

Cooperative deformation of mineral and collagen in bone at the nanoscale

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In biomineralized tissues such as bone, the recurring structural motif at the supramolecular level is an anisotropic stiff inorganic component reinforcing the soft organic matrix. The high toughness and defect tolerance of natural biomineralized composites is believed to arise from these nanometer scale structural motifs. Specifically, load transfer in bone has been proposed to occur by a transfer of tensile strains between the stiff inorganic (mineral apatite) particles via shearing in the intervening soft organic (collagen) layers. This raises the question as to how and to what extent do the mineral particles and fibrils deform concurrently in response to tissue deformation. Here we show that both mineral nanoparticles and the enclosing mineralized fibril deform initially elastically, but to different degrees. Using *in situ* tensile testing with combined high brilliance synchrotron X-ray diffraction and scattering on the same sample, we show that tissue, fibrils, and mineral particles take up successively lower levels of strain, in a ratio of 12:5:2. The maximum strain seen in mineral nanoparticles ($\approx 0.15\text{--}0.20\%$) can reach up to twice the fracture strain calculated for bulk apatite. The results are consistent with a staggered model of load transfer in bone matrix, exemplifying the hierarchical nature of bone deformation. We believe this process results in a mechanism of fibril–matrix decoupling for protecting the brittle mineral phase in bone, while effectively redistributing the strain energy within the bone tissue.

biomineralization | deformation mechanisms | *in situ* tensile testing | micromechanics of bone | synchrotron radiation

Bone is a hierarchically structured composite (1, 2) which at the nanometer range can be described as a combination of a stiff inorganic mineral phase of carbonated apatite together with a softer organic phase (principally type I collagen, with a small amount of proteoglycans and noncollagenous proteins) (1, 3). The collagen forms $\approx 100\text{--}200\text{-nm}$ -diameter fibrils, with thin elongated mineral platelets inside and on the surface (4). These mineralized fibrils are then arranged into higher levels of structural motifs such as fibril arrays and lamellae. Clearly, an understanding of the function of the higher organization levels (1) requires an understanding of the nanometer level material performance (3).

Although a detailed quantitative description of the deformation mechanisms at the nanoscale remains unclear, several mechanisms have been proposed to model bone deformation. These include shear transfer between mineral particles via intermediate ductile organic layers (5), slippage at the collagen–mineral interface (6), phase transformation of the mineral phase (7), sacrificial bond disruption between fibrils (8), microcracking (9), and uncracked ligament bridging (10).

At the molecular level, changes in the lattice spacings of the mineral phase (11, 12) have been described, showing prestrains and stress concentrations in the apatite phase. At the fibrillar level, Gupta and coworkers (13, 14) measured strain by tracking shifts in small angle X-ray diffraction peaks, when stress was applied to samples of parallel fibered bone. They showed that fibrillar strain is about half the tissue strain (14), which suggests that shearing occurs in the thin interfibrillar matrix layers.

Beyond the yield point, the fibrillar strain tends toward a constant value, implying that decoupling of the fibrils and extrafibrillar matrix develops (13).

In this study, we combined *in situ* tensile testing of fibrolamellar bone with simultaneous small-angle X-ray scattering (SAXS) and wide-angle X-ray diffraction (WAXD) to measure the tissue, fibrillar, and mineral strain concurrently during tensile loading of single fibrolamellar bone packets. We show that the fibrils and the intrafibrillar mineral takes up successively lower fractions of the tissue strain in a ratio of 12:5:2, and both fibril and mineral strain develop as predicted by a lap-joint model for load transfer (5).

