Variation in *Ginkgo biloba* L. leaf characters across a climatic gradient in China

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Fossil leaves assigned to the genus Ginkgo are increasingly being used to reconstruct Mesozoic and Tertiary environments based on their stomatal and carbon isotopic characteristics. We sought to provide a more secure basis for understanding variations seen in the plant fossil record by determining the natural variability of these properties of sun and shade leaf morphotypes of Ginkgo biloba trees under the present atmospheric CO2 concentration and a range of contemporary climates in three Chinese locations (Lanzhou, Beijing, and Nanjing). Climate had no major effects on leaf stomatal index (proportion of leaf surface cells that are stomata) but did result in more variable stomatal densities. The effects of climate and leaf morphotype on stomatal index were rather conserved (<1%) and much less than the response of trees to recent CO_2 increases. Leaf carbon isotope discrimination (Δ) was highest for trees in Nanjing, which experience a warm, moist climate, whereas trees in the most arid site (Lanzhou) had the lowest Δ values. Interestingly, the variation in Δ shown by leaf populations of trees from China and the United Kingdom was very similar to that of fossil Ginkgo cuticles dating to the Mesozoic and Tertiary, which suggests to us that the physiology of leaf carbon uptake and regulation of water loss in Ginkgo has remained highly conserved despite the potential for evolutionary change over millions of years.

F ossil leaves are increasingly being used as bioindicators of ancient levels of the greenhouse gas $CO_2(1, 2)$ because of the negative relationship between atmospheric CO_2 concentration and stomatal development shown by the leaves of contemporary vascular land plants (3). However, because the stomatal responses of leaves to CO_2 are species-specific, there is a need in such studies to calibrate the responses of fossil leaves against data from studies on cogeneric taxa (1, 4, 5). Particularly useful in this context are so-called living-fossil taxa such as *Ginkgo biloba* and *Metasequoia glyptostroboides*, which have long fossil records and close living representatives amenable to controlled environment experiments.

G. biloba is widely planted as an ornamental tree in many temperate areas of the world (6). Several extinct species and genera are known from the Triassic, Jurassic, Cretaceous, and Paleocene. CO₂-enrichment experiments have shown that the stomatal characters of living *G. biloba* leaves are sensitive to changes in CO₂ concentration (7, 8). As a consequence, fossil leaves of *Gingko* have been used to reconstruct paleo-CO₂ levels in the Tertiary (5) and further back in time in the Mesozoic (2, 9–11).

However, if we are to interpret more securely past changes in the environment from measurements of the stomatal characters of fossil leaves, then it is essential to quantify the natural variability of trees of the same or at least closely related taxa as those being examined in the fossil record. Toward this aim, Chen *et al.* (10) assessed five kinds of natural variation for stomatal density (SD, number of stomata per unit area of leaf) and stomatal index (SI, proportion of leaf surface cells that are stomata) of extant *G. biloba* leaves including the timing of leaf maturation, young versus mature leaves, short shoots versus long shoots, position in the canopy, and male versus female trees.



Fig. 1. SDs (mean \pm SE) and SIs (mean \pm SE) of sun and shade leaves of *G. biloba* collected in three localities, each with a different prevailing climate.

Encouragingly, in most cases the variation was small relative to the magnitude of the shifts seen in the Mesozoic fossil record.

However, variations in the stomatal characters of the leaves of *G. biloba* in relation to their developmental position in the canopy and for trees growing in different climates have not yet been examined; both factors might potentially be important biases in the fossil record. For example, plant physiologists have know for decades that leaves developing in full sun around the outer edges of the crown tend to possess higher SDs than those developing within the canopy (12). Associated with changes in developmental microclimate are differences in the physiology of

Abbreviations: SD, stomatal density; SI, stomatal index; WUE, water-use efficiency.

