PI3K-Akt pathway depresses GSK-3 β , thereby disrupting the formation and/or nuclear import of p50/p65 NF- κ B dimers and contributing to neuronal degeneration. (*Am J Pathol* 2005, 167:1081-1092)

Niemann-Pick type C (NPC) disease is a fatal neurovisceral storage disorder caused by mutations to the *NPC1*

gene¹ or, much less frequently, to the *NPC2* gene.² A striking feature of NPC pathology is the presence in brain of neurofibrillary tangles that, by an array of measures, are indistinguishable from those found in Alzheimer's disease.³⁻⁷ Tangles in the latter case are thought to arise from excessive activation of a set of kinases, including glycogen synthase kinase (GSK-3 β), resulting in the hyperphosphorylation of the microtubule crosslinking protein tau and its assembly into helical filaments. Several *in vitro* and *in vivo* studies have implicated GSK-3 β in tangle formation;⁸⁻¹⁰ moreover, recent work indicates that the kinase co-localizes with intraneuronal tangles and probably accumulates in neurons before the onset of pathology.¹¹ Given these results, it is of interest to ask if regional and temporal changes in levels of activated GSK-3 β are linked with the onset of degeneration in NPC or in mouse models of the disease; however, mapping studies appropriate to this question have not been reported.

In addition to its potential role in tangle formation, GSK-3 β is also implicated in neurodegenerative diseases because it is a target of several anti-apoptotic signaling pathways,¹²⁻¹⁵ eg, the phosphatidylinositol-3 kinase (PI3K) signaling cascade.¹⁶ PI3K phosphorylates plasma membrane inositol lipids that then recruit pleckstrin homology domain proteins including Akt/protein kinase B and phosphoinositide-dependent protein kinase; the latter enzyme then phosphorylates the former at Ser473 and Thr308. Activation of Akt promotes cell survival by phosphorylating and thus inactivating a number of proapoptotic proteins including GSK-3 β , BAD, caspase-9, and Forkhead transcription factors.¹⁷⁻¹⁹ However, genetic deletion of GSK-3 β results in fetal death associated with massive hepatocyte apoptosis, similar to that observed after the knockout of the p65 subunit of nuclear factor

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(NF)- κ B.²⁰ The unexpected prosurvival effect of GSK-3 β , possibly via regulation of NF- κ B, has recently been confirmed by several studies using a variety of preparations.^{21–26}

NF- κ B consists of a group of dimeric proteins that bind to a common DNA sequence motif; dimers consisting of p65 and p50 are the most common forms.^{27–30} GSK-3 β regulates NF- κ B by phosphorylating its p65 transactivation subunit²⁵ and by phosphorylating and stabilizing p105, the precursor of its p50 subunit.²⁴

In all, then, GSK-3 β is of interest to the understanding of NPC both as a potential contributor to the tauopathy that characterizes the disease and as a pivotal step in a signaling cascade implicated in a variety of degenerative conditions. In the present study, we tested for spatio-temporal correlations between the activity of Akt, GSK-3 β , and NF- κ B and cholesterol deposits or neuropathology in a mouse model of NPC. We found evidence for increased levels of Akt activation and GSK-3 β inactivation, and for NF- κ B deregulation; moreover, these changes occur early in postnatal development and in a regionally selective manner. Taken together, the results provide the first evidence that NPC is accompanied by a profound disturbance of the PI3K/Akt-GSK-3 β -NF- κ B signaling pathway, and strongly suggest that such disturbance is a contributor to, rather than a consequence of, degeneration.

Materials and Methods

Mice

Heterozygous breeding pairs of BALB/cNctr-npc1N mice (Npc1+/-) were obtained from The Jackson Laboratory (Bar Harbor, ME) and maintained in our animal facility in accordance with National Institutes of Health guidelines and protocols approved by the Institutional Animal Care and Use Committee with care to minimize distress to the animals. Mouse breeding and genotyping were as previously described.³¹ Animals were killed at postnatal weeks 1, 2, 4, and 8 (four to eight animals for each age group) under deep anesthesia (200 mg/kg sodium pentobarbital) by perfusion for immunohistochemical and histological studies or by decapitation for biochemical analyses. For histological studies, animals were perfused with phosphate-buffered saline (PBS) followed by 4% paraformaldehyde. Brains were removed and incubated with 15% sucrose followed by 30% sucrose before being sectioned at 25 μ m with a freezing microtome. Coronal sections were stored in a cryoprotective solution (30% ethylene glycol, 20% glycerol in 0.025 mol/L phosphate buffer, pH 7.3) at -20°C before being processed for histological and immunohistochemical studies.

Western Blots

Brain regions [cerebellum, brainstem (including diencephalon, midbrain, pons, and medulla), hippocampus, and cortex] from Npc1-/- and Npc1+/+ mice were dissected in ice-cold artificial cerebrospinal fluid, and

homogenized in 50 mmol/L Tris-HCl (pH 7.4) buffer containing 1 mmol/L EDTA, 1 mmol/L EGTA, and a protease inhibitor cocktail (Sigma, St. Louis, MO). Electrophoresis and immunoblotting were performed following conventional procedures. Briefly, proteins (40 to 60 μ g) from each sample were denatured by boiling for 5 minutes in a sample buffer [2% sodium dodecyl sulfate, 50 mmol/L Tris-HCl (pH 6.8), 10% 2-mercaptoethanol, 10% glycerol, and 0.1% bromophenol blue], and separated by electrophoresis on sodium dodecyl sulfate-polyacrylamide gels (12%), after which proteins were transferred to nitrocellulose membranes. Nitrocellulose membranes were incubated with primary antibodies for 12 to 16 hours at room temperature; immunoreactivity was visualized by using enhanced chemiluminescence (ECL Plus kit and reagents; Amersham Pharmacia Biotech). Antibodies used were: anti-Akt1 (1:10,000), anti-Akt1-pThr308 (1:1000; both from Upstate, Charlottesville, VA), anti-Akt1-pSer473 (1:500; Cell Signaling Technology, Beverly, MA), and anti-GSK-3 β pSer9, (which also recognizes GSK-3 α phosphorylated at Ser21, 1:1000; Cell Signaling Technology); anti-GSK-3 β pTyr216 (1:10,000; Upstate), anti-GSK-3 β (1:1000), anti-NF- κ B p50 (1:5000), anti-p65 (1:500; Santa Cruz Biotechnology, Santa Cruz, CA), and anti-actin (1:10,000; Sigma). Levels of different bands were analyzed by using the National Institutes of Health Image program. Statistical significance was determined by two-tailed Student's *t*-test.