Results

Sample tissue strain (ϵ_T) was monitored and compared with fibrillar and mineral strain (For details see Materials and Methods). Fig. 1 shows the variation of the ratios of fibril strain (ϵ_F) to tissue strain and mineral strain (ϵ_M) to tissue strain as a function of tissue strain. Both are shown as ratios, thus correcting for intersample variability. Also shown is a representative stress–strain curve for one bone sample and schematic illustrations of the bone model we are interpreting. Data points represent binned averages over a set of samples kept wet during testing ($n = 29$) (see details in *Supporting Text*, which is published as supporting information on the PNAS web site). Before the sample yield point (yield strain $\epsilon_T^Y = 0.91\%$ for the example in Fig. 1 *Lower*) the elastic response results in an approximately constant fibril-to-tissue strain ratio (ϵ_F/ϵ_T) as well as a constant mineral-to-tissue strain ratio (ϵ_M/ϵ_T). We find that ϵ_F/ϵ_T is approximately constant at 0.41, whereas ϵ_M/ϵ_T stays almost constant at 0.16. Note how, for constant ratios of ϵ_F/ϵ_T and ϵ_M/ϵ_T below the yield point, the ratios should ideally form a straight line, as sketched by the horizontal colored lines in the upper figure. Conversely, if ϵ_F and ϵ_M do not increase further beyond the yield point, the ratios would decrease along concave downward lines, as indicated by the dashed lines.

We find that mineral strain is linearly correlated to fibril strain, as shown in Fig. 2. This figure plots the binned mineral strain ϵ_M versus the binned fibril strain ϵ_F , both for the wet samples ($n = 29$) as well as a set of dry samples ($n = 7$). The slopes are calculated from linear regressions. The trends in ϵ_M/ϵ_F (given by the slope of the regression lines) depend on the hydration state of the samples. In hydrated samples ($n = 29$), the trend is ≈ 0.35 , whereas for dry samples ($n = 7$) the trend is ≈ 0.53 . Table 1 shows the fibril–tissue, mineral–fibril, and mineral–tissue regression

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Abbreviations: SAXS, small-angle X-ray scattering; WAXD, wide-angle X-ray diffraction.

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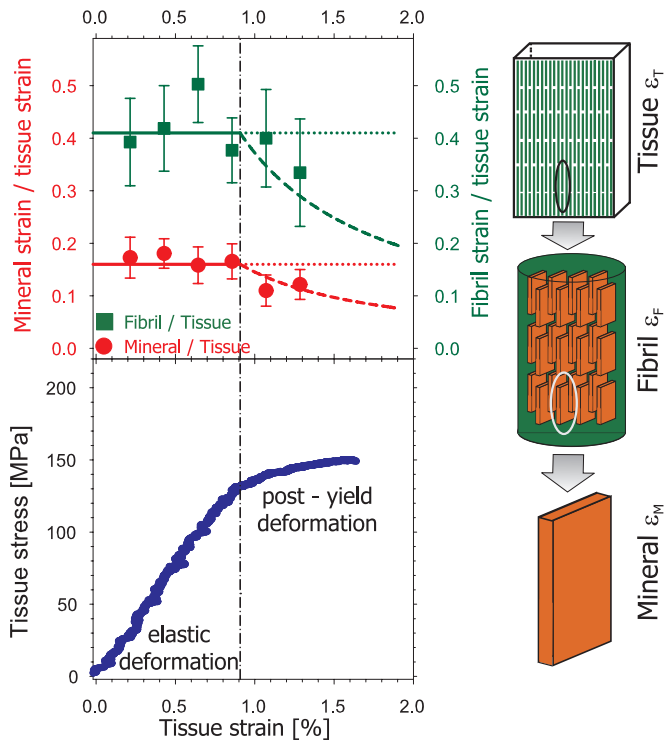


Fig. 1. Change in tissue, fibril, and mineral particle strain in bone with applied stress. (Upper) Ratio of fibril strain to tissue strain (ϵ_F/ϵ_T) and mineral strain to tissue strain (ϵ_M/ϵ_T), averaged over $n = 29$ samples. Solid lines are guides to the eye, showing the expected constant strain ratio before yield. Dashed lines show how the ratio would vary if the fibril and mineral strains remain constant beyond the yield point, marked with the vertical dashed line. Error bars are standard errors of the mean. (Lower) Typical stress-strain curve of bovine fibrolamellar bone packet, showing an initial elastic increase followed by a reduced slope beyond the elastic/inelastic transition at $\epsilon_T^Y = 0.91\%$. The schematic on the right illustrates the different hierarchical length scales at which strain is being measured simultaneously (tissue, fibril, and mineral nanoparticle).

slope values in both wet and dry states. It can be seen that the strain fraction contained in the embedded element (mineral within each fibril versus the mineralized fibers in the tissue) is

