

# Using cryo-EM to measure the dipole potential of a lipid membrane

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The dipole potential of a lipid bilayer membrane accounts for its much larger permeability to anions than cations and affects the conformation and function of membrane proteins. The absolute value of the dipole potential has been very difficult to measure, although its value has been estimated to range from 200 to 1,000 mV from ion translocation rates, the surface potential of lipid monolayers, and molecular dynamics calculations. Here, a point charge probe method was used to investigate the dipole potentials of both ester and ether lipid membranes. The interactions between electrons and lipid molecules were recorded by phase-contrast imaging using cryo-EM. The magnitude and the profile of the dipole potential along the bilayer normal were obtained by subtracting the contribution of the atomic potential from the cryo-EM image intensity. The peak dipole potential was estimated to be 510 and 260 mV for diphytanoylphosphatidylcholine and diphytanoylphosphatidylcholine, respectively.

liposome | phospholipid | atomic potential | electron-phase shift | molecular dynamics

Negatively charged molecules diffuse across phospholipid membranes with great ease when compared with positively charged ones (1). For example, the tetraphenylborate anion (TPB<sup>-</sup>) has a permeability  $\approx 3 \times 10^7$  larger than the identically sized tetraphenylarsonium cation (TPA<sup>+</sup>) in membranes formed from the neutral lipid phosphatidylcholine (2). A remarkably large positive electrostatic potential in the interior of the membrane accounts for much of this permeability difference. The size of this potential is influenced little by the charge or nature of the polar headgroups, but is thought mainly to arise from the dipole moment of the ester linkage of the hydrocarbon chains (Fig. 1) (3). Beside the translocation rates of ions across lipid membranes, this dipole potential affects the structure and function of membrane-incorporated proteins such as a model amphiphilic peptide (4), gramicidin A (5, 6), and phospholipase A (7). It has also been suggested that the dipole potential may play a role in the function and conformation of proteins in lipid rafts, where the dipole potential is different from surrounding lipids because of associated sterols within the raft structure (8).

The absolute value of the dipole potential has been very difficult to measure or predict, and estimates obtained from various methods range from +200 to +1,000 mV (Table 1). One experimental method, the bilayer method, relies on the assumption that the thermodynamic properties of TPA<sup>+</sup> and TPB<sup>-</sup> are identical, except for their charges. In this case the ratio of permeabilities directly yields an estimate of  $\approx 230$  mV for the peak dipole potential in a phosphatidylcholine membrane. A relaxation of the assumption of identical properties (9, 10), in particular taking into account the smaller hydration energy of TPB<sup>-</sup> (11) yields estimates for the dipole potential that are  $\approx 100$  mV larger (Table 1, Bilayer +  $\Delta G_{\text{hydr}}$  values).

In the lipid monolayer method, an “air electrode” is used to measure the potential above a lipid monolayer spread on an air–water interface. The difference between this potential and that of the bare air–water interface is taken to be a measure of the dipole potential, yielding values  $\approx 450$  mV. Unfortunately, it

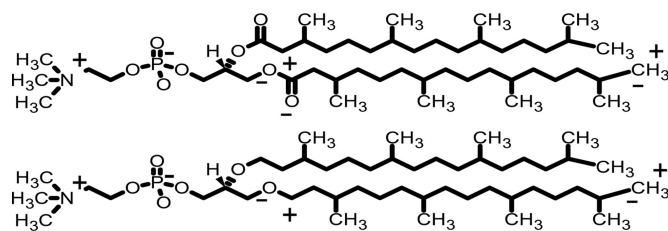


Fig. 1. The chemical structures of ester-DPhPC (Upper) and ether-DPhPC (Lower). The main partial charges contributing to the dipole potential are labeled as + and - on one chain of each lipid.

is not known what the absolute size of the air–water potential difference is, and whether the subtraction of this potential is justified. Voltage-sensitive dyes have also been used as probes for dipole potentials, indicating changes in the dipole potential as the dopants phloretin and 6-ketocholestanol decrease or increase the dipole potential, respectively (4, 12, 13). Unfortunately, the dyes have not provided measurements of the absolute magnitude of the dipole potential. Considered together, the existing experimental methods leave a very large uncertainty, 100–200 mV in size, in the value of the dipole potential (14).