NF- κ B Binding Assay

DNA binding activity of NF- κ B (p65/p50) was determined by using the enzyme-linked immunosorbent assay-based nonradioactive NF- κ B p65/p50 transcription factor assay kit following the manufacturer's protocol (Chemicon, Temecula, CA). Nuclear extraction was done as previously described.³² Briefly, brain tissues were homogenized in ice-cold PBS with a Dounce homogenizer (10 strokes). Homogenates were centrifuged at 4°C for 30 seconds at 12,000 \times *g*, and the supernatants discarded. Pellets were resuspended in lysis buffer (10 mmol/L HEPES, 1.5 mmol/L MgCl₂, 10 mmol/L KCl, 0.5 mmol/L dithiothreitol, 0.5 mmol/L phenylmethyl sulfonyl fluoride, 2 μ g/ml antipain, 2 μ g/ml leupeptin) and incubated on ice for 10 minutes with 10% Nonidet P-40 solution added. The mixtures were mixed vigorously for 30 seconds and recentrifuged for 30 seconds at 14,000 \times *g*. Pelleted nuclei were resuspended in extraction buffer (20 mmol/L HEPES, 25% glycerol, 1.5 mmol/L MgCl₂, 300 mmol/L NaCl, 0.25 mmol/L EDTA, 0.5 mmol/L dithiothreitol, 0.5 mmol/L phenylmethyl sulfonyl fluoride, 2 μ g/ml leupeptin, 2 μ g/ml antipain), incubated on ice for 20 minutes, then centrifuged again for 20 minutes at 14,000 \times *g*. The supernatants containing the nuclear proteins were incubated with biotinylated-specific DNA probes for 1 hour at room temperature. The mixture was then transferred to the streptavidin-coated 96-well plates. Binding of active NF- κ B with DNA was revealed by incubation with anti-p50/p65 antibodies, followed by the horseradish peroxidase-conjugated secondary antibodies and a colorimet-

ric reaction, which was analyzed by a microplate reader (Molecular Devices, Sunnyvale, CA). Results obtained from brains of *Npc1*^{-/-} and *Npc1*^{+/+} mice were analyzed by one-way analysis of variance and *P* values smaller than 0.05 were considered significant.

Filipin Staining

Filipin has been demonstrated to specifically stain free cholesterol because treatment with cholesterol oxidase results in a complete loss of fluorescence.³³ Brain tissue sections were washed with phosphate-buffered saline and incubated in the dark with 50 μ g/ml filipin in PBS for 3 hours under agitation at room temperature. After washing in PBS, some sections were further processed for immunostaining with anti-GSK-3 β Ser9 antibody (see below); others were mounted on slides and coverslipped with Vectashield mounting media (Vector Laboratories, Burlingame, CA). Filipin fluorescence was detected by a Zeiss Axiovert epifluorescence microscope.

Fluoro-Jade B (FJB) Staining

FJB is a fluorochrome that is a selective marker for neurodegeneration.^{34,35} Sections were mounted on pre-treated slides (Superfrost/plus; Fisher, Pittsburgh, PA), air-dried, and rehydrated in distilled water for 3 minutes. Then, slides were incubated sequentially in 1) 1% sodium hydroxide in 80% ethanol for 5 minutes, 2) 70% ethanol for 2 minutes, 3) 0.06% potassium permanganate for 10 minutes, and 4) FJB staining solution (Histo-Chem Inc., Jefferson, AZ), prepared according to the manufacturer's instructions for 20 minutes. Some sections were further processed for immunostaining with anti-GSK-3 β Ser9 antibody. Slides were then washed with water, dehydrated in graded ethanol, and coverslipped with DPX mounting solution (BDH Laboratory Supplies, Poole, UK).

Immunohistochemistry

Sagittal sections from cerebellum and coronal sections from the rest of the brains of *Npc1*^{+/+} and *Npc1*^{-/-} mice at different ages were simultaneously processed for immunostaining. Immunohistochemistry was performed using the avidin-biotin horseradish peroxidase complex (ABC) method. Briefly, free-floating sections were first incubated in 3% normal goat serum diluted in PBS with 0.1% Triton X-100 for 1 hour at room temperature, followed by incubation with anti-GSK-3 β Ser9 (1:500; Cell Signaling Technology) or anti-p65 (1:1000; Santa Cruz) overnight at 4°C. After three washes in PBS, sections were incubated with corresponding biotinylated secondary antibodies (1:400; Vector Laboratories) in 1.5% normal goat serum solution for 2 to 3 hours, then in ABC diluted in PBS for 45 minutes. Peroxidase reaction was performed with 3,3'-diaminobenzidine tetrahydrochloride (0.05% in 50 mmol/L Tris-HCl buffer, pH 7.4) as chromogen and 0.03% H₂O₂ as oxidant. Free-floating sections were mounted on precoated slides and air-dried. Sections were then dehydrated in graded ethanol and finally

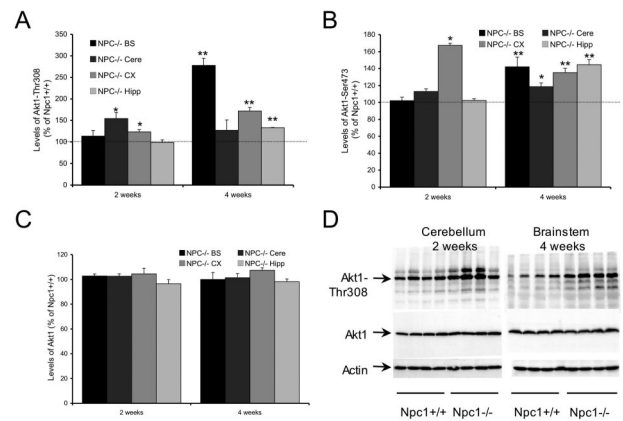


Figure 1. Increased Akt1 phosphorylation in developing brains of *Npc1*^{-/-} mice. Samples from different brain regions (brainstem, cerebellum, neocortex, hippocampus) were collected at postnatal weeks 2 and 4 and subjected to immunoblotting analyses using anti-Akt1-Thr308, anti-Akt1-Ser473, and anti-Akt1 antibodies. The blots were then analyzed by using NIH image analysis software. **A**, **B**, and **C** are quantitative results of blots labeled with anti-Akt1-Thr308, anti-Akt1-Ser473, or anti-Akt1 antibodies, respectively. Data are presented as percent of values from *Npc1*^{+/+} mice; values shown in **C** are normalized to actin. **P* < 0.05 and ***P* < 0.01. **D**: Representative images of blots labeled with anti-Akt1-Thr308 (top), anti-Akt1 (middle), or anti-actin (bottom) antibodies.

covered with Permount. For double staining, rabbit anti-GSK-3 β Ser9 and mouse anti-cathepsin D antibodies (Santa Cruz Biotechnology) were used; binding of antibodies to antigens was revealed by Alexa Fluor 488 goat anti-rabbit IgG and Alexa Fluor 555 goat anti-mouse antibodies (Molecular Probes, Eugene, OR). For tissues that were first stained by filipin or FJB followed by anti-GSK-3 β Ser9 antibody, Alexa Fluor 555 goat anti-rabbit IgG was used, and slides were coverslipped with Vectashield mounting media.

Results

Akt Phosphorylation in *Npc1*^{-/-} Mouse Brain during Postnatal Development

To test the status of the PI3K-Akt pathway in the developing central nervous system of *Npc1*^{-/-} mice, immunoblotting analysis was performed with anti-Akt1-pThr308, anti-Akt1-pSer473, or anti-Akt1 antibodies. At postnatal week 2, significant increases in levels of Akt1-pThr308 were found in cerebellum and neocortex (155% and 124% of values found in *Npc1*^{+/+} mice, respectively), whereas at postnatal week 4 a marked increase was found in brainstem (278% of *Npc1*^{+/+} mice) and cerebral cortex (172% of *Npc1*^{+/+} mice) and a moderate increase in hippocampus (133% of *Npc1*^{+/+} mice; Figure 1A). Immunoblots labeled with anti-Akt1-pSer473 antibodies indicated that marked increases (167% of *Npc1*^{+/+} mice) in active Akt1 were present in cerebral cortex at postnatal week 2; this effect expanded to all four brain regions analyzed by postnatal week 4 (Figure 1B). Levels of total Akt1 estimated from Western blots labeled with anti-Akt1 antibodies did not differ between the two types of mice [Figure 1, C and D (bottom)]. The ratios of Akt1-pThr308/Akt1 and Akt1-pSer473/Akt1 in *Npc1*^{-/-}

Table 1. Ratio of Akt1-Thr308/Akt1 (Percentage of Npc1+/+)

	NPC-/- BS	NPC-/- Cere	NPC-/- CX	NPC-/- Hipp
2 weeks	110.7 ± 12.8	150.7 ± 13.6*	118.2 ± 5.2*	102.7 ± 6.2
4 weeks	278.1 ± 16.5 [†]	125.4 ± 23.8	160.3 ± 7.9 [†]	135.5 ± 0.8 [†]

**P* < 0.05, [†]*P* < 0.01.

mice were significantly elevated in all brain regions at postnatal week 4 and in some brain regions at postnatal week 2 (Tables 1 and 2). Furthermore, *in vitro* experiments indicated that phosphorylation of GSK-3 fusion proteins mediated by Akt1 immunoprecipitated from Npc1-/- mouse brains using an anti-Akt1 immobilized antibody was 125% higher than by that from Npc1+/+ mouse brains (*n* = 3, *P* < 0.05). Together, these results clearly indicate that levels of active Akt1 were increased in Npc1-/- mouse brain during postnatal development as compared to those found in Npc1+/+ mice.