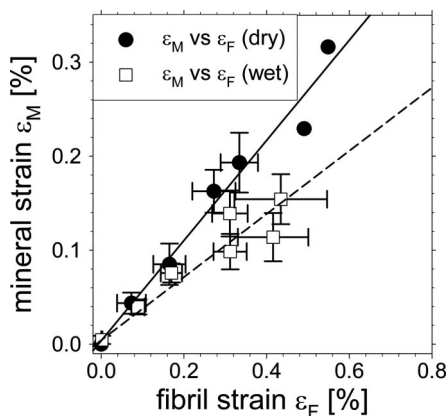


Fig. 2. Correlation between mineral and fibril strain. Fibril and mineral strain are first binned in regular intervals of tissue strain, and then plotted versus each other. Open squares: wet, $n = 29$ samples, and filled circles: dry, $n = 7$ samples. Straight lines give linear regressions on the two data sets, and regression slopes give the mineral particle strain fraction in the enclosing fibril. Mineral particles take up a lower strain fraction in the fibrils when the tissue is wet. Slope for wet samples = 0.34 ± 0.15 and for dry samples = 0.53 ± 0.04 . Error bars in the graph are standard errors of mean.

Table 1. ϵ_F/ϵ_T , ϵ_M/ϵ_T , and ϵ_M/ϵ_F , calculated from linear regressions of binned data shown for wet and dry samples

Parameter	Wet samples	Dry samples
Fibril to tissue strain, ϵ_F/ϵ_T	0.41 ± 0.06	0.41 ± 0.02
Mineral to tissue strain, ϵ_M/ϵ_T	0.16 ± 0.01	0.24 ± 0.02
Mineral to fibril strain, ϵ_M/ϵ_F	0.34 ± 0.15	0.53 ± 0.0
Elastic modulus E_T , GPa	11.5 ± 3.7	13.9 ± 3.4
Stress concentration κ	1.46 ± 0.15	1.58 ± 0.14

Elastic modulus E_T is the average over wet ($n = 29$) and dry ($n = 7$) samples, respectively. Stress concentration factor κ calculated from $(E_M/E_T) \times \langle \epsilon_M/\epsilon_T \rangle$ for each sample and averaged over all samples (see Fig. 2); errors represent standard errors of mean.

smaller for the wet samples as compared with the dry samples. The slope of ϵ_F/ϵ_T is similar for dry and wet samples (within statistical error).

To bridge the gap between the nanoscale components and the tissue material properties, we compare the average mineral strain ratio for a given sample with its elastic modulus E_T . We do this by calculating the slopes of linear regressions of ϵ_M vs. ϵ_T for each sample data set (regressions are made over the entire stress range up to fracture, and not just in the linear range). These slopes, denoted $\langle \epsilon_M/\epsilon_T \rangle$, are then compared with the elastic modulus E_T of each sample. The data in Fig. 3 shows a significant but not close ($P < 0.001$, $r^2 = 0.39$) linear correlation between $\langle \epsilon_M/\epsilon_T \rangle$ and the macroscopic elastic modulus E_T . The equation for the linear correlation is $\langle \epsilon_M/\epsilon_T \rangle = -0.0232 + 0.0139 E_T$. For dry samples, the correlation is significant ($P < 0.01$, $r^2 = 0.79$) and the equation is $\langle \epsilon_M/\epsilon_T \rangle = -0.0986 + 0.0196 E_T$. The average elastic modulus for wet samples was 11.5 ± 3.7 GPa, whereas for dry samples the modulus was higher on average: 13.9 ± 3.4 GPa, as given in Table 1. The average mineral strain ratios (ϵ_M/ϵ_T) for wet and dry samples was 0.17 ± 0.10 and 0.23 ± 0.09 , respectively (errors are standard deviations).

