

## Invited Editorial: Cystic Fibrosis Genotypes and Views on Screening Are Both Heterogeneous and Population Related

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An article in this issue of the *Journal* (Abeliovich et al. 1992) and two others elsewhere (Férec et al. 1992; Rozen et al. 1992) describe three different populations, in Israel, Brittany, and Quebec, in which just a few identifiable mutations account for 93%–98% of those causing cystic fibrosis (CF) disease in the region. This level of mutation detection constitutes a threshold, below which one approaches CF disease prevention at the family level, by genetic testing and counseling, and above which one might also approach it at the level of the community, by population screening (ten Kate 1990). (We distinguish here between genetic screening and testing [U.S. Congress Office of Technology Assessment 1992, p. 4]. The former refers to a test applied to a population, group, or individual to distinguish between persons with the condition and those without it. Testing implies the use of specific methods to identify the status of consultands known to be at high risk because of family history.) Whether in the newborn or in carriers of reproductive age, screening for CF has been a controversial topic involving issues such as community structures to support screening, equitable access to health care, and commercial implications, among others.

"Cystic fibrosis of the pancreas" was formally reported for the first time in 1938; in the 1940s the term "mucoviscidosis" was coined to describe the character of CF disease; autosomal recessive inheritance was recognized in the mid-1940s; a test for diagnosis of suspected cases by means of sweat electrolyte content appeared in the 1950s. This knowledge, combined

with aggressive therapy (chest percussion, antibiotics, and digestive-enzyme replacement), significantly improved quality of life and longevity for CF patients (Boat et al. 1989; Collins 1992; U.S. Congress Office of Technology Assessment 1992, pp. 5–6). Median survival age, once less than 2 years, is now 31.8 years (27.5 years for females and 36.6 years for males) for the 5-year period 1986–1991 in Canada, for example (Canadian Cystic Fibrosis Foundation 1992). Meantime, studies describing the patient's view of CF disease are noticeable by their rarity (this is true, of course, for most diseases). One report tells of adult-age patients with typical CF who function on a par with their healthy peers in nearly all aspects (Shepherd et al. 1990). All of this clearly represents better news for the CF patient than was the case 50 years ago. Yet there are shadows; median life span is not equal to that of persons without CF; the disease shows considerable variation in severity among patients, which is not entirely explained by CFTR genotype; the definition of CF disease has broadened; and prognosis seems not to have been improved yet by newborn screening for earlier diagnosis and onset of treatment.

Then, in the late 1980s, the gene that is mutant in CF patients was isolated by positional cloning (Rommens et al. 1989) and was characterized and named the "cystic fibrosis transmembrane conductance regulator" (gene symbol CFTR) (Riordan et al. 1989), and analyzed for mutations (Kerem et al. 1989). It had already been settled that mutation at only one locus was sufficient to explain inheritance of CF (Romeo et al. 1985; Beaudet et al. 1986; Tsui and Buchwald 1988). Mutation and haplotype analysis revealed that, whereas allelic heterogeneity in CF (Cutting et al. 1989; Kerem et al. 1989; Lemna et al. 1990) might explain some of the phenotypic heterogeneity and refine prognosis (Kerem et al. 1989, 1990; Cutting et al. 1990; Kobayashi et al. 1990; Hamosh et al. 1992; Kristidis et al. 1992; Santamaria et al. 1992; Wine

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1992), at the same time it complicated the use of a DNA test. These developments catalyzed studies to document the distribution of mutant alleles in human populations (Cystic Fibrosis Genetic Analysis Consortium 1990; European Working Group on CF Genetics 1990); to discover that one allele ( $\Delta F508$ ) accounts for 70% of mutations detected in northern Europeans but accounts for a lower percentage in southern Europeans; and to address questions about the putative mechanisms (Meindl 1987; Jorde and Lathrop 1988; Romeo et al. 1989; Tsui 1989) underlying the polymorphic frequency (up to .02) of CF alleles in Caucasian populations of European descent. Over 200 mutations have been reported to the Cystic Fibrosis Genetic Analysis Consortium.