Levels of GSK-3 in Npc1-/- Mouse Brain during Postnatal Development

As noted, GSK-3β is inactivated via phosphorylation at its Ser9 residue by the PI3K-Akt system; the results described above would thus predict increases in the inactive form of GSK-3β in Npc1-/- mice. Brain homogenates prepared from 2- to 4-week-old animals were subjected to Western blot analyses using a polyclonal antibody that recognizes the inactive (phosphorylated) forms of GSK-3α and -3β, which migrate as two separate bands with an apparent molecular weight of 51 and 46 kd (Figure 2A). Levels of both GSK-3α-pSer21 and GSK-3βpSer9 were significantly increased in Npc1-/- compared to Npc1+/+ mice (Figure 2A). Quantitative analysis showed that at postnatal week 2, increases in phosphorylated GSK-3α (166 ± 23%, *P* < 0.05; Figure 2B) and GSK-3β (142 ± 18%, *P* < 0.05; Figure 2C) were statistically significant in cerebral cortex, but not in other brain areas. By 4 weeks however, significant increases in levels of phosphorylated GSK-3α were observed in brainstem, cerebral cortex, and hippocampus but not in cerebellum (Figure 2B). Levels of phosphorylated GSK-3β were higher in all four brain regions of Npc1-/- as compared to those in Npc1+/+ mice (Figure 2C). Levels of total GSK-3β (Figure 2D) and of the active isoforms of GSK-3 (GSK-3βpTyr216, not shown) did not differ between the two genotypes.

Immunohistochemical Localization of GSK-3βpSer9 in Npc1-/- Mouse Brain

Week 1

Immunohistochemical studies revealed that there was virtually no detectable staining of GSK-3βpSer9 in Npc1+/+ mouse brains at postnatal week 1, whereas high levels of the phosphorylated kinase were present in Npc1-/- mouse brains at this age (Figure 3). Interestingly, the highest levels of staining were mostly distributed along the major sensory projection systems, including the medial geniculate nucleus and primary auditory cortex, the lateral geniculate nucleus and primary visual cortex, and the lateral thalamic complex and primary somatosensory cortex (Figure 3). In neocortex, high levels of GSK-3βpSer9 staining occurred mainly in neurons of layers IV and VI. Evident GSK-3βpSer9 staining was also observed in deep layers of primary motor cortex (not shown). High magnification (Figure 3, inset; and Figure 4) indicated that GSK-3βpSer9-immunopositive elements were mostly granules with different sizes, which were located in cell bodies around nuclei. From their morphology and subcellular location, these elements resembled endosomes/lysosomes. In some cells, these elements were either clustered at the polar end of cell bodies or accumulated in the initial segments of axons forming meganeurite-like structures (Figure 4, arrows). Occasionally, spines budding from meganeurites were observed (Figure 4, arrowheads), a peculiar feature known as ectopic dendritogenesis in lysosomal storage diseases.³⁶

Weeks 2 to 4

By postnatal week 2, levels of GSK-3βpSer9 immunoreactivity decreased in layer IV of neocortex, but moderate levels of staining remained in layer VI (Figure 5, SS/2W). Staining for GSK-3βpSer9 also became obvious in several other brain areas, being particularly dense in several thalamic nuclei and in the molecular layer of cerebellum (Figure 5). By 4 weeks, immunoreactivity for GSK-3βpSer9 further increased in the aforementioned

Table 2. Ratio of Akt1-Ser473/Akt1 (Percentage of Npc1+/+)

	NPC-/- BS	NPC-/- Cere	NPC-/- CX	NPC-/- Hipp
2 weeks	99.3 ± 4.0	110.1 ± 3.0	160.2 ± 2.3*	106.1 ± 2.1
4 weeks	142.2 ± 11.4 [†]	116.9 ± 4.4*	125.9 ± 4.9 [†]	147.2 ± 6.1 [†]

**P* < 0.05, [†]*P* < 0.01.

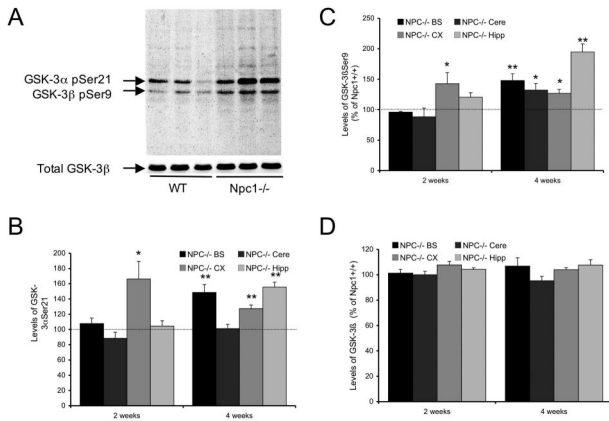


Figure 2. Changes in GSK-3 levels in brains of *Npc1*^{-/-} mice during postnatal development. **A, top:** Representative immunoblots labeled with anti-GSK-3 β Ser9 antibodies (that recognize GSK-3 α Ser21, too) from brain tissues of 2- to 3-week-old wild-type (WT) or *Npc1*^{-/-} mice. **A, bottom:** Representative immunoblots labeled with an anti-GSK-3 β antibody that recognizes both active and inactive forms of GSK-3 β (total GSK-3 β). Levels of total GSK-3 β were not different between wild-type and *Npc1*^{-/-} mice. **B–D:** Quantitative results of phosphorylated GSK-3 α (**B**) and GSK-3 β (**C**) and total GSK-3 β (**D**, values normalized to actin). Data are expressed as percentage of control and represent means \pm SEM ($n = 4$ to 5 animals; $**P < 0.01$).

noncortical regions and expanded to other brain structures. Dense GSK-3 β pSer9 immunoreactivity appeared inside Purkinje cells (Figure 5, PC). GSK-3 β pSer9-immunoreactive cells were also evident in the hilus region of hippocampus (Figure 5). In most cases, the staining was clearly granular and double-immunostaining results showed that GSK-3 β pSer9-immunopositive granules were also labeled with anti-cathepsin D antibodies, in particular in meganeurite-like structures (Figure 6; A to D). In the molecular layer of cerebellar cortex, stained granules were located in cell bodies, around 4,6-diamidino-2-phenylindole-labeled nuclei in small-sized cells (Figure 6E, arrowheads and inset). These cells were not labeled with antibodies against F4/80 antigen, a marker of microglia (Figure 6F). GSK-3 β pSer9 immunostaining became weaker by 8 to 10 weeks, when numerous neurons were dying or had died (not shown). No obvious GSK-3 β pSer9 immunostaining was found in brains of *Npc1*^{+/+} mice at any time during postnatal development (an example is shown in Figure 5).

