

Deep-sea food bonanzas: early Cenozoic whale-fall communities resemble wood-fall rather than seep communities

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The evolutionary history of invertebrate communities utilizing whale carcasses and sunken wood in the deep-sea is explored using fossil evidence. Compared to modern whale-fall communities, the Eo-Oligocene examples lack those vent-type taxa that most heavily rely on sulphide produced by anaerobic breakdown of bone lipids, but are very similar in their trophic structure to contemporaneous wood-falls. This sheds doubt on the hypothesis that whale-falls were evolutionary stepping stones for taxa that now inhabit hydrothermal vents and seeps. We suggest that the whale-fall communities reported here represent a new ecologic stage among whale-falls, which we have coined the ‘chemosymbiotic opportunist stage’ and that the ‘sulphophilic stage’ of modern whale-falls developed during the Early Miocene, resulting from a significant increase in both body size and/or oil content of bones among cetaceans during this time.

Keywords: Mollusca; deep-sea; ecology; evolution; fossil record; whale-fall; wood-fall

1. INTRODUCTION

Whale carcasses and sunken wood in the deep-sea harbour extraordinary invertebrate communities resembling those found at hydrothermal vents and cold seeps (Smith & Baco 2003). Recent discoveries of unusual whale-specialists with symbiotic relationships previously unknown from the animal kingdom have highlighted our poor knowledge of deep-sea environments (Rouse *et al.* 2004; Glover *et al.* 2005). Even less well understood is the fossil and evolutionary history of these environments. Based on shared taxa Baco *et al.* (1999) and Distel *et al.* (2000) hypothesized that whale- and wood-falls served as evolutionary ‘stepping stones’ for vent and seep animals. Whereas Smith (*in press*) suggested that whale-fall communities relying on the anaerobic breakdown of bone lipids have been functionally similar for the last 30 Myr, Squires *et al.* (1991) indicated that, at least in the Northeast Pacific, whale carcasses large enough to sustain many chemosynthetic animals were not available before the Late Miocene (approx. 11 Myr ago).

Cenozoic deep-water sediments in Washington State, USA, have produced fossil wood- and whale-fall communities dating from the time of origin of the modern suborders of ocean-going whales and they occur in close geographic and stratigraphic proximity to cold seeps (Goedert *et al.* 1995; Kiel & Goedert *in press*). This area provides an excellent opportunity to study potential migrations into and between these environments at geologic time scales and to test current hypotheses regarding the origins of the deep-sea chemosynthetic fauna. Here we analyse 34 Eocene to Miocene whale- and wood-fall communities from these sediments, compare

them to other fossil examples of these environments from this time period, and present our conclusions on the origin and evolution of whale-fall communities.

2. MATERIAL AND METHODS

The studied sediments of the Lincoln Creek, Makah and Pysht Formations (figure 1) belong to the Coast Range terrane, which is part of the Cascadian accretionary wedge. These sediments were deposited in bathyal depths and for the last 35 Myr have been accreted to the accretionary wedge and uplifted by the ongoing subduction of the Juan de Fuca plate under the North American continent (Rau 1966; Snavely *et al.* 1978; Snavely *et al.* 1980; Batt *et al.* 2001; Stewart & Brandon 2004). These thick sediment sequences are generally fossil-poor, monotonous, thick-bedded silt- and mudstones, but contain also calcareous concretions with whale bones and wood associated with fossil mollusc assemblages that resemble those of modern whale- and wood-falls. The wood is heavily bored or fragmented by teredinids or xylophagans (shipworms) and all associated molluscs were found within a few millimetres of wood particles. The whale bones are commonly corroded in the upper part of the concretion but well-preserved in the lower part, indicating that they were exposed for some time on the seafloor (Goedert *et al.* 1995) and accessible to bone-degrading organisms. In addition to material collected by us, four whale-bearing concretions with associated fauna were located in the collection of Douglas R. Emlong, now housed in the Smithsonian Natural History Museum (USNM). Invertebrates associated with USNM specimens were mechanically removed from those concretions. Wood-bearing concretions were mechanically broken in the field and laboratory to recover the associated invertebrate fossils. Self-collected whale-bearing concretions were checked in the field for diagnostic bones and, when these were present,

