## **Hypoxia facilitates Alzheimer's disease pathogenesis by up-regulating BACE1 gene expression**

**Xiulian Sun\*†, Guiqiong He\*‡, Hong Qing\*, Weihui Zhou\*, Frederick Dobie\*†, Fang Cai\*, Matthias Staufenbiel§, L. Eric Huang¶, and Weihong Song\*†**

\*Department of Psychiatry, Brain Research Center, and †Graduate Program in Neuroscience, University of British Columbia, 2255 Wesbrook Mall, Vancouver, BC, Canada V6T 1Z3;‡Department of Human Anatomy, Chongqing University of Medical Sciences, Chongqing 400016, China; §Nervous System Disorders Research Group, Novartis Institutes for BioMedical Research, CH-4002 Basel, Switzerland; and ¶Department of Neurosurgery, University of Utah School of Medicine, Salt Lake City, UT 84112

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**The molecular mechanism underlying the pathogenesis of the majority of cases of sporadic Alzheimer's disease (AD) is unknown. A history of stroke was found to be associated with development of some AD cases, especially in the presence of vascular risk factors. Reduced cerebral perfusion is a common vascular component among AD risk factors, and hypoxia is a direct consequence of hypoperfusion.** Previously we showed that expression of the  $\beta$ -site  $\beta$ -amyloid pre**cursor protein (APP) cleavage enzyme 1 (BACE1) gene** *BACE1* **is tightly controlled at both the transcriptional and translational levels and that increased BACE1 maturation contributes to the AD pathogenesis in Down's syndrome. Here we have identified a functional hypoxiaresponsive element in the** *BACE1* **gene promoter. Hypoxia up-regu**lated *β*-secretase cleavage of APP and amyloid-*β* protein (A*β*) pro**duction by increasing** *BACE1* **gene transcription and expression both** *in vitro* and *in vivo*. Hypoxia treatment markedly increased  $\mathsf{A}\beta$  dep**osition and neuritic plaque formation and potentiated the memory deficit in Swedish mutant APP transgenic mice. Taken together, our results clearly demonstrate that hypoxia can facilitate AD pathogenesis, and they provide a molecular mechanism linking vascular factors to AD. Our study suggests that interventions to improve cerebral perfusion may benefit AD patients.**

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hypoxia-inducible factor 1 $\alpha \mid$  amyloid- $\beta$  protein  $\mid$  neuritic plaque  $\mid$  $m$ emory deficit | transcription

**D**eposition of amyloid- $\beta$  protein (A $\beta$ ) in the brain is the hallmark of Alzheimer's disease (AD) pathology (1). A $\beta$ ,  $\beta$  protein  $(A\beta)$  in the brain is the the major component of neuritic plaques, is derived from  $\beta$ -amyloid precursor protein (APP) after sequential cleavage by  $\beta$ - and  $\gamma$ -secretase. Early-onset familial AD caused by mutations in APP and in the presenilin 1 and 2 genes accounts for only  $\approx$  5% of total AD cases. The majority of AD cases are sporadic AD with late onset and have no defined cause. The major risk factors for AD include aging, atherosclerosis, diabetes mellitus, stroke, the ApoE  $\varepsilon$ 4 polymorphism, and less education. Recent studies have shown that a history of stroke can increase AD prevalence by  $\approx$  2-fold among elderly patients (2–6). The risk is highest when stroke is concomitant with atherosclerotic vascular risk factors (7). Patients with stroke or cerebral infarction also show poorer cognitive performance and greater severity of clinical dementia (8). Hypoxia is a direct consequence of hypoperfusion, a common vascular component among the AD risk factors, and may play an important role in AD pathogenesis.

Oxygen homeostasis is essential for the development and physiology of an organism. Hypoxia-inducible factor 1 (HIF-1) is the principal molecule regulating oxygen homeostasis (9). HIF-1 is a member of the basic helix–loop–helix transcription factor family, and the basic region of the protein binds specifically to the 5--RCGTG hypoxia-responsive element (HRE) in a gene promoter region. HIF-1 contains an oxygen-regulated expression subunit  $\alpha$ (HIF-1 $\alpha$ ) and a constitutively expressed subunit  $\beta$  (HIF-1 $\beta$ ) (Arnt). HIF-1 $\alpha$  protein, mediated by its oxygen-dependent degradation domain, is rapidly degraded through the ubiquitin–proteasome pathway under normoxic conditions with a half-life of  $\leq$ 5 min, but is quite stable under hypoxic conditions (10). The hypoxia signal transduction pathway plays a major role in vascular development and ischemia, as well as in neurodegeneration (11, 12). Although the brain accounts for only 2% of the body's mass, it utilizes  $\approx$  20% of total resting oxygen and is thus particularly susceptible to conditions of hypoxia. Neurons in the hippocampus and neocortex were shown to be selectively affected by cerebral ischemia (13, 14). When oxygen is in short supply, HIF-1 binds to HRE in promoters or enhancers, thereby activating a broad range of genes involved in angiogenesis, erythropoiesis, cell death, and energy metabolism (15). HIF-1 is up-regulated in the human frontal cortex with aging (16). Activation of the HIF-1 pathway by risk factors such as stroke, age, and cerebral vascular atherosclerosis may contribute to AD pathogenesis by facilitating  $A\beta$  deposition.

