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Asthma phenotypes

Is there more than one inflammatory phenotype in asthma?

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Circumstantial evidence suggests an important role for neutrophilic airways inflammation in addition to eosinophilic airways inflammation in non-severe asthma.

The existence of different clinical phenotypes of asthma is a concept that has excited considerable interest in academic, clinical, and pharmaceutical quarters. The source of this confusion goes back to the original Greek definition of asthma as a description for transient breathlessness. The ancients recognised that the symptoms of asthma could be triggered by cardiac or bronchial disease. The term “cardiac asthma” has been replaced by the physiological and pathological definitions of left ventricular failure and pulmonary oedema. Within bronchial asthma, definitions have continued to focus on physiological measurements and clinical context, including exercise induced asthma, nocturnal asthma, acute severe asthma, occupational asthma, etc. Implicit in these *clinical* phenotypes is the unspoken assumption that the clinical context dictates the pathophysiological mechanism. The demonstration that eosinophilic inflammation is a characteristic feature of many asthmatic airways led, during the 1990s, to the unhealthy and erroneous view that all asthma might be caused by eosinophils. Indeed, at one stage asthma was in danger of being defined for pharmaceutical purposes as eosinophilic airways inflammation. The few dissenting voices were drowned out by a series of studies showing the “anti-inflammatory” effects of anti-asthma drugs by virtue of a proxy effect on airways eosinophilic. Given the known dependence of eosinophils on T cells and cytokines such as interleukin 5 (IL-5), a further dogma arose that all asthma was orchestrated by T cells producing IL-5 and IL-4, the Th2 cytokines.

This issue of *Thorax* features a hypothesis paper by Douwes *et al*¹ that questions the assumption that Th2 driven allergic inflammation is the pathogenetic mechanism behind the majority of cases of asthma. Douwes and colleagues draw from several sources of evidence to argue that non-allergic, non-eosinophilic asthma is more common than is generally appreciated and that neutrophil driven inflammation, similar to that found in occupational asthma, could be the major alternative disease mechanism in these patients. The implication is that neutrophilic airways inflammation is not only a feature of severe asthma, where it has been well documented,^{2–5} but also forms the basis of a distinct inflammatory phenotype which may be present either alone or in conjunction with eosinophilic inflammation. The authors go on to postulate that activation of innate immunity due to inhalation of environmental agents may, as a precipitant of this type of asthma, partly explain the overall rise in the prevalence of asthma in the latter half of the 20th century.

As summarised by the authors, many recent clinical investigations have provided data to support these hypotheses. However, most asthmatic patients recruited to these studies either had severe disease or were on some form of corticosteroid therapy, raising concerns about possible confounding effects on the pattern of inflammation. Airway neutrophilia is often present in samples from the airways of patients with severe asthma,^{2–5} but it is not known whether this is due to frequent exacerbations or a feature of severity in stable chronic severe asthma. Most would agree that clinical severity in asthma may have

multiple causes and that patients with severe asthma may have arrived at their clinical phenotype by different routes. It is also well known that oral corticosteroids mobilise neutrophils in healthy subjects. In acute severe asthma the blood neutrophil count is often high at presentation and falls with treatment. We must presume that the fall occurs in response to improved clinical status and reduced biological stress and swamps any rise in neutrophil counts induced by oral corticosteroids. Nevertheless, we should not ignore the possibility of an underlying effect of corticosteroids on neutrophil numbers or activity. Although there is much in vivo evidence that steroids reduce eosinophilic inflammation, the extent to which they genuinely potentiate neutrophil activity is far from clear. It seems unlikely that neutrophilic non-eosinophilic asthma is solely a product of steroid treatment as eosinophilic inflammation can still be found in severe asthma and even in well controlled disease, despite inhaled or oral steroid treatment.^{6,7} Moreover, not all the evidence supports the theory that steroids augment neutrophilic inflammation in vivo. For example, Louis *et al* have shown that, in severe asthma, sputum neutrophils are reduced in subjects who are on oral steroids compared with those who are not.⁷ Ideally, a large population study of steroid naïve asthmatic patients is needed to ascertain the prevalence of non-eosinophilic airways inflammation in asthma.⁸ Follow up might reasonably include comparison of sputum neutrophil counts, neutrophil activation markers, and neutrophil chemotactic activity between eosinophilic and non-eosinophilic asthmatics both before and after starting normal inhaled steroid treatment.

COMPARISONS WITH COPD

Neutrophil driven asthma might have much in common with other airways diseases such as bronchiectasis,⁹ cystic fibrosis,¹⁰ and chronic obstructive pulmonary disease (COPD)^{11–13} in which neutrophil influx is a recognised feature. COPD, like asthma, is a definition rather than a disease. Moreover, there are several definitions of COPD which reflect the parent discipline of the definer. Radiologists, epidemiologists, pathologists,