Finally, we consider the stress concentration factor κ (11, 12), which is the ratio of stress in the mineral particles relative to stress in the tissue as a whole. Because mineral particles are elastic, we can calculate κ from $(E_M \times \epsilon_M)/(\epsilon_T \times E_T)$, where E_M and E_T are the mineral modulus along the (0002) axis (≈ 100

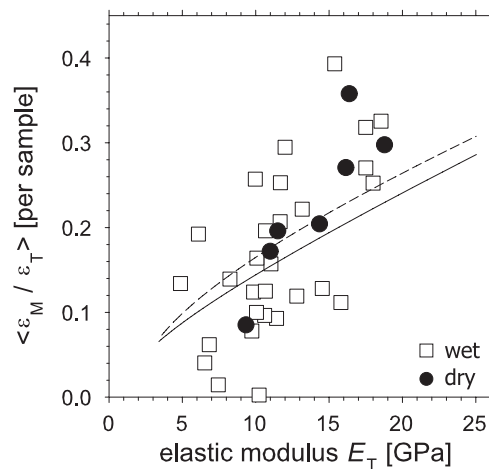


Fig. 3. Mineral strain ratio as a function of sample elastic modulus. The average mineral strain ratio $\langle \epsilon_M/\epsilon_T \rangle$ is plotted, per sample, versus the elastic modulus E_T . Open squares: wet, $n = 29$ samples and filled circles: dry, $n = 7$ samples. Lines show the expected nonlinear correlation between mineral strain fraction $\langle \epsilon_M/\epsilon_T \rangle$ and elastic modulus E_T when stresses are transferred within and between the mineralized fibrils in a hierarchical staggered arrangement (see Fig. 4 and Eq. 1). Solid, wet collagen; dashed, dry collagen.

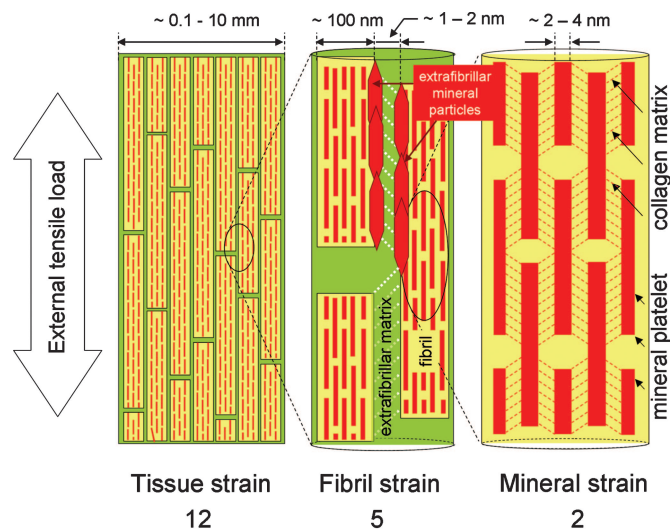


Fig. 4. Schematic model for bone deformation in response to external tensile load at three levels in the structural hierarchy: at the tissue level (*Left*), fibril array level (*Center*), and mineralized collagen fibrils (*Right*). (*Center*) The stiff mineralized fibrils deform in tension and transfer the stress between adjacent fibrils by shearing in the thin layers of extrafibrillar matrix (white dotted lines show direction of shear in the extrafibrillar matrix). The fibrils are covered with extrafibrillar mineral particles, shown only over a selected part of the fibrils (red hexagons) so as not to obscure the internal structure of the mineralized fibril. (*Right*) Within each mineralized fibril, the stiff mineral platelets deform in tension and transfer the stress between adjacent platelets by shearing in the interparticle collagen matrix (red dashed lines indicate shearing qualitatively and do not imply homogeneous deformation).

GPa) and the sample tissue modulus, respectively. We make the approximation that $\kappa \approx E_M \times ((\epsilon_M/\epsilon_T)/E_T)$. The results are given in Table 1, and show that for dry samples, the stress concentration is slightly larger (1.58) compared with wet samples (1.46).

Discussion

Our results directly demonstrate that tensile stress induces a coupled and cooperative deformation process at the nanometer level in the structural hierarchy of bone. *In situ* tensile testing with synchrotron X-ray diffraction measurements allows quantification of three hierarchical levels of strains. At the tissue, fibrillar, and mineral particle levels, the strains decrease in a ratio of $\approx 12:5:2$. This hierarchical gradation, where stiff elements at smaller length scales take up less strain than those at larger scales (15), is numerically consistent with measurements of fibrillar strain in partially mineralized tendon (16) and parallel fibered bone (14). The general construction principle is of joining stiffer elements by a softer matrix to form the next hierarchical level (15). This results in composites that sustain large deformation, despite being composed of essentially undeformable particles at the nanoscale.