 $\beta$ -Site APP cleavage enzyme 1 (BACE1) is the  $\beta$ -secretase *in vivo*, and BACE2 is a homolog of BACE1. Even though BACE1 and BACE2 are highly homologous (17), they have distinct transcriptional regulation and function. BACE2 is not a  $\beta$ -secretase but rather functions as a novel  $\theta$ -secretase to cleave APP within the A $\beta$  domain (17, 18). In addition to processing APP at the  $\beta$ -secretase site, BACE1 was found to cleave other proteins (19–23). BACE1 interacts with reticulon family member proteins, and reticulon proteins block access of BACE1 to APP and reduce APP cleavage (24). *BACE1* gene expression is tightly controlled at both the transcriptional and translational levels (17, 25–27). BACE1 protein and activity levels increase with aging and in some AD brains (28–32). Our recent finding suggests that abnormal BACE1 trafficking and maturation contribute to the AD pathogenesis in Down's syndrome (33). These studies indicate that up-regulated *BACE1* gene expression at the level of transcription or translation could contribute to AD pathogenesis in some sporadic cases.

In the study described here, we found that hypoxia significantly increased *BACE1* gene expression, resulting in increased  $\beta$ -secretase activity and A $\beta$  production. Furthermore, hypoxia treatment markedly increased  $\Delta\beta$  deposition and potentiated the memory deficit in AD transgenic mice. Our results demonstrate that hypoxia can facilitate AD pathogenesis, and they provide a molecular mechanism to link vascular factors to AD.

**MEDICAL SCIENCES**

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Abbreviations: A $\beta$ , amyloid- $\beta$  protein; AD, Alzheimer's disease; APP,  $\beta$ -amyloid precursor protein; BACE1, β-site APP cleavage enzyme 1; HIF, hypoxia-inducible factor; HRE, hypoxiaresponsive element.

To whom correspondence should be addressed. E-mail: weihong@interchange.ubc.ca.

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**Fig. 1.** Up-regulation of *BACE1* gene transcription by hypoxia. (*A*) Hypoxia increases human *BACE1* promoter activity. *BACE1* promoter constructs pB1P-H and pB1P-I were transfected into SH-SY5Y cells. Plasmid pGL3-Basic (vector) and pEpoE-Luc were used as negative and positive controls, respectively. Cells were exposed to 2%  $O_2$  (hypoxia) or 21%  $O_2$  (control) after transfection. Luciferase assay was performed 48 h after transfection to reflect promoter activity.  $\star$ ,  $P < 0.001$  by ANOVA and Student's *t* test. (*B*) HIF-1 $\alpha$  expression was rapidly induced by hypoxia in SH-SY5Y cells. Cells were treated with 2%  $O_2$  for 0, 1, and 2 h and then lysed in RIPA-Doc buffer (0.15 mM NaCl/0.05 mM Tris  $\cdot$ HCl, pH 7.2/1% Triton X-100/1% sodium deoxycholate/0.1% SDS). HIF-1 $\alpha$  was detected by rabbit polyclonal anti-HIF-1 $\alpha$  antibody (H206). (C) Diagram shows base pairs -980 to -900 of the human *BACE1* promoter sequence (relative to the transcription start site). A HRE consensus site 5--RCGTG was located at base pairs 915 to 911 (capitalized and underlined). (*D*) The human *BACE1* promoter contains a HRE site. Gel shift assay was performed as described in *Methods*. A 32P-labeled double-stranded oligonucleotide probe, [32P]BACE1- HRE, corresponding to *BACE1* promoter base pairs -924 to -907 was used as a probe. (*E*) Robust HIF-1 $\alpha$  expression in HEK293 cells after pHIF-1 $\alpha$  expression plasmid transfection. (F)  $BACE1$  promoter activity was increased by HIF-1 $\alpha$ overexpression. *BACE1* promoter constructs pB1P-H, pB1P-I, and positive control pEpoE-Luc were cotransfected with HIF-1 $\alpha$  expression plasmid into HEK293 cells. Luciferase assay was performed 48 h after transfection. HIF-1 $\alpha$ overexpression can significantly increase promoter activity in cells transfected with pB1P-H but not pB1P-I. HIF-1 $\alpha$  overexpression also significantly increased pEpoE-Luc promoter activity.  $*$ ,  $P$  < 0.001 by ANOVA. (G) HIF-1 $\alpha$  siRNA transfection reduced HIF-1 $\alpha$  expression in HEK293 cells. (*H*) HIF-1 $\alpha$  siRNA inhibited hypoxia's up-regulatory effect on the human *BACE1* promoter activity. *BACE1* promoter construct pB1P-H or positive control pEpoE-Luc plasmid were cotransfected with control siRNA or HIF-1 $\alpha$  siRNAs. The transfected cells were then exposed to 2%  $O_2$  (hypoxia) or 21%  $O_2$  (control) 12 h