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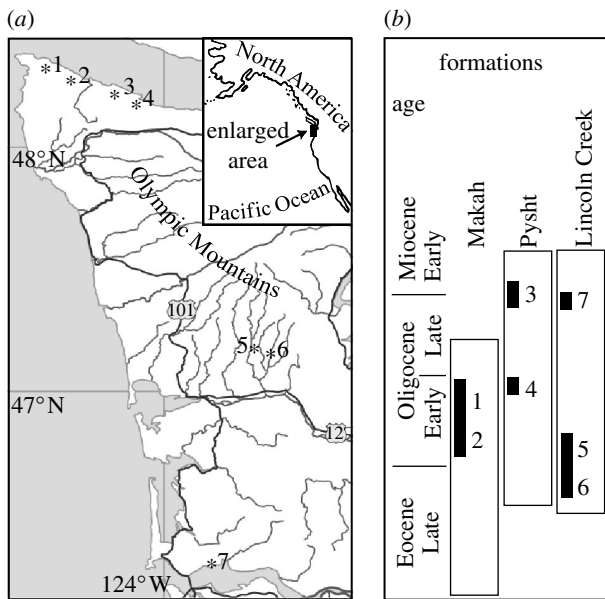


Figure 1. Geographic and stratigraphic occurrence of wood- and whale-falls in the study area. (a) Sketch-map of western Washington state, fossil sites are indicated by asterisks; 1, Shipwreck Point; 2, Sekiu River; 3, Merrick's Bay; 4, Murdock Creek; 5, Canyon River; 6, Satsop River; 7, Knappton. (b) Stratigraphic framework, numbers correspond to the localities indicated on the map.

most of the associated molluscs were recovered during the preparation of the bones in the laboratory. When diagnostic bones were absent, the concretions were broken up entirely to recover associated invertebrates. The molluscan fossils were determined to the lowest taxonomic level possible and their ecology was inferred from extant relatives (cf. Kiel & Goedert *in press*).

Only wood- and whale-fall sites with at least one key taxon characteristic for such localized biogenic substrates are analysed and discussed here, although this approach bears the risk that we exclude wood- and whale-fall communities that were ecologically different from the modern ones (cf. Kiel & Goedert *in press*). Key taxa are the acmaeid limpet *Pectinodonta* and the bathymodiolin mussel *Idas* n. sp. Extant *Pectinodonta* occurs exclusively at wood-falls (Marshall 1985; Lindberg & Hedegaard 1996) and Recent *Idas* is known from wood- and whale-falls, and cold-seeps (Jones *et al.* 2005). However, fossil cold-seep carbonates are very distinctive geologic features that cannot be mistaken for wood-fall concretions. We utilize the same approach for whale-fall sites, with mytilids being the key taxa. Wood-fall material is deposited in the USNM and in the Los Angeles County Museum (LACMIP); newly collected whale-fall material is deposited in the Burke Museum, University of Washington (UWBM). The complete list of sites and species is available online. Species indicated as new will be described in a forthcoming taxonomic paper. Statistical analyses were carried out using ESTIMATE S v. 7.5 (Colwell 2005) for species accumulation curves and richness estimates and with PAST v. 1.37 (Hammer *et al.* 2001) for cluster analyses.