Molecular dynamics (MD) calculations can predict the size and spatial dependence of the dipole potential. MD simulations have been quite successful in reproducing the structure of lipid bilayers, as measured with x-ray and neutron scattering (15, 16). However, MD predictions of electrostatic details are less reliable, because the models only use point charges and do not allow for the polarization of atoms and bonds in response to electric fields. MD simulations using the all-atom (including explicit hydrogens) CHARMM models yield peak dipole potentials of  $\approx 1,000$  mV, whereas the united-atom AMBER and GROMOS models yield peak potentials of 500–600 mV. It is interesting to note that both experiments and MD simulations yield much smaller dipole potentials for phospholipids with an ether linkage than those with a conventional ester linkage (Table 1).

A direct measurement of dipole potentials in a lipid bilayer membrane would use point charge probes instead of large hydrophobic ions or voltage-sensitive dye molecules. During our attempts to develop a quantitative model for the images of liposomes embedded in vitreous ice using cryo-EM, we found that a dipole potential can make a substantial contribution to the images. Thus we propose to record the phase shift of electrons, as they pass through regions with different electrostatic poten-

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Abbreviations: MD, molecular dynamics; ester-DPhPC, diphytanoylphosphatidylcholine; ether-DPhPC, diphytanoylphosphatidylcholine; DPPC, dipalmitoylphosphatidylcholine; CTF, contrast-transfer function.

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**Table 1. Phosphatidylcholine (PC) membrane dipole potentials in mV, obtained by various methods**

Method	PC (Ester)	PC (Ether)	Notes
Bilayer	227 ± 9 (32)	109 ± 6 (32)	DPPC (16:0 PC)
	243 ± 4 (31)	114 ± 7 (31)	
	228 ± 5 (31)		DPhPC (4ME 16:0 PC)
Bilayer + $\Delta G_{\text{hydr}}^*$	346 (11)	228 (11)	DPPC (16:0 PC)
Monolayer	449 (43)	359 (43)	DMPC (14:0 PC)
MD simulation	950 (44)		DMPC (14:0 PC); CHARMM (all-atom) 512 lipids
	1,002 (17)	567 (17)	DPhPC (4ME 16:0 PC); CHARMM (all-atom) 72 lipids
	600 (45)		DPPC (16:0 PC); AMBER (united-atom) 64 lipids
	557 (46)		DPPC (16:0 PC); GROMACS 256 lipids
	500 (47)		DOPC (18:1 PC); GROMOS (united-atom) 128 lipids
	510 (this work)	260 (this work)	DPhPC (4ME 16:0 PC)

Citations are indicated by numbers in parentheses. DP, dipalmitoyl; DPh, diphytanoyl; DM, dimyrstoyl; ME, methyl.

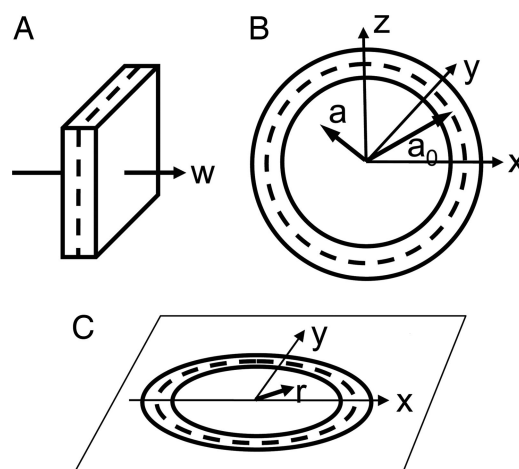
\* $\Delta G_{\text{hydr}}$  is the correction made to account for the hydration energy difference between  $\text{TPA}^+$  and  $\text{TPB}^-$ .

tials in rapidly frozen phospholipid bilayers, to provide an estimate of the dipole potential.