**Hypoxia Up-Regulates BACE1 Promoter Activity.** Previously, we cloned the human *BACE1* gene promoter and found that *BACE1* gene expression is tightly controlled at both the transcriptional and translational levels (26, 27). Here, a series of deletion luciferase reporter plasmids containing human *BACE1* gene promoter regions were constructed to investigate whether *BACE1* is one of the downstream target genes of the hypoxia signaling pathway. *BACE1* promoter constructs containing base pairs  $-932$  to  $+292$  (pB1P-H) and base pairs  $-896$  to  $+292$  (pB1P-I) were transfected into SH-SY5Y cells. The transfected cells were treated with  $2\%$  O<sub>2</sub> in a hypoxia incubator for 48 h. Luciferase activity was measured as an indication of promoter activity (Fig. 1*A*). Hypoxia treatment markedly increased the promoter activity of pB1P-H by (mean  $\pm$ SEM) 296.80  $\pm$  13.25% ( $P < 0.0001$  relative to control) but had no significant effect on pB1P-I ( $P > 0.05$ ). Consistent with previous studies (34), the promoter activity of positive control plasmid pEpoE-Luc was also significantly up-regulated under hypoxia treatment  $(P < 0.001)$ , and hypoxia had no effect on the vector control (Fig. 1*A*). Western blot analysis showed that  $HIF-1\alpha$  was significantly induced under hypoxic conditions (Fig. 1*B*). These results indicate that the region between base pairs  $-932$  and  $-896$  of the *BACE1* promoter may contain a hypoxia-inducible enhancer element.

**A Functional HRE Site in the Promoter Regulates BACE1 Gene Expression.** Hypoxia up-regulates gene transcription by stabilizing transcription factor HIF-1, which binds to HRE in the promoter region of hypoxia-regulated downstream target genes. The HIF-1 heterodimer binds to HRE consensus sequence 5'-RCGTG. Sequence analysis reveals that the human *BACE1* gene promoter contains a putative HRE site that is located at base pairs -915 to -911 (5'-ACGTG) (Fig. 1*C*). A gel shift assay was performed to determine whether transcription factor HIF-1 can bind to the putative HRE site in the human *BACE1* gene promoter. BACE1-HRE, a 20-bp double-stranded oligonucleotide probe (5--gggaggccgACGTGggcg) corresponding to *BACE1* promoter region base pairs  $-924$  to  $-907$  was synthesized and end-labeled with <sup>32</sup>P. A shifted DNA-protein complex band was detected after incubation of the BACE1-HRE probe with HeLa nuclear extract (Fig. 1*D*, lane 2). The binding intensity of this shifted band was significantly reduced by applying 50-fold molar excess of unlabeled Epo-HRE consensus competition oligonucleotides, and the shifted band was completely abolished by addition of 200-fold of unlabeled Epo-HRE consensus oligonucleotides (Fig. 1*D*, lanes 3 and 4). Preincubation of excessive mutant BACE1-HRE oligonucleotides containing the binding site mutations (5--gggaggccgA*AAA*Gggcg) with HeLa nuclear extract had no competitive effect on the BACE1-HRE shifted band (Fig. 1*D*, lane 5). These results clearly demonstrate that the human *BACE1* promoter contains a HRE site.

To determine whether this binding element biologically responded to the transcription factor HIF-1 in the transcriptional regulation of the human *BACE1* gene, SH-SY5Y cells were transfected with *BACE1* promoter plasmids pB1P-H and pB1P-I and with HIF-1 $\alpha$  mammalian expression plasmid. Transfection with

after transfection. Luciferase assay was performed 24 h after hypoxia treatment to reflect promoter activity. pCMV-Rluc was cotransfected to normalize transfection efficiency. The numbers represent mean  $\pm$  SEM;  $n = 4$ ;  $\star$ ,  $P \leq$ 0.0001 by Student's *t* test. (*I*) Mutation in the HRE site abolishes the effect of hypoxia on *BACE1* promoter activity. The HRE site in the *BACE1* promoter of pB1P-H was mutated by site-directed mutagenesis. The mutant construct was transfected into SH-SY5Y cells and exposed to 2%  $O_2$  (hypoxia) or 21%  $O_2$ (normoxia) for 48 h. (*J*) Swedish APP stable SH-SY5Y cells were exposed to 2% or 21% O2 for 24 h before RNA extraction. (*K*) Hypoxia increased *BACE1* mRNA by 1.5 times. **\***, *P* 0.05 by Student's *t* test.



