# Neuropsychiatric systemic lupus erythematosus is associated with imbalance in interleukin 10 promoter haplotypes

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#### Abstract

*Objective*—To investigate the association of interleukin 10 (IL10) promoter polymorphisms and neuropsychiatric manifestations of systemic lupus erythematosus (SLE).

*Methods*—IL10 haplotypes of 11 healthy volunteers were cloned to confirm that in the Dutch population, only the three common haplotypes (-1082/-819/-592) GCC, ACC and ATA exist. The IL10 promoter polymorphisms of 92 SLE patients and 162 healthy controls were determined. The medical records of the SLE patients were screened for the presence of neuropsychiatric involvement.

Results-All cloned haplotypes were either GCC, ACC or ATA. Forty two SLE patients had suffered from neuropsychiatric manifestations (NP-SLE). In NP-SLE patients, the frequency of the ATA haplotype is 30% versus 18% in the controls and 17% in the non-NP-SLE group (odds ratios 1.9, p=0.02, and 2.1, p=0.04, respectively), whereas the GCC haplotype frequency is lower in the NP-SLE group compared with controls and non-NP-SLE patients (40% versus 55% and 61%, odds ratios 0.6, p=0.02 and 0.4 p=0.006). The odds ratio for the presence of NP-SLE is inversely proportional to the number of GCC haplotypes per genotype when the NP-SLE group is compared with non-NP-SLE patients.

Conclusions—The IL10 locus is associated with neuropsychiatric manifestations in SLE. This suggests that IL10 is implicated in the immunopathogenesis of neuropsychiatric manifestations in SLE. (Ann Rheum Dis 1909;58:85–89)

Genetic factors play an important part in the aetiopathogenesis of systemic lupus erythematosus (SLE). This is illustrated by the 50-60% concordance rate of SLE beween monozygotic twins.<sup>1</sup> Furthermore, several susceptibility loci have been identified, including HLA class I and II, C4A complement null alleles, tumour necrosis factor (TNF), and Fc- $\gamma$ -RIIIa polymorphisms.<sup>2-6</sup>

Genetic dissection of SLE phenotypes has been demonstrated in murine models of SLE. In NZB/W F2 mice, genome wide searches using microsatellite based maps yielded predisposing loci for SLE. Specific combinations of genes contribute additively to the risk for a given phenotype.7 Analogous to murine strains, evidence exists that in human SLE several susceptibility loci are associated with the phenotype of renal and neuropsychiatric involvement in SLE. In SLE patients with nephritis the homozygous 176V genotype of Fc-y-RIIIa was under-represented more than fourfold compared with those without nephritis.6 Several groups have studied the Fc-y-RIIA (CD32) polymorphisms in different populations of SLE patients.<sup>8-12</sup> Although the data are not consistent in all populations studied, the Fc-y-RIIA alleles are probable risk factors for lupus nephritis. Neuropsychiatric SLE has been associated with HLA-DR3, HLA-DR9, and HLA-DQ2 antigens, whereas the frequency of HLA-DR4 antigens is lower than in patients without neuropsychiatric involvement.

The IL10 locus has been implicated in susceptibility for SLE. The interest in the association of IL10 and SLE is based on the possible lupus favouring properties of IL10. IL10 is a major B cell growth and differentiation factor,<sup>14</sup> and has been shown to induce immunoglobulin production in B lymphocytes of SLE patients.<sup>15</sup> SLE patients have a higher innate production of IL10 than controls.<sup>16</sup> Innate differences in IL10 production are associated with haplotypes of chromosome 1 as defined by microsatellites.<sup>18</sup> In two different populations (Mexican Americans and white Scots) an allelic imbalance was found for a microsatellite 1.2 kB 5' of the transcription initiation site of the IL10 gene, suggesting that the IL10 locus is an important susceptibility factor for SLE.19 20