In the experiments described here we use two lipids that are expected to yield very different dipole potentials (Fig. 1). The branched-chain lipid diphytanoyl phosphatidylcholine (ester-DPhPC) forms robust membranes in artificial systems; it and its ether analogue, diphytanoyl phosphatidylcholine (ether-DPhPC) were the objects of a recent MD simulation (17), which we use as a benchmark and structural reference for our study.

In materials science two methods have been used to measure electrostatic potentials from electron-phase shifts in the electron microscope. Electron holography is an interference technique, in which an object electron-wave (which has passed through the sample) is compared with a reference wave (which has passed through vacuum). The interference allows the absolute phase shift of the object wave to be determined with high precision (18–20). However, the required specimen geometry, with a reference path displaced only tens of nanometers from the object-beam path, is not practical for cryo-EM images of membranes. A second method is Fresnel contrast analysis (21) where the positions of interference fringes are analyzed as the microscope focus is varied, yielding precise fits to models of the spatial variation in potential. Unfortunately, the radiation sensitivity of biological molecules precludes the acquisition of defocus series from a single specimen. Instead, we use here single images obtained with the bright-field, phase-contrast imaging that is commonly used for cryo-EM specimens. To provide a quantitative calibration of our images, we rely on structural information from MD simulations.

In cryo-EM, the primary mechanism for image contrast is the phase shift in the electron-wave function (elastic scattering) as it passes through the specimen. The total phase shift is propor-



**Fig. 2.** Coordinate systems for the modeling of vesicle membranes. (A) The scattering profile  $\gamma(w)$  of a planar membrane. (B) A slice of the spherical profile  $\gamma(a - a_0)$ , where  $a_0$  is the nominal vesicle radius. (C) The projected scattering density, described in polar coordinates as  $\Gamma(r)$ .

tional to the integrated electrostatic potential (the projected potential) along the path of the electron. The intensity of the recorded image is expected to vary in proportion to the phase shift when the weak phase object approximation is used for defocused imaging (22). Thus the recorded image intensity is a reflection of the electrostatic potential of the specimen. For isolated, neutral atoms, the electrostatic potential of the specimen is the linear superposition of the shielded coulomb potential of the atoms (the atomic potential). When bonds are formed between atoms, the outer valence electrons rearrange themselves, and additional electrostatic potentials arise from the resulting charge displacements. Zhong *et al.* (23) have shown that high-resolution cryo-EM data are better described when molecular bonding effects are taken into consideration. However, for the low-resolution data considered here we will assume that the projected potential is simply the superposition of the atomic potential and any additional electrostatic potentials.