Fig. 2. Hypoxia increases A $\beta$  production by up-regulating BACE1 activity. (A) SH-SY5Y cells stably overexpressing Swedish mutant APP were exposed to 2% O<sub>2</sub> for 0, 12, or 24 h. C20 antibody was used to detect APP CTFs; 208 antibody was used to detect BACE1. (*B* and *C*) Quantification of C99 (*B*) and BACE1 protein (*C*) levels.  $\ast$ ,  $P$  < 0.001 by ANOVA. (*D* and *E*) ELISA was performed to measure Aß40 (*D*) and Aß42 (*E*) in culture media from SH-SY5Y cells stably overexpressing Swedish mutant APP exposed to 2% O<sub>2</sub> (hypoxia) or 21% O<sub>2</sub> (normoxia) for 24 h.  $*$ ,  $P < 0.0001$  by Student's t test. (F) WT APP695 cell HAW1 was cultured under 2% O<sub>2</sub> for 12 h, and APP CTFs were detected with C20 antibody. (G) The levels of CTFβ including C99 and C89 were quantitated. *\*, P* < 0.001 by Student's *t* test. (*H* and  $\prime$ ) The conditioned media from the same hypoxia-treated cells were analyzed for Aβ40 (*H*) and Aβ42 (/) levels. The numbers represent mean  $\pm$  SEM; *n* = 3; \*, *P* < 0.0001 by Student's *t* test.

human *BACE1* gene.

pHIF-1 $\alpha$  plasmid showed robust HIF-1 $\alpha$  expression (Fig. 1*E*). Overexpression of HIF-1 $\alpha$  had no effect on luciferase activity in cells transfected with pB1P-I  $(P > 0.05)$ . In contrast, luciferase activity increased dramatically in cells cotransfected with pB1P-H and HIF-1 $\alpha$ , showing a 4.63  $\pm$  0.50-fold increase compared with the non-HIF-1 $\alpha$  transfected cells ( $P < 0.001$ ) (Fig. 1*F*). HIF-1 $\alpha$  also significantly increased luciferase activity in cells transfected with positive control plasmid pEpoE-Luc  $(15.81 \pm 0.23 \text{-fold}, P \leq 0.001,$ relative to vector control) (Fig. 1*F*). To examine whether upregulation of human BACE1 gene transcription by hypoxia was mediated by transcription factor HIF-1, an HIF-1 $\alpha$  siRNA assay was performed to knock down HIF-1 $\alpha$  expression. The HIF-1 $\alpha$ siRNA significantly inhibited HIF-1 $\alpha$  expression (Fig. 1*G*). In control siRNA transfected cells, hypoxia treatment markedly increased luciferase activity in the cells transfected with human *BACE1* promoter plasmid pB1P-H or with positive control plasmid pEpoE-Luc. In contrast, hypoxia treatment had no effect on the promoter activity of human *BACE1* promoter plasmids pB1P-H and pEpoE-Luc after transfection of HIF-1 $\alpha$  siRNA (Fig. 1*H*). These data indicate that knock-down of HIF-1 $\alpha$  expression blocked the effect of hypoxia on the human BACE1 gene transcription. To further confirm that HIF-1 can bind specifically to this HRE in the *BACE1* promoter, we generated a mutant *BACE1* plasmid, pB1P-HIFm. The putative HRE core binding sequence (5-a**CGT**g) in the BACE1 promoter plasmid pB1P-H was changed to 5'-a**AAA**g by site-directed mutagenesis, creating mutant plasmid pB1P-HIFm, which lacks the HIF-1 binding site. Hypoxia treatment had no effect on the promoter activity of pB1P-HIFm in SH-SY5Y cells (79.26  $\pm$ 8.52% under hypoxia vs.  $100 \pm 16.25\%$  under normoxia;  $P > 0.05$ ) (Fig. 1*I*). The mutation resulted in an inability of the *BACE1* gene promoter to respond under hypoxic conditions. Taken together, these data demonstrate that the *BACE1* gene promoter contains a hypoxia-inducible enhancer and that the HRE site is physiologically functional in transcriptional regulation of *BACE1* gene expression.

