

Na channel inactivation from open and closed states

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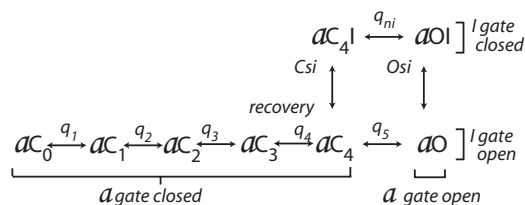
A sodium channel is composed of four similar domains, each containing a highly charged S4 helix that is driven outward (activates) in response to a depolarization. Functionally, the channel has two gates, called activation gate (*a* gate) and inactivation gate (I gate), both of which must be open for conduction to occur. The cytoplasmically located *a* gate opens after a depolarization has activated the S4s of (probably) all four domains. The I gate consists of a cytoplasmically located inactivation "particle" and a receptor for it in the channel. The receptor becomes available after some degree of S4 activation, and the particle diffuses in to inactivate the channel. The I gate usually closes when the *a* gate is open [open-state inactivation (Osi)] but also can close before the channel reaches the conducting state. This "closed-state inactivation" (Csi) is studied quantitatively in this paper to determine the degree of S4 activation required for (i) opening the *a* gate, and (ii) permitting the I gate to close. Csi is most prominent for small depolarizations, during which occupancy of the partially activated closed states is prolonged. Large depolarizations drive the S4s outward quickly, minimizing the duration of closed-state occupancy and making Csi small and Osi large. Based on these data and evidence in the literature, it is concluded that opening the *a* gate requires S4 activation in domains 1–3, with partial activation of the S4 of domain 4. Csi requires only S4 activation of domains 3 and 4, which does not open the *a* gate.

activation

During an action potential, sodium channels first activate, driving the upstroke, and then inactivate, facilitating repolarization to the resting potential. The channel's *a* gate (activation gate) is closed at rest and activates in several steps to an open state after depolarization. The inactivation gate (I gate) is open at rest and closes relatively slowly after depolarization in a single step. Hodgkin and Huxley's highly successful empirical model (1) attributed the behavior of what are now called gates to a voltage sensor/effector belonging to each gate, which sensed the voltage and opened or closed the attached gate. Later work showed that only the *a* gate has a voltage sensor/effector (2, 3). The apparent voltage sensitivity of inactivation comes from the fact that a receptor for an "inactivation particle" becomes available only when the activation gate is partially or fully activated (2–5). These ideas, which preceded cloning of the channel, were summarized in the following state diagram (4), with the states renamed here for clarity (Scheme 1).

At the resting potential, the preferred state is aC_0 (gate *a* closed and fully deactivated). After depolarization, several sequential steps are required to move the activation gate from aC_0 through the partially activated states (aC_{1-4}) to aO (gate *a* open). Each of these steps is voltage-dependent and involves the movement of gating charge (I_g) through the membrane, as indicated by q_{1-5} . Increasingly positive voltage alters the rate constants of these steps and drives the channels to the right in the diagram. In the single conducting state (aO), both *a* and I gates are open, and the channel is conducting.

Inactivation from aO occurs mainly in the step $aO \rightarrow aOI$, with rate constants κ and λ . λ is small compared with κ , with the result that most channels inactivate. κ and λ are unaffected by membrane voltage (V_m), so no gating current is generated by this step. On repolarization, the channels recover from inactivation in a



Scheme 1. Inactivation model, 1977.

few milliseconds and return to state aC_0 . If the channels were frozen in aOI , there could be no gating current at the instant of repolarization, because none of the steps that involve gating charge movement ($aOI \rightarrow aC_0$) could occur: All gating charge would be temporarily immobilized. In fact, a third of the charge is not immobilized (q_{ni}), and its movement produces a fast tail of inward I_g , which is associated with step $aOI \rightarrow aC_4I$ (3). This step closes the *a* gate, whereas the I gate remains closed, and the channel subsequently can recover from inactivation without passing through the conducting state, aO (6, 7). Because λ is small, very few channels move from aOI to aO , and recovery from inactivation mainly follows the path $aOI \rightarrow aC_4I \rightarrow aC_4 \rightarrow aC_0$, thus bypassing aO . This is important functionally, because recovery occurs at negative voltage, where influx of Na^+ through any conducting channels would be large and would have a strong depolarizing influence.

As first shown by Bean (8), the channel can inactivate without fully opening, as definitively confirmed by Aldrich and Stevens by using single-channel analysis (9). After some thought, we realized this possibility is inherent in the diagram above, via the path from aC_4 to aC_4I . Significant inactivation via this path [closed-state inactivation (Csi)] would occur only during prolonged occupancy of state aC_4 .

