

Fig. 1. Phylogenetic tree based on ribotyping of 542 *Hi* strains. Five isolates (162, 285, 375, 477, and 486) were selected to infect the chinchillas. Independent confirmation of this dendrogram was obtained based on capsular operon gene polymorphism analysis (15) and congruence of a ribotype-based phylogenetic tree of a subset of the type a–f isolates with results from multilocus enzyme electrophoresis analysis (16). Further validation was obtained by comparative gene sequencing of *recA* and 16S ribosomal RNA genes among a representative subset of 50 of the isolates and multilocus sequence typing analysis (12) of a representative set involving 51 of the NT isolates.

NTHi strain 486 or its isogenic *lic3A* mutant in 0.1 ml of Gey's balanced salt solution (GBSS) directly into both middle ears (through the superior bullae). Middle ears of each chinchilla were examined at intervals over a 26-day period with direct and indirect otomicroscopy. The middle ears were examined via 3- to 5-mm holes created in the superior bullae through which the contents were sampled by using an angiocatheter for quantitative cultures. When middle ear fluid was present, 10 μ l was collected and diluted 1:10 into GBSS and three further consecutive dilutions were prepared, 1:100, 1:10³, and 1:10⁴. One hundred microliters of each dilution were plated onto chocolate agar plates, supplemented with kanamycin where appropriate. On the basis of this dilution series, the lowest detectable number of organisms is 100 cfu/ml. Thus, for samples obtained from chinchillas where no growth was detected (zero cfu), a value of $\log_{10} = 2$ was assigned. In addition, undiluted middle ear effusions or washings were plated onto chocolate agar. If middle ear fluid was absent, the middle ear was flushed with 0.5 ml of GBSS and the contents sampled as described above. Direct and indirect ear examination was continued until the middle ear was culture negative on two consecutive evaluations. The remainder of *ex vivo* samples was frozen at -80°C in 2% phenol for analysis of LPS by electrospray ionization (ESI)-MS or for sequence analysis of tetranucleotide repeats.

Capillary Electrophoresis (CE)–Electrospray Ionization–MS Analysis of O-Deacylated LPS Samples. Samples from the *in vitro* grown inocula and those obtained directly from the middle ear of chinchillas were frozen in 2% phenol, then processed in an identical manner. LPS was extracted from these samples as follows: phenol was removed by low-speed centrifugation and washing with water. The bacterial cell membrane was disrupted with proteinase K followed by successive treatments of DNase and RNase to release LPS, which was O-deacylated *in situ* with anhydrous hydrazine (18). Lyophilized samples were dissolved in ammonium acetate solution (1 M) and analyzed directly on a Crystal Model 310 CE instrument (ATI Unicam, Boston) coupled to an API 3000 triple quadrupole mass spectrometer (MDS/Sciex, Thornhill, ON, Canada), as described (19). Mass spectra were acquired with dwell times of 3.0 ms per step of 1 *m/z* unit in full-mass scan mode. Precursor ion monitoring of chinchilla middle ear effusion samples was carried out in the negative ion mode by using nitrogen as a target gas at collision energies of typically 120 eV (laboratory frame of reference), for specific detection of glycoforms that generate fragment ions at *m/z* 220 from pyrophosphoethanolamine (PPEtn)-containing glycoforms, *m/z* 290 from glycoforms containing terminal Neu5Ac, and *m/z* 951 from the O-deacylated lipid A component (19).

PCR and DNA Sequencing of Tetranucleotide Repeats. PCR amplification of *NTHi* DNA was carried out by using locus-specific primers for 1-min periods of denaturation (94°C), annealing (50°C), and polymerization (72°C) for 30 cycles. For confirmation of the presence of disrupted alleles in mutant strains, primers *siaBa*/*SiaBb* for *siaB* and *lic3a*/L3B1 for *lic3A* were used as described (6, 7). Before determining the number of tetranucleotide repeats, the 5' portions of phase variable genes were amplified by using the following primers: *lic2A*, *lic2A121F* (5'-ACTGAACGTCGCAAACAT) and *lic2P* (5'-TTCTCAAGTTTAACTGGC); *lic3A*, *lic3a*, and *lic3r* (5'-CTGCACATATAAACGC); *lgtC*, 6024F (5'-CAGCAAAGGCATTGACTG) and *lgt2* (5'-CTGACTCACAAACGGGC). Sequencing reactions were carried out by cycle sequencing by using the same primers and ABI Big Dye Sequencing (Applied Biosystems) reagents and were analyzed on an ABI377 autosequencer.