To further investigate whether hypoxia plays an important role

Under hypoxic conditions, endogenous *BACE1* mRNA levels in SH-SY5Y cells were increased to  $144.8 \pm 10.42\%$  relative to normoxia ( $P < 0.05$ ) (Fig. 1 *J* and *K*). The data are consistent with the *BACE1* promoter assay results, indicating that hypoxia plays an important role in transcriptional regulation of the

Hypoxia Increases APP Processing and A $\beta$  Generation by Up-Regulat**ing BACE1 Activity.** A $\beta$  is derived from APP by  $\beta$ - and  $\gamma$ -secretase cleavages and is the major component of neuritic plaques.  $BACE1$  is the major  $\beta$ -secretase *in vivo*. To determine whether up-regulation of *BACE1* gene expression under hypoxic conditions affects APP processing at the  $\beta$ -secretase site to generate  $A\beta$ , we analyzed the protein levels of BACE1, APP C99, and  $A\beta$ in SH-SY5Y cells that stably overexpress Swedish mutant APP695. BACE1 protein and C99, the major  $\beta$ -secretase cleavage product of APP, were markedly increased under hypoxic conditions (Fig. 2*A*). Quantification showed that C99 levels were increased by 221.7  $\pm$  7.32% and 302.1  $\pm$  1.74% (*P* < 0.001) (Fig. 2*B*) and that BACE1 protein levels were increased to 143.4  $\pm$ 1.19% and 304.5  $\pm$  1.69% after 12 h and 24 h of hypoxia treatment, respectively  $(P < 0.001)$  (Fig. 2C). The production of A $\beta$ 40 and A $\beta$ 42 was significantly increased to 128.6  $\pm$  0.56% and  $159.8 \pm 1.17\%$ , respectively, in hypoxia treated cells relative to control cells  $(P < 0.0001)$  (Fig. 2 *D* and *E*). To further confirm that hypoxia has a similar effect on wild-type (WT) APP processing, HAW1 cells that stably express a WT APP695 protein were treated with hypoxia. The  $\beta$ -secretase cleavage products, the C-terminal fragment  $\beta$  (CTF $\beta$ ) including C99 and C89, were significantly increased under hypoxic conditions (Fig.  $2F$ ), and quantification showed that the CTF $\beta$  levels were increased by  $148.97 \pm 8.89\%$  ( $P < 0.001$  relative to control) (Fig.

in the transcription of human *BACE1*, we analyzed endogenous *BACE1* mRNA levels by using quantitative RT-PCR, with  $\beta$ -actin transcriptional levels serving as the internal control.



Fig. 3. Hypoxia increases β-secretase cleavage of APP and Aβ deposition in APP23 transgenic mice. Eight-month-old APP23 mice were treated with 8% O<sub>2</sub> (hypoxia) for 16 h/day for 1 month. Ten mice per group were used for hypoxia and normoxia treatment. (*A*) Half brains from hypoxic and age-matched normoxic control mice were lysed in RIPA-Doc lysis buffer and separated with 16% Tris-Tricine SDS/PAGE gel. C99 was detected by C20 polyclonal antibody.  $\beta$ -actin was detected by anti-ß-actin antibody AC-15 as the internal control. (B) Quantification showed that C99 was significantly increased in hypoxia-treated mice. \*,  $P$   $\leq$ 0.01 by Student's *t* test. (C and D) ELISA was performed to measure Aβ40 (C) and Aβ42 (D) levels in mouse brain tissue lysates. \*, *P* < 0.001 by Student's *t* test. (*E*) 4G8 immunostaining. The other half brains were dissected from hypoxic and age-matched normoxic control mice, fixed, and sectioned. Neuritic plaques were detected by Aß-specific mAb 4G8 (Signet Laboratories) and the DAB method. The plaques were visualized under a microscope at ×40 magnification. More neuritic plaques were stained in hypoxic mice (*c* and *d*) compared with age-matched control mice (*a* and *b*). Arrows point to plaques. Magnification at 200 reveals more and larger plaques in hypoxic mice (*f*) than in normoxic mice (*e*). (*F*) Quantification of neuritic plaques. **\***, *P* 0.001 by ANOVA. (*G*) Thioflavin S staining. Neuritic plaques were further confirmed by using thioflavin S fluorescent staining and were visualized under a microscope at 100 magnification. *a* and *c* are sections of frontal cortex; *b* and *d* are sections of hippocampus. More neuritic plaques were seen in hypoxia-treated mice (*c* and *d*) than in age-matched controls (*a* and *b*). Arrows point to green fluorescent neuritic plaques. (*H*) A mouse *BACE1* promoter was cloned into the pGL3-Basic vector and transfected into cells. Hypoxia increased luciferase activity in pB1Pm-A transfected cells that contained two putative HRE consensus sites. **\***, *P* 0.01 by Student's *t* test. (*I*) Gel shift assay demonstrated that the mouse *BACE1* promoter also contains an HIF-1 transcription factor binding site. Gel shift assay was performed using a  $32P$ -labeled  $[32P]$ mBACE1-HRE probe in which the two putative HRE consensus sites were juxtaposed. Lane 1, probe alone without nuclear extract; lane 2, incubation of HeLa nuclear extract with probe retarded the migration of free probes to form a new HIF-1-DNA complex; lanes 3 and 4, competition assays adding 10- and 100-fold molar excess of Epo-HRE consensus oligonucleotides. (*J*) Endogenous *BACE1* mRNA level in the WT control mice. The control mice were subjected to the hypoxia treatment, and total RNA samples were extracted from the mouse brains for RT-PCR assay. β-actin was amplified as the internal control. (*K*) Hypoxia significantly increased endogenous *BACE1* mRNA in WT mice by ≈1.5 times. *\**, *P* < 0.0001 relative to normoxia WT mice controls by Student's *t* test.