3. RESULTS

Twenty-nine molluscan taxa (excluding teredinid or xylophaganiid shipworms) were identified from 28 wood-fall communities (figure 2). All had a benthic

mode of life; hence colonization of the wood began after it sank to the deep-sea floor. Taxa (number of sites in parentheses) that most likely grazed upon xylophagous microbes and fungi are *Pectinodonta palaeoxylochia* (14), the polyplacophoran *Leptochiton* (5), the gastropods *Provanna* (2), *Trenchia* (1), *Hyalogyrina* n. sp. (1) and a cocculinid (1). Taxa that relied partly or entirely on chemoautotrophic endosymbionts include the mytilid *Idas* n. sp. (26), the thyasirid *Thyasira* n. sp. (4) and the lucinid *Lucinoma acutilineatum* (1). Predatory, scavenging or parasitic taxa include the buccinid gastropods *Colus* (10), naticids (4), *Cylichna* (2), *Admete viridula* (2), unidentified turrids and muricids (2), *Turbonilla* (1) and the scaphopod *Dentalium laneensis* (1). Detritus-feeding taxa with uncertain relation to the wood include three nuculanid bivalves (*Nuculana* cf. *grasslei*, *Nuculana* n. sp., and an unidentified *Nuculana*, six sites), a pectinid bivalve (commonly known in this area as 'mud pecten', 4 sites), tellinid (2), propeamussiid (1) and limpsid (1) bivalves and a solariellid gastropod (1).

The six whale-fall communities were found associated with archaic mysticete and other archaic whales; none of the whales exceeding 6 m in length. Among them is the oldest known whale-fall community consisting of an unidentified mytilid and a buccinid with a probable mysticete from the latest Eocene (approx. 34–35 Myr ago) part of the Lincoln Creek Formation. Eleven taxa were identified (number of sites in parentheses) from the other five whale-falls, these being mainly chemosymbiotic bivalves, predatory gastropods and a few detritus-feeders; epifaunal grazers are lacking. Chemosymbiotic taxa include *Idas* n. sp. (5), a new *Thyasira* species (1), *Idasola?* sp. (1), *Conchocele bisecta* (1) and *Lucinoma* (1). The new *Thyasira* species has previously been reported from Oligocene whale-falls as *Thyasira peruviana?* Olsson (Goedert *et al.* 1995), but examination of *T. peruviana* specimens from Olsson's collection at the USNM showed that these are different species. Predators include naticids (2), *Scaphander* (1) and an unidentified neogastropod (1); detritus-feeders are two *Nuculana* species (3) and one pectinid (1). The identity of *Idasola?* sp. is not yet fully resolved; *Idasola* was shown to be a synonym of *Idas* (Warén 1991), and Amano & Little (2005) recently suggested that this species might be identical with *Adipicola chikubetsuensis* from Miocene whale-fall in Japan. The presently available material of *Idasola?* sp. is insufficient to resolve this problem.

The identified species are a representative sample of the regional species pool of fossilizable whale- and wood-fall colonizers, and show that Eocene–Oligocene whale-fall communities were less diverse and trophically less complex than those at contemporaneous wood-falls. The 34 sites do not show higher numbers of shared species within any one of the three formations (figure 3), indicating that the three rock units share a regional species pool of whale- and wood colonizers. The species accumulation curve for wood-falls shows an increment of 0.5 species between the penultimate and the last of our 28 sites (figure 4). The estimated species richness extrapolated from the accumulation curve is between 35 species (bootstrap estimator) and 50 species (second-order Jackknife estimator). When the nine additional taxa described earlier (Kiel & Goedert *in press*) are included, the increment between the penultimate and the last site is 0.75 species and the richness estimates are between 46 and