Results

Animal Challenge Studies. We investigated the role of sialic acid as a virulence factor of *NTHi* in the chinchilla model of OM by comparing the virulence of WT *NTHi* strains to their respective isogenic sialic acid-deficient mutants.

After direct inoculation of ≈ 50 organisms of WT strain 486 into both ears of 13 chinchillas, all developed bilateral OM characterized by inflammatory exudate and recovery of *NTHi* from the middle ear. Large numbers of organisms (geometric mean of five animals 6.8×10^6 SE ± 2.4 cfu/ml) were evident by 2 days after inoculation and persisted for at least 16 days. Nasopharyngeal cultures also grew *NTHi*, presumably as a result of contiguous spread along the eustachian tube to the nasopharynx. In contrast, a *lic3A* mutant of strain 486 was essentially avirulent. In 15 of the 17 chinchillas inoculated with ≈ 50 cfu of the *lic3A* mutant of strain 486, there was complete absence of inflammation of the middle ear and negative cultures of the middle ear, and nasopharynx. *NTHi* was recovered transiently from the middle ear (geometric mean: 3.7×10^3 SE ± 1.9 cfu/ml) in two animals on day 5. Reinoculation of one of the isolates recovered on day 5 into two additional chinchillas did not result in middle ear infection. In additional studies in which the inoculum size of the *lic3A* mutant used to infect the three chinchillas was increased to 2,000 organisms, none of the chinchillas developed signs of OM or positive middle ear cultures.

To obtain confirmation of the contribution of sialylation of LPS in the pathogenesis of OM, we targeted a different gene,