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Table 1. Climate of the three Chinese localities

			Mean annual temperature, °C		Mean annual relative humidity, %		Total annual precipitation, mm•yr ^{−1}		Annual potential evaporation, mm·yr ⁻¹	
Locality	Latitude	Longitude	Long-term	1999	Long-term	1999	Long-term	1999	Long-term	1999
Lanzhou	36°01′N	103°59′E	9.5 (21)	11.1	58	49	338 (21)	319	655 (?)	1,586
Beijing	39°57′N	116°19′E	11.8 (36)	13.1	57	53	619 (112)	380	799 (95)	1,785
Nanjing	32°04′N	118°47′E	15.7 (16)	15.7	73	76	979 (25)	1,231	864 (32)	1,395

Long-term climatic data from ref. 30 values in parentheses indicate the length of the record in years where known. Climatic data for 1999 were obtained from refs. 31 and 32.

sun and shade leaves, with sun leaves typically having higher photosynthetic capacities (12, 13). The physiological differences between sun and shade leaves are often expressed as differences in their stable carbon isotope composition (14). Because the carbon isotope composition of fossil plants are measured regularly to obtain information about past climates and the global carbon cycle (2), it is also important to characterize possible differences in sun and shade leaf isotope ratios that might bias interpretation of these geochemical records.

Our study was intended to establish a more secure basis for interpreting paleoenvironmental conditions from fossil *Ginkgo* leaves. To achieve this aim, we report results of an investigation assessing the variation in SD and SI of sun and shade leaves of *G. biloba* trees growing across a wide natural climatic gradient (Table 1) in China. Further, we assessed the effects of leaf morphotype across the climatic gradient on the physiological processes of *G. biloba* leaves through analysis of their stable carbon isotope composition (15, 16). The results provide an estimate of natural variations under a modern atmospheric CO₂ concentration and a range of contemporary climates for comparison with published isotopic composition of *Ginkgo* leaves in the Mesozoic (7, 17) and Tertiary (2, 5) ages.

Materials and Methods

Leaf Materials. Leaves of *G. biloba* were sampled in August, 1999 from mature specimens of three trees growing in open areas on the campus of Lanzhou University in Lanzhou, China (1,508 m above sea level), two trees at China University of Geosciences in Beijing (52 m above sea level), and two trees at the Nanjing Institute of Geology and Paleontology in Nanjing, China (62 m above sea level). Geoposition and climate data are provided in Table 1. Twenty sun and 20 shade leaves were sampled, 5 each from the north, east, south, and west sides of the trees. Sun leaves were sampled from the outermost lowest branches ≈ 2 m above the ground. Shade leaves were sampled from the lowest available branches near the interior of the canopy as close to the trunk as possible. The leaves from each sample point were divided into halves; one was used for stable carbon isotope analyses, and the other was used for stomatal analyses.

Stomatal Measurements. SD is expressed as SD per mm², epidermal density is expressed as epidermal density per mm², and SI is expressed as SD/[(epidermal density + SD) × 100] (18). The values reported here are averages of five counts each from 20 leaves for each tree at a particular locality. Counts of stomatal and epidermal cells were made on acetate impressions of the abaxial (lower) surface of mature leaves by using a Leitz Laborlux 12 Pol microscope linked to a computer with image-analysis software. We measured the middle part of the leaf, because SD and SI show less variability there than at the leaf base and apex.

Stable Carbon Isotope Analyses. For carbon isotope analyses, the leaf samples of *G. biloba* were dried in an oven at 60°C for 18 h

and then ground to a homogeneous fine powder. The ground samples were dried again (50°C for 8 h) and passed through a sieve. The ground-leaf sample was oxidized in an oxygen flux at 800°C, and then we extracted CO_2 from each sample. Carbon



Fig. 2. Cross plots of SD and SI of sun (*Upper*) and shade (*Lower*) *G. biloba* leaves collected from three localities in China. For each locality, the mean SD and SI of leaves collected from east-, west-, south-, and north-facing parts of the tree crown are shown.