In the IL10 promoter three different biallelic polymorphisms have been identified: -1082 G/A, -819 C/T and -592 C/A. Genotyping of a relatively large number of people revealed the existence of only three haplotypes, GCC, ACC, and ATA (-1082/-819/-592 respectively).<sup>21 22</sup> IL10 promoter polymorphisms have been reported to be associated with various SLE phenotypes. A small study reported an association of the -819C and -592C IL10 alleles with the presence of anti-Ro antibodies in SLE.<sup>22</sup> A recent study suggested that in southern Chinese patients the IL10 promoter haplotype -1082A/-819T/-592A is associated with renal involvement in SLE.<sup>23</sup>

To investigate if one of the three common IL10 promoter haplotypes is associated with the phenotype of neuropsychiatric SLE, we first cloned the IL10 promoter of 11 different people to verify that indeed only three

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Table 1 Demographic and clinical characteristics of the SLE patients

	NP-SLE (n=42*)	non-NP-SLE (n=50*)	p value†	
Female sex (%)	84	90	0.9	
Age (y) (SD)	39 (14)	39 (15)	NA	
SLE duration (y) (SD)	9.3 (5.6)	7.0 (6.1)	NA	
Arthritis (%)	86	88	0.7	
Malar rash (%)	52	36	0.1	
Discoid lesions (%)	14	24	0.2	
Photosensitivity (%)	29	48	0.06	
Oral ulcers (%)	26	28	0.8	
Pericarditis (%)	19	24	0.6	
Pleuritis (%)	50	41	0.4	
Proteinuria (%)	24	32	0.4	
Leuco/erythrocyturia/cylinders (%)	40	38	0.8	
Thrombopenia (%)	26	24	0.8	
Leucopenia (%)	43	34	0.4	
ANA (%)	98	96	0.7	
Anaemia (%)	74 (n=23)	44 (n=25)	0.04	
Anti-ds-DNA (%)	86 (n=36)	63 (n=49)	0.02	
Anti-Sm antibodies (%)	9 (n=11)	20 (n=15)	0.4	
Anticardiolipin antibodies (%)	77 (n=31)	55 (n=29)	0.6	
LE test (%)	89 (n=18)	89 (n=27)	1.0	

\*Number of patients of whom presence or absence of specific feature is known, unless stated otherwise;  $\frac{1}{2}$  testing without Bonferroni correction for multiple comparisons; NA: not applicable.

> haplotypes of the IL10 promoter exist in the Dutch population. Next, 92 SLE patients were divided into a phenotype with and without neuropsychiatric manifestations. The distribution of the IL10 promoter haplotypes in these groups was studied and compared with healthy controls.

#### Methods

#### PATIENTS

Blood was collected from 92 consecutive white SLE patients of the rheumatology department of the Leiden University Medical Centre. All patients fulfilled the 1982 American College of Rheumatology criteria for SLE.24 The medical records of these patients were screened for evidence of the presence of neuropsychiatric involvement and cumulative SLE criteria. Neuropsychiatric involvement was considered to be present if formal neurological, psychiatric or neuropsychological testing by consulting physicians proved to be abnormal and no other explanation for the neuropsychiatric manifestations could be found. Forty two patients had a history of neuropsychiatric SLE (NP-SLE), 50 SLE patients had never experienced neuropsychiatric manifestations (non-NP-SLE). The control population existed of 162 healthy Dutch white volunteers.

Blood was taken from another 11 healthy controls for cloning of the IL10 promoter haplotypes.

#### MATERIALS

DNA was isolated from SDS-lysed and proteinase-K treated peripheral blood cells by phenol-chloroform extraction. Fragments of the IL10 promoter were amplified using the primer combination ATC.CTC.GAG. CCT.TCT.TTT.GCA.AGT.CTG.T<sup>+31</sup> and GAC.GGT.ACC.CTG.ACT.ATA.GAG.TGG. CAG<sup>-1413</sup>. PCR fragments were subsequently dot blotted on Hybond N+ membranes and hybridised according to described methods.<sup>25</sup> Sequences of the oligonucleotides and washing temperatures were as follows: for -1082A: ACT.TCC.CCT.TCC.CAA.AGA.A, 52°C; for -1082G: TTC.TTT.GGG.AGG.GGG.