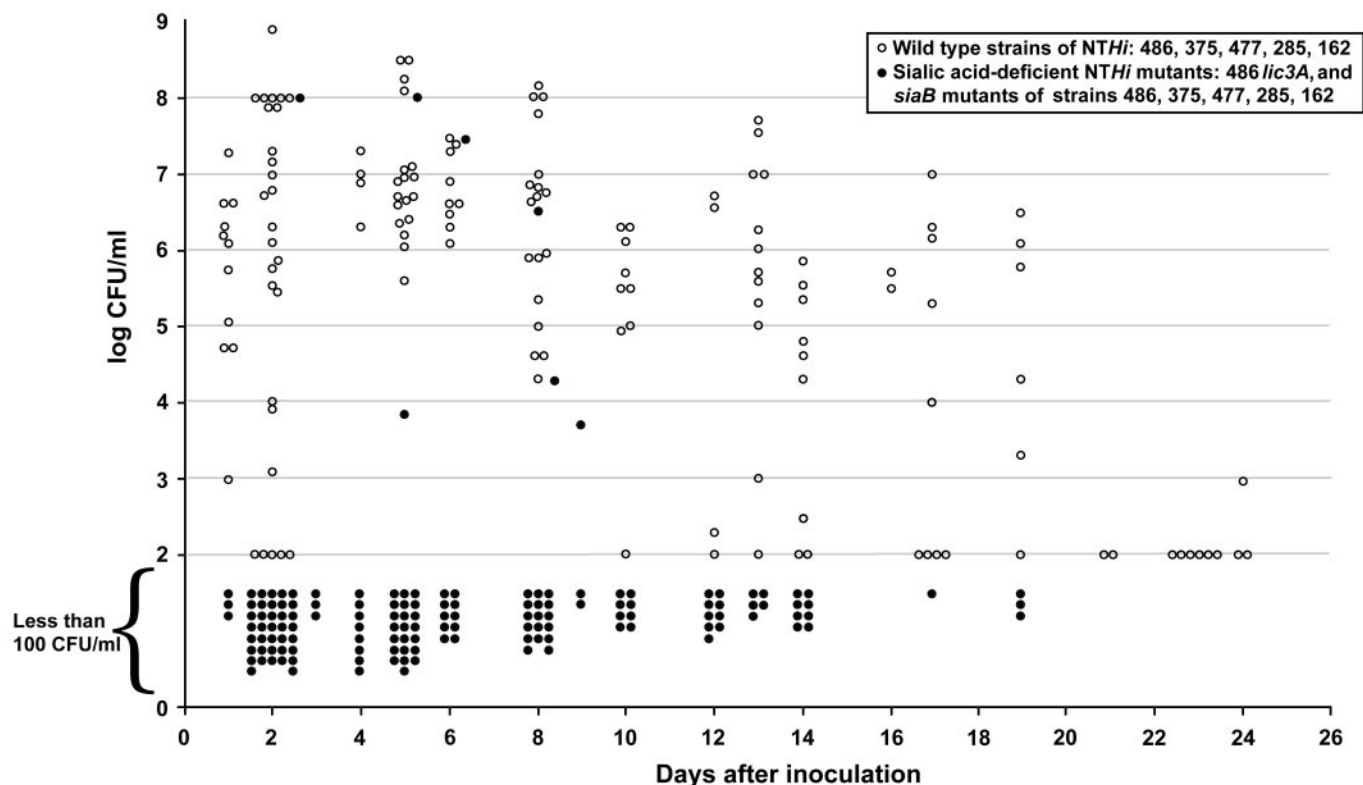


Fig. 2. Summary of viable counts (\log_{10}) of *Hi*, y axis, from cultures of fluid obtained over a period of 26 days (x axis) after inoculation of 50–100 *Hi* at day 0. Open circles represent quantitative cultures from individual samples of middle-ear fluid after inoculation with one of five representative *Hi* strains (see Fig. 1): 486, 375, 477, 285, or 162. Filled circles indicate the results of chinchillas inoculated with the isogenic *siaB* mutants. The lowest detectable number of organisms is 100 cfu/ml (logarithm 100 = 2). Thus, for all samples obtained from chinchillas where organisms were not detected (zero cfu), a value of \log_{100} was assigned, and these data points are plotted within the area labeled “Less than 100 cfu/ml.”

siaB. An inoculum of up to 10,000 organisms of the *siaB* mutant of strain 486 failed to produce any evidence of OM in six chinchillas compared with the acute and persistent infection once again observed in three chinchillas inoculated with ≈ 100 cfu of WT strain 486.

Next, we sought to investigate whether LPS sialylation was critical for virulence in other *NTHi* strains epidemiologically and genetically distinct from 486. These strains (162, 285, 375, and 477) have been shown to differ in the molecular details of their LPS structures, but all have the potential to make sialylated glycoforms (refs. 6 and 8; unpublished data). Although growth rates of WT and *siaB* mutants *in vitro* were equivalent, *in vivo* each of the *siaB* mutants was attenuated, two strains (162 and 285) being completely avirulent (Table 1, which is published as supporting information on the PNAS web site, www.pnas.org). However, even in the three of nine chinchillas that showed evidence of infection with 375 *siaB* and 477 *siaB* mutant strains, OM was less severe, and there was more rapid resolution of disease.

In summary, using five genetically diverse *NTHi* strains, mutations resulting in the inability to synthesize sialylated LPS glycoforms caused attenuation of *NTHi*, completely so in all but 5 of 45 animals inoculated with either *lic3A* or *siaB* mutants (Fig. 2 and Table 1). In contrast, in all 33 chinchillas inoculated with WT strains, there was purulent middle ear disease associated with high density of organisms that persisted for up to 3 wk.