Co-Localization of Inactive GSK-3 β with Intracellular Accumulation of Cholesterol in Degenerating Brain Regions

Intracellular accumulation of cholesterol and glycolipids is the characteristic pathology of NPC.^{36–38} Cholesterol clusters were visualized by staining brain tissue sections with filipin, a natural fluorescent antibiotic that has a high affinity for cholesterol. In agreement with the literature, accumulation of cholesterol assessed with filipin staining was obvious only in axonal spheroids scattered along the corpus callosum at postnatal week 2, whereas clear somatic staining was seen in brain sections from animals older than 4 weeks of age; spatial distribution of intraneuronal filipin staining matched that of GSK-3 β pSer9 im-

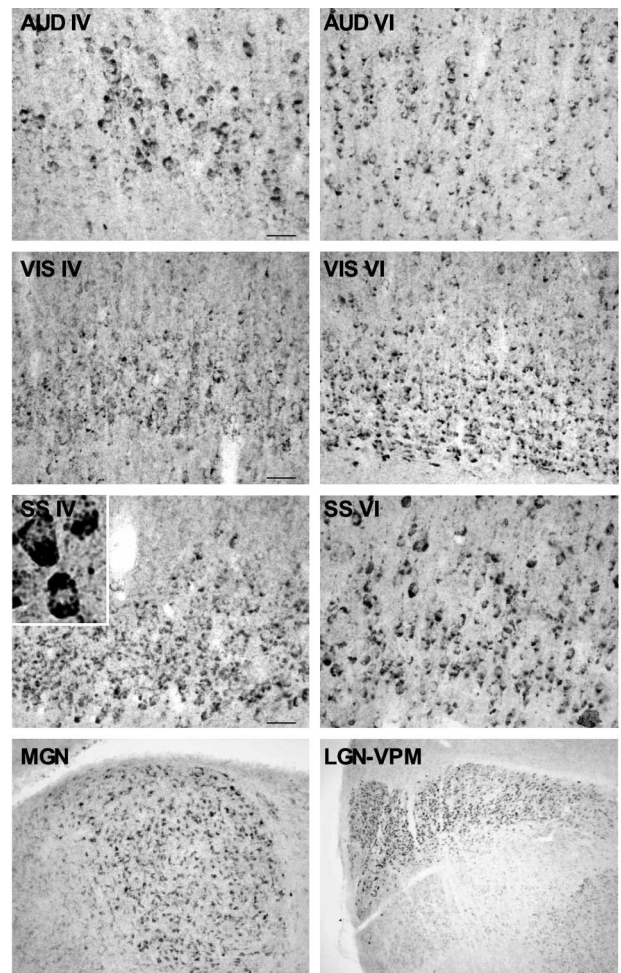


Figure 3. Distribution of GSK-3 β Ser9 in thalamus and neocortex of *Npc1*^{-/-} mice at postnatal week 1. Coronal brain sections were prepared from 1-week-old *Npc1*^{-/-} mice and immunostained with anti-GSK-3 β Ser9 antibodies. Staining was mainly present in layers IV and VI of auditory (AUD), visual (VIS), and somatosensory (SS) cortex, medial geniculate (MG), lateral geniculate (LG), and ventral posteromedial nucleus (VPM) of the thalamus. **Inset:** A higher magnification image of GSK-3 β Ser9-immunopositive cortical neurons. Scale bars, 40 μ m.

munostaining and of Gallyas silver staining (not shown). As shown in Figure 7, GSK-3 β pSer9 immunostaining was specifically localized in filipin-labeled brain structures from 4-week-old *Npc1*^{-/-} mice. Closer examination showed that inactive GSK-3 β was located in cholesterol-enriched vesicles in filipin-labeled structures, eg, in the ventral lateral thalamic complex (Figure 7, middle). Double staining indicated that GSK-3 β pSer9-immunopositive structures were also labeled with FJB, a dye widely used to label degenerating neurons. Images taken at higher magnification showed that GSK-3 β pSer9-immunoreactive products often clustered at one pole of the cell body or accumulated in structures previously described as “meganeurites”³⁶ in FJB-labeled neurons (Figure 7, arrows in bottom panels).

Changes in NF- κ B in *Npc1*^{-/-} Mouse Brains

In vitro studies have shown that GSK-3 β phosphorylates NF- κ B subunit p65.²⁵ A more recent study showed that

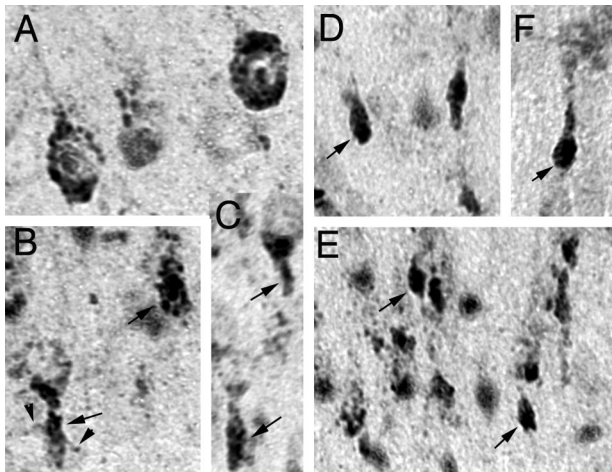


Figure 4. Subcellular localization of GSK-3 β Ser9 in developing neocortex of *Npc1*^{-/-} mice. GSK-3 β Ser9 in auditory (A–C) and motor cortex (D–F) of *Npc1*^{-/-} mice at postnatal week 1 (A–E) and week 2 (F). **Arrows** indicate meganeurite-like structures; **arrowheads** indicate spines growing out of a meganeurite (B).

GSK-3 β can also affect nuclear levels of NF- κ B by directly participating in nuclear transport of p65.²² Western blots labeled with anti-p65 antibodies showed that nuclear p65 levels were significantly lower in brains of 2- to 3-week-old *Npc1*^{-/-} mice as compared to *Npc1*^{+/+} mice of the same age ($70 \pm 7\%$ of *Npc1*^{+/+}; mean \pm SEM; $P < 0.01$); in contrast, whole homogenate p65 levels were not modified (Figure 8, A and B), suggesting that nuclear import of the factor might be impaired. GSK-3 β can also phosphorylate and stabilize p105, the precursor of the p50 subunit.²⁴ Immunoblots labeled with anti-p105/p50 antibodies indicated that levels of both p50 and its precursor were significantly higher in homogenate ($154 \pm 13\%$ and $233 \pm 11\%$, respectively) and cytosol fractions ($134 \pm 16\%$ and $237 \pm 3\%$, respectively) from brains of *Npc1*^{-/-} mice as compared to *Npc1*^{+/+} mice (Figure 8, A and B). Finally, p50 levels in nuclear fractions from *Npc1*^{-/-} mice were similar to those in *Npc1*^{+/+} mice. To further determine whether changes in levels of NF- κ B proteins also affected the transcriptional activity of this nuclear factor, an enzyme-linked immunosorbent assay-based kit was used to compare the DNA binding ability of NF- κ B in brain nuclear fractions from *Npc1*^{-/-} and *Npc1*^{+/+} mice. A very large decrease in DNA binding activity of p65/p50 dimers was observed in nuclear fractions from *Npc1*^{-/-} mouse brains as compared to those from *Npc1*^{+/+} mice [optical density = 344 ± 20 in *Npc1*^{+/+} and 205 ± 18 in *Npc1*^{-/-} mice (mean \pm SEM); $n = 6$ mice and $P < 0.002$; Figure 8C].