Fig. 3. Mean SD and SI of sun and shade leaves relative to the long-term mean climate the trees experience and to the climate of the year of collection.

isotopic composition of CO₂ was measured (19) by a MAT-252 mass spectrometer. The results are expressed as $\delta^{13}C$ (‰) = $({}^{13}R_{sample}/{}^{13}R_{standard} - 1) \times 1,000$, where *R* represents the ${}^{13}C/{}^{12}C$ ratio of the plant sample and the standard (PDB, belemnite from the Pee Dee Formation). The error is less than $\pm 0.1\%$. CO₂ in the atmosphere was measured by a MAT-271 mass spectrometer directly by injecting an air sample into the mass spectrometer and then measuring the contents of all components.

Leaf carbon isotope discrimination (Δ^{13} C) was calculated as $(\delta^{13}C_{air} - \delta^{13}C_{plant})/(1 + \delta^{13}C_{plant}/1,000)$, with a modern value of $\delta^{13}C_{air} - 8.8\%$ (http://cdiac.esd.ornl.gov/). From $\Delta^{13}C$ values, we calculated the leaf intercellular CO₂ concentrations (p_i) by rearrangement of the well validated model (20) linking Δ^{13} C to leaf gas exchange by $a + (b - a)(p_i/p_a)$, where a and b are the isotopic discriminations associated with diffusion through air (4.4%) and carboxylation by Rubisco (27%), respectively, and p_a is CO₂ concentration of ambient atmosphere. We used the 1998 global atmospheric CO₂ concentration (366 ppm by volume) for the value of p_a as reported from measurements at Mauna Loa, Hawaii (http://cdiac.esd.ornl. gov/). An adequate, relative measure of leaf instantaneous water-use efficiency (WUE) can be calculated (16) as WUE = photosynthetic CO₂ draw-down/stomatal conductance = $p_a(1 - p_a)$ $p_i/p_a)/1.6\Delta_w$, where Δ_w is the water vapor pressure deficit $(\mu mol/mol)$.

Results and Discussion

Stomatal Variations. Our measurements confirm that for *G. biloba*, as with other summer deciduous tree species (12, 14, 21–23), sun leaves possess a higher SD than shade leaves

irrespective of the climatic regions from which the trees originated (Fig. 1). When SI is calculated for sun and shade leaves of *G. biloba*, however, the values are quite similar (Fig. 1). A similar phenomenon has been reported from studies on *Alnus glutinosa* (22), *Fagus sylvatica* (14), and *Quercus* spp. (23) leaves. Together with the present results, this study emphasizes the need to measure SI on fossil leaves to minimize this source of variation.

Sun leaves from all three sites (Lanzhou, Beijing, and Nanjing) show a similar range of SD and SI values, whereas shade leaves show a greater variability and trend across climates (Fig. 1). Cross plots between the SD and SI of leaves from each site reveal that the shade leaves fall out into three distinct clusters, each representing a different site (Fig. 2). These data suggest that the stomatal characteristics of shade leaves are more sensitive to climate variations at the time of either bud set (cellular differentiation) or bud burst (leaf expansion) than sun leaves. There could be a greater variability in SD for leaf expansion relative to cellular differentiation in response to climate. To investigate this possibility further, we examined the relationship between SD and SI of sun and shade leaves in relation to the mean longerterm climate to which the trees were acclimated and to the climate for the year of collection (1999) (Table 1). It emerges that leaf SI is remarkably stable with respect to climate, varying by <1% in absolute value (10% in relative value) in trees experiencing wide variations in annual precipitation and relative humidity (Fig. 3). One possible driving factor for differences in shade leaves among the three climates is relative humidity on vapor pressure deficit of the air during midday, whereas the shade leaves are expanding.

SD, however, is much more variable, with the SD of shade leaves being more sensitive than sun leaves (Fig. 2). Shade leaves

with the lowest SDs in our study came from trees growing at the two sites with the greatest precipitation minus potential evaporation (E_p) deficits $[(P - E_p = -317 \text{ mm·yr}^{-1} \text{ (Lanzhou)})$ and -180 mm·yr^{-1} (Beijing)]. The development of leaves with fewer stomata on trees growing in the drier climate may therefore be an adaptive response to conserve water. It is interesting to note that shade leaves of trees in Nanjing, where $P - E_p = -115 \text{ mm·yr}^{-1}$, have SDs approaching those of sun leaves on trees from the two drier sites (Fig. 3). Humidity is partially correlated with precipitation, and leaf stomatal properties show similar patterns to differences in humidity between sampling localities (Fig. 3).