The purpose of this paper is to modernize the scheme and to associate the individual domains of the Na channel (10) with the transitions in the diagram. Some features in the structure and behavior of the domains that must be taken into account are detailed in the Table 1, where the last two rows are based on the fluorescence data of Bezanilla and colleagues (11, 12).

A quantitative study of Csi is presented here to determine which domains are related to activation of the channel and the minimum S4 motion that must occur before a channel can inactivate. A revised model is proposed that requires that only domains 3 and 4 activate before inactivation can occur. The revised model incorporates the information in Table 1, accounts for the special relation (13–16) between inactivation and domain 4, and provides an explanation for the voltage dependence of recovery from inactivation.

Author contributions: C.M.A. designed research, performed research, contributed new reagents/analytic tools, analyzed data, and wrote the paper.

The author declares no conflict of interest.

Abbreviations: V_m , membrane voltage; *a* gate, activation gate; CP, conditioning pulse; Csi, closed-state inactivation; D, domain; I gate, inactivation gate; Osi, open-state inactivation.

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Table 1. Properties of Na channel domains

Finding	Domain			
	D1	D2	D3	D4
Positive residues in S4	4	5	6	8
Kinetics	Fast	Fast	Fast	Slow
Immobilized by inactivation?	No	No	Yes	Yes

Results

Inactivation was measured with a two pulse sequence (Fig. 1A). Membrane voltage (V_m) was clamped at -90 mV, where steady-state inactivation is near zero, and a conditioning pulse was applied to -30 mV for 16 ms in the example shown. At -30 mV, some of the Na channels open and then inactivate; a second pulse (test) to 0 mV was used to see how many Na channels were not inactivated (Trace *i*). Trace *i* contains not only I_{Na} , but also a small gating current (I_g) and a small potassium current (I_K) that resisted suppression (see *Methods*). To measure and subtract out these components, Trace *ii* was recorded by using the same protocol after adding Tetrodotoxin, which completely blocked I_{Na} , leaving I_g and I_K . Trace I_{Na} is the difference between *ii* and *i*. Comparing I_{Na} in the test pulse with and without (control) a prepulse shows that after 16 ms at -30 mV, 22% of the channels were openable and 78% were inactivated.

The gating current (I_g) transient in trace *ii* is largely ended early in the rising phase of I_{Na} (compare at the dashed vertical line). The rising phase of I_{Na} is kinetically voltage-dependent and must have associated gating current. The required current can be seen at higher resolution in Fig. 1B, where I_g has two distinct components, made clear by fitting an exponential to the faster one. The slower component is temporally correlated with the rise of I_{Na} to its peak

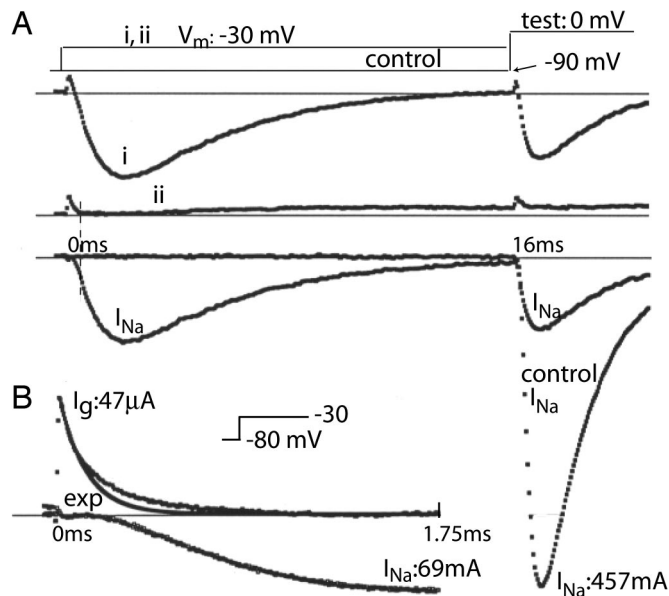


Fig. 1. Measuring inactivation and the slow component of activation. (A) (*i*) With I_K largely suppressed, I_g and I_{Na} were measured during a 16-ms conditioning pulse from -90 to -30 mV, followed by a test pulse to 0 mV. (*ii*) After adding Tetrodotoxin, I_g and a small residual I_K are visible. (*i-ii*) Subtracting *ii* from *i* leaves I_{Na} . Comparing *i* and *ii* during the test pulse to “control” (no conditioning pulse) shows that 78% of the channels inactivated during the pulse to -30 mV. The vertical dashed line shows that the fast component of I_g in trace *ii* is largely over before I_{Na} rises significantly (trace *i-ii*). (B) I_g and I_{Na} at higher resolution in a different experiment. The early part of the I_g trace is fitted with an exponential to elucidate a slower phase of I_g that temporally correlates with the rise of I_{Na} .