Survey images from immunohistochemical studies indicated that in 2- to 4-week-old *Npc1*^{-/-} mouse brains, p65 immunoreactivity was particularly low in the most vulnerable regions (Figure 9D), where levels of GSK-3 β pSer9 were markedly elevated (Figure 9B). Closer examination revealed that in these areas, p65 immunoreactivity in neurons was markedly lower, whereas that in glia (Figure 9F, inset) was higher as compared to that in *Npc1*^{+/+} mice (Figure 9E). Interestingly, less vulnerable neurons, eg, pyramidal neurons in the hippocampus, exhibited no detectable levels

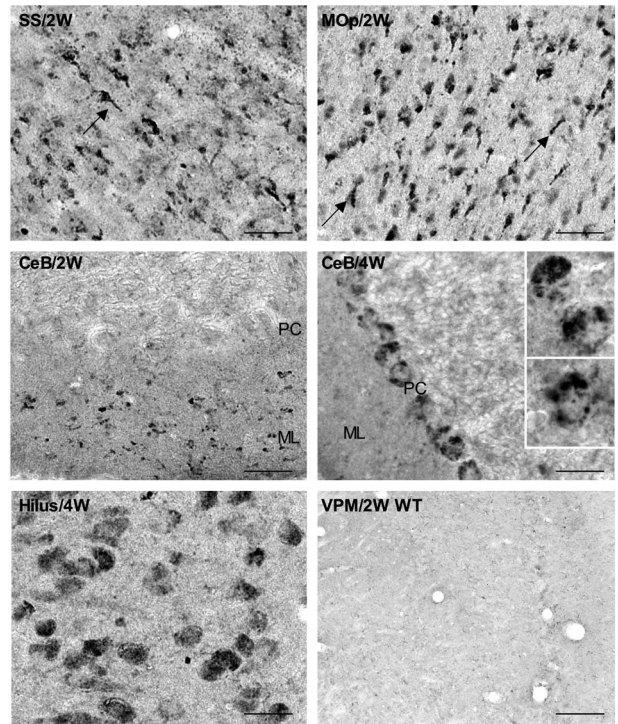


Figure 5. GSK-3 β Ser9 immunoreactivity in brains of 2- and 4-week-old *Npc1*^{-/-} and wild-type mice. SS/2W: Deep layers of somatosensory cortex from a 2-week-old *Npc1*^{-/-} mouse; **arrow** indicates a labeled axonal swelling resembling meganeurites found in human NPC neocortex. Mop/2W: Deep layers of primary motor cortex from a 2-week-old *Npc1*^{-/-} mouse; **arrows** indicate labeled meganeurites. CeB/2W: Cerebellar cortex from a 2-week-old *Npc1*^{-/-} mouse; note that numerous small cells were stained in the molecular layer (ML), but Purkinje cells (PC) were not stained. CeB/4W: GSK-3 β Ser9 staining in cerebellar cortex of a 4-week-old *Npc1*^{-/-} mouse was located mainly in Purkinje cells. **Insets** show clusters of GSK-3 β Ser9-immunoreactive products. Hilus/4W: *Npc1*^{-/-} hilar neurons at 4 weeks. VPM/2W WT, ventrolateral thalamic nuclei from a 2-week-old *Npc1*^{+/+} mouse (representative images from four 2- and 4-week-old *Npc1*^{+/+} and *Npc1*^{-/-} mice). Scale bars, 40 μ m.

of GSK-3 β pSer9 (Figure 9H) and did not show significant decrease in NF- κ B (Figure 9G).

Discussion

Pathology and Abnormal Activity in the PI3K Signaling Cascade

The results of the present study indicate that the PI3K signaling cascade exhibits major disturbance in the brains of *Npc1*^{-/-} mice. Changes in each of three links in the pathway were evident in immunoblots by postnatal week 2 and in immunocytochemical analyses of phosphorylated GSK-3 β staining by week 1. It is noteworthy that the degree of changes in levels of active Akt and inactive GSK-3 estimated by Western blots might be underestimated because immunohistochemical results indicated that only selective cell populations were GSK-3 β pSer9-immunopositive in brains of *Npc1*^{-/-} mice. The earliest signs of axonal degeneration described for the *Npc1*^{-/-} mouse occur at postnatal day 9 in the corpus callosum and in the white matter of the cerebellum.³⁹ Similarly, we found here that cholesterol deposits,

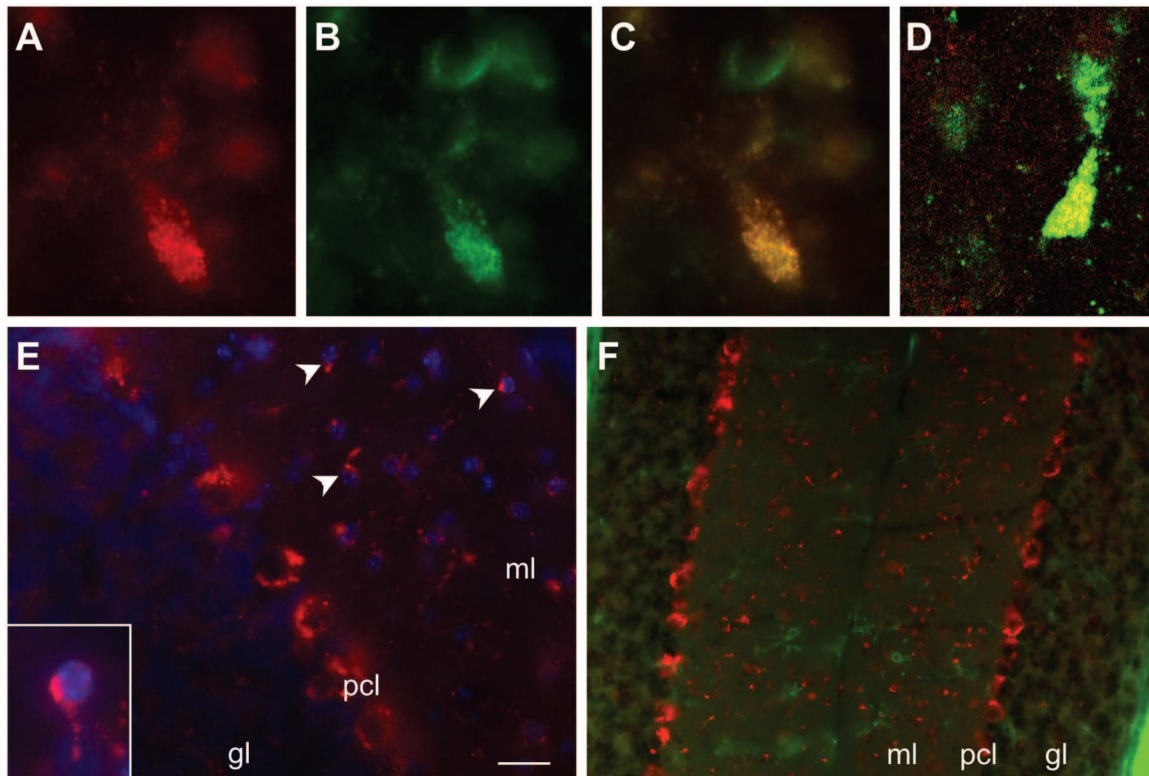


Figure 6. Subcellular and cellular localization of GSK-3 β Ser9 in brains of 4-week-old *Npc1*^{-/-} mice. **A–C:** GSK-3 β Ser9 (**A**) and cathepsin D (**B**) were co-localized (**C**) in meganeurite-like structures in cortical neurons of *Npc1*^{-/-} mice. **D:** Confocal microscopic image showing the co-localization (yellow granules) of GSK-3 β Ser9 (red) and cathepsin D (green). **E:** GSK-3 β Ser9 immunoreactivity in cerebellar cortex where it was localized in small-sized cells (**arrowheads** and **inset**). **F:** GSK-3 β Ser9 (red)-immunopositive cells were not labeled by a microglial marker, F4/80 antigen (green). gl, granular layer; ml, molecular layer; pcl, Purkinje cell layer. Scale bar = 20 μ m in **E**, 40 μ m in **F**.