The small variation in SI of modern *G. biloba* trees growing in different climatic regimes (<1%) (Fig. 2) is much less than the 5% reduction in SI shown by *G. biloba* over the past two centuries of global atmospheric CO₂ increase (5). Nevertheless, small differences in SI between sun and shade leaves suggest that, in fossil-leaf assemblages containing a mixture of morphotypes, a bias could be introduced into CO₂ reconstructions with fossil *Ginkgo* leaves. We assessed the magnitude of this effect by inverse regression of a published SI-CO₂ calibration function for *G. biloba* (5). For the range of SI values reported for Tertiary *Ginkgo adiantoides* fossil leaves (7.6–11.9%) (5), the sun-versusshade bias of 1% might introduce an error of between 2% and 15%.

Our results, together with those of an earlier study (10) quantifying variations in a host of biological factors, suggest that natural variations in leaf SI due to differences in climatic conditions between the past and present are unlikely to obscure the interpretation of CO₂-related patterns measured on sequences of fossil *Ginkgo* leaves.

Carbon Isotope Responses. Carbon isotope discrimination (Δ^{13} C) varied between 18.5% and 21.4% for sun leaves (Table 2 and Fig. 4), implying an operational range of intracellular CO_2 concentrations (p_i) of 47 ppm by volume. For shade leaves, $\Delta^{13}C$ varied between 16.4‰ and 22.0‰, which corresponds to a wider range in p_i of 90 ppm by volume (Table 2 and Fig. 4). The majority of the variation is driven by climatic differences between the sites, because sun and shade leaves on trees at any given site have quite comparable Δ^{13} C values (Table 2). Small differences in Δ^{13} C between sun and shade leaves are similar to those reported for A. glutinosa (14) but differ from the pattern observed through the canopy of the evergreen Nothofagus solandri var. cliffortiodes growing in New Zealand (24). However, the differences are probably explained because N. solandri var. *cliffortiodes* has a dense, more compact canopy, which attenuates light penetration to a greater extent than that of G. biloba.

The environmental conditions prevailing during the period of active growth therefore seem to have an important bearing on the p_i/p_a ratio of G. biloba leaves, as expected from theory (15). This ratio is governed by the balance between the demand for CO₂, set by the photosynthetic capacity, and stomatal conductance, which regulates its supply (15). We might expect that trees in locations with plentiful precipitation, and a lower evaporative demand, will tend to be more wasteful in water use than those adapted to more arid conditions. Our measurements of $\Delta^{13}C$ indicate just such a pattern. Trees occupying a progressively wetter, more humid locality (Nanjing) had the highest $\Delta^{13}C$ values (Fig. 4), implying higher stomatal conductance to water vapor and greater water loss during periods of carbon gain by photosynthesis. Conversely, trees at the most arid site, Lanzhou, have the highest WUE of all those examined in this study (Fig. 4). The isotopic data therefore provide independent support for the idea that the low SD of trees in Lanzhou provides one mean for them to conserve water loss. Other workers have also noted the tendency for the WUE of conifer needles (25), and leaves of herbs (26), to track changes in stomatal numbers. One factor that

ole 2. Mean (\pm SE), stable carbon isotope composition (%), and isotopic discrimination (Δ) of leaves ($n = 5$ per aspect) of trees from three Chinese localities	Leaf morphotype