physiologists, and clinicians can all offer a working definition of COPD but, just as a set of blind men feeling an elephant cannot readily describe the whole, so the single discipline definition usually focuses on the aspect of COPD they can see or measure. Some subjects with COPD have airflow obstruction despite only having minimal radiological or pathological evidence of emphysema.^{14,15} The physiological basis of this airflow limitation is likely to be peripheral airway remodelling changes that include goblet cell hyperplasia^{16,17} and increased smooth muscle bulk¹⁸ which are similar to changes found in asthmatic airways. While a subset of patients with COPD have features more commonly associated with asthma such as eosinophilic and basement membrane thickening,¹⁹ the converse also appears to be true with some asthmatics having neutrophilia and fixed airflow obstruction. It is interesting that, although there have only been a few bronchoscopic studies of severe asthma, a prominent finding is of an increase in neutrophil numbers in the large airway wall²⁰ which has not been found in the majority of studies of COPD.^{11,21-24} As yet there is no proven explanation as to why submucosal neutrophil counts are frequently normal in biopsy specimens of patients with COPD, a disease in which the neutrophil is often regarded as the major effector cell. Perhaps future investigations comparing mechanisms of neutrophil recruitment in these two diseases may help to answer this question. Research into mechanisms of airway inflammation in COPD and other neutrophilic airways diseases could also eventually help to identify possible therapeutic targets in this subset of asthma patients.

NEUTROPHILS AND AIRWAY MUCUS OVERPRODUCTION

Products of the activated neutrophil include reactive oxygen species, cytokines, lipid mediators, and tissue damaging enzymes such as elastase, cathepsin G, and myeloperoxidase.^{25,26} Mucus hypersecretion is a particular hallmark of asthma that recent observations have linked ever more closely to the neutrophil and its products. Neutrophil elastase is a potent secretagogue for both airway epithelial cells²⁷ and submucosal gland cells.²⁸ Moreover, both neutrophil elastase and reactive oxygen species have been shown *in vitro* independently to increase epithelial mucin mRNA and protein expression,²⁹⁻³¹ possibly via ligand independent transactivation of the epidermal growth factor receptor (EGFR).²⁹ Mucin gene expression has been proposed as the principal factor governing the differentiation of epithelial cells into goblet cells.³² The concept that neutrophils can induce goblet cell metaplasia via EGFR activation in addition to

recent evidence that epithelial MUC 5AC and EGFR are co-localised in asthmatic airway epithelial goblet cells would suggest that neutrophil driven goblet cell metaplasia may be a key component of neutrophilic asthma.³³

POSSIBLE TARGETS FOR TREATMENT

As in other airways diseases, airway neutrophilia in asthma is likely to be multifactorial, dependent on a complex interplay of chemokines and lipid mediators from both resident airway cells and inflammatory cells in addition to enhanced adhesion molecule expression and neural activity. Thus, it may be difficult to identify the cells or molecules against which targeted treatment might have the most clinical benefit. It is tempting to speculate that epithelial chemokine production and release, perhaps augmented in response to front line exposure to inhaled particulate matter, may be an important early step in the generation of neutrophilic asthma and a valid target for therapeutic intervention. Prevention of the epithelial response might reasonably be expected to arrest the cascade of damage and further chemokine generation caused by responding inflammatory cells and their attendant mediators. One suggested target is interleukin 8 (IL-8), a CXC chemokine produced by bronchial epithelium and one of the most potent neutrophil activators and chemoattractant mediators discovered to date. Epithelial expression of IL-8 is heightened both *in vitro* and *in vivo* in response to a range of noxious stimuli, including diesel exhaust particles.³⁴ Moreover, IL-8 is found in increased quantities in airway secretions obtained from subjects with neutrophilic airways diseases, including asthma, at concentrations corresponding to the increased numbers of neutrophils in the same samples.^{3,8,35,36} Whether the epithelium, other resident airway cells such as smooth muscle cells, or infiltrating inflammatory cells are the principal source of increased luminal IL-8 levels in asthma remains uncertain.

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Another possible drug target is leukotriene B₄ (LTB₄), an important neutrophil product that is produced by a variety of other cells. A study of lung secretions of patients with COPD and bronchiectasis

showed that 43% of neutrophil chemotactic activity was dependent on IL-8 and a further 27% was dependent on LTB₄.³⁷ In addition, LTB₄ has been found in increased quantities in bronchoalveolar lavage (BAL) fluid of subjects with asthma compared with controls, despite high doses of oral corticosteroids.² Of the two types of leukotriene modulating treatments currently available—the 5-lipoxygenase inhibitors and cysteinyl-leukotriene (cys-LT) receptor antagonists—only 5-lipoxygenase inhibitors inhibit the activity of LTB₄, a fact that might warrant exploration of the relative benefits of 5-lipoxygenase inhibitors versus cys-LT receptor antagonists in neutrophilic asthma.

INDUCED SPUTUM IN CLINICAL PRACTICE

The existence of different asthma inflammatory phenotypes that may respond differently to treatment would argue in favour of the more widespread use in clinical practice of induced sputum, until now predominantly a research tool. Practical considerations including cost, technical expertise, and the technician time needed to process samples and count inflammatory cell populations would prohibit its use in the diagnosis and monitoring of all cases of suspected asthma.³⁸ However, in those subjects in whom disease control is proving difficult, sputum induction might be valuable in differentiating between patients with poorly suppressed allergic inflammation, who may be more likely to benefit from increased conventional asthma treatment, and those with non-eosinophilic inflammation who require alternative approaches.

CONCLUSIONS

In summary, although an important role for neutrophilic airways inflammation in non-severe asthma has yet to be confirmed, there is much circumstantial evidence to support its existence. Future research into the clinical characteristics and pharmacological responses of this form of asthma might yield results relevant not only to asthma, but also to other neutrophilic airway diseases.

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