AAG, 51°C; for -819C: CAG.GTG.ATG. TAA.CAT.CTC.TGT.GC, 62°C; for -819T: GCA.CAG.AGA.TAT.TAC.ATC.ACC.TGT, 63°C; for -592C: CCG.CCT.GTC.CTG. TAG.GAA, 50°C; for -592A: TTC.CTA. CAG.TAC.AGG.CGG.G, 52°C.

From 11 different people whose IL10 promoter alleles had been typed before and proved to be heterozygous, the IL10 promoter was PCR amplified using the above mentioned primer combination. The PCR fragments digested with KpnI and XhoI, were cloned into the plasmid vector pGL3-basic (Promega Corporation, Madison, USA). After growing into XL1 bacteria, the plasmids were isolated, dot blotted, and typed for the respective polymorphisms as described above.

#### STATISTICAL ANALYSIS

To detect differences in the frequencies of IL10 promoter polymorphisms, odds ratios were calculated using two by two contingency tables.  $\chi^2$  Tests were performed to test statistical significance.

### Results

CHARACTERISTICS OF SLE PATIENTS AND CONTROLS

Mean age in both the non-NP-SLE and NP-SLE patients at the time of blood collection was 39 years. On average, SLE disease duration was 7.0 years for the non-NP-SLE (SD 6.1 years) and 9.3 for the NP-SLE patients (SD 5.6 years, table 1). All SLE patients were of white origin. The control group consisted of 81 female and 81 male healthy Dutch white people with a mean age of 32.3 years. Table 1 shows the cumulative SLE manifestations of the patients. Coombs positive haemolytic anaemia and anti-ds-DNA antibodies were more frequent among NP-SLE patients. After Bonferroni correction for multiple comparisons, these differences did not remain statistically significant.

The neuropsychiatric manifestations of the NP-SLE patients were the following: cerebellar ataxia (n=4), cerebral haemorrhage (n=1), cerebral infarction (n=8), chorea (n=1), cognitive deterioration (n=8), coma (n=2, both organic brain syndrome without any abnormalities confirmed by computed tomography), cranial nerve palsy (n=14), depression according to DSM IV criteria (n=6), paresis (n=13), psychosis (n=9), seizures (n=17), therapy resistant headache (n=9), and transverse myelitis (n=2). The patients with paresis were diagnosed to suffer from transient ischaemic episodes in two cases, peripheral neuropathy resulting from vasculitis in two cases, and inflammatory central paresis attributable to SLE in nine cases. Computed tomography in one patient with transient ischaemic deficits showed old infarctions. In two of six patients with inflammatory central paresis caused by SLE who were submitted to magnetic resonance imaging, aspecific white matter lesions were found. Thirty one patients had experienced more than one manifestation. Therapy resistant headache occurred only in combination with other manifestations.

Table 2 Number and percentage of IL10 promoter alleles in the non-NP-SLE and NP-SLE patients, the combination of these groups, and controls

Allele	non-NP-SLE		NP-SLE		SLE		Controls	
	n	%	n	%	n	%	n	%
-1082 G	61	61	34	40	95	52	178	55
-1082 A	39	39	50	60	89	48	146	45
-819 C / -592 C	83	83	59	70	142	77	265	82
-819 T / -592 A	17	17	25	30	42	23	59	18

n: number of alleles.

Table 3 Number and percentage of IL10 promoter haplotypes in the non-NP-SLE and NP-SLE patients, the combination of these groups, and controls

	non-1	non-NP-SLE		NP-SLE		SLE		Controls	
Haplotype	n	%	n	%	n	%	n	%	
GCC	61	61	34	40	95	52	178	55	
ACC ATA	22 17	22 17	25 25	30 30	47 42	26 23	87 59	27 18	

n: number of haplotypes.