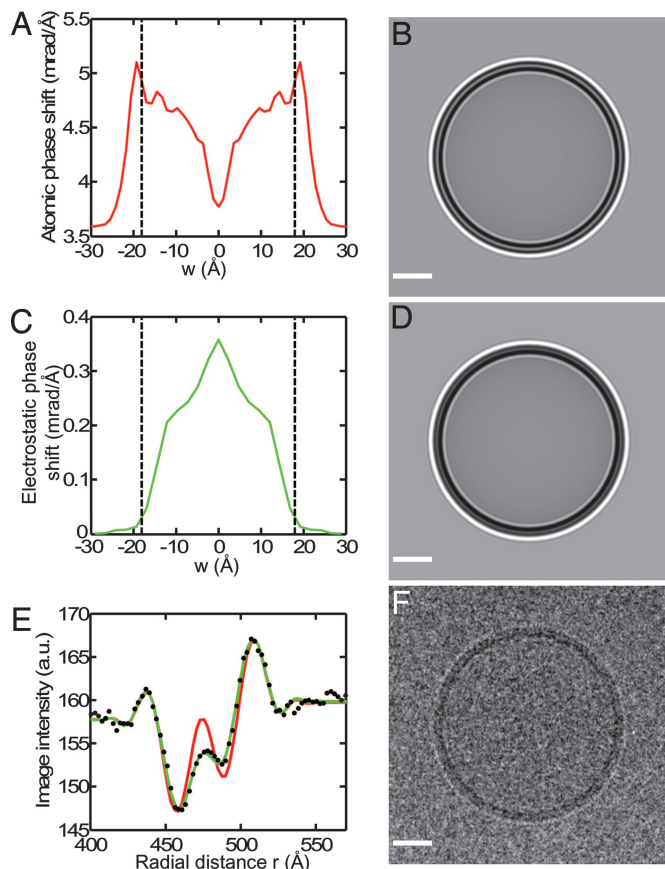
## Results

We recorded cryo-EM images of highly spherical, osmotically swollen liposomes with diameters of 500–1,000 Å trapped in a layer of vitreous ice of 1,000- to 1,500-Å thickness.

For comparison with experimental images, we started with a model of a planar membrane, computing the projected potential and therefore the elastic scattering profile  $\gamma(w)$  for electron paths parallel to the membrane. We then modeled a spherical vesicle membrane (Fig. 2) by assuming the same scattering profile  $\gamma(a - a_0)$  along the radial direction  $a$  for a vesicle of nominal radius  $a_0$ . Finally, to construct the 2D image, we computed the projection along the  $z$  axis to obtain the projected scattering profile  $\Gamma(r)$ .

The planar membrane model was based on an MD simulation by Shinoda *et al.* (17). In their work the membrane consisted of 72 ester-DPhPC molecules in a periodic box that also contained 2,088 water molecules. From a 10-ns simulation of the system at 298 K was obtained the time-averaged density  $\rho_i(w)$  (units of  $\text{\AA}^{-3}$ ) of each atom type as a function of the location  $w$  along the membrane normal; for example, far from the membrane the density of water molecules was  $0.0334 \text{\AA}^{-3}$ , which corresponds to a water density of  $1.0 \text{ g/cm}^3$ .

From the atom density we computed the neutral-atom phase shift  $\gamma_n$  (units of  $\text{mrad/\AA}$ ), which we also call the scattering profile, according to:

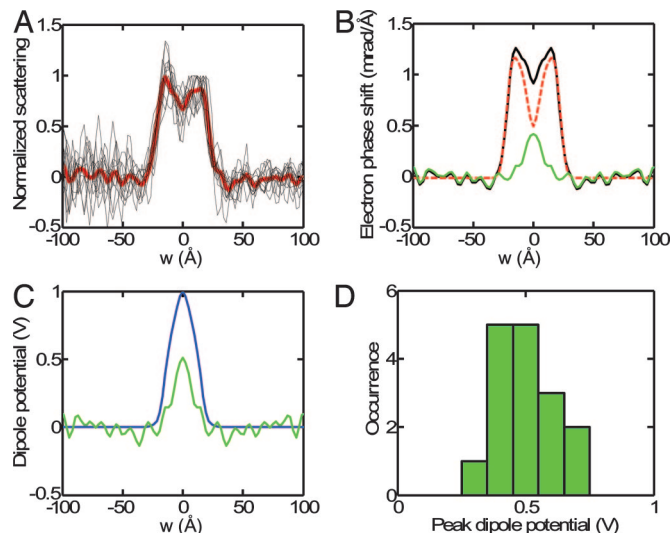


**Fig. 3.** Modeling the image of a phospholipid vesicle. (A) Atomic phase-shift profile of an ester-DPhPC bilayer, computed from Eq. 1. Dashed lines indicate the approximate boundary of the headgroup region, at  $w = \pm 18$  Å. (B) Simulated image of a spherical vesicle of radius 470 Å having the profile of A. (C) Profile of phase shift from electrostatic potential within an ester-DPhPC membrane. The potentials from an MD simulation (17) were scaled to a peak value of 500 mV and converted to electron phase shift. (D) Computed image from the sum of contributions from the atomic potential A and the electrostatic potential C. Note the less-prominent ring in the center of the membrane image. (E) The circularly averaged, experimental image intensity (black dots) is compared with fits by the atomic potential alone (red curve) and the sum of atomic potential and dipole potential (green curve). The latter accounts for the experimental points very well. (F) The experimental image, Gaussian-filtered (half power at  $1/24$  Å<sup>-1</sup>) for display. The image was acquired with defocus 2.45 μm, and the fitted  $B$  factor was 274 Å<sup>2</sup>; the same parameters were used in the simulations. (Scale bars: B, D, and F, 200 Å.)