Knowledge about mutant CFTR genotypes is pertinent to screening; so is the new knowledge about the CFTR protein. The gene product is an ATP-dependent membrane transporter (Riordan et al. 1989), functioning as a small-conductance chloride channel (Anderson et al. 1991; Bear et al. 1992; Welsh et al. 1992). Malfunction of this channel in respiratory (Knowles et al. 1983), sweat-gland (Quinton 1983), pancreatic (Bradbury et al. 1992), and reproductive-tract epithelium (Trezise and Buchwald 1991) and in the acidification-dependent mechanisms for sialylation and sulfation of mucus proteins (Barasch et al. 1991) could explain many components of the CF disease phenotype. With this knowledge, the possibilities for somatic gene therapy (Rosenfeld et al. 1992) and for drug therapy with amiloride (Knowles et al. 1990), aerosolized  $\alpha 1$ -antitrypsin (McElvaney et al. 1991), aerosolized ATP or UTP (Knowles et al. 1991), and human DNAase (Hubbard et al. 1992) are now tangible (Collins 1992).

The absence, up to now, of both a simple method to detect all CFTR mutations at once and a phenotype test analogous to the ones used to screen carriers for Tay-Sachs or  $\beta$ -thalassemia mutations, for example, signifies reduced sensitivity of the CF screening test; and these circumstances also compromise the effectiveness of mutation analysis for diagnosis and counseling of individuals or couples at high risk. Accordingly, The American Society of Human Genetics (ASHG) issued a statement (Caskey et al. 1990)—and the *Journal* published an editorial (Beaudet 1990)—urging the community to “proceed with caution,” a message widely echoed (Colten 1990; Cystic fibrosis: prospects for screening and therapy 1990; Workshop on Population Screening for the Cystic Fibrosis Gene 1990). At the time, there was a broad consensus on

these points: mass screening should not be implemented; couples should be informed about available tests when a partner had a close relative affected with CF; there should be follow-up to counseling and interpretation of the test result; access to the appropriate health-care resources should be equitable; there should be quality control of tests; and any population-oriented initiatives should reflect findings in pilot studies. Those positions are retained in a forthcoming statement from the ASHG Ad Hoc Committee on Cystic Fibrosis Carrier Screening, and they are reiterated elsewhere (Biesecker et al. 1992). The result is that current knowledge about CF genotypes and phenotypes is not yet being translated into programs in a way corresponding to that experienced with phenylketonuria (newborn screening), Tay-Sachs disease, and  $\beta$ -thalassemia (carrier screening). The reasons why will make an interesting topic for research by social scientists and historians of science.

The medical and social implications of DNA-based population screening for CF carriers, notably for users of American health-care systems, were examined in a widely cited article (Wilfond and Fost 1990). The issues were recently summarized in this way:

Prospects for routine CF carrier screening are viewed with mixed feelings. Invariably, discussions about CF carrier screening focus on how health insurers use, or might use, genetic information and they become linked to the broader debate about health care access in the United States. Some also question the adequacy of quality assurance for DNA diagnostic facilities and the tests themselves. Today's tests detect 85 to 95 percent of CF carriers depending on a person's race and ethnicity. This lack of perfection troubles those who would like more sensitive detection rates before the tests are put to widespread use. Some also wonder whether the current number of genetic specialists can handle a swell of CF carrier screening cases, let alone cases for tests for other genetic conditions made possible by the Human Genome Project. And fundamental to consideration of CF carrier screening is the issue of genetic counseling and abortion. [From the Report Brief on the OTA report on cystic fibrosis screening (U.S. Congress Office of Technology Assessment 1992)]

Many opinions opposing population screening for CF carriers were published, but only one important fact emerged relevant to screening for this or any other autosomal recessive condition; it concerned sensitivity of the DNA test in relation to the corresponding frequencies of the allele(s) detected by the screening test