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Locality	Aspect North	South	East	West	Mean	Mean Δ	Aspect North	South	East	West	Mean	Mean Δ
Lanzhou	-24.8 ± 0.1	-26.4 ± 0.2	-28.4 ± 0.2	-26.7 ± 0.1	-26.6 ± 0.2	18.5 ± 0.2	-20.6 ± 0.1	-25.3 ± 0.1	-27.1 ± 0.1	-25.3 ± 0.1	-24.6 ± 0.1	16.4 ± 0.1
Beijing	-29.1 ± 0.3	-27.3 ± 0.1	-29.3 ± 0.1	-27.1 ± 0.3	-28.4 ± 0.2	$\textbf{20.4}\pm\textbf{0.2}$	-29.0 ± 0.2	-27.2 ± 0.2	-29.3 ± 0.1	-27.1 ± 0.1	-28.2 ± 0.2	20.1 ± 0.2
Nanjing	-29.3 ± 0.2	-28.5 ± 0.1	-29.3 ± 0.1	-30.2 ± 0.1	-29.3 ± 0.1	21.4 ± 0.1	-29.6 ± 0.1	-29.8 ± 0.1	-30.0 ± 0.1	-30.4 ± 0.1	-29.9 ± 0.1	$\textbf{22.0}\pm\textbf{0.1}$

See Materials and Methods for calculation details



Fig. 4. Differences in mean (\pm SE) carbon isotope discrimination (Δ) and WUE of sun and shade leaves of *G. biloba* from each site in relation to the climate of the particular site. Also displayed are the Δ and WUE values of *G. biloba* trees in London (7, 17).

needs to be examined further is on site CO_2 concentrations for the three sites, in contrast to using mean global CO_2 concentrations from Mauna Loa, Hawaii. Ambient CO_2 concentrations in urbanized areas are higher than Mauna Loa values (27), thus the p_a value will be slightly larger.

A general pattern to emerge from our study of G. biloba is the increase in Δ^{13} C with increased rainfall; a similar pattern is seen if the data are plotted against precipitation seasonality for each locality (defined as the highest monthly precipitation minus the low monthly precipitation/the total rainfall) (data not shown). If Δ^{13} C values of G. biloba trees from near London are included in the analyses (7, 17), they too conform to this trend (Fig. 4). Similar results have been reported for Eucalyptus taxa from different climate and habitats but that were grown under a common environment (28). Because the Eucalyptus originated from different locations and grew under a common climate, differences in Δ^{13} C were attributable to genotypic adaptations to their native habitats (28). Without performing a similar experiment with G. biloba, we are unable, however, to assert that the between-site differences observed here (Fig. 4) are due to genotypic effects or climatic effects on physiological processes.

From a paleoecophysiological perspective, it is of interest to compare the natural variation in Δ^{13} C seen in populations of *G. biloba* trees from localities across China and in London with that seen in fossil leaves of *Ginkgo*. Two sets of isotopic measurements on fossil cuticles are available for comparison: Jurassic *Ginkgo huttonii* (17) and Tertiary *G. adiantoides* (2, 5). To enable direct comparisons between Δ^{13} C values from Chinese trees and the fossils, the modern values have been corrected by 1.5 per ml to account for the known isotopic difference between whole leaves and cuticles (29). The comparison reveals that both modern and ancient *Ginkgo* trees operated with remarkably similar median and range of Δ^{13} C values (20–21‰). The values imply that (*i*) the diffusional limitation on leaf gas exchange in *Gingko* has apparently varied rather little over the past 200 million years or so, and (*ii*) their physiology, similar to their leaf morphology (6), has been highly been conserved (Fig. 5).



Fig. 5. Box-plot comparisons of the carbon isotope discrimination (Δ) of cuticles of fossil (\Box) and modern (**\blacksquare**) *Ginkgo* leaf cuticles. Each box delineates the 25th and 75th percentiles, error bars show the 5th and 95th percentiles, and the horizontal line represents the median value of each data set. Data sources: Jurassic (3 cuticles), refs. 7 and 17; Tertiary (10 cuticles), refs. 2 and 5; modern cuticles of London trees (15 cuticles), ref. 7. The Chinese data are from the present study (Table 2) but were corrected by 1.5‰ to account for the offset between cuticle and whole leaves (30).

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