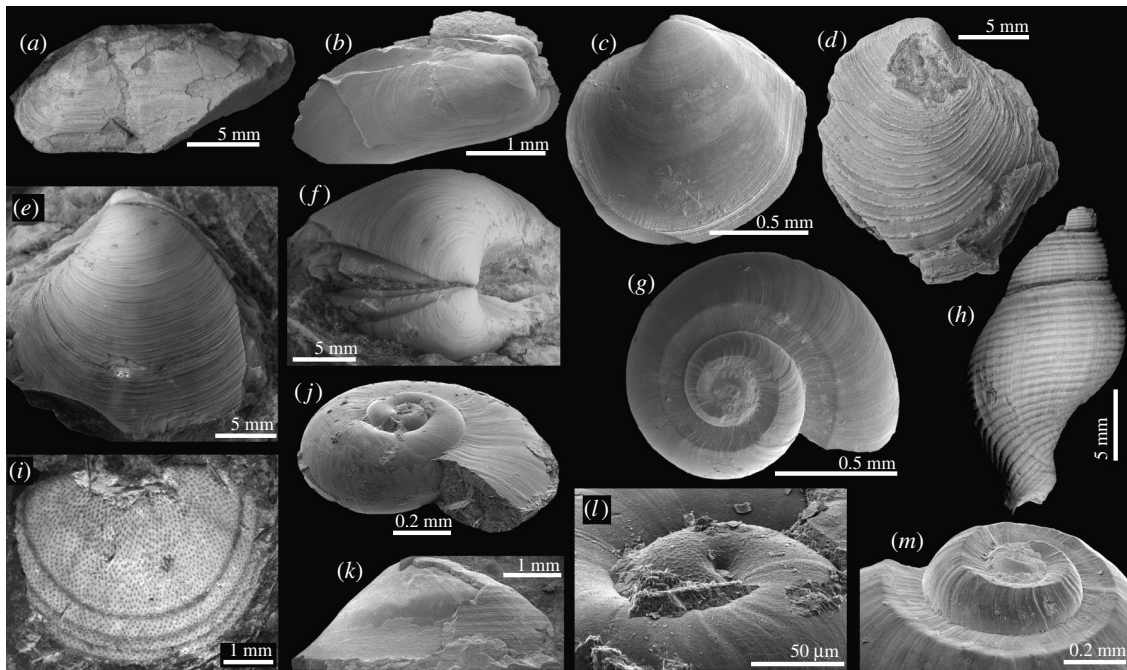


Figure 2. Examples of molluscs from fossil whale- and wood-falls in Washington. (a) ‘*Idasola*’ sp. from a whale-fall (loc. 2F, USNM 531399). (b) *Idas* n. sp. from a wood-fall (loc. 4F, USNM 531400). (c) Juvenile *Conchocele bisecta* from a whale-fall (loc. 4M, USNM 531401). (d) *Lucinoma* sp. from a whale-fall (loc. 2E, USNM 531402). (e, f) *Thyasira* n. sp. from a wood-fall (loc. 4J, LACMIP 13370). (g, m) *Trenchia* sp. from a wood-fall (loc. 4A, USNM 531403). (h) *Colus* sp. from a wood-fall (loc. 4A, USNM 531404). (i) *Leptochiton* sp. from a wood-fall (loc. 5C, USNM 531405). (j) *Hyalogyrina* n. sp. from a wood-fall (loc. 3A, USNM 531406). (k) cocculiniform limpet from a wood-fall (loc. 4E, USNM 531407). (l) *Hyalogyrina* n. sp. same specimen as (j), close-up showing wrinkles on embryonic shell.