(ten Kate 1989, 1990). If one screens only for the most prevalent allele(s), there will be couples where only one partner has been identified as a carrier but where the other partner has not been excluded from carrier status. This means that the postscreening risk that this couple will have an affected child may not be less than the prescreening risk. In a population where incidence of the target disease is 1/2,500 and the carrier frequency is 1/25, the threshold (sensitivity) value at which postscreening risk, ascertained by the DNA test, is less than prescreening risk is about 96% mutation detection (ten Kate 1989). The sensitivity threshold can drop to about 90% if one chooses for the couple an arbitrary risk below 1/1,000 (ten Kate 1990). The threshold value will, of course, change according to the precise incidence of CF disease in a given population. *In three different populations, detection rates above 92% have now been reported for small subsets of known CF mutations* (table 1). In Denmark and northwestern England (Cutting et al. 1992; Ferrie et al. 1992), detection rates exceed 90%. If population screening began in such regions, what would be the purpose?

Genetic screening has three rationales (National Academy of Sciences 1975): (1) early treatment to prevent disease, (2) genetic counseling to avoid disease, and (3) research. In CF, the second and third rationales are relevant, and, if treatment improves dramatically (see above), the first rationale will become important. Newborn screening by the dried-blood-spot immunoreactive assay for trypsinogen excess (an indirect test for CF; see Rock et al. 1990; Hammond et al. 1991) is considered by some to be suitable for mass screening. It has an apparent false-positive rate of 0.3%, a sensitivity of .88 (when CF infants presenting with meconium ileus are excluded), and a reasonable cost (approximately \$2.50/infant screened) (Hammond et al. 1991). Others (Holtzman 1991) are skeptical that this or any other mode of newborn screening for CF will, at present, confer a significant benefit either to the CF patient or to society. Providers of newborn screening are themselves cautious about the overall benefits (now) of an early diagnosis (Hammond et al. 1991). On the other hand, newborn screening (in a research mode) has revealed that a significant proportion of CF infants begin postnatal life with pancreatic sufficiency and then develop insufficiency (Waters et al. 1990). If early diagnosis combined with new forms of drug therapy (see above) could prevent deterioration of pancreatic function, that would be a benefit. It is worth giving consideration to aspects of CF

such as this when one is designing the randomized controlled trials of newborn CF screening, which are recommended (Holtzman 1991). In the research mode, population screening for carrier detection will provide a third method to estimate gene frequencies and to compare these estimates with those from case findings in epidemiologic studies (see Abeliovich et al. 1992) and from newborn screening (see Boat et al. 1989; table 108-7).

Another product of CF screening research is likely to be more information about the expanding continuum of associations between clinical disease and CFTR mutations—e.g., the associations between CFTR mutations and (1) chronic bronchial hypersecretion (Dumur et al. 1990) and (2) males with infertility due to congenital absence of the vas deferens, in whom the frequency of having a mutant CFTR allele is 10–16 times normal (Rigot et al. 1991; Anguiano et al. 1992). The major rationale in screening for carriers of recessive alleles is to provide genetic counseling. Suppose that the technical criteria for CF screening are met, as they are now in three populations (table 1); does one establish screening programs there for the purpose of counseling heterozygotes? The answer depends in part on where one lives, the social values of the population, and the type of health-care system in effect. The latter is a determinant of both access to genetic screening services (National Academy of Sciences 1975) and the resources the community can provide to make the process equitable (Modell 1990). CF carrier screening is likely to be instituted earlier in regions with this form of insurance than in those without national health insurance. Nonetheless, there are abiding concerns about CF screening—concerns that did not emerge (or persist) to the same extent in Tay-Sachs and  $\beta$ -thalassemia carrier screening programs; among these concerns are those mentioned above, as well as potential competition, between contending strategies (cure, treatment, and avoidance), for limited resources; insufficiently informed public and private opinion; and confidentiality of the information obtained by testing. There has been no shortage of opinion about the potential harm arising from population screening for CF. There is a comparative dearth of information on the views of the potential clients. The latter is now beginning to appear; it is interesting, and it originates from patients, their families, unaffected couples, pregnant women, adolescent-age students, and the community at large.