as detected by filipin staining, were infrequent at postnatal week 2 and only became widespread at postnatal week 4, as did FluroJade-labeled neurons. The changes in the PI3K pathway seen here thus occurred concurrently with disturbances in lipid storage and well in advance of widespread neuronal degeneration. Sustained increases in levels of inactive GSK-3 β were most evident in a subset of brain structures, including thalamic sensory relay nuclei and cerebellar cortex, known from the literature^{39–41} and confirmed here, to be vulnerable to NPC. These temporal and regional results suggest that the observed perturbations of the PI3K cascade are contributors to the brain pathology that characterizes the disease. On the other hand, the apparent inactivation of GSK-3 β does not seem to accord with the idea that hyperphosphorylation of tau protein by this enzyme is an important step in the process leading to neurofibrillary tangles found in NPC patients, although we cannot exclude the possibility that tau is associated with levels of active GSK-3 β beyond the sensitivity of our assays, which could then participate in tau phosphorylation in *Npc1*^{-/-} mice. Activated GSK-3 β has been localized to tangles in Alzheimer's disease brains, leading to the hypothesis that the enzyme, through tau hyperphosphorylation, contributes critically to the assembly of helical filaments. Several kinases other than GSK-3 β can phosphorylate tau and have been implicated in tangle forma-

tion; as previously reported, MAP kinase^{42,43} and cdk5⁴⁴ are likely to drive the process of tangle formation in NPC.

Elevated levels of phosphorylated Akt strongly suggest that PI3K is unusually active in *Npc1*^{-/-} mouse brain. The NPC proteins are normally involved in transporting cholesterol from late endosomes/lysosomes to the plasma membrane and, related to this, NPC cells differ from controls with regard to membrane cholesterol content.⁴⁵ Cholesterol levels, in turn, potently modulate the activation of PI3K by endogenous triggering agents and this is reflected in the phosphorylation of Akt.⁴⁶ The disturbed cholesterol transport that characterizes NPC, though undetectable by filipin staining, could thus account for the altered signaling cascade found in the present study. Alternatively, because glia-mediated inflammatory reactions start early in *Npc1*^{-/-} mouse brains,^{5,31,47} increases in Akt phosphorylation could result from up-regulation of microglial tumor necrosis factor (TNF)- α and interleukin (IL)-1 β , two recognized activators of PI3K.^{48–52} Activation of Akt could also be mediated by MAPK because activity of this kinase is increased in *Npc1*^{-/-} mouse brain.^{42,43}

Abnormal Distribution of GSK-3 β

The immunocytochemical studies showed that disturbances of the PI3K pathway go beyond abnormal levels

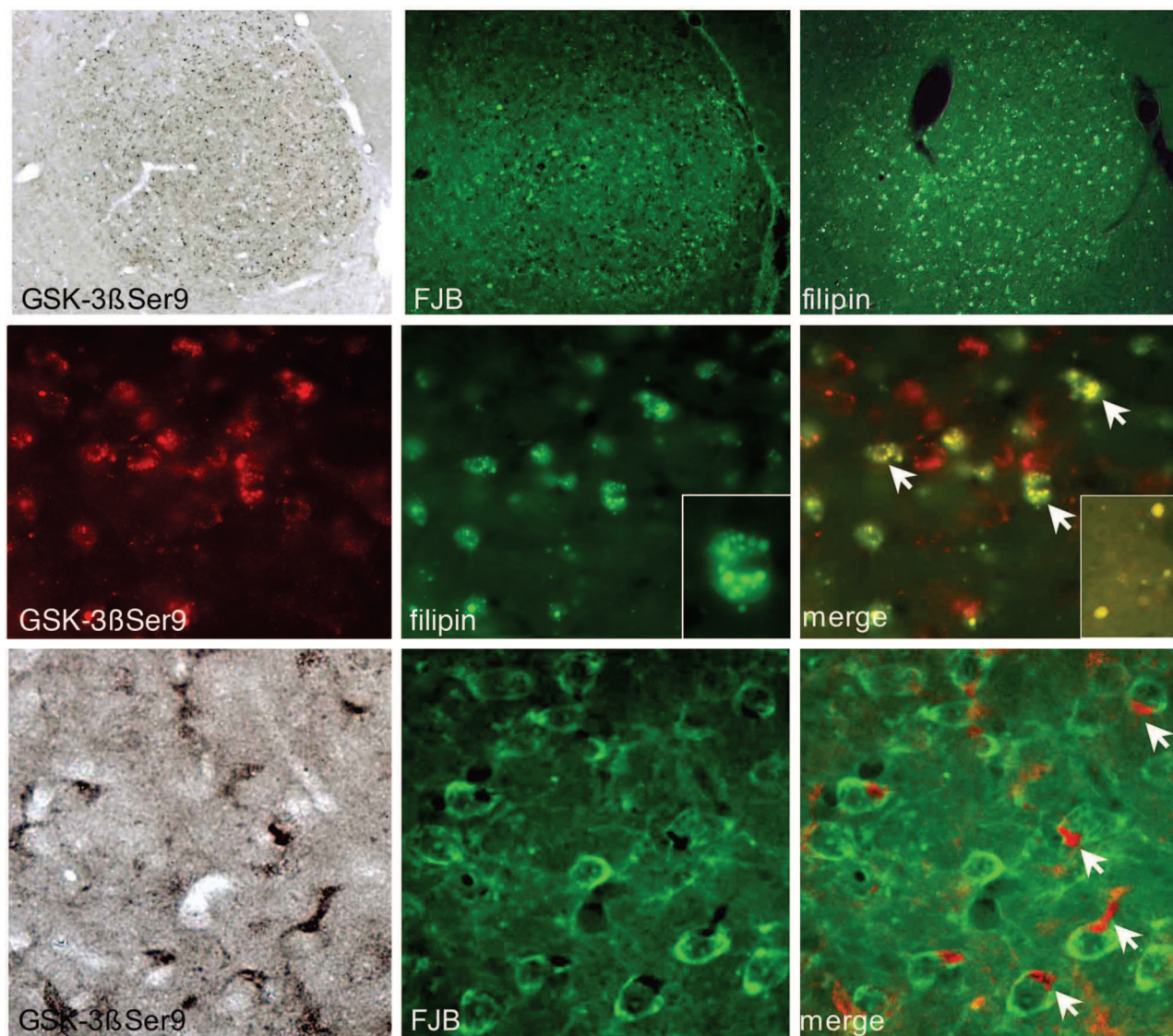


Figure 7. Co-localization of GSK-3 β Ser9 with cholesterol and FJB in degenerating brain areas. Brain tissue sections from 4-week-old *Npc1*^{-/-} mice were first stained with filipin or FJB (both green), then processed for immunostaining with anti-GSK-3 β Ser9 antibody. GSK-3 β Ser9 immunoreactivity was revealed with Alexa Fluor 594-conjugated anti-rabbit IgG (red; for double staining with filipin) or with ABC method (for double staining with FJB). **Top:** Lower power images of medial geniculate nucleus. **Middle and bottom:** Higher power images of ventral lateral thalamic nuclei. GSK-3 β Ser9 is co-localized with filipin-labeled vesicles and in FJB-labeled neurons. GSK-3 β Ser9-immunopositive products often accumulated in meganeurite-like structures (arrows at bottom). **Inset in right middle panel** shows co-localization of GSK-3 β Ser9 with cholesterol in axonal spheroids in corpus callosum.