$$\gamma_n(w) = \sigma_e \sum_i V_i \rho_i(w). \quad [1]$$

Here  $V_i$  is the spatially integrated, shielded coulomb potential for an isolated, neutral atom [ $V_i = 25, 130, 108, 97,$  and  $267$  V·Å<sup>3</sup> for hydrogen, carbon, nitrogen, oxygen, and phosphorous, respectively, calculated from published parameters (22)]; and the interaction parameter  $\sigma_e$  describes the first-order dependence of electron phase on projected potential (0.73 mrad/V·Å for 200 keV electrons) (22). The resulting function (Fig. 3A) shows that an electron passing near the phospholipid headgroups ( $w = \pm 20$  Å) would experience a phase shift of  $\approx 5$  mrad per Å of electron path length. At the center of the bilayer ( $w = 0$ ) the atomic phase shift is 3.7 mrad/Å, about the same as the value for the water outside of the membrane.

From this model we computed the image of a spherical membrane vesicle, as it would appear in projection in the electron microscope (Fig. 3B). The complicated profile comes



**Fig. 4.** Cross-sectional profiles of ester-DPhPC lipid vesicles. (A) Individual membrane profiles  $\gamma_{est}(w)$  are shown aligned and superimposed, with the mean indicated in red. (B) Model fitting. The solid black curve is the averaged and symmetrized membrane profile. It is scaled to match the atomic phase shift from Eq. 1 (red dashed curve), which has been shifted to make the baseline zero and convolved with a Gaussian function of 3-Å width. The green curve is the residual, taken to be the dipole potential phase shift. (C) Comparison of dipole potential profiles from the cryo-EM method (green curve) and MD simulation (blue curve, after convolution with the 3-Å Gaussian). (D) Histogram of peak dipole potentials obtained from fitting models to the image densities from 16 vesicles.

from the contrast-transfer function (CTF) of the imaging system. The modeling of the CTF is described in *Materials and Methods*.

The MD simulation of Shinoda *et al.* (17) also provides an estimate of the time-averaged electrostatic potential  $\phi$  throughout the ester-DPhPC bilayer membrane. Fig. 3C shows the expected electron phase shift  $\gamma_\phi(w) = \sigma_e \phi(w)$ , when  $\phi(w)$  is scaled to have a maximum value of 500 mV. When  $\gamma_\phi$  is added to the atomic phase shift, the simulated image has a less prominent central ring (Fig. 3D) as the peak of dipole potential compensates partially for the central dip in the atomic phase shift. The effect is clearly seen in comparison with an actual cryo-EM image of a DPhPC vesicle. The atomic phase shift alone (Fig. 3E, red curve) deviates strongly from the observed image intensity, whereas the sum of atomic and dipole-potential phase shift describes the observed image intensity well.

For a more informative comparison of models with experimental images, we derived the scattering profile of a slice of membrane directly from the experimental images. This was done through application of the Fourier slice theorem and the Hankel transform (24–26) to the rotationally averaged intensity of an image. The resulting profile can then be compared directly with the scattering profile of a membrane.

The scattering profiles of ester-DPhPC membranes, computed in this way from 16 images of individual vesicles, are shown in Fig. 4A. Each individual membrane profile shows a consistent thickness of the bilayer membrane and a dip at the bilayer center. The FWHM is 44 Å, close to the steric thickness of a dipalmitoyl phosphatidylcholine (DPPC) membrane, 46 Å (16, 27, 28). The mean profile (red curve in Fig. 4A) shows a higher peak density at negative values of  $w$ , corresponding to the inner leaflet of the vesicle membrane. The slightly higher density is expected from the crowding of headgroups in this leaflet because of the membrane curvature. For further analysis, involving comparison with a planar membrane, we made an approximate correction for this effect by symmetrizing the profile.