Characterization of LPS Glycoforms Expressed *in Vivo*. We investigated the LPS structure and patterns of sialylation during experimental infection of chinchillas by directly analyzing *ex vivo* organisms. Previous studies of sialic acid expression have shown

qualitative and quantitative variation in the molecular details of the sialylation of LPS glycoforms (6–8), and at least three sialyltransferases have been described (7, 20, 21). Expression of sialylated LPS glycoforms would appear to depend on an exogenous source of sialic acid or its nucleotide-activated form, CMP-Neu5Ac (7, 20). We have shown that the phase-variable gene, *lic3A*, encodes an $\alpha 2,3$ -sialyltransferase. This enzyme mediates addition of Neu5Ac from CMP-Neu5Ac to a lactose extension from the inner-core unit (Fig. 3), the only sialic acid-containing LPS phenotype found in *NTHi* strain 486 (17). We have found CE coupled to ESI-MS to be a particularly sensitive tool for profiling LPS glycoforms on O-deacylated samples (18, 19). When WT *NTHi* strains 486 and 375 were grown in sBHI lacking exogenous sialic acid before inoculation into chinchillas, no sialic acid could be detected in the LPS (see below). To determine whether the WT strains were expressing sialylated glycoforms *in vivo* during infection, we evaluated samples of exudate taken directly from the infected middle ear cavity. LPS was obtained by using a microextraction procedure (18) and O-deacylated by treatment with anhydrous hydrazine (19) rendering LPS amenable for analysis by CE-ESI-MS analysis. When WT *NTHi* strain 486 is grown on sBHI media without an exogenous source of sialic acid, the extracted O-deacylated LPS (LPS-OH) corresponded to the fully characterized glycoform populations containing four hexose residues (Hex4) (7, 17) (Fig. 4A). No sialylated glycoforms were detectable. A similar profile was observed for LPS-OH from the *lic3A* and *siaB* mutants, irrespective of whether they were grown in the presence or absence of sialic acid (data not shown). Sialylated LPS glycoforms were observed in exudates from the middle ears of chinchillas at 4 and 6 days after inoculation (Fig. 4). Ions caused