 $2G$ ). Hypoxia also markedly increased A $\beta$ 40 and A $\beta$ 42 levels in HAW1 cells to  $252.6 \pm 6.52\%$  and  $204.1 \pm 0.96\%$ , respectively  $(P < 0.0001$  relative to controls) (Fig. 2 *H* and *I*). These data indicate that hypoxia can elevate BACE1 protein expression and in turn increase APP processing at the  $\beta$ -secretase site to potentiate  $A\beta$  generation.

Hypoxia Facilitates  $\beta$ -Secretase Cleavage of APP and A $\beta$  Deposition in **APP23 Transgenic Mice.** Our data indicate that hypoxia facilitates *BACE1* gene transcription and increases APP processing at the  $\beta$ -secretase site to generate A $\beta$ . To investigate whether hypoxia would facilitate AD pathogenesis in *vivo*, APP23 transgenic mice, a valued AD mouse model, were subjected to hypoxia treatment. APP23 mice carry the human Swedish mutant APP751 transgene driven by the Thy1.2 promoter element, which drives the transgene to be specifically expressed in neurons (35). APP23 mice were subjected to  $8\%$  O<sub>2</sub> for 16 h/day for 1 month; age-matched control APP23 mice were exposed to normal air  $(21\% \text{ O}_2)$ . To determine whether hypoxia affects APP processing *in vivo*, the levels of APP CTFs in APP23 brain tissue lysates were assayed using Western blot analysis (Fig. 3*A*). C99, the major  $\beta$ -secretase product, was significantly increased in the hypoxic mice by 151.1  $\pm$  4.15% relative to controls (*P* < 0.01) (Fig. 3*B*). To examine the effect of hypoxia on  $A\beta$ generation in the transgenic mice,  $\overline{A\beta}$  ELISA analysis was performed. Hypoxia treatment increased the levels of  $A\beta40$ generation by 357.5  $\pm$  137.01% relative to controls ( $P < 0.001$ ) and increased the levels of A $\beta$ 42 by 184.6  $\pm$  79.08% ( $P < 0.001$ ) (Fig. 3 *C* and *D*). These data demonstrate that hypoxia upregulates  $\beta$ -secretase cleavage of APP and thus A $\beta$  production. APP23 mice develop amyloid plaques in neocortex and hippocampus as early as 6 months of age and progressively with age (35). To investigate whether the altered APP processing caused by hypoxia resulted in  $\Delta\beta$  deposition and an increase in neuritic plaque formation *in vivo*, the hypoxia-treated and control APP23 mice were killed and 4G8 immunostaining (Fig. 3*E*) and thioflavin S staining (Fig.  $3G$ ) were used to detect A $\beta$ -containing neuritic plaques in brain. Neuritic plaque formation was significantly increased in APP23 mice under hypoxic conditions relative to normoxic controls (Fig. 3 *E* and *G*). More and larger plaques were seen in hypoxic mice than in normoxic mice (Fig. 3*E*). Quantification showed that hypoxia-treated APP23 mice had  $\approx$  1.5-fold more plaques than normoxic mice (2.8  $\pm$  0.5 vs. 1.8  $\pm$  0.4 per slice; *P* < 0.001) (Fig. 3*F*).