It is remarkable that a cryo-EM image of a lipid membrane is influenced significantly by electrostatic potentials. We wonder whether cryo-EM may turn out to be a useful tool for the examination of the electrostatics of other biological structures as well.

## Materials and Methods

**Vesicle Formation.** Ester- and ether-DPhPC (Avanti, Alabaster, AL) were used as received. The lipids were hydrated in Hepes-buffered KCl solution (135 mM KCl/5 mM NaCl/1 mM EDTA/10 mM Hepes, pH 7.4) to a concentration of 4 mg/ml, frozen and thawed 10 times, and extruded through an 80-nm polycarbonate membrane filter (Whatman, Middlesex, UK) using a Lipex extruder (Northern Lipids Inc., Vancouver, Canada) (33). To obtain highly spherical vesicles, we swelled them by repeated osmotic shocks, adding water to the vesicle suspension (11%, 14%, 18%, 24%, and 33% of the original volume) at 1-h intervals at room temperature.

**Cryo-EM Imaging.** A holey carbon film was formed by the microcontact printing method (K. G. Klemic and D. A. Chester, personal communication) on the polished “front” side of copper–palladium grids (Ted Pella, Redding, CA). Before use, the grids were glow-discharged in air for 45 s on each side. Six microliters of the swollen vesicle suspension was loaded onto the front side and blotted from the back side for 3–6 s with a slip of filter paper (Whatman). The specimen was rapidly frozen by plunging into liquid ethane and stored in liquid nitrogen. Images of vesicles within the holes in the carbon film were obtained by using a Tecnai F20 electron microscope (FEI, Hillsboro, OR) at 200 keV with 20- or 30- $\mu\text{m}$  objective apertures. The dose for each exposure was  $\approx 20 \text{ e}/\text{\AA}^2$ . Images were taken at 45,000 or 50,000 magnification and 2.0- to 3.3- $\mu\text{m}$  defocus and recorded on SO-163 film (Kodak, Rochester, NY). This level of defocus was chosen because in simulations a defocus of 2.5  $\mu\text{m}$  gave the largest sensitivity to variations of electron scattering in the membrane interior. Negatives were scanned with a SCAI film scanner (Zeiss, Thornwood, NY) to an effective pixel size  $b = 2.4 \text{ \AA}$ . Estimates of the defocus and other parameters of the CTF were obtained by fits to image power spectra from the carbon surrounding the holes, under the assumption that the amorphous carbon is a random object with constant structure factor magnitudes in the spatial frequency range of 1/30 to 1/10  $\text{\AA}^{-1}$ .

**Models of Image Formation.** Given the assumed scattering density  $\gamma(a - a_0)$  of a spherical membrane, the projection onto two dimensions of this density is obtained by integrating along the  $z$  direction (Fig. 2). This integral can be written as:

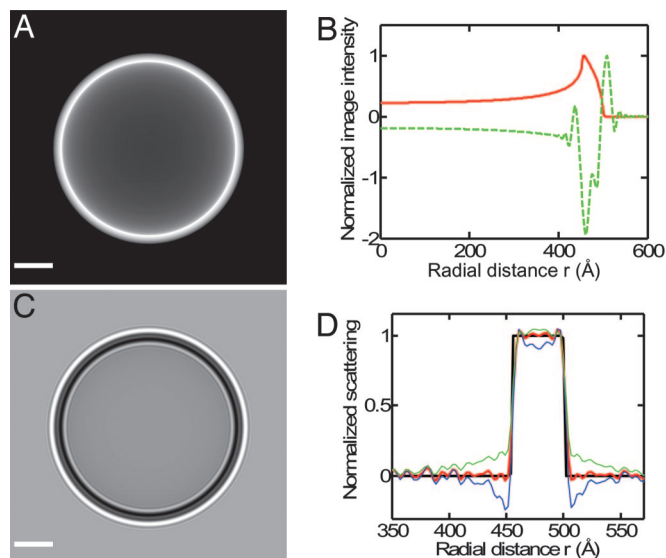
$$\Gamma(r) = 2 \int_r^{\infty} \frac{\gamma(a - a_0)}{\sqrt{1 - (r/a)^2}} da, \quad [2]$$

where  $r$  is the distance from the center of the projected image. An example of such a projection is shown in Fig. 6A, and its radial dependence  $\Gamma(r)$  is shown as the red curve in Fig. 6B.