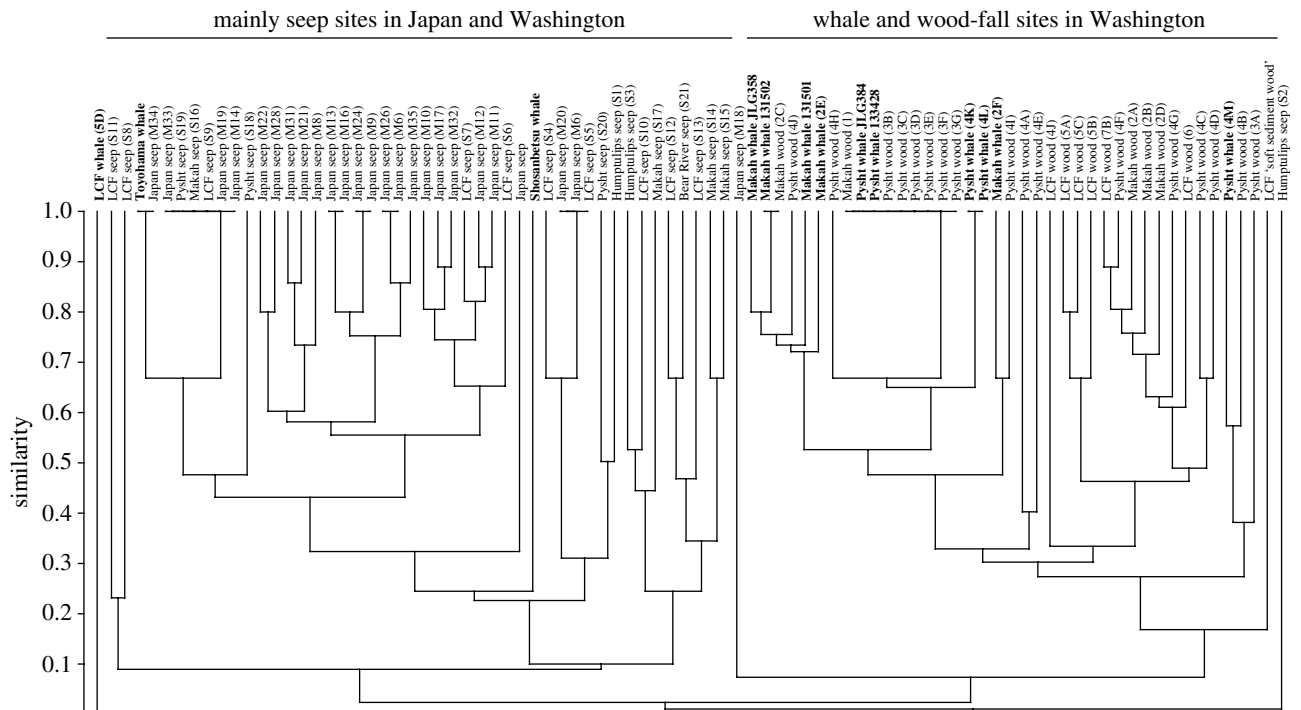


Figure 3. Faunal similarities of 88 Eocene to Miocene whale-fall, wood-fall and cold-seep sites in Washington and Japan. Numbers in brackets refer to locality numbers in figure 1, with added letters when more than one site was found at that locality; numbers with preceding ‘S’ refer to Washington seep sites listed in the data table that is available online; numbers with preceding ‘M’ refer to the locality numbers of *Majima et al.* (2005) for Japanese seeps; whale-fall sites in bold; LCF, Lincoln Creek Formation.

72 species. This appears to suggest that our sample does not yet represent the entire species pool of wood-fall colonizers. However, using only those species that have an intimate relation to the wood (e.g. those species with evident *in situ* food source, cf. Kiel & Goedert in press),

the species accumulation curve has almost levelled off after 28 sites (figure 4). This suggests that an increasing sample size will largely add vagrant and ‘accidental’ species, but the regional pool of species with an intimate relation to the wood is largely known. The accumulation curve of

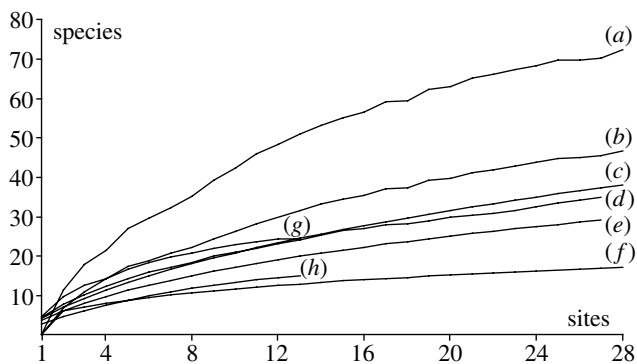


Figure 4. Species accumulation curves and richness estimates for whale- and wood-fall species in Washington. (a) Jack 2 estimator of wood-fall species including the 'soft sediment' site of Kiel & Goedert (in press). (b) Bootstrap estimator of wood-fall species including the 'soft sediment' site. (c) Accumulation curve of wood-fall species including the soft sediment site. (d) Bootstrap estimator for wood-fall species only from sites reported herein. (e) Accumulation curve of wood-fall species only from sites reported herein. (f) Accumulation curve of wood-fall species with an intimate relation to the wood. (g) Jack 2 estimator for whale-fall species. (h) Accumulation curve of whale-fall species.