(*cf.* ref. 4) and is the gating current generated by the opening step. This information is combined below with the fluorescence evidence (11) to show that the S4 helix of domain (D)4 must move before the channel can open (*Discussion*).

Returning to the I gate, how much of the inactivation in Fig. 1a is from closed states (Csi) and how much from the open-state inactivation (Osi)? Osi occurs in the step



where κ and λ are independent of voltage (see *Discussion*). During a pulse, the total inactivation that occurs via the open state is always proportional to the integral

$$\int \kappa \cdot \text{Open} \cdot dt \propto \int \Theta \text{open} \cdot dt \quad [2]$$

during the pulse (see *Methods* for Θopen definition). To find the fraction of all channels that inactivate from the open state, the integral for a given pulse must be divided by $[\int \Theta \text{open} \cdot dt]_{\text{max}}$, the value of the integral when all channels inactivate through the open state. This value is approximated with a large pulse that drives all channels through the closed states very rapidly, making Csi negligible. Thus, $\int \Theta \text{open} \cdot dt$ should reach a saturating value, which is the “openness” required for complete inactivation via the Open state.

An experimental determination of the maximum integral is shown in Fig. 2. The lower traces show Θopen for prepulses to -40 through $+10$ mV superimposed and the noninactivated current after these prepulses. Test pulse current is negligible after conditioning pulses of -10 , 0 , and $+10$ mV, showing that inactivation is complete. The upper traces are the integral $\int \Theta \text{open} \cdot dt$ for each of these depolarizations. The integral rises to a maximum value in the range -10 to $+10$ mV. Given this value, Osi and Csi are calculated as

$$\text{Osi} = \int \Theta \text{open} \cdot dt / [\int \Theta \text{open} \cdot dt]_{\text{max}}, \text{ and } \text{Csi} = \text{Inact} - \text{Osi}, \quad [3]$$

where Inact is total inactivation, measured as in Fig. 1A.

The time course of Csi, Osi, and inactivation are shown for three values of V_m in Fig. 2B. At -50 mV, total inactivation after 28 ms is $\approx 22\%$. Of this total, Osi is 3% and $\approx 14\%$ is Csi. The curves of total inactivation and Csi almost superimpose and they rise slowly, reflecting prolonged occupancy of one or more partially activated states. Few channels open, so Osi is small. At -30 mV, $\approx 75\%$ of the channels are inactivated after 28 ms. Approximately half of this total inactivation is Osi, and the remaining half is Csi. At -10 mV, $\approx 95\%$ of the channels are inactivated, most of them by way of the open state. Only a small amount of Csi occurs, and it is quickly completed because the channels move briskly out of the closed states to open.

Inactivation and its components are plotted in Fig. 3 as a function of voltage for a 16-ms conditioning pulse. Total inactivation (the sum of Osi and Csi) follows the usual sigmoid curve, becoming significant at -50 mV and reaching a value near 1.0 at ≈ -10 mV. Csi is detectable near -50 mV, because increasing voltage drives channels from the fully deactivated state into the partially activated states. It reaches a maximum near -30 mV and then declines as higher voltage forces the channels to move progressively more quickly to conducting and inactivated states. The fraction of total inactivation that results from Csi is plotted as Csi/Inactivation. At -60 mV, nearly all inactivation results from Csi: Voltage is sufficient to partially activate some channels, but few of them reach αO . Csi/inactivation decreases with V_m , and near 0 mV, it is very small: The channels are driven through the partially activated states too quickly for significant

prevent series resistance errors. Leakage current and capacitive current were removed by subtraction.

Solutions. Solutions were designed to (i) minimize space-clamp problems, by substituting tetramethyl ammonium ion for most external sodium (Na^+ : 100 mM). (ii) Maximize steady-state inactivation: In some conditions, inactivation is substantially incomplete in the steady state. To ameliorate this, the internal solution contained 275 mM K^+ , with 100 mM Ca^{2+} externally. (iii) Minimize K^+ conductance. The axon was initially perfused for 1,000 s with 40 mM TEA^+ in the absence of external K^+ to kill most K channels (19). TEA^+ was removed, and 10 mM Ba^{2+} was added internally and 5 mM Ba^{2+} externally to block remain-

ing K^+ channels. Residual K^+ current was removed by subtraction after adding Tetrodotoxin.

Estimation of the Fraction of Open Channels. Because of overlap between activation and inactivation, there is no easy way to determine the absolute fraction of channels open. Θ_{open} , which is used here, is proportional to the open fraction. It is calculated at any instant by dividing I_{Na} by maximum I_{Na} at 0 mV, with a correction for the nonlinearity of the instantaneous IV curve. The correction factor is f_{IV} .

$$\Theta_{\text{open}}(t) = I_{\text{Na}}(t) / f_{\text{IV}} \cdot I_{\text{Na max@0mV}} \quad [4]$$

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