In the transmission electron microscope, phase contrast is obtained by focusing the objective lens on a plane at a distance  $\Delta z$  above the specimen. At this plane the additional phase shift  $\chi$  of scattered electron waves varies with the spatial frequency according to:

$$\chi(\mathbf{s}) = -\pi\lambda\Delta z|\mathbf{s}|^2, \quad [3]$$

where  $\lambda$  is the wavelength of the imaging electrons and  $\mathbf{s}$  is the 2D spatial-frequency variable. (Here we ignore higher-order dependences on  $\mathbf{s}$ , in view of the limited resolution of our data



**Fig. 6.** Tests for the reliability of the profile computation and systematic fitting errors. (A) Projection image of a model vesicle with a rectangular membrane profile. (B) Rotationally averaged image intensity corresponding to A (red curve) and C (green dashed curve). (C) Simulated image, obtained from A assuming the contrast transfer function (Eq. 5) with a defocus  $\Delta z = 2.4 \mu\text{m}$  and  $B = 300 \text{ \AA}^2$ . (D) Comparison between the original (black line) and reconstructed (red line) membrane profile after inverse filtering and Hankel transform. This reconstruction from noiseless data matches the original profile well. Blue and green curves show the effects of changing the value of the CTF parameter  $Q$  in the simulation, but not in the reconstruction, by  $+0.05$  and  $-0.03$ , respectively. (Scale bar: A and C, 200  $\text{\AA}$ .)

with  $|\mathbf{s}| \leq 1/9 \text{ \AA}^{-1}$ ). The recorded image intensity shows small variations about a constant value  $I_0$ . In the weak-phase approximation these variations are proportional to the structure factor  $f(\mathbf{s})$  [the Fourier transform of the scattering density  $\Gamma(r)$ ] according to:

$$I(\mathbf{s}) = I_0(1 + mc(\mathbf{s})f(\mathbf{s})), \quad [4]$$

where  $m$  is an unknown scaling factor, and  $c(\mathbf{s})$  is the CTF typically (34) expressed as:

$$c(\mathbf{s}) = [\sin(\chi) - Q\cos(\chi)]\exp(-B|\mathbf{s}|^2). \quad [5]$$

The  $\sin(\chi)$  term represents the image contrast arising from electron phase shifts  $\Gamma(r)$  in the specimen. There is also a small amplitude-contrast contribution to the image, modeled as being proportional to  $\Gamma(r)$ , which is represented by the cosine term. The values of the parameters  $\Delta z$ ,  $B$ , and  $Q$  were obtained from fitting image power spectra as described above. We estimate the fitting uncertainty of  $\Delta z$  and  $B$  to be  $\pm 50 \text{ nm}$  and  $\pm 50 \text{ \AA}^2$ , respectively, similar to the uncertainty observed by others (35–37). Fitted values of  $Q$  clustered around the value 0.075, which we used for all images; reported values of  $Q$  lie in the range of 0.05 to 0.10 (36, 38, 39).

**Computing the Membrane Profile.** Extraction of the membrane profile along the bilayer normal is the inverse (24, 26, 40) of the projection operation of Eq. 2 and made use of the Fourier slice theorem. Starting with  $N \times N$ -pixel images (typically  $N = 600$ ), each selected to contain a particularly round vesicle, a correction for the CTF was applied in the form of an approximate inverse filter. The transfer function  $H(\mathbf{s})$  of this filter was chosen to remove the worst image distortions because of the CTF but was truncated to avoid amplification of noise at high frequencies (41) and in the vicinity of the zeros of  $c(\mathbf{s})$ ,