whale-fall species (including sites with mytilids described by Goedert *et al.* 1995) still shows higher increments than the wood-fall curve. However, even the most optimistic richness estimator (second-order Jackknife) predicts just over 24 whale-fall species, whereas 38 taxa are known from wood-falls.

Based on species shared between modern seeps and whale-falls, Amano & Little (2005) expected that fossil seep-species have utilized contemporaneous whale-falls as well, whereas Smith *et al.* (1989) used the same argument to predict a whale-to-seep/vent adaptation. The taxa described here represent the earliest known colonizers of the newly available 'whale-fall' habitat in the late Eocene and Oligocene; none were previously endemic to contemporaneous seeps. Rather, species shared between the two habitats are infaunal species that also occur elsewhere in the sulphide-rich sediments of western Washington (table 1). Two whale-fall species were previously known only from stratigraphically older wood-falls. The Oligocene *Idasola?* sp. has not been reported from any other environment. Thus, the first whale-fall endemic species had evolved by the Mid-Oligocene.

To further assess the relationships between fossil whale-fall, wood-fall and seep communities through geologic time, we compared faunal similarities between Late Eocene and Miocene examples of these environments from Washington and Japan. Japan is included because it has a rich record of fossiliferous seeps from this time interval (Majima *et al.* 2005) as well as the only fossil whale-fall communities known from outside Washington (Hachiya 1992; Amano & Little 2005). Seep data from Washington are compiled from the literature (Goedert & Squires 1990; Peckmann *et al.* 2002; Goedert *et al.* 2003; and references therein) with additional taxa and systematic revisions of Kiel (2006). Data on seep communities in Japan are mainly from the compilation of Majima *et al.* (2005) and additional sources of non-chemosymbiotic seep taxa (Kanno *et al.* 1989; Kanie & Sakai 1997;

Table 1. Taxa from Eocene and Oligocene whale-falls in Washington (including taxa described by Goedert *et al.* (1995) and the habitats from which they had previously been described.

taxon	other habitats
<i>Idasola?</i> sp.	unknown
Mytilid	unknown
<i>Conchocele bisecta</i>	seep?, reducing sediment
<i>Lucinoma</i> sp.	seep, reducing sediment
<i>Thyasira</i> sp.	seep, reducing sediment
Naticid?	seep, wood, background
Buccinid	seep?, wood, background
<i>Nuculana</i> n. sp.	seep, wood
<i>Idas</i> n. sp.	wood
<i>Thyasira</i> n. sp.	wood
<i>Nuculana</i> sp.	wood, background
Pectinid	wood, background
<i>Scaphander</i> sp.	background
Brachiopod	background

Amano *et al.* 2001; Amano 2003). As Majima *et al.* (2005, p. 89) did not evaluate generic assignments of various taxa assigned to the Vesicomidae, we treated the genera and subgenera *Adulomya*, *Akebiconcha*, *Ectenagena* and *Hubertschenkia* as synonyms of *Calyptogena* (cf. Coan *et al.* 2000), to maintain taxonomic consistency between the sites in Japan and Washington. Also included in the analysis are those five whale-falls described by Goedert *et al.* (1995) that contain mytilids. The two late Oligocene whale-fall assemblages described by Nesbitt (2005) from the upper part of the Pysht Formation are not included here because all reported species are rather common in these sediments (Nesbitt 2005; J. L. Goedert 1990, personal observations), thus providing little evidence for trophic relationships between them and the whale bones. The communities were compared using genus and family level identifications; species level comparison was not feasible due to the limited number of species-level identifications, and due to the difficulties of distinguishing fossil species among bivalve groups like vesicomids that are known for their cryptic species (Goffredi *et al.* 2003). Faunal similarities were calculated pair-wise and using the Dice, Jaccard and Raup-Crick indices, all of which delivered very similar results.