of activity to include abnormal distribution. Specifically, the phosphorylated (inactive) form of GSK-3 β found in *Npc1*^{-/-} mouse brains, but uncommonly in controls, was concentrated in granules surrounding nuclei. The size, number, and distribution of these puncta indicated that they were related to late endosome-lysosomes, a point that was confirmed by co-localization studies indicating that inactive GSK-3 β accumulated in cholesterol and cathepsin D-positive structures. Although the subject has not been extensively studied, it is reasonable to assume that glycolipid and cholesterol cellular accumulation substantially impairs lysosomal functioning, resulting in increased aberrant autophagocytosis. Indeed, the conversion of LC3-I to LC3-II was significantly higher in *Npc1*^{-/-} mouse brains (X. Bi et al, unpublished results). Although GSK-3 β , a cytoplasmic kinase, would not nor-

mally be a target for lysosomal processing, the enzyme is found in mitochondria, and is inactivated there by phosphorylated Akt transported from the plasma membrane.⁵³ Mitochondria are a principle target of macroautophagy, and thus could be the source of the lysosomal accumulation of inactive GSK-3 β ; the persistence of the kinase, or at least of its pertinent epitopes, would then reflect the compromised internal environment of the organelles and consequent reduced rates of proteolysis.

Interestingly, GSK-3 β immunoreactivity was found in meganeurites, massive swellings located at the base of the cell body or in the initial segment of the axon. These structures are a concomitant of various storage diseases including NPC,⁵⁴ and have been described in animal models of NPC.³⁶ They are composed of large numbers of individual and fused lysosomes and can be experi-

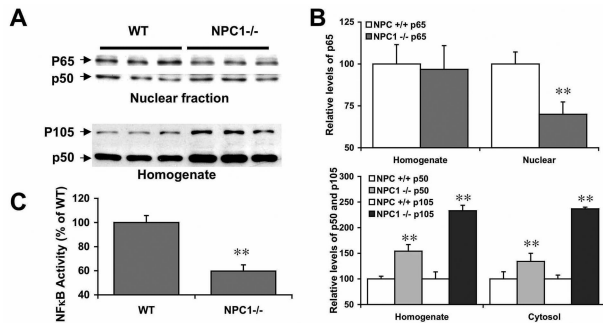


Figure 8. Changes in NF- κ B in *Npc1*^{-/-} mouse brain. **A:** Representative Western blots of nuclear fractions and whole homogenates prepared from brains of 2- to 3-week-old *Npc1*^{+/+} and *Npc1*^{-/-} mice; blots were labeled with anti-p65 and anti-p50 antibodies. **B:** Quantification of Western blots labeled with anti-p65 and anti-p50 antibodies. There was a 30% decrease in levels of nuclear p65 in brains of *Npc1*^{-/-} mice but not in whole homogenates (**top**). Levels of both p50 and its precursor (p105) increased in the cytosolic fractions and whole homogenates (**bottom**). ***P* < 0.01, *n* = 4 ~ 5. No significant difference was observed with levels of nuclear p50. **C:** DNA binding activity assay showed a marked decrease in p65/p50 binding activity in nuclear fractions from brains of *Npc1*^{-/-} mice. ***P* < 0.01, *n* = 5.

mentally induced, along with lysosomal proliferation, by inhibitors of select proteases.^{55,56} Collectively, these results raise the possibility that the large expansion of the endosome/lysosome system associated with storage diseases creates a sink for Akt and GSK-3 β that, in part at least, is removed by autophagy and leads to the formation of pathological structures of the meganeurite type.

Inactivation of GSK-3 β Is Associated with Deregulation of NF- κ B

Accumulating evidence indicates that GSK-3 β regulates the activity of NF- κ B, although the mechanisms involved are not clear, nor is it known whether the kinase increases or decreases the activity of this transcription factor. NF- κ B-activating stimuli such as growth factors⁵⁷⁻⁵⁹ or cytokines⁴⁸⁻⁵² trigger the PI3K/Akt system and thereby inactivate GSK-3 β . Moreover, suppression of GSK-3 β with lithium or more specific inhibitors enhanced the transcriptional activity of NF- κ B in renal medullary interstitial cells.⁶⁰ In contrast, genetic depletion of GSK-3 β resulted in embryonic fatality with similar pathological features as those produced by gene targeting of I κ B kinase- β , an enzyme critical to NF- κ B activation, or of the p65 subunit of NF- κ B itself.²⁰ These results strongly suggested that GSK-3 β activates the transcription factor. The confusion between these opposite results suggests that GSK-3 β interacts in multiple, and in some cases opposing, ways with NF- κ B. Four potential GSK-3 β phosphorylation sites have been identified on the transactivation domains of the p65 subunit and, as predicted from this, recombinant GSK-3 β phosphorylated a fusion protein containing the relevant segments.²⁵ Subsequent work indicated that phosphorylation of p65 at Ser536 increased NF- κ B activity,⁶¹ whereas phosphorylation at Ser486 decreased basal NF- κ B activity.⁶² GSK-3 β can also affect nuclear levels and activity of NF- κ B by directly affecting nuclear import of p65.²² In addition, GSK-3 β phosphorylates the

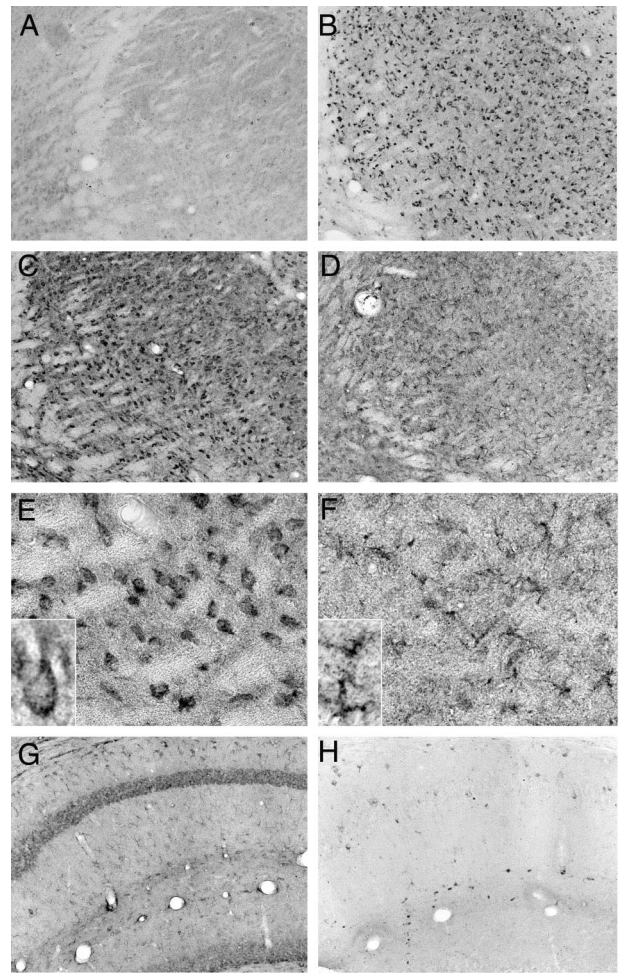


Figure 9. Negative correlation between p65 and GSK-3 β Ser9 immunoreactivity in brains of 4-week-old *Npc1*^{+/+} and *Npc1*^{-/-} mice. Adjacent tissue sections were immunostained with anti-GSK-3 β Ser9 (**A, B, H**) or anti-p65 subunit of NF- κ B (**C-G**). **A, C, E:** Tissue sections from ventral-posteromedial nuclei of thalamus (VPM) from *Npc1*^{+/+} mice. **B, D, F:** Tissue sections from VPM in *Npc1*^{-/-} mice. **G and H:** Hippocampus from *Npc1*^{-/-} mice. Note the loss of neuronal staining and the appearance of p65 immunoreactivity in glia in VPM from *Npc1*^{-/-} mice. Note also that the loss of p65 immunostaining was obvious in VPM that exhibited high levels of GSK-3 β Ser9 immunoreactivity, but not in pyramidal neurons of hippocampus that showed very low levels of inactive GSK-3 β .