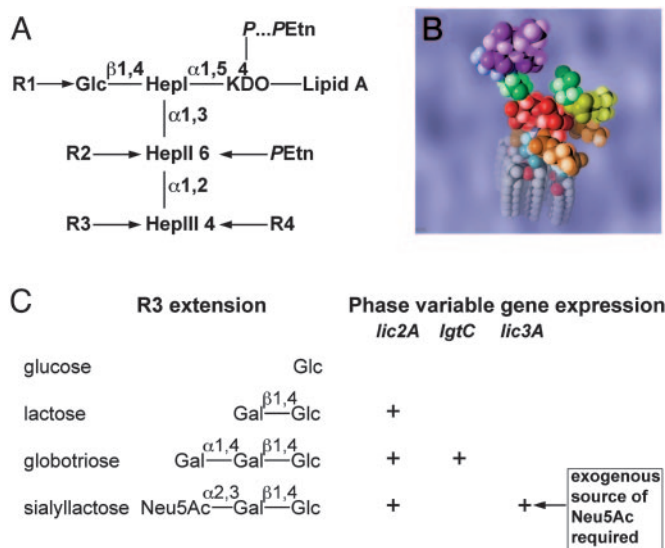


Fig. 3. *Hi* LPS comprises a heterogeneous mixture of glycoforms consisting of an oligosaccharide moiety attached to a membrane anchoring lipid A component. (A) Structural model of the conserved inner core region of the oligosaccharide portion of the molecule. (B) Space-filling molecular model of the minimum energy conformer of the sialyllactose-containing LPS glycoform of *NTHi* strain 375 calculated by a Monte Carlo method (22). The conserved L-glycero-D-manno-heptopyranosyl trisaccharide (HepI–HepIII), depicted in red, is linked to the lipid A portion of the molecule (turquoise and gray) via a phosphorylated KDO residue (brown). The triheptosyl inner-core unit is substituted by a β -D-glucopyranose residue (Glc; green) at the O-4 position of HepI and by a phosphoethanolamine residue (PEtn; brown) at the O-6 position of HepII. In *NTHi* 375, 285, and 162 LPS, the Glc residue is substituted at O-6 by a phosphocholine residue (R1 = PCho; yellow). In *NTHi* strain 486 (R1 = H), HepII is substituted at the O-3 position by an α -D-glucopyranose which, in turn, is substituted by PCho at the O-6 position (R2 = PCho-6Glc). *NTHi* strain 375 can also carry a PEtn group on HepIII (R4 = H or PEtn). As shown in C, the *NTHi* strains used in this study can exhibit oligosaccharide chain extension from HepIII (R3) through sequential addition of sugar units (6, 7). LPS glycoforms containing β -D-glucopyranose, lactose, globotriose, and sialyllactose oligosaccharide chains have been identified (6, 7, 17, 23). The relative proportions of these glycoforms in the LPS from a particular strain depend on the expression of phase variable genes *lic2A*, *lgtC*, and *lic3A* (7, 24).

by sialyllactose-containing Hex4 LPS species from the 2-keto-3-deoxyoctulosonic acid (KDO)-phosphate series of glycoforms were observed at m/z 764 [(M-4H) $^{4-}$], 1,018 [(M-3H) $^{3-}$], and 1,527 [(M-2H) $^{2-}$] (Fig. 4B). These ions were not detectable in LPS from *in vitro* grown bacteria used to infect the animals (Fig. 4A). After 6 days, ions caused by this sialylated glycoform were only just detectable above noise (Fig. 4C). Precursor ion monitoring at m/z 220 was used to improve the limits of detection by providing a sensitive probe to select for ions from the KDO-PPEtn series of glycoforms, which produce a PPEtn fragment (m/z 220). Thus, triply charged ions corresponding to the lactose- and sialyllactose-containing Hex4 glycoforms with two phosphoethanolamine (PEtn) groups (Hex₄PEtn₂) could be observed 5 (Fig. 5B) and 8 days after inoculation. The presence of terminal Neu5Ac in the sialyllactose glycoform was confirmed by tandem MS where collisional activation of the ion at m/z 1,059 gave Neu5Ac as the major fragment ion (m/z 290) (Fig. 5C). Precursor ion monitoring for ions giving rise to the fragment at m/z 290 (Neu5Ac) permitted detection of the sialylated Hex4 glycoforms from both the KDO-phosphate (m/z 1,018) and KDO-PPEtn (m/z 1,059) series (Fig. 5D). Precursor ion monitoring at m/z 220 (PPEtn) was more sensitive than that at m/z 290 (Neu5Ac) for detecting LPS-OH ions in the heterogeneous mixtures obtained from chinchilla ear washings (Fig. 4, compare signal-to-noise ratio of spectra Fig. 5 B and D).