To confirm that hypoxia facilitates APP processing and  $A\beta$ generation in the transgenic mice by up-regulating mouse *BACE1* gene transcription, we cloned the mouse *BACE1* promoter. Sequence analysis reveals that there are two putative HRE consensus sites in the mouse *BACE1* 5'UTR. Hypoxia markedly increased luciferase activity by 199.81  $\pm$  8.97% in cells transfected with mouse promoter plasmid pB1Pm-A, which contains the HRE sites ( $P <$ 0.01) (Fig. 3*H*). Gel shift assay showed that the mouse *BACE1* promoter region contains HRE (Fig. 3*I*). To determine whether hypoxia up-regulates mouse *BACE1* gene transcription *in vivo*,WT control mice were subjected to hypoxia treatment and the mouse endogenous *BACE1* mRNA levels were measured using quantitative RT-PCR. Under hypoxic conditions, endogenous *BACE1* mRNA levels in the WT mice were significantly increased to 156.7  $\pm$  2.45% relative to normoxia (*P* < 0.0001) (Fig. 3 *J* and *K*). These results suggest that mouse *BACE1* gene expression, like human *BACE1* gene expression, can be up-regulated by hypoxia at the transcriptional level.

**Hypoxia Potentiates the Memory Deficit in APP23 Mice.** To further investigate whether hypoxia affects learning and memory in AD pathogenesis, behavioral tests were performed after APP23 mice underwent 1 month of hypoxia treatment. The Morris water maze was used to determine the effect of hypoxia on spatial memory. In visible platform tests, the hypoxic and normoxic APP23 mice had a similar escape latency  $(37.15 \pm 2.58 \text{ s and})$  $30.90 \pm 2.60$  s, respectively;  $P > 0.05$ ) (Fig. 4*A*) and path length  $(6.52 \pm 0.66 \text{ m and } 6.38 \pm 1.07 \text{ m, respectively}; P > 0.05)$  (Fig. 4*B*), indicating that hypoxia did not affect mouse motility or vision. In the hidden-platform swimming test, hypoxia-treated APP23 mice showed a longer latency ( $P < 0.005$ ; Fig. 4*C*) and swam a significantly longer distance  $(P < 0.001$ ; Fig. 4*D*) to reach the platform compared with normoxic mice. Furthermore, hypoxic APP23 mice had significantly fewer platform-passing times in the probe trial  $(2.5 \pm 0.48 \text{ s vs. } 4.29 \pm 0.52 \text{ s}; P < 0.05)$  (Fig. 2*E*). These data demonstrate that hypoxia significantly potentiates the memory deficit in APP23 mice.

Hypoxia is one of the major common components of vascular risk factors for AD pathogenesis such as stroke, hypertension, atherosclerosis, and diabetes. Hypoxia can also arise from cerebral amyloid angiopathy. Previous studies (32, 36) showed that BACE1 expression and enzymatic activity are elevated in the brains of sporadic AD patients, and the degree of elevation is correlated with  $\mathbf{A}\boldsymbol{\beta}$  production. The mechanism by which vascular factors facilitate AD neurodegeneration in AD pathogenesis has not yet been defined. It has been reported that HIF-1 is increased in the brains of elderly people (16). By subjecting AD transgenic model mice to low-oxygen conditions, we clearly demonstrated that hypoxia facilitates plaque formation and enhances memory deficits. Our study shows that *BACE1* is one of the downstream target genes of the hypoxia signaling pathway and that hypoxia potentiates APP processing to generate  $A\beta$  by activating *BACE1* transcription through an HRE in its promoter region, leading to increased BACE1 expression. A slight increase in BACE1 expression could lead to a dramatic increase in  $A\beta$ production (37). HIF-1 $\alpha$  can also be up-regulated by A $\beta$  (38). Because our data showed that hypoxia increases  $\Delta\beta$  production, a positive feedback circuit may dramatically increase  $A\beta$  production and deposition in AD pathogenesis. It has been reported that chronic hypoxic insults could alter APP processing at the  $\alpha$ -secretase site, resulting in reduction of C83 and sAPP $\alpha$ (39–41). Although we have not observed a significant decrease



**Fig. 4.** Hypoxia potentiates the memory deficit in Swedish mutant APP transgenic mice. A Morris water maze protocol with 1 day of visible platform tests and 4 days of hidden platform tests, plus a probe trial on day 6. Animal movement was tracked and recorded by an HVS 2020 Plus image analyzer (HVS Image, Hampton, UK). Ten mice per group were used for hypoxia and normoxia treatment. (*A*) During the first day of visible platform tests, mice were trained in five contiguous trials. The hypoxic and normoxic APP23 mice exhibited a similar latency to escape onto the visible platform. *P*  $> 0.05$  by Student's *t* test. (*B*) Hypoxic and normoxic APP23 mice swam a similar distance before escaping onto the visible platform in the visible platform test.  $P > 0.05$  by Student's t test. (C) In hidden platform tests, mice were trained with six trials per day for 4 days. Hypoxic APP23 mice showed a longer latency to escape onto the hidden platform.  $P < 0.005$  by two-way ANOVA. (*D*) Hypoxic APP23 mice swam farther before escaping onto the hidden platform.  $P < 0.001$  by two-way ANOVA. ( $E$ ) In the probe trial on day 6, hypoxic APP23 mice crossed the target platform significantly fewer times than controls. **\***, *P* 0.05 by Student's *t* test.