p105 precursor of the p50 member of the NF- κ B dimer; this has the dual effect of slowing constitutive processing to p50 and accelerating the degradation of p50 on stimulation with TNF- α .²⁴ Indeed, knocking out GSK-3 β increases constitutive processing of p105 to p50 and leads to accumulation of p50. Knocking out GSK-3 β also prevents the degradation of p105 in response to TNF- α and results in increased levels of p105 as GSK-3 β phosphorylated p105 could be further phosphorylated by IKK, which targets p105 to proteasome-mediated degradation.²⁴ It has been proposed that the absence of GSK-3 β results in the accumulation of p50 and the formation of p50/p50 homodimers that compete with p50/p65 and inhibit NF- κ B-mediated transcription.^{24,63}

Results from the present study showed that nuclear p65 levels were reduced as was DNA binding activity of the transcription factor, which suggests an impaired nu-

clear import of NF- κ B heterodimers from the cytoplasm. We also found that cytoplasmic concentrations of p105 and p50 were significantly elevated in the mutants, as would presumably occur under conditions in which GSK-3 β -mediated phosphorylation of the subunit was deficient and TNF- α stimulation was also increased.⁶⁴

Deregulation of NF- κ B in NPC Disease: Involvement in Trophic Signaling Impairment and Inflammation?

NF- κ B is constitutively active in hippocampus, neocortex, and other central nervous system structures; activation of NF- κ B leads to transcription of many genes, such as growth factors, the anti-apoptotic factor Bcl-2, and the antioxidant enzyme Mn-SOD, which in turn promote neurite growth during development, and provide neuroprotection against various insults in adult central nervous system.⁶⁵ Interestingly, a recent study has revealed a candidate NF- κ B site in promoter 3 of the BDNF gene and showed that *N*-methyl-D-aspartate receptor-induced BDNF expression was mediated by NF- κ B.⁶⁶ Thus, activation of NF- κ B by trophic factors would enhance BDNF expression, thereby providing a prosurvival-positive feedback loop. Disruption of this loop resulting from deregulation of NF- κ B could be a molecular basis for impairment in trophic signaling in NPC disease as reported in embryonic striatal neurons from *Npc1*^{-/-} mice.⁶⁷ Lack of BDNF responsiveness seems to be a common

feature of NPC, as embryonic striatal neurons prepared from *Npc2*-deficient mice also failed to respond to BDNF.⁶⁸ Although this could be due to changes in the lipid environment of trophic factor receptors because depletion of membrane cholesterol interferes with NGF-mediated responses in primary neuronal cultures⁶⁹ and PC12 cells,^{70,71} our results point to deregulation of NF- κ B as an additional contributor.

Unlike neurons, glia in vulnerable regions of *Npc1*^{-/-} mice brains exhibited increased expression of p65. Besides GSK-3 β , activity of NF- κ B in glia is regulated by multiple factors. For instance, extracellular ATP released from injured neurons is known to activate NF- κ B in microglia via P2Y receptors that are only expressed in certain types of immune cells.⁷² Cytokines, such as TNF- α and IL-1 β , activate NF- κ B in glia,^{73,74} via an I κ B kinase-dependent pathway.^{65,75} Activation of this transcriptional factor in turn activates microglia. Thus, both neuronal and glial changes could contribute to neurodegeneration in NPC disease: decreases in NF- κ B activity in neurons, possibly induced by inactivation of GSK-3 β -dependent pathway, would reduce the expression of neuroprotective factors, whereas increases in glial NF- κ B, induced by ATP, TNF- α , or IL-1 β , would induce inflammatory responses and promote neurodegeneration (see Figure 10 for a summary of the potential mechanisms of neurodegeneration in NPC).

Together, our results showed that the PI3K-Akt-GSK-3 β signaling system was markedly disturbed during early postnatal development in *Npc1*^{-/-} mouse brains, especially in regions that exhibit early neurodegeneration. Changes in GSK-3 β were closely associated with accumulation of cholesterol and deregulation of NF- κ B. Furthermore, the appearance of NF- κ B in microglia was temporally and spatially associated with microglia activation, a result which suggests that deregulation of the GSK-3 β /NF- κ B pathway contributes to neurodegeneration in *Npc1*^{-/-} mice not only by directly affecting neuronal function but also indirectly through microglia activation. Results from the present study could therefore provide novel therapeutic targets to develop new approaches for treating this devastating disease.

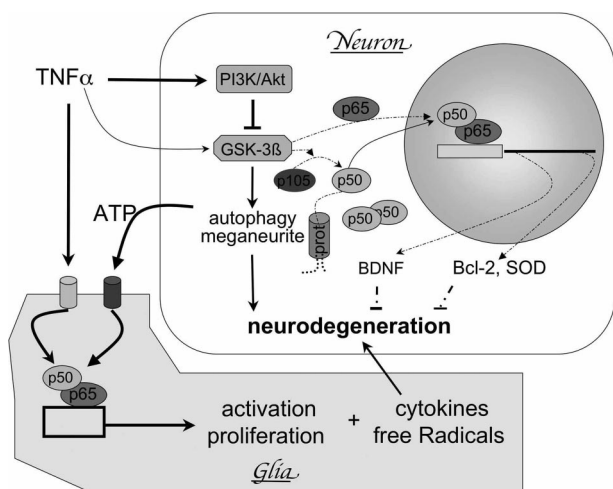


Figure 10. Deregulation of the PI3K-Akt-GSK-3 β pathway and neurodegeneration in NPC: a hypothesis. Activation of PI3K/Akt by the cytokine TNF- α or other factors, induces the phosphorylation and inactivation of GSK-3 β in neurons, which in turn 1) impairs nuclear import of p65, 2) decreases the processing of p105 to p50, and 3) reduces proteasome (prot)-mediated degradation of p50. The net result would be a deficiency in p65/p50 nuclear dimers of NF- κ B and decreased transcription of trophic factors, anti-apoptotic factors, and anti-oxidant protein/enzymes, which would lead to neurodegeneration. In addition, inactivation of GSK-3 β may also be involved in autophagy, impairment in axonal trafficking, and formation of dystrophic neurites. On the other hand, cytokines and ATP released from damaged neurons bind to receptors that are specifically expressed in glia and activate NF- κ B, which would induce glial proliferation and production of cytokines and free radicals that further facilitate neurodegeneration. **Arrows** indicate stimulating and \perp signs indicate inhibitory effects; **thicker solid lines** indicate enhanced, whereas **broken lines** indicate decreased levels of activation in NPC.

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