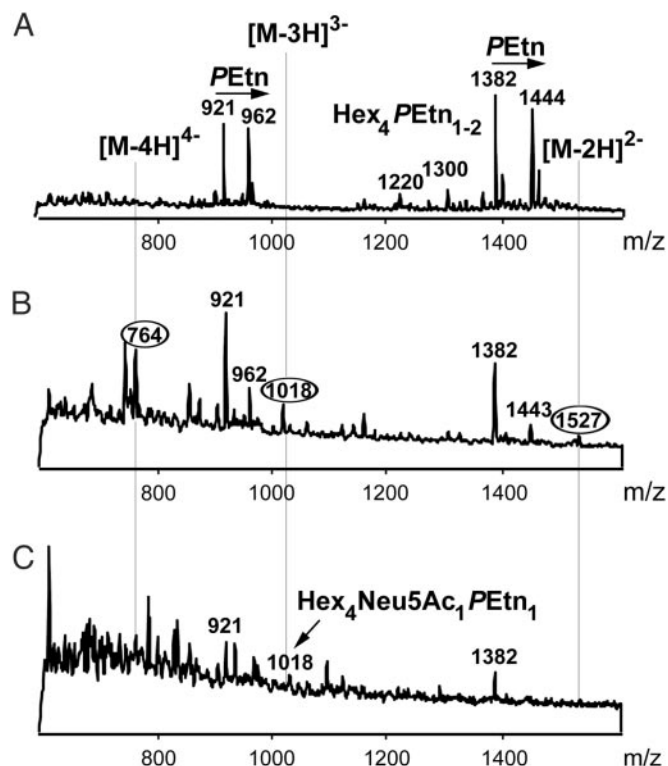


Fig. 4. Negative ion CE-ESI-MS shows major glycoforms of LPS-OH extracted from *NTHi* strain 486. (A) Extracted mass spectrum for LPS-OH extracted from *NTHi* bacteria used to inoculate chinchillas. The mass spectrum is dominated by molecular peaks corresponding to doubly [(M-2H) $^{2-}$] and triply [(M-3H) $^{3-}$] charged ions. Triply charged ions at m/z 921 and 962 represent the lactose-containing glycoform populations comprising four hexoses (Fig. 3A: R1 = H; R2 = phosphocholine residue-6Glc; R3 = lactose). The glycoform responsible for the ion at m/z 921 has a phosphate group at O-4 of the KDO residue, whereas that at m/z 962 carries a PPEtn group (Fig. 3A). Related doubly charged ions at m/z 1,382 and 1,444 are also observed. (B and C) Extracted mass spectra obtained from the CE-ESI-MS of exudate from the ear of a chinchilla 4 and 6 days, respectively, after inoculation with 50 WT organisms of the *NTHi* strain 486 described in A. In addition to ions corresponding to the Hex4 glycoforms observed in A, sialyllactose-containing LPS species from the KDO-phosphate series of glycoforms are observed at m/z 764 [(M-4H) $^{4-}$], 1,018 [(M-3H) $^{3-}$], and 1,527 [(M-2H) $^{2-}$] in B. After 6 days, ions due to the sialylated glycoforms (e.g., m/z 1,018) are only just detectable above background (C).

A similar pattern of results was obtained on LPS-OH samples from chinchillas inoculated with *NTHi* strain 375. In the absence of an exogenous source of sialic acid, *NTHi* strain 375 elaborates predominantly Hex4 LPS glycoforms (Fig. 3) (6). When strain 375 was grown in the presence of Neu5Ac, the ESI-MS also showed ions from a sialyllactose-containing Hex3 glycoform (data not shown). Extracted spectra from precursor ion monitoring at m/z 220 (PPEtn) of LPS-OH from chinchillas infected with 50 cfu of *NTHi* 375 after 5 and 8 days revealed lactose- and sialyllactose-containing Hex3 glycoforms as well as asialyl Hex2 glycoforms with two or three PEtn groups (data not shown). Our previous structural studies suggest that the sialyllactose-containing Hex3 glycoform has the structure shown in Fig. 3B.

Analysis of the Potential Role of Phase Variation in Synthesis of Sialylated Glycoforms. Given that *lic3A* is a phase variable gene, a possible genetic explanation for the altered sialylation phenotype of the WT *NTHi* organisms *in vivo* is that there was strong selection for in-frame *lic3A* variants, the consequence of slip-page-like events involving the multiple, tandem repeats of