The cluster diagram (figure 3) shows two interesting patterns; first, there are two distinct clusters: one composed of seep communities in Washington and Japan and the two Miocene whale-falls in Japan, the other of whale- and wood-fall communities in Washington. This indicates that the Oligocene whale-fall communities were more similar to contemporaneous wood-fall communities than to the Miocene whale-falls. The position of the two Miocene whale-falls within the seep cluster and outside the Washington whale- and wood-fall cluster is robust, even when we increased the number of shared taxa between the whale- and wood-falls by synonymizing the records of *Idas*, *Idasola* and *Adipicola* (cf. Warén 1991). Second, the whale-falls within the Washington whale- and wood-fall cluster are widespread rather than forming a distinct sub-cluster, suggesting that they were not (yet) ecologically distinct.

4. DISCUSSION

The whale-fall communities described herein are the earliest known to date and appear synchronous with the earliest dispersal of Mysticeti, large filter-feeding whales (Fordyce & de Muizon 2001). But contrary to earlier assumptions (Baco *et al.* 1999; Smith *in press*) our data suggest that these earliest whale-fall communities were not functionally similar to modern ones. The first pattern in our cluster diagram that merits explanation is the clear distinction between Oligocene and more modern whale-fall communities. Whereas modern whale-falls in the sulphophilic stage (cf. Smith & Baco 2003) harbour a substantial diversity of semi-infaunal vesicomids that are closely related to those living at vents and seeps (Baco *et al.* 1999), vesicomids are absent from the Eocene and Oligocene whale-falls, despite their abundance in contemporaneous seep carbonates in the same formations. Instead, we find infaunal thyasirids and lucinids in the Oligocene whale-fall communities—the same species also occur elsewhere in the sulphide-enriched sediments and at wood-falls of the Makah and Pysht formations. Modern whale-falls also harbour a large number of epifaunal grazers that feed on sulphur-oxidizing bacteria on the surfaces of bones (Smith & Baco 2003). Such epifaunal microbe-grazers are absent from the Eocene and Oligocene whale-falls. Bacterial degradation of oil in the whale bones is the main source of sulphide at modern whale-falls after the soft tissue has disappeared (Smith & Baco 2003). Thus the absence of those taxa that most heavily rely on sulphide for nutrition (vesicomids and epifaunal microbe-grazers) from all known Eocene and Oligocene whale-fall communities suggests that these bones provided too little oil to sustain these animals.

Instead, species that relied on sulphur oxidizing symbionts (thyasirids, lucinids and to some extent, mytilids) may have used two alternative sources of sulphide. The sediment below and surrounding the decaying whale carcass becomes enriched in organic material (Naganuma *et al.* 1996) and its degradation quickly exhausts the available oxygen. Anaerobic breakdown of the organic matter then takes over, resulting in the release of considerable quantities of sulphide (Allison 1988). In addition, the redox zone in the sediment below the whale carcass is likely to rise significantly as the overlying carrion cuts off the oxygen supply derived from seawater. Sulphide would then be stable under these anoxic conditions. In this respect the Oligocene whale-falls were functionally similar to contemporaneous wood-falls where sulphide was probably enriched in a very similar way, with faeces (mainly from xylophagans) rather than carrion causing the redox zone to rise and organic material to be broken down anaerobically (Kiel & Goedert *in press*). This interpretation could explain the strong faunal similarities between the Oligocene whale- and wood-fall communities (figure 3).