in the  $\alpha$ -secretase pathway, our data also show that chronic hypoxia can alter APP processing by its effects on the  $\beta$ -secretase pathway *in vivo*. Furthermore, hypoxia can trigger the transcription of many other genes besides *BACE1*. Prolonged or severe hypoxia can also trigger apoptosis in the brain, which may contribute to the neuronal loss and memory impairment seen in AD patients. Transient hypoxic injury to cortical neurons can cause the mitochondrial dysfunction, impaired membrane integrity, and altered APP processing that occur in AD (42). Ergoloid mesylates (e.g., Hydergine) have been the longest used putative cognitive enhancement drugs and are one of the classes of drugs used in AD treatment. As a mild cerebral vasodilator, Hydergine can decrease hypoxia in the early stages of AD and improve symptoms in AD patients (43). Our study suggests that enhancing oxygen supply by improving cerebral perfusion or by using vasodilators may have pharmaceutical potential for treating cognitive impairments, thereby benefiting AD patients.

## **Methods**

**Transgenic Mice and Hypoxia Treatment.** APP23 transgenic mice carry the human APP751 cDNA with the Swedish double mutation at positions  $670/671$  (KM $\rightarrow$ NL) under control of the murine Thy-1.2 expression cassette (35). Littermates of APP23 mice carrying no human Swedish mutant APP751 cDNA were used as WT controls. APP23 mice (8 months of age,  $n = 20, 50\%$ )

female) were assigned randomly to hypoxia and control groups. The hypoxia group was treated in a hypoxia chamber at  $8\%$  O<sub>2</sub> for 16 h/day for 1 month. The oxygen level was regulated by infusing nitrogen into a semisealable chamber controlled by a PROOX model 110 controller (BioSpherix , Redfield, NY).

**Morris Water Maze.** The water maze test was performed in a pool 1.5 m in diameter. In the hidden platform trials, a 10-cmdiameter platform was placed in the southeastern quadrant of the pool. The procedure consists of 1 day of visible platform tests and 4 days of hidden platform tests, plus a probe trial 24 h after the last hidden platform test. In the visible platform test, mice were tested for five contiguous trials, with an intertrial interval of 30 min. In the hidden platform tests, mice were trained for six trials, with an intertrial interval of 1 h. Tracking of animal movement was achieved with an HVS 2020 Plus image analyzer (HVS Image). Data were analyzed by two-way ANOVA.

**Neuritic Plaque Staining.** Mice were killed after the behavioral tests, and the half brains were fixed and sectioned with a Leica (Deerfield, IL) cryostat to 40  $\mu$ m thickness. Every sixth slice with the same reference position of the mice was mounted onto the slides for staining. The slices were immunostained with biotinylated monoclonal 4G8 antibody (Signet Laboratories, Dedham, MA) at 1:500 dilution. Approximately 24 slices were stained for each mouse. Plaques were visualized by the avidin-biotin-peroxidase complex (ABC) and diaminobenzidine (DAB) method and counted under microscopy with  $\times$ 40 magnification. Plaques were quantitated by average plaque count per slice for each mouse. The data were analyzed by two-way ANOVA. Thioflavin S staining of plaques was

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performed with 1% thioflavin S, and the green fluorescencestained plaques were visualized with fluorescent microscopy.

**Cell Culture, Transfection, Hypoxia Treatment, and Luciferase Assay.** SH-SY5Y cells, HEK293 cells, and N2A cells were grown in complete DMEM. All cells were maintained at 37°C in an incubator containing  $5\%$  CO<sub>2</sub>. Cells were transfected with plasmid DNA by using Lipofectamine 2000 (Invitrogen, Carlsbad, CA). A Swedish mutant APP stable cell line was generated by transfecting pZ-APPsw into SH-SY5Y cells and selected by  $1,000 \mu g/ml$  Zeocine (Invitrogen). HAW1 cells are the WT APP695 stably expressing HEK293 cells. Hypoxia treatments were performed by incubating cells in a 37°C incubator containing 2% O2 and 5% CO2.The*Renilla* (sea pansy) luciferase vector pCMV-Rluc was cotransfected to normalize transfection efficiency. Luciferase assay was performed 48 h after transfection by using a dual-luciferase reporter assay system (Promega, Madison, WI) as described previously (17).

**Plasmids, Gel Shift Assay, Immunoblotting, siRNA Assay, Quantitative RT-PCR, and A40/42 Sandwich ELISA.** Details of these procedures are provided in *Supporting Methods*, which is published as supporting information on the PNAS web site.

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