 Table 4
 Number and percentage of IL10 promoter genotypes in the non-NP-SLE and NP-SLE patients, the combination of these groups, and controls

	non-NP-SLE		NP-SLE		SLE		Controls	
Genotype	n	%	n	%	n	%	n	%
GCC/GCC	19	38	5	12	24	27	50	31
GCC/ACC	13	26	14	33	27	29	47	29
GCC/ATA	10	20	10	24	20	22	31	19
ACC/ACC	3	6	2	5	5	5	13	8
ACC/ATA	3	6	7	17	10	11	14	9
ATA/ATA	2	4	4	10	6	7	7	4

n: number of genotypes.

VERIFICATION OF GENOTYPES

All haplotypes were either (-1082, -819, -592) GCC, ACC or ATA. These data confirm in the Dutch population the previous suggestions that only three different haplotypes exist.

#### IL10 PROMOTER POLYMORPHISMS AND SLE

The frequencies of the IL10 promoter alleles in the SLE and non-NP-SLE patients did not differ significantly from the controls (table 2). In NP-SLE patients the -1082A and -819T/-592A alleles were more frequent than in the control population (odds ratios 1.8, p=0.02 and 1.9, p=0.02 respectively). When NP-SLE and non-NP-SLE patients are compared, the odds ratios increase to 2.3 (p=0.006) and 2.1 (p=0.04).

Table 3 represents the distribution of the IL10 promoter haplotypes. The distribution of the IL10 promoter haplotypes in SLE patients did not differ from controls. Dissecting SLE patients into the non-NP-SLE and NP-SLE groups shows a frequency of the ATA haplotype in the NP-SLE patients of 30% versus 18% in the control group (odds ratio 1.9, p=0.02), whereas in the non-NP-SLE group the frequency of the ATA haplotype is similar to the control group (17% v 18%), odds ratio 0.9, p=0.8). Conversely, the frequency of the GCC haplotype is diminished in the NP-SLE group compared with the control group (40% v55%, odds ratio 0.6, p=0.02) and is the same in the non-NP-SLE group and the controls (61% v 55%, odds ratio 1.3, p=0.3). Comparing NP-SLE with non-NP-SLE patients shows that the ATA haplotype prevails at the expense

of the GCC haplotype (odds ratios 2.1 and 0.4 respectively, p=0.04 and 0.006).

To identify a possible dose response relation of the number of ATA or GCC haplotypes and the height of the odds ratios, the study population is divided into the six possible genotypes. Genotype ATA/ATA predominates in the NP-SLE patients (10% versus 4% in the control and non-NP-SLE groups, odds ratios not statistically significant with these low frequencies, table 4) whereas GCC/GCC is less frequently seen among NP-SLE patients (12% versus 31% and 38% in the control and non-NP-SLE groups respectively, odds ratios 0.3, p=0.01 and 0.2, p=0.005). The odds ratios are inversely proportional to the number of GCC haplotypes when the NP-SLE group is compared with non-NP-SLE patients (2.4, 1.6, 0.2 in case of 0, 1 or 2 GCC haplotypes, p=0.09, 0.3 and 0.004 respectively). Odds ratios for the ATA haplotypes show the opposite results although not statistically significant (0.4, 1.9, 2.5 in case of 0, 1 or 2 ATA haplotypes, p=0.4, 0.1 and 0.05).

#### Discussion

In this study, the IL10 promoter haplotype ATA was found to be associated with the phenotype of SLE with neuropsychiatric manifestations, whereas the GCC haplotype was less frequent in these patients. Several considerations can be made. Thus far, the presence of the three common haplotypes was based upon results from genomic typing of the polymorphisms in a number of people and small family studies.<sup>21 22</sup> In this paper we confirm these results by actually demonstrating the physical linkage of the IL10 promoter alleles into the three putative haplotypes. Recently, in southern Chinese patients a fourth haplotype GTA has been described.23 In this study the GTA haplotype could not be identified. This might be because of the fact that we deliberately restricted our study population to white patients and controls to omit racial differences as a cause for imbalance in haplotypes. At this moment no data are available to explain the existence of a limited number of haplotypes. It may be related to the function of IL10 during evolution or the tertiary structure of the IL10 promoter.