In summary, the Eocene–Oligocene whale-falls lacked a ‘sulphophilic stage’ fuelled by anaerobic breakdown of bone lipids, but supported chemosymbiotic animals with sulphide resulting from processes that we would expect to find in the ‘enrichment opportunist stage’ of extant whale-falls (cf. Smith & Baco 2003). This stage is today

characterized by vast numbers of opportunistic polychaetes and crustaceans (Smith & Baco 2003). Although crustaceans are generally present in Eocene to Miocene sediments in Washington (Schweitzer & Feldman 1999), they have not been found associated with fossil whale-falls. To account for the differences between extant and Eo–Oligocene whale-fall communities, the fossil examples are here interpreted to represent an independent stage in whale-fall successions. This ‘chemosymbiotic opportunist stage’ is characterized by species from wood-falls and from the background fauna which are adapted to reducing environments and most likely took advantage of elevated sulphide levels in the sediments underneath and around the whale carcass, rather than from anaerobic breakdown of bone lipids. Additional taxa include small predatory gastropods and few detritus-feeders. In an evolutionary perspective, fossil evidence suggests that the sulphophilic stage of modern whale-falls evolved during Early Miocene time. An Early Miocene whale-fall in Japan has vesicomid bivalves associated with it (Hachiya 1992), and Middle Miocene whale-falls have a modern, or ‘sulphophilic’ appearance with abundant microbe-grazers and vesicomid bivalves (Amano & Little 2005). This change suggests an increase in the amount of oil provided by whale bones during this time period. This may relate either to a relative increase in the oil content of whale bones or simply to an increase in the size of the bones (and whales). Whether the absence of limpets from the Miocene whale-falls is due to sampling issues (cf. Amano & Little 2005) or relates to the oil content of the whale bones, too, remains to be tested by further fossil evidence. The utilization of putative bone lipids in Mesozoic marine reptile- and fish-falls and their role for the dispersal of vent and seep animals has been speculated upon (Martill *et al.* 1991), but evidence for associated chemosymbiotic taxa is lacking (Hogler 1994). However, a chemosymbiotic opportunist stage as outlined here for Eo–Oligocene whales could have existed at Mesozoic reptile-falls.

The hypothesis that whale-falls served as evolutionary stepping stones in the colonization of vents and seeps was partly based on the roughly synchronous molecular divergence date estimates for the bivalve family Vesicomidae and the origin of large whales (Baco *et al.* 1999). The absence of vesicomids at the earliest whale-falls (28–35 Myr ago) challenges the molecular evidence for this hypothesis, especially as vesicomids were present at cold-seeps in the same formations. Thus, not only have vesicomids a much longer history than predicted by molecular estimates (Little & Vrijenhoek 2003), these estimates also do not match the first known occurrence of vesicomids at whale-falls (Early Miocene, *ca* 20 Myr ago). Bathymodiolin mussels were also considered in the stepping-stone hypothesis (Distel *et al.* 2000). Within this group molecular age estimates have a rather broad range from 22 to 94 Myr ago (Little & Vrijenhoek 2003; Jones *et al.* 2005). The oldest bathymodiolins at fossil seeps, whale- and wood-falls plot in the younger half of this range (30–38 Myr ago). *Bathymodiolus* occurs at Middle Eocene seeps (Kiel 2006) and *Idas* is here reported from Late Eocene and Oligocene wood- and whale-falls. The bathymodiolins occur earlier at seeps than at wood- and whale-falls contrary to the predicted pattern (Distel *et al.* 2000). However, these records are so close in time that it is

currently impossible to either reject or confirm the stepping-stone hypothesis for bathymodiolins based on palaeontological grounds. Nevertheless, the observed pattern follows the geologic history of these environments, with seeps being older than wood and wood being older than whales.

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NOTICE OF CORRECTION

Kiel, S. & Goedert, J. L. 2006 Deep-sea food bonanzas: early Cenozoic whale-fall communities resemble wood-fall rather than seep communities. *Proc. R. Soc. B* 273, 2625–2631. ([doi:10.1098/rspb.2006.3620](https://doi.org/10.1098/rspb.2006.3620)) is now presented in its correct form.

A detailed erratum will appear at the end of the volume.