An Australian survey (Turner et al. 1991), distributed to members of the New South Wales Cystic Fibro-

**Table 1****Distribution of Mutations in CF Patients**

Population (reference [no. of CF chromosomes]) and Mutation	Distribution (%)	
Askhenazi from Israel (Abeliovich et al. 1992 [94]; Shoshani et al. 1992 [95]):		
W1282X .....	48	60
ΔF508 .....	30	22
G542X .....	12	4
3849 + 10 kb, C→T .....	4	0
N1303K .....	3	4
Total .....	97	92 <sup>a</sup>
Celtic Bretons from France (Férec et al. 1992 [365]):		
ΔF508 .....	82	
1078delT .....	5	
G551D .....	4	
1717-1 G→A .....	1	
W846X .....	1	
G91R .....	1	
Three alleles at 0.55% each .....	2	
Ten alleles at 0.27% each .....	3	
Total .....	98 <sup>a</sup>	
French Canadians from northeastern Quebec (Rozen et al. 1992 [181]):		
ΔF508 .....	58	
621 + 1 G→T .....	23	
A455E .....	8	
G85E .....	1	
711 + 1 G→T .....	1	
G542X .....	1	
Y1092X .....	1	
N1303K .....	1	
Total .....	93 <sup>a</sup>	

<sup>a</sup> Because of rounding, individual values do not sum to the total.

sis Foundation, elicited replies both from patients and from parents. Prenatal diagnosis was perceived as an acceptable option by the patients and by the great majority of parents; virtually all respondents supported the option of carrier detection. An American survey (Wertz et al. 1991) elicited from CF parents (68% participation) opinions about abortion for various medical conditions; CF ranked fifth among 12 fetal characteristics considered cause for a voluntary first-trimester legal abortion; only 20% would themselves abort because of CF. An English survey of CF parents found strong support for carrier screening and for opportunities to avoid the disease (Watson et al. 1992a).

Women and couples have been asked about intra-

partum tests for CF carrier status. Pregnant Scottish women approved of both the information given to them (in written form) about CF and the availability of tests (Mennie et al. 1992b); 73% of 4,348 women in the prenatal clinic were then tested for the CF alleles that accounted for 85% of the CFTR mutations in the population (Shrimpton et al. 1991). The observed carrier rate was 1/29; there were four carrier couples, all of whom asked for prenatal diagnosis, and the single affected fetus was terminated (Mennie et al. 1992a).

An American study, of attitudes only (Botkin and Alemagno 1992), surveyed pregnant Caucasians; 98% of the respondents preferred that CF carrier screening be done before pregnancy, but 69% would accept it during pregnancy; only 29% would consider terminating an affected CF fetus (compare with the data in Wertz et al. 1991); 91% thought carrier status would not influence choice of partner, and 67% would seek prenatal diagnosis if both partners were carriers. Another American study, in Amish, Mennonite, and Hutterite CF kindreds and in families from the general population, noted the strong influence of cultural factors on attitudes toward genetic testing and counseling for CF (Miller and Schwartz 1992).

Couples in England who had recently become parents were surveyed for attitudes about both CF carrier screening in particular and genetic screening in general (Green 1992). The respondents were not swayed by the argument that genetic screening costs would diminish other health-care resources; advantages perceived outweighed disadvantages perceived; they showed concern that "the wrong people might get hold of the information and use it to discriminate against carriers"; the majority (60% "definitely" and 22% "possibly") thought a population-based test for CF carriers was worth having, despite its current difficulties, and that it should be made available. As for the timing of the test, 34% favored school leavers (high school students), and 48% favored it at the start of pregnancy. Respondents were overwhelmingly positive about their own participation if CF screening should become available.