We note the striking observation that the strain ϵ_M in the mineral platelets has an average maximum value of 0.15–0.20% (Fig. 2). The fracture strain of bulk microporous apatite estimated from the known strength (≈ 100 MPa; ref. 17) and the tensile modulus (≈ 100 GPa; ref. 18) is $\approx 0.10\%$. Therefore, we conclude that the mineral nanoparticles in bone can sustain up to 2 times the fracture load of hydroxyapatite. Such an increase in strength is most likely due to the defect insensitivity of nanostructured biomineralized tissues (15, 19) implied by Griffith's theory (20).

Our measured stress concentration factor κ lies between 1.45 and 1.60. These values are somewhat lower than the values 2.2 ± 0.1 found by tensile loading of bovine femora (12) and the value

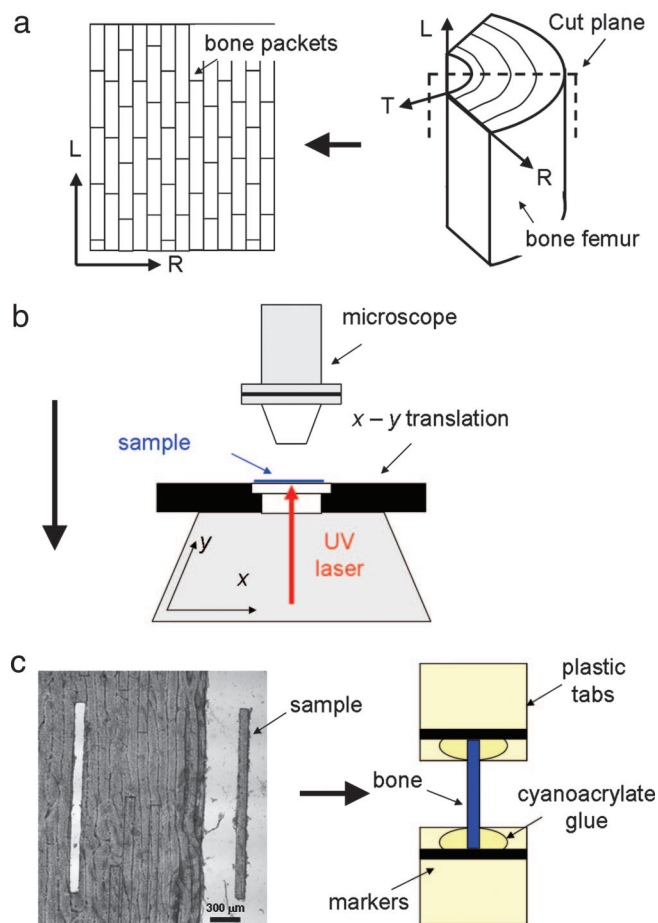


Fig. 5. Sample preparation setup. (*a*) Appearance of 50- to 100- μm -thick and ≈ 1 -cm-wide fibrolamellar bone sheets (*Left*) after sectioning from pie-shaped sectors of bovine femoral bone (*Right*). L (longitudinal, parallel to bone long axis), R (radial, from center of bone to periosteum), and T (tangential to bone surface) denote the approximate coordinate system used. (*b*) A UV laser (1–2 μm diameter at focus) is rastered repeatedly (up to 10 times) over the bone sheets in the form of the elongated sample shape, until the sample is separated from the surrounding tissue, and can be removed. (*c*) (*Left*) A typical sample lying next to the source sheet from which it was taken. (*Right*) Schematic of sample mounted on plastic grips with cyanoacrylate glue.

2.8 found in compression of canine fibulae (11). We do not have a clear explanation for the difference between these results. It is possible that the difference in bone type, the smaller sample geometry of single bone packets, the loading mode (tensile versus compressive in ref. 11), and lower degrees of hydration in previous experiments (11, 12) may all play an important role.