As neuropsychiatric SLE is characterised by a vast array of possible manifestations ranging from relatively mild to severe, the definition of NP-SLE is difficult. In this study, the definition of the phenotype of neuropsychiatric SLE was rather strict. In the NP-SLE group, only patients with objective manifestations have been included. Therefore, some misclassification of mild subjective neuropsychiatric manifestations to the non-NP-SLE group may have occurred. Another concern is that neuropsychiatric manifestations may be caused by different pathogenetic mechanisms with each a different immunogenetic background. In general, the different pathogenetic mechanisms of neuropsychiatric manifestations are considered to be antiphospholipid related in case of thrombotic events and immune mediated in case of diffuse manifestations.<sup>26</sup> However, no

evidence has been presented that confirms the supposition that the immunogenetic background of thrombotic neuropsychiatric manifestations differs from immune mediated symptoms. Nevertheless, the data were also analysed after exclusion of the nine patients suffering from manifestations with a possible thrombotic origin. The frequencies of the GCC, ACC, and ATA haplotypes in the remaining NP-SLE patients were 44%, 29%, and 27% respectively, resulting in an odds ratio of 0.5 for the GCC haplotype in NP-SLE patients compared with non-NP-SLE patients (p=0.03). The odds ratio of the ATA haplotype was 1.8, but did not reach statistical significance because of small number of patients. These data suggest that the immunogenetic background of both patients with thrombotic as well as immune mediated pathogenesis is similar.

It has been suggested that in southern Chinese patients renal involvement in SLE patients is associated with the ATA haplotype.23 In our white population however, the three haplotypes were equally distributed among patients with and without renal disease (54% GCC, 25% ACC, and 21% ATA versus 50% GCC, 26% ACC, and 24% ATA in patients with and without renal disease respectively). Demographic parameters such as age at onset of disease and disease duration in our and the aforementioned study are comparable. The definition of renal disease is essentially the same in both studies and thus cannot be responsible for the discrepancy in haplotype frequencies. In this study, renal disease is considered to be present if a biopsy confirmed World Health Organisation classifying diagnosis could be established (18 patients) or if patients had persistent leucocyturia, erythrocyturia, erythrocyte, or haemoglobin casts or proteinuria > 0.5 g/day (42 cases), whereas in the former study renal involvement is defined as the presence of either biopsy confirmed nephritis or proteinuria. Remarkably, in the southern Chinese population a trend of an increased frequency of the ATA haplotype in patients with NP-SLE is present (odds ratio 2.2, p=0.08), similar to our data.

The finding that an imbalance in the IL10 promoter haplotypes exists in patients with neuropsychiatric manifestations of SLE can be explained in two ways. Firstly, the imbalance may result from linkage to yet another gene at a different locus. Secondly, the imbalance is linked to a different production of IL10 leading to NP-SLE. SLE patients are reported to have a higher innate production of IL10 than controls.27 28 We have previously demonstrated that in RA patients the -1082A allele is associated with a higher innate IL10 production than in controls.<sup>29</sup> The current study shows an excess of the -1082A allele in the NP-SLE patients. This may indicate that in NP-SLE, the innate production of IL10 is at a higher level than in non-NP-SLE and controls. An experimental rat model has been constructed that shows that immune complex disease affects neuropsychological functioning.<sup>30</sup> The pathogenetic mechanism in human NP-SLE

might be similar to this rat model. IL10 may be at least partly responsible for the production of immune complexes in patients with NP-SLE.

In this study the IL10 locus is found to be associated with neuropsychiatric involvement in SLE. This suggests that IL10 is involved in the immunopathogenesis of this phenotype. Future studies are necessary to investigate the association of IL10 production and NP-SLE.

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