A survey of new mothers in Holland found 80% in favor of CF screening (ten Kate and Tijnstra 1990). Perceptions and attitudes among the general public (students, newspaper readers, and new mothers) in Holland favored (96%, 90%, and 80%, respectively) offering a CF screening test; 54%, 77%, and 63%, respectively, said they would make use of the test themselves (ten Kate and Tijnstra 1990). In Belgium,

over 75% of adults favored being informed about the availability of voluntary population screening for CF carriers, but they were not generally well informed as to the nature of CF disease: 63% wanted to know their own carrier status, and 75% would, under the appropriate conditions, consider prenatal diagnosis (Decruyonaere et al. 1992).

Retrospective opinions after uptake of the CF-testing option might be different from prospective attitudes and perceptions. Is there evidence that the experience quells the support for screening? Uptake was high (86%) among the eligible pregnant Scottish women in the clinic (Mennie et al. 1992a). In England it was 66% in general practice and 87% in the family-planning clinics; of persons offered the same option by letter, only 10% were tested (Watson et al. 1991). (Higher participation rates in the opportunistic setting are also seen in Tay-Sachs and thalassemia carrier screening programs.) Use of mouthwash samples instead of blood samples facilitates uptake (Watson et al. 1991; Kaplan et al. 1992). Follow-up of 3,176 persons screened in primary-health-care settings in the United Kingdom (Watson et al. 1992b) showed that 100 carriers of mutant CFTR alleles had been detected, all with no previous family history; the anxiety initially experienced was soon allayed, and 87% of their informed partners were subsequently tested; carriers and noncarriers alike strongly approved of testing, on the basis of their own experience; they said it increased their knowledge of CF.

Scottish students (high school level) surveyed for their prospective opinions about CF screening favored carrier detection (86%) (Cobb et al. 1991). Retrospective opinions were obtained from high school students in Montreal (Kaplan et al. 1991, 1992) after they had voluntarily participated in a project that offered mutation analysis, evaluated understanding of test sensitivity, and estimated unconditional CF allele frequencies. Students understood and accepted the limitations of a DNA test that had imperfect sensitivity; they participated voluntarily at higher rates when the sample was a mouthwash (vs. a blood sample), and they approved of testing, regardless of whether they had themselves participated (at rates up to 70%); findings were not influenced by their ethnicity. Adolescent carriers of mutations for CF, Tay-Sachs (Zeesman et al. 1984), and  $\beta$ -thalassemia (Scriver et al. 1984) were alike in their reception and intended use of the information obtained by a screening test.

One way to find out how society will react to CF carrier screening is to try it and see (Green 1992).

However, the advantages and disadvantages of trying to find out have yet to be evaluated fully (Screening for cystic fibrosis 1992; Davies 1992). "We still need to think very carefully about whether nationwide programmes are what we really want and what the aims and ethical base of such an approach might be" (Screening for cystic fibrosis 1992, p. 210). We agree. Meantime, rates of voluntary uptake of the CF carrier screening option—and citizens' views, both prospective and retrospective, of the experience—suggest that the experiment can continue in some communities, if not everywhere. No doubt, improvement in the technology of mutation detection will facilitate testing and screening for CF carriers (Chehab and Wall 1992; Ferrie et al. 1992; Gasparini et al. 1992). Possible adverse consequences of genetic screening, such as diminished self-perception (Marteau et al. 1992) and discrimination (Billings et al. 1992; Green 1992; Holtzman and Rothstein 1992), will have to be monitored and, if identified, averted. The issues of accessibility and equitability, the health-care context, and the impact of commercialization of CF tests are all brought into sharp focus by the prospect of testing and screening for CF mutations.

"Seeking to learn what the future holds is an enduring human quality. . . . Some people want and seek this information; others do not" (U.S. Congress Office of Technology Assessment 1992, p. 3). The evidence suggests that in some societies the number of citizens who want information about their CFTR locus now exceeds those who say the time has not yet arrived for CF carrier screening. Whose opinion do we heed, and what is to be done?

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