Load transfer between the mineral platelets has been suggested to occur by shear transfer in the organic matrix (5, 21), due to the high aspect ratio and large mismatch in mechanical properties between mineral particles ($E \approx 100$ GPa) and collagen ($E \approx 1$ –2 GPa). Our measured result of a 5:2 ratio of fibrillar to mineral strain supports this hypothesis, as seen by the difference between fibril and mineral strain. Therefore, we exclude a parallel or Voigt model (20) of equal strains in the collagen and mineral phase. In our current interpretation of bone deformation at the nanoscale, the stresses are transferred in a zigzag manner, as sketched schematically in Fig. 4.

The observed differences between wet and dry bone (Table 1) emphasize the sensitivity of the load transfer mechanisms and stiffness to the state of the organic matrix. A stiffer organic matrix would lead to more effective load transfer to the mineral

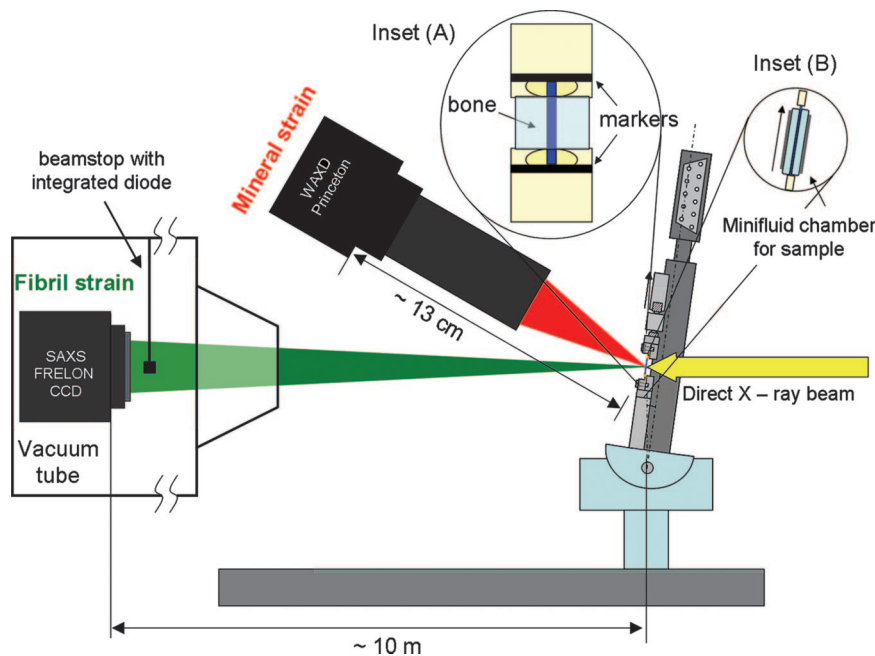


Fig. 6. In-beam microtensile schematic: Microtensile setup is inclined to the direct X-ray beam at $1/2 (2\theta_{(0002)}) \approx 8.3^\circ$ [where $2\theta_{(0002)}$ is the Bragg angle for (0002) hydroxyapatite c-axis reflection at $\lambda = 0.0995$ nm] to ensure that the strain from only the crystallites with c-axis along the tensile axis of the sample is measured. Sample is kept wet by enclosing within cellophane slips containing a water drop (*Inset B*). Tissue strain ε_T is determined by tracking marker lines (*Inset A*) in images taken by a CCD camera (not shown). SAXS and WAXD 2D images are recorded simultaneously by the FRELON 2000 CCD and Princeton Instruments CCD detectors respectively. The integrated diode on the beamstop is used for intensity normalization.

platelets and that, in turn, would cause the composite to be stiffer, as well as increase the strain fraction carried by the mineral phase. In our experiments, a drying of the collagen (and its subsequent stiffening) increased $\varepsilon_M/\varepsilon_T$ and E_T (Table 1). It may be speculated that structural changes in the organic matrix, known to occur in certain bone diseases (22, 23), could make the bone stiffer and more predisposed to fracture in this manner: simply by overloading the mineral phase.