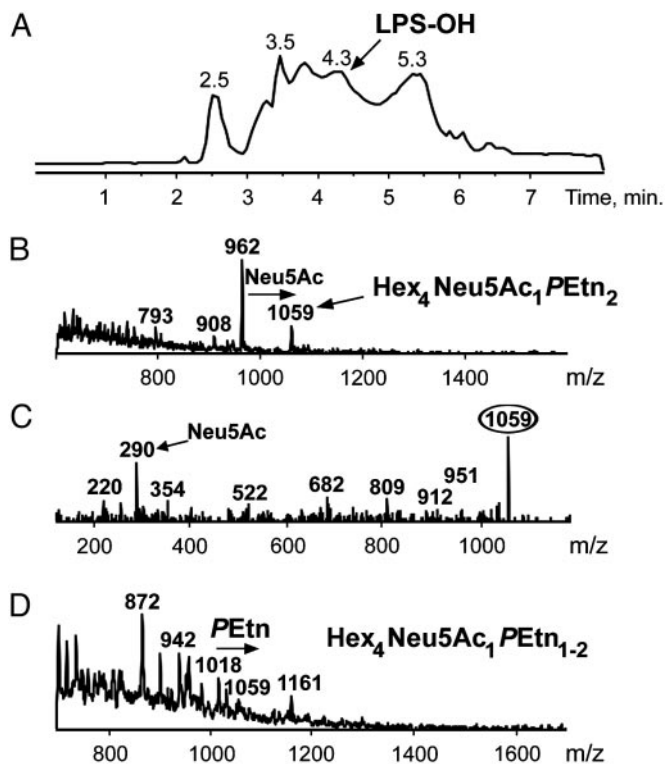


Fig. 5. Negative ion CE-ESI-MS with precursor ion monitoring of O-deacylated LPS extracted from *NTHi* strain 486. (A) Total ion electropherogram (m/z 600–1,600) obtained from the negative ion CE-ESI-MS of exudate from the ear of a chinchilla 5 days after inoculation with 50 WT organisms of the *NTHi* strain 486 described in Fig. 4A. (B) Precursor ion monitoring at m/z 220 of extracted LPS-OH spectrum shown in A. Triply charged ions corresponding to the lactose and sialyllactose containing Hex₄PEtn₂ glycoforms are observed at m/z 962 and 1,059. (C) Collisional activation (tandem MS) of ions at m/z 1,059. Major fragment ions at m/z 290 (Neu5Ac) are observed, confirming the presence of terminal sialic acid groups. (D) Precursor ion monitoring at m/z 290 (Neu5Ac) of extracted LPS-OH spectrum shown in A. Sialylated Hex₄ glycoforms from both the KDO-P (m/z 1,018) and KDO-PPEtn (m/z 1,059) series are detected.

5'-CAAT during the infection (7). We investigated this possibility by comparing the number of tetranucleotide repeats of *lic3A* of *in vitro* grown WT *NTHi*, the organisms used to infect the chinchillas, and of organisms subsequently obtained from the middle-ear exudate of seven chinchillas. On sequencing the 5' portion of *lic3A* containing the tetranucleotide repeats, we found that the *NTHi* organisms (strain 486) derived either from the inoculum or the infected exudates each had the same number of tetranucleotide repeats of 5'-CAAT. This number of repeats is permissive for correct translation of *lic3A*. A further possibility was that the gene *lic2A* (24) responsible for adding the galactose to which the sialic acid is attached is also phase variable, and its expression could have altered during the course of the experiment. Again DNA sequencing showed that the number of repeats was permissive for expression and was unchanged between the inoculum and the *in vivo* organisms. Thus, the sialylated phenotype of *in vivo* organisms apparently did not occur as a result of the selection of in-frame *lic3A* phase variants or any change in the *lic2A* gene.

Discussion

The major conclusion of this investigation is that sialylation of LPS is a critical factor in the virulence of *NTHi*. Using several different strains of *NTHi*, we constructed mutants deficient in their ability to make sialylated LPS glycoforms and showed,

compared with their WT parent strains, that these mutants were profoundly attenuated in a chinchilla model of OM. Sensitive structural analytical techniques were used to analyze the LPS of the inoculated and infecting organisms during experimental infection of the middle ear of chinchillas. The results provide compelling evidence that sialylation of LPS depends on the ability of *Hi* to scavenge essential precursors from the host.

Although it has been known for several years that *Hi* LPS can be sialylated (26), the biological role of this structural feature has not been investigated *in vivo*. Previous *in vitro* studies had shown that *NTHi* lacking sialic acid in their LPS were more susceptible to the bactericidal activity of normal human serum (6), and we hypothesized that lack of sialylation might enhance clearance by host defenses and reduce virulence *in vivo*. We constructed a mutation in the sialyltransferase (*Lic3A*) of *NTHi* strain 486, because our previous studies had shown that this enzyme was essential for LPS sialylation in this strain (17). Inactivation of *lic3A* in strain 486 resulted in its profound attenuation when compared with the isogenic WT parent strain. It was then important to determine whether the apparently critical virulence role of LPS sialylation in strain 486 might be a characteristic of other genetically distinct *NTHi* strains. All isolates of *NTHi* studied to date incorporate Neu5Ac as a common constituent of LPS (8), but it is also known that the patterns of sialylation of LPS in *Hi* are complex (6, 7, 20), and at least three distinct sialyltransferases have been identified (7, 20, 21). On the basis of the complete genome sequence of *Hi* strain Rd, the intrinsic pathway for synthesis of sialic acid is missing several key enzymes, a finding consistent with the observation that an exogenous source of Neu5Ac is required (7, 25). However, all *NTHi* isolates examined to date have the *siaB* gene (6), and its inactivation provides a convenient strategy to construct sialic acid-deficient LPS in any *NTHi* strain. Thus, in addition to strain 486, we investigated the contribution of sialylation in four additional genetically diverse strains of *NTHi* (Fig. 1) (14), which elaborate different sialylated glycoforms. The attenuation of virulence observed in all five *siaB* mutant strains provides compelling evidence of the importance of sialylation irrespective of the different molecular environments in which it is located.

The mechanism by which sialylation of LPS contributes to virulence is clearly a key to understanding the pathogenesis of OM caused by both encapsulated and nontypeable strains. Since its detection in *Escherichia coli* K1, sialic acid has been implicated as a virulence factor in several bacterial, fungal, and protozoal species. As a general mechanism, sialic acid functions as an antirecognition molecule, allowing the sialylated microbe to masquerade as “self” while eluding host immune mechanisms that would otherwise rapidly clear an unsialylated strain (27). In addition to molecular mimicry, sialic acid down-regulates the insertion of the membrane attack complex of complement, thereby inhibiting bacteriolysis (28, 29). However, the relevance of these mechanisms to the virulence of *NTHi* in the chinchilla model must be speculative, given that chinchilla sera do not support *in vitro* bactericidal activity in the absence of an exogenous source of complement. Sialylation also modulates microbial interactions with host cells, for example by inhibiting phagocytosis or by sterically impeding the interaction of cell surface molecules such as adhesins and invasins (9, 30–34).

The present studies go beyond the previous demonstration of the need for an exogenous source of sialic acid by identifying the requirement for sialylation of LPS by *NTHi* in the pathogenesis of experimental OM. Sialylated glycoforms were identified by direct analysis using CE-ESI-MS of *ex vivo* organisms from middle ear washings obtained during the course of experimental middle ear infection, although sialylated glycoforms were undetectable in the inoculum. We confirmed that middle ear infection

did not result from selection of phase variants in the *lic3A* and *lic2A* genes.

Sialylated LPS glycoforms were observed in samples of exudates from the middle ears of chinchillas at two time points after infection for WT strains 486 and 375. It should be emphasized that, to date, all previously published structural analyses on bacterial glycolipids have been done on material extracted from *in vitro* grown organisms irrespective of the bacterial species. Direct analysis of LPS structure using *ex vivo* organisms has not been previously described. We estimate that LPS from $\approx 10^7$ bacteria is detectable by our microextraction CE-ESI-MS analytical procedure (data not shown). It is likely that LPS glycoforms were not detected by CE-ESI-MS in samples of exudate before 4 days or after 8 days because the numbers of bacteria present in those specimens were too few to allow detection of the glycoforms. In summary, we have obtained clear evidence of sialylated glycoforms from organisms isolated from chinchilla

middle ears during the acute phase of infection, although sialylated glycoforms were consistently absent in the inoculum of *Hi* organisms used to infect the chinchillas, thus demonstrating that modification of the LPS phenotype had occurred during the course of the infection.

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