Our samples express a substantial biological variance in mechanical properties, with elastic moduli ranging from ≈ 5 to ≈ 23 GPa. We believe this arises due to intersample variability in mineral content and fiber orientation of the small samples that were used. A variation of elastic modulus from <5 GPa to >32 GPa in low-porosity samples ($<5\%$) has been reported within a very narrow range of 23–28 weight % calcium, equivalent to a mineral volume fraction of 0.40–0.52 (24). However, the average moduli of our samples of 11.5 ± 3.7 GPa (wet) and 13.9 ± 3.4 GPa (dry) are consistent with other measurements of macroscopic specimens (25, 26).

The scheme of hierarchical bone deformation sketched in Fig. 4 involves a load transfer at the mineral/collagen and fibril/extrafibrillar matrix interface. As a result, not only are the strains at the various scales different, but the tissue stress is a weighted sum of contributions from each component, similar to a series (Reuss) composite model (20). Consequently, the effective tissue modulus can be written in terms of the strains at the different hierarchical levels (15) and the material parameters at the nanoscale as follows

$$E_T \cong (1 - \Phi_M)E_C \frac{\varepsilon_F}{\varepsilon_T} + \Phi_M E_M \frac{\varepsilon_M}{\varepsilon_T} + E_{cf}$$

Here, Φ_M is the mineral volume fraction in the fibril and $E_M = 100$ GPa and $E_C = 2.0$ GPa (wet) and 4.0 GPa (dry) are the tensile moduli of the mineral and collagen phase in the mineralized fibril. E_{cf} is a contribution from the extrafibrillar matrix.

The mineral strain ratio $\varepsilon_M/\varepsilon_T$ can also be written in terms of these material parameters (*Supporting Text*). As stated above, we believe variation of the intrafibrillar mineral content Φ_M across samples leads to the spread in E_T values in Fig. 3. Therefore, if we allow the mineral content Φ_M to range from 0.0 to 1.0 in Eq. 1 as well as in the relation for $\varepsilon_M/\varepsilon_T$ (keeping all other parameters constant), we find a nonlinear positive correlation between model predictions for $\varepsilon_M/\varepsilon_T$ versus E_T (solid and dashed curves in Fig. 3), similar to what is seen experimentally.

We have thus shown quantitatively that the hierarchical design of bone (mineral platelets versus collagen, and fibril versus extrafibrillar matrix) at the nanometer scale leads to a hierarchical and coupled deformation mechanism at the nanoscale under tensile load. This appears to increase the maximum strain of the mineral platelets by preventing crack nucleation below a critical size (19). The organic matrix plays a crucial role in the amount of strain transferred to the mineral platelets. We believe that the effective load sharing mechanism between mineral and collagen may result in damage shielding, which prevents the fibrils from being exposed to excessive strains. The hierarchy of deformation mechanisms observed in bone may guide us in designing new strong nanocomposite materials.

Materials and Methods

Sample Preparation. Fresh bovine bone was obtained from the femur of a 12-month-old ox, and all soft tissue was removed. The bone was sawed into pie-shaped sections (Fig. 5a) with continuous water irrigation and wrapped in phosphate-buffered saline (PBS)-soaked gauzes. The sections were stored at -22°C until further use. For the experiments, thawed bone sections were cut by using an inner blade 300- μm saw (Leica SP1600, Leica Microsystems Vertrieb, Bensheim, Germany) producing 50- μm -thick $\times \approx 1$ cm $\times \approx 1$ cm sheets along the radial-longitudinal plane (Fig. 5a Left). These bone sheets were placed on a microscope slide in PBS, covered with a glass-slip and cut using a UV-laser microdissection system (P.A.L.M MicroBeam C, P.A.L.M Microlaser Technologies AG,

strain/tissue strain $\varepsilon_M/\varepsilon_T$, fibril strain/tissue strain $\varepsilon_F/\varepsilon_T$, and tissue stress σ .

Raman Spectroscopy. We tested the effect of UV-laser microdissection on bone, by comparing Raman spectra before and after UV-laser microdissection. Raman spectra were taken with a confocal scanning Raman microscope with a 532-nm laser (CRM200, WITec, Ulm, Germany). A cantilever shaped section was cut out of the bone, and a unique point was marked and measured before and after laser cutting. No significant differ-

ence of the Raman spectra in the organic and inorganic phase was found (Fig. 11, which is published as supporting information on the